

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2009 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2009 or fiscal plan year beginning <u>07/01/2009</u> and ending <u>06/30/2010</u>			
A This return/report is for:	☐ a multiemployer plan;	☐ a multiple-employer plan; or	
	☒ a single-employer plan;	☐ a DFE (specify) ____	
B This return/report is:	☐ the first return/report;	☐ the final return/report;	
	☐ an amended return/report;	☐ a short plan year return/report (less than 12 months).	
C If the plan is a collectively-bargained plan, check here.	☐		
D Check box if filing under:	☒ Form 5558;	☐ automatic extension;	☐ the DFVC program;
	☐ special extension (enter description)		

Part II	Basic Plan Information —enter all requested information		
1a Name of plan <u>HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI</u>	1b Three-digit plan number (PN) ▶	<u>003</u>	
	1c Effective date of plan	<u>04/01/2000</u>	
2a Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.) <u>HAMOT HEALTH FOUNDATION</u> <u>201 STATE STREET</u> <u>ERIE, PA 16550</u>	2b Employer Identification Number (EIN) <u>25-1400999</u>	2c Sponsor's telephone number <u>814-877-6000</u>	2d Business code (see instructions) <u>622000</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	<u>Filed with authorized/valid electronic signature.</u>	<u>04/12/2011</u>	<u>JOSEPH BUTLER</u>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2009)
v.092307.1

3a Plan administrator's name and address (if same as plan sponsor, enter "Same") HAMOT HEALTH FOUNDATION 201 STATE STREET ERIE, PA 16550	3b Administrator's EIN 25-1400999 3c Administrator's telephone number 814-877-6000
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4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
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5 Total number of participants at the beginning of the plan year	5	3391
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6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants.....	6a	4196
b Retired or separated participants receiving benefits.....	6b	8
c Other retired or separated participants entitled to future benefits.....	6c	36
d Subtotal. Add lines 6a , 6b , and 6c	6d	4240
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.....	6e	1
f Total. Add lines 6d and 6e	6f	4241
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).....	6g	4014
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested.....	6h	238

7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	
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8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
 2F 2H 2M 2R 2S 2T

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>5</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)
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SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2009 This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010		
A Name of plan HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI	B Three-digit plan number (PN) ▶	003
C Plan sponsor's name as shown on line 2a of Form 5500. HAMOT HEALTH FOUNDATION	D Employer Identification Number (EIN) 25-1400999	

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
04-1590850	65935	MR 60360	4241	07/01/2009	06/30/2010

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
135000	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
RBC CAPITAL MARKETS CORPORATION 60 S 6TH ST MINNEAPOLIS, MN 55402

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
135000			4

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	
5 Current value of plan's interest under this contract in separate accounts at year end	5	0

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☒ other ▶ **GROUP ANNUITY CONTRACT**

b Balance at the end of the previous year	7b	0
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c Additions: (1) Contributions deposited during the year	7c(1)	294616	
(2) Dividends and credits	7c(2)	0	
(3) Interest credited during the year	7c(3)	451965	
(4) Transferred from separate account	7c(4)	0	
(5) Other (specify below)..... ▶ ROLLOVER, TRANSFERRED ASSETS, EARNINGS, NET FORFS, LOAN REPAYMENT CONTRACT EXCHANGE	7c(5)	23274928	

(6) Total additions	7c(6)	24021509
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d Total of balance and additions (add b and c(6)).	7d	24021509
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e Deductions:

(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	1380777	
(2) Administration charge made by carrier	7e(2)	2910	
(3) Transferred to separate account	7e(3)	0	
(4) Other (specify below)..... ▶	7e(4)	0	

