Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation • Complete all entries in ac	cordance wit	h the instructions to the Form 550	0-SF.				
	art I Annual Report Identification Information							
For	calendar plan year 2010 or fiscal plan year beginning 01/01/2	2010	and ending 1	2/31/2	2010			
Α.	This return/report is for: Single-employer plan	multiple-	employer plan (not multiemployer)		one-participant plan			
В	This return/report is for: first return/report							
	an amended return/report	n year return/report (less than 12 mor	nths)					
C	Check box if filing under: Form 5558	automati	c extension		DFVC program			
	special extension (enter descr							
Pa	art II Basic Plan Information—enter all requested info	ormation						
	Name of plan			1b	Three-digit			
	N KWANG LIU MD PC 401 K PROFIT SHARING PLAN TRUST				plan number 001			
				4 -	(PN) •			
				1C	Effective date of plan 01/01/2008			
2a	Plan sponsor's name and address (employer, if for single-employer	ver plan)		2b	Employer Identification Number			
	N KWANG LIU MD PC	,			(EIN) 13-2903392			
11 R	ALPH PLACE SUITE 302			2c	Plan sponsor's telephone number 718-273-4300			
	TEN ISLAND, NY 10304-0000			2d	Business code (see instructions)			
					621111			
3a	Plan administrator's name and address (if same as Plan sponson KWANG LIU MD PC 11 RALP)	or, enter "Sam H PLACE SU	e") ITE 302	3b	Administrator's EIN 13-2903392			
TIOIL		ISLAND, NY		30	Administrator's telephone number			
				718-273-4300				
	f the name and/or EIN of the plan sponsor has changed since the		eport filed for this plan, enter the	4b EIN				
ı	name, EIN, and the plan number from the last return/report. Spo		4c PN					
5a	Total number of participants at the beginning of the plan year	5a	2					
b	Total number of participants at the end of the plan year		5b	2				
С	Total number of participants with account balances as of the en			0.0	_			
	complete this item)		•	5c	2			
	Were all of the plan's assets during the plan year invested in el	•	'		Yes No			
b	Are you claiming a waiver of the annual examination and report under 29 CFR 2520.104-46? (See instructions on waiver eligibi	t of an indepe	ndent qualified public accountant (IQI	PA)	X Yes ☐ No			
	If you answered "No" to either 6a or 6b, the plan cannot us							
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year			
а	Total plan assets	7a	37991		68600			
b	Total plan liabilities	7b	C	0				
С	Net plan assets (subtract line 7b from line 7a)	7с	37991		68600			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total			
а	Contributions received or receivable from:	0=(4)	5250)				
	(1) Employers		18108	3				
	(3) Others (including rollovers)	· · ·	0	_				
b	Other income (loss)		7251					
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)			30				
d	Benefits paid (including direct rollovers and insurance premium:							
_	to provide benefits)		C	_				
е	Certain deemed and/or corrective distributions (see instructions	s) 8e	C	_				
f	Administrative service providers (salaries, fees, commissions)	8f	0					
g	Other expenses	8g	C)				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)				0			
į	Net income (loss) (subtract line 8h from line 8c)				30609			
j	Transfers to (from) the plan (see instructions)	8i	C)				

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ar	t IV Plan Characteristics						
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Char	acteris	tic Co	des in	the instruction	ns:	
h	2E 2G 2J 2K 2T 3D If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	octoric	ic Cod	loc in t	ho instruction	c:	
U	in the plan provides wellare benefits, enter the applicable wellare heature codes from the List of Flan Char	acteris	iic Coc	ies III t	ne msuucion	5.	
art	V Compliance Questions						
)	During the plan year:		Yes	No	An	nount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		Х			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c		X	1		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
ırt	VI Pension Funding Compliance		•				
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))					Yes	X No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of I	ERISA?	Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day.		ui	
b	Enter the minimum required contribution for this plan year		[12b			
С	nter the amount contributed by the employer to the plan for this plan year						
d	subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a						

Part VII Plan Terminations and Transfers of Assets

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? If "Yes," enter the amount of any plan assets that reverted to the employer this year......

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

negative amount)

e Will the minimum funding amount reported on line 12d be met by the funding deadline?.....

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

12d

Yes

N/A

No

No

Yes

Yes X No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	03/20/2011	HSIEN KWANG LIU MD PC
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor