Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2000

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

	art I Annual Report Identification Info				10/01/		
For	calendar plan year 2009 or fiscal plan year beginning		_	and ending	12/31/	2009 	
Α	This return/report is for:	an	multiple-e	mployer plan (not multiemployer)		one-participa	int plan
В	This return/report is for:	<u> </u>	final retur	n/report			
	an amended return	/report	short plan	year return/report (less than 12 n	nonths)	_	
С	Check box if filing under:		automatic	extension		DFVC progra	am
	special extension (enter descript	tion)				
Pa	art II Basic Plan Information—enter all re	quested inforr	mation				
	Name of plan				1b	Three-digit	
ALLE	EN ACOUSTICS, INC. 401(K) PLAN					plan number (PN) ▶	001
					1c	Effective date of	l f plan
						01/01/2	
	Plan sponsor's name and address (employer, if for s	ingle-employe	er plan)		2b	Employer Identi	
ALLE	EN ACOUSTICS, INC.				20	(EIN) 91-187	telephone number
5329	BOSTON HARBOR RD. NE				20	360-94	
OLYI	MPIA, WA 98506				2d		(see instructions)
32	Plan administrator's name and address (if same as F	Dlan enoncor	ontor "Same	,"\	3h	238900 Administrator's	
	EN ACOUSTICS, INC.	5329 BOST	ON HARBO	,	36	91-187	
		OLYMPIA,	WA 98506		3с		telephone number
4 1	f the name and/or EIN of the plan sponsor has chang	ed since the l	act return/re	port filed for this plan, enter the	4h	360-94 EIN	3-9781
	name, EIN, and the plan number from the last return/			port filed for this plan, enter the	40	EIIN	
						PN	
5a	Total number of participants at the beginning of the	plan year			5a		2
b	Total number of participants at the end of the plan y	ear			5b		0
С	Total number of participants with account balances complete this item)				5c		2
62	Were all of the plan's assets during the plan year in						X Yes No
b		J		` '			
	under 29 CFR 2520.104-46? (See instructions on w			·			Yes No
Pa	If you answered "No" to either 6a or 6b, the plan rt III Financial Information	cannot use	Form 5500-	SF and must instead use Form	5500.		
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End	of Year
a	Total plan assets		7a		355	(b) Liid	19797
b	Total plan liabilities						
С	Net plan assets (subtract line 7b from line 7a)			63	355		19797
8	Income, Expenses, and Transfers for this Plan Year			(a) Amount		(b) ¹	Гotal
а	Contributions received or receivable from:			0.0	00		
	(1) Employers		` ,)23		
	(2) Participants			/1	00		
h	(3) Others (including rollovers)		, ,	20	110		
b	Other income (loss) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)				319		13442
c d	Benefits paid (including direct rollovers and insurance		8c				13442
~	to provide benefits)	•	8d				
е	Certain deemed and/or corrective distributions (see	instructions)	8e				
f	Administrative service providers (salaries, fees, com	missions)	8f				
g	Other expenses		8g				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)		<u>8h</u>				
į	Net income (loss) (subtract line 8h from line 8c)						13442
	Transfers to (from) the plan (see instructions)		8i				

	1 01111 0300 C1 2000		 -				
Pai	t IV Plan Characteristics						
9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Char	acteris	stic Co	des in	the instruc	tions:	
L	2E 2F 2G 2J 2K 3D	oto rio	tio Coo	ا ما: مما	tha inatrus	tiono.	
b	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	acteris	tic Coc	aes in i	ne instruct	lons:	
Par	V Compliance Questions						
10	During the plan year:		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in			V			
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c	X				35000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud						00000
<u> </u>	or dishonesty?	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier,						
	insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		Χ			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR	iug					
	2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the	40:		X			
David	exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
Part 11	VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and compliance.)	nloto	Cobod	lulo CD	/Form		
• • •	5500))					Yes	s X No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of	ERISA?	Yes	s X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver						
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day		Teal	
b	Enter the minimum required contribution for this plan year			12b			
С	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left	of a		12d			
	negative amount)				 		П N//A
	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part							
13a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		г		<u> </u>	× Yes	s No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a	<u> </u>		(
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?					Yes	s X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the						_
	which assets or liabilities were transferred. (See instructions.)	1					
	3c(1) Name of plan(s):		130	c(2) EI	N(s)	13c(3	3) PN(s)
						+	
Cau	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	establ	ished.		
	or penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this ret						
	r Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return, f, it is true, correct, and complete.	report	t, and t	to the b	est of my	knowledge	e and
	Filed with authorized/valid electronic signature 03/09/2011 LARRY ALLEN						

SIGN	Filed with authorized/valid electronic signature.	03/09/2011	LARRY ALLEN
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

Allen Acoustics Inc.

