## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	art I Annual Report Identification Information						
For	For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010						
Α	This return/report is for: single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan		
В	This return/report is for: first return/report	final retur	n/report				
	an amended return/report	short plan	year return/report (less than 12 m	onths)			
С	Check box if filing under: Form 5558	automatic	extension		DFVC program		
	special extension (enter description						
Pa	art II Basic Plan Information—enter all requested information	ation					
	Name of plan			1b	Three-digit		
CAR	EHEART CARDIOLOGY PC 401K PROFIT SHARING PLAN				plan number 002		
				4.0	(PN) • 552		
				10	Effective date of plan 12/01/1984		
2a	Plan sponsor's name and address (employer, if for single-employer)	plan)		2b	Employer Identification Number		
CAR	EHEART CARDIOLOGY PC	. ,			(EIN) 20-5036889		
20 G	AUL ROAD			2c	Plan sponsor's telephone number 631-642-1500		
	Γ SETAUKET, NY 11733-0000			2d	Business code (see instructions)		
					541110		
3a	Plan administrator's name and address (if same as Plan sponsor, er EHEART CARDIOLOGY PC 20 GAUL RO	nter "Same	9")	3b	Administrator's EIN 20-5036889		
OAIX	EAST SETAL		11733-0000	30	Administrator's telephone number		
					631-642-1500		
	f the name and/or EIN of the plan sponsor has changed since the las		port filed for this plan, enter the	4b	EIN		
	name, EIN, and the plan number from the last return/report. Sponsor	r's name		4c	PN		
5a	Total number of participants at the beginning of the plan year				6		
b				. 5b	6		
С	Total number of participants with account balances as of the end of			35			
	complete this item)			. 5c	6		
6a			'		Yes No		
b	Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a				X Yes ☐ No		
	If you answered "No" to either 6a or 6b, the plan cannot use Fo		•				
Pa	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year		
а	Total plan assets	7a	14642	73	1694045		
b	Total plan liabilities	7b					
С	Net plan assets (subtract line 7b from line 7a)	7c	14642	/3	1694045		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total		
а	Contributions received or receivable from:  (1) Employers	8a(1)	2410	)1			
	(2) Participants	8a(2)	4470	64			
	(3) Others (including rollovers)	8a(3)					
b	Other income (loss)	8b	1709	19			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			239784		
d	Benefits paid (including direct rollovers and insurance premiums		1000	00			
	to provide benefits)	8d	1000				
e	Certain deemed and/or corrective distributions (see instructions)	8e	,	12			
t ~	Administrative service providers (salaries, fees, commissions)	8f					
g	Other expenses	8g			10012		
h :	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			229772		
 	Net income (loss) (subtract line 8h from line 8c)	8i			223112		
	Transfers to (from) the plan (see instructions)	8j					

	Form 5500-SF 2010 Page <b>2-</b>		_		
ar	t IV Plan Characteristics				
1	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara 2E 2G 2J 2K 2R 3D	cteris	tic Co	des in t	the instructions:
)	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Charac	cterist	ic Cod	les in tl	the instructions:
ırt	t V Compliance Questions				
	During the plan year:		Yes	No	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X	
С	Was the plan covered by a fidelity bond?	10c	X		150000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X	
f	Has the plan failed to provide any benefit when due under the plan?	10f		X	
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X	
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR	10h		X	
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i			
rt	VI Pension Funding Compliance				
	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))				
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	02 of E	ERISA? Yes X No
а	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)  If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver.  Monti	,	and e	nter the	

lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount)				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	·	Yes	No	N/A
Part	t VII Plan Terminations and Transfers of Assets				

## 

**b** Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	<b>13c(2)</b> EIN(s)	<b>13c(3)</b> PN(s)

Yes X No

#### Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	04/08/2011	PENSION FILERS					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

nployee Benefits Security Administration
Pension Benefit Guaranty Corporation

# Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	the colondar plan year 2010 or fixed plan year business	20.00			-
_	the calendar plan year 2010 or fiscal plan year beginning	<del></del>	1/2010 and ending	12	/31/2010
	This return/report is for: x single-employer plan	j multiple-e	employer plan (not multiemployer)	L	one-participant plan
В	This return/report is for: first return/report	final retur	n/report		
	an amended return/report	short plan	year return/report (less than 12 months	s)	
С	Check box if filing under: Form 5558	automatic	extension		DFVC program
	special extension (enter description	1)		_	_
P	art II. Basic Plan Information enter all requested information	rmation.		·	
la	Name of plan			1b -	Three-digit
	CAREHEART CARDIOLOGY PC 401K PROFIT SHARING PL	AN			plan number PN) ▶ 002
			<u></u>		Effective date of plan
_					12/01/1984
a	Plan sponsor's name and address (employer, if for single-employer plans of the state of the stat	an)			mployer Identification Number
	CAREHEART CARDIOLOGY PC		-		EIN) 20-5036889
	20 GAUL ROAD				Plan sponsor's telephone number (631) 642-1500
ıs	EAST SETAUKET NY 11733-0000			2d 1	Business code (see instructions)
la	Plan administrator's name and address (If same as plan employer, ent	er "Same"\		Ţ	541110 Administrator's EIN
	SAME	iei Gairie j		3D /	Ruministrator's EIIN
				2-	
				3C /	Administrator's telephone number
	Kit-				
	If the name and/or EIN of the plan sponsor has changed since the last name, EIN and the plan number from the last return/report. Sponsor's	return/repo Name	ort filed for this plan, enter the	4b E	IN
				4c F	PN
	Total number of participants at the beginning of the plan year			5a	6
b C	Total number of participants at the end of the plan year			<u>5</u> b	6
-	Total number of participants with account balances as of the end of the complete this item)	e plan year	(defined benefit plans do not	5c	6
а	Were all of the plan's assets during the plan year invested in eligible as	ssets? (See	instructions.)		<del>'</del>
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IOPA)					
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and If you answered "No" to either 6a or 6b, the plan cannot use Form	conditions.	)		Yes No
22	till Financial Information		illu must instead use Form 5500.		<del> </del>
	Plan Assets and Liabilities	473	(a) Beginning of Year		457 = 4 -177
а	Total plan assets	7-	<del></del>	$\vdash$	(b) End of Year
b	Total plan liabilities	. 7a	1,464,273	-	1,694,045
c	Not plan assets (subtract line 75 5 15 7-)	. 7b	1 464 070		
<u>~</u>		. 7c	1,464,273	$\vdash$	1,694,045
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:	1 X X X X X	(a) Amount	<del>                                     </del>	(b) Total
-	(1) Employers	. 8a(1)	24,101	l.	
	(2) Participants	. 8a(2)	44,764		
	(3) Others (including rollovers)	. 8a(3)			
)	Other income (loss)	. 8b	170,919	1	
?	Total income(add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c			239,784
ı	Benefits paid (including direct rollovers and insurance premiums				
	to provide benefits)	- 8d	10,000		
-	Certain deemed and/or corrective distributions (see instructions)	8e			
,	Administrative service providers (salaries, fees, commissions)	8f	12		
9	Other expenses	8g			
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		<u> </u>	10,012
	Net income (loss) (subject line 8h from line 8c)	8i			229,772
	Transfers to (from) the plan (see instructions)	. 8j		33 334 334 334 334 334	

		Form 5500-SF 2010		age <b>2-</b>		_				
Pa	rt I\	Plan Characteristics								
9a	If th	e plan provides pension benefits, enter the applicable pension featur	re codes from the List	of Plan Characteris	tic Co	des ir	the in	structions:		
b	If th	2E 2G 2J 2K 2R 3D e plan provides welfare feature	codes from the List of	of Plan Characteristi	ic Cod	les in	the ins	tructions:		
Pa	rt V	Compliance Questions								-
10	D	uring the plan year:				Yes	No	, , , , , , , , , , , , , , , , , , ,	Amount	
ē	a W	as there a failure to transmit to the plan any participant contribution			10a		x			
t	) W	O CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary fere there any nonexempt transactions with any party-in-interest? (Dearly 10a.)	o not include transact	ions reported	10a		х			
(	: W	as the plan covered by a fidelity bond?			10c	х				150,000
c	D	id the plan have a loss, whether or not reimbursed by the plan's fidel dishonesty?	ity bond, that was cau		10d		х		1	
e	in	ere any fees or commisions paid to any brokers, agents, or other pe surance services or other organization that provides some or all of the structions.)	ne benefits under the	plan? (See	10e		x			
f		as the plan failed to provide any benefit when due under the plan?			10f		х			
ç	D	d the plan have any participant loans? (If "Yes," enter amount as of	year end.)		10a		x			
ł	) If 25	this is an individual account plan, was there a blackout period? (See 20.101-3.)	instructions and 29 C	FR	10h		х	: · · · · · · · · · · · · · · · · · · ·		
i	ex	10h was answered "Yes," check the box if you either provided the re ceptions to providing the notice applied under 29 CFR 2520.101-3			<b>1</b> 0i			inesilia.		Sobject :
<u>Ра</u> 11		Pension Funding Compliance								
· · ·		this a defined benefit plan subject to minimum funding requirements							Yes	X No
12		this a defined contribution plan subject to the minimum funding requ "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable		12 of the Code or se	ection	302 o	f ERIS	A?	. Yes	x No
	gr	a waiver of the minimum funding standard for a prior year is being ar anting the waiver		Mon						
k		nter the minimum required contribution for this plan year	-	•		. Г	12b			
C		nter the amount contributed by the employer to the plan for this plan	•			. [	12c			
d		ubtract the amount in line 12c from the amount in line 12b. Enter the agative amount)				. L	12d		_	
	t VI	ill the minimum funding amount reported on line 12d be met by the fu	unding deadline? .					Yes	∐ No	N/A
13a		as a resolution to terminate the plan been adopted during the plan ye 'Yes," enter the amount of any plan assets that reverted to the emplo		• • • • • •	• •	٠,		• • •	. L Yes	X No
b		ere all the plan assets distributed to participants or beneficiaries, trai	<u> </u>				13a			
c	of If	the PBGC?							Yes	X No
	13c(	1) Name of plan(s):				13	c(2) E	N(s)	13c(3)	PN(s)
		-								
		·								<u>.                                    </u>
Caut	ion:	A penalty for the late or incomplete filing of this return/report w	ill be assessed unle	ss reasonable cau	se is (	estab	lished			<del></del>
Jnde SB o	er per r Sch	nalties of perjury and other penalties set forth in the instructions, I de redule MB completed and signed by an enrolled actuary, as well as t true, correct, and complete.	clare that I have exan	nined this return/rep	ort, in	cludin	g, if ap	plicable, a S	Schedule Ige and	
			<u> </u>	5'hug-1	4	<u> </u>	1/60	/br	.,,	
	GN RE	Signature of plan administrator	Date 4/4/11	Enter name of indi	vidual.	signi	/	/	trator	
	3N			5 hug 1			,	Ma	u ato	<u> </u>
	RE	Signature of employer/plan sponsof	Date 4/4/11	Enter name of indi		/			olan snors	<u>—</u>
						- 2	3 200			<u> </u>