5329 Boston Harbor Road NE

Olympia, WA 98506

PH: (360) 943-9781

WA Contractors# ALLENAIO31RE

March 3, 2011

Employee Benefits Security Administration P.O. Box 7043 Lawrence, KS 66044-7043

RE: Allen Acoustics, Inc. 401(k) Plan Plan 001

Dear EBSA:

We are filing with this letter the Form 5500-SF for the above-named Plan for its calendar plan year ended December 31, 2009. The filing is late; however, we believe we have circumstances that qualify as "reasonable cause" and, therefore, request that both the EBSA and the IRS grant a waiver or abatement of any late filing penalties that may be assessed due to the late filing.

The company ceased doing business December 31, 2009. At that time, my ex-wife Jennifer, who was our Office Manager and Bookkeeper, was laid off. During the short existence of our 401(k) plan, she handled its administration. I was only recently made aware of the filing obligation, and have acted expeditiously to meet it for both the 2009 and 2010 plan years. I am currently in the process of filing Chapter 13 bankruptcy, and have limited funds available for punitive assessments. Given the circumstances, I respectfully request your acceptance of these facts as reasonable cause for the late submission of this return.

Under penalties of perjury, Allen Acoustics, Inc. presents this information and declares that these statements are, to the best of our knowledge, true, correct and complete. Please contact the undersigned if you have any questions in connection with this request.

Sincerely,

Larry Allen, Trustee

President of Allen Acoustics, Inc., the Plan Administrator

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

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2009

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Per		➤ Complete all entries in accordance with the	instruc	tions to th	e Fo	rm 5500-SF.	to Public I	nspection
Pa	rt I Annual Repor	t Identification Information						
For	calendar plan year 2009 or fis	scal plan year beginning 01/01/2009		ar	nd en	ding 1	2/31/200	9
A	This return/report is for:	X single-employer plan multiple-em	oloyer p	olan (not mi	ultiem	nployer)	one-participan	t plan
В	This return/report is for:	first return/report final return/r						
	•	F-1	ear retu	ım/report (l	ess t	han 12 months	s)	
C	Check box if filing under:	X Form 5558 automatic e					DFVC program	1
	• • • • • • • • • • • • • • • • • • • •	special extension (enter description)				_		
Pa	rt II Basic Plan Inf	ormation - enter all requested information	*****					
1a	Name of plan			T	1b	Three-digit		
AL	LEN ACOUSTICS,	INC. 401(K) PLAN				plan number (l	PN)	001
			1	· [1c	Effective date	of plan	
						01/0	1/2008	
<u>2a</u>	Plan sponsor's name and ac	ddress (employer, if for single-employer plan)			2b	Employer Iden	tification Numb	er (EIN)
	LEN ACOUSTICS,			*		91-1	876030	
					2c	Plan sponsor's	s telephone nur	nber
53	29 BOSTON HARBO	OR RD. NE		L		360-	<u>943-9781</u>	
					2d		e (see instructio	ns)
OL	YMPIA	WA 98506				2389	00	
3a	Plan administrator's name a	nd address (If same as Plan sponsor, enter "Same	")		3b	Administrator'	s EIN	
SA	ME			L				
					3с	Administrator'	s telephone nui	mber
				,			· · · · · · · · · · · · · · · · · · ·	
4 11	the name and/or EIN of the	plan sponsor has changed since the last return/rep	ort filed	d for this	4b	EIN		9
p	lan, enter the name, EIN, and	I the plan number from the last return/report. S	ponsor'	s name				
					4c	PN		
						· · · · · · · · · · · · · · · · · · ·		
-	Total number of participants	at the beginning of the plan year		<u>L</u>	<u>5a</u>		2	
	Total number of participants	at the end of the plan year			<u>5b</u>		0	
·C	Total number of participants	with account balances as of the end of the plan y	ear (det		_		•	
		te this item)			<u>5c</u>	<u></u>	2	
	•	during the plan year invested in eligible assets? (S						es ∐ No
ď	•	the annual examination and report of an independ			c acc	countant	F67	
	•	104-46? (See instructions on waiver eligibility and o					X Ye	es ∐ No
		ther 6a or 6b, the plan cannot use Form 5500-Si	F and m	nust instea	id us	e Form 5500.		
20,020,00	rt III Financial Info	rmation	10000	(-) 5			(h) FI	
7	Plan Assets and Liabilities			(a) Beg	Jinnii	ng of Year	(b) End	19,797
	•		7a			6,355		19,797
b	Total plan liabilities		7b			6,355		19,797
		e 7b from line 7a)	7c				/b\ T	
8_	Income, Expenses, and Tran			(6) AIII	ount	(b) T	otai
a	Contributions received or re	•	0-7-			3,023		
			8a(1)			7,100	ta traditis	
	• •	~\	8a(2)			,,100		
h	(3) Others (including rollover Other income (loss)	s) SEE STATEMENT 1	8a(3) 8b			3,319		
), 8a(2), 8a(3), and 8b)	8c			<u> </u>	1	13,442
c d		ollovers and insurance premiums to provide benefits)	8d	PS - Land				
e		ective distributions (see instructions)	8e					
f		ders (salaries, fees, commissions)	8f					
g	· .		8g	<u></u>				
h	•	d, 8e, 8f, and 8g)	8h		1541		<u> </u>	<u> </u>
i		ine 8h from line 8c)	\vdash	100 m 3				13,442
j		(see instructions)						C. Commercial

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	2	1	
Page	Z-		

F	5500	CE	m
Form	יוווררר		1711119

Part IV	Dian	Characterist	100

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Par	V Compliance Questions					
****	During the plan year:			Yes	No	Amount
	Was there a failure to transmit to the plan any participant	contributions within the tir	ne period described	1		
	in 29 CFR 2510.3-102? (See instructions and DOL's \		· · · · · · · · · · · · · · · · · · ·		Х	
	Were there any nonexempt transactions with any p	•	•			
	, , , , , , , , , , , , , , , , , , , ,		l		Х	
	Was the plan covered by a fidelity bond?			X		35,000
	Did the plan have a loss, whether or not reimburse			1		
			د مه ا		Х	
	Were any fees or commissions paid to any brokers					
	carrier, insurance service or other organization that					<u> </u>
	and the second s		40-		х	ļ
	Has the plan failed to provide any benefit when du				Х	
	Did the plan have any participant loans? (If "Yes,"			†	Х	
-	If this is an individual account plan, was there a bla			 		
	· · · · · · · · · · · · · · · · · · ·		•		x	
	and 29 CFR 2520.101-3.) If 10h was answered "Yes," check the box if you e			+		
•	of the exceptions to providing the notice applied u	· ·	į.		x	
Par		11081 29 GFR 2520.101	S 101	1	L	
	Is this a defined benefit plan subject to minimum fu	unding requirements? (If "Vac " ago instructions and	Loomo	loto	
					iete	Yes 🗓 No
12	Schedule SB (Form 5500)) Is this a defined contribution plan subject to the m				· · · · · · · · · · · · · · · · · · ·	165 F-110
						Yes X No
	section 302 of ERISA? (If "Yes," complete 12a or 1					
	If a waiver of the minimum funding standard for a p			_		
	ruling granting the waiver.			-	у	Year
	ou completed line 12a, complete lines 3, 9, and				40h	I
	Enter the minimum required contribution for this pl				12b	
	Enter the amount contributed by the employer to t				120	.,
	Subtract the amount in line 12c from the amount in		and the second s		40-4	
					12d	res No N/A
	Will the minimum funding amount reported on line VII Plan Terminations and Transfer	re of Assats	ling deadline?			res No N/A
Par						Yes X No
	Has a resolution to terminate the plan been adopted					Tes PAINO
	If "Yes," enter the amount of any plan assets that i				13a	
D	Were all the plan assets distributed to participants					Yes 🗓 No
	under the control of the PBGC?					
	If during this plan year, any assets or liabilities were	e transferred from this i	olan to another plan(s), ident	ity the	pian(s) to which assets or
	liabilities were transferred. (See instructions.)		· · · · · · · · · · · · · · · · · · ·	40 (0))
1;	3c(1) Name of plan(s):			13c(2)	EIN(S	s) 13c(3) PN(s)
				bl-		
	ion: A penalty for the late or incomplete filing of		,			
Inder p igned l	enalties of perjury and other penalties set forth in the instructions, I by an enrolled actuary, as well as the electronic version of this return	declare that I have examined the highest of my I	nis return/report, including, if applicable including it is true, correct	e, a Sche i, and cor	nplete.	or Schedule MB completed and
SIGN	199711h	13-3-11	1 2 1 101	λī	10	10
HERI	C III	10011	Larry M.		12	<u>V) </u>
1.00	Signature of plan administrator	Date	Enter name of individual sig	ning a	s plan	administrator
ein] .				
SIGN	v I					- LOUIS AND THE CONTRACT OF TH
5 - SV	Signature of employer/plan sponsor	Date	Enter name of individual sig	ning a	s emp	lover or plan sponsor