(5) Total deductions	7e(5)	1383687
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f Balance at the end of the current year (subtract e(5) from d)	7f	22637822
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Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div><div>SCHEDULE A</div><div>(Form 5500)</div><div>Department of the Treasury Internal Revenue Service</div><div>Department of Labor Employee Benefits Security Administration</div><div>Pension Benefit Guaranty Corporation</div></div>	<div>Insurance Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div> <div>▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).</div>	<div>OMB No. 1210-0110</div> <div>2009</div> <div>This Form is Open to Public Inspection</div>
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For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010		
<div>A Name of plan</div> <div>HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI</div>	<div>B Three-digit plan number (PN)</div> <div>▶</div> <div>003</div>	
<div>C Plan sponsor's name as shown on line 2a of Form 5500.</div> <div>HAMOT HEALTH FOUNDATION</div>	<div>D Employer Identification Number (EIN)</div> <div>25-1400999</div>	

Part I	<div>Information Concerning Insurance Contract Coverage, Fees, and Commissions</div> <div>Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.</div>
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1 Coverage Information:

<div>(a) Name of insurance carrier</div> <div>VARIABLE ANNUITY LIFE INSURANCE CO</div>
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(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
74-1625348	70238	60772	1	07/01/2009	06/30/2010

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.
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(a) Total amount of commissions paid	(b) Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
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(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 3743**5** Current value of plan's interest under this contract in separate accounts at year end **5****6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier **6b****c** Premiums due but unpaid at the end of the year **6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. **6d**

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☒ deposit administration (2) ☐ immediate participation guarantee(3) ☐ guaranteed investment (4) ☐ other ▶**b** Balance at the end of the previous year **7b** 21950953**c** Additions: (1) Contributions deposited during the year **7c(1)** 223623(2) Dividends and credits **7c(2)** 0(3) Interest credited during the year **7c(3)** 216025(4) Transferred from separate account **7c(4)** 22805(5) Other (specify below) **7c(5)** 0(6) Total additions **7c(6)** 462453**d** Total of balance and additions (add **b** and **c(6)**). **7d** 22413406**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 22302497(2) Administration charge made by carrier **7e(2)** 0(3) Transferred to separate account **7e(3)** 104455(4) Other (specify below) **7e(4)** 2711▶ **CONTRACT SURRENDER CHARGES**(5) Total deductions **7e(5)** 22409663**f** Balance at the end of the current year (subtract **e(5)** from **d**) **7f** 3743

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	
Specify nature of costs ▶		

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2009 This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010		
A Name of plan HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI	B Three-digit plan number (PN) ▶	003
C Plan sponsor's name as shown on line 2a of Form 5500. HAMOT HEALTH FOUNDATION		
D Employer Identification Number (EIN) 25-1400999		

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier METLIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
06-0566090	87726	839244		07/01/2009	06/30/2010

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	218

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid HAIBACH/GARY B/CLU VHA 1849 WEST 8TH STREET ERIE, PA 16505

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
	218	TO PROVIDE ASSET ALLOCATION ADVICE AND REBALANCING SERVICES	3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	1750740
5 Current value of plan's interest under this contract in separate accounts at year end	5	3394330

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☐ other ▶

b Balance at the end of the previous year	7b	1851513
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c Additions: (1) Contributions deposited during the year	7c(1)	70292	
(2) Dividends and credits	7c(2)	0	
(3) Interest credited during the year	7c(3)	63938	
(4) Transferred from separate account	7c(4)	0	
(5) Other (specify below)	7c(5)	0	

(6) Total additions	7c(6)	134230
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d Total of balance and additions (add b and c(6)).	7d	1985743
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e Deductions:

(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	193857	
(2) Administration charge made by carrier	7e(2)	0	
(3) Transferred to separate account	7e(3)	41146	
(4) Other (specify below)	7e(4)	0	

(5) Total deductions	7e(5)	235003
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f Balance at the end of the current year (subtract e(5) from d)	7f	1750740
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Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	
Specify nature of costs ▶		

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2009 This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010		
A Name of plan HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI	B Three-digit plan number (PN) ▶	003
C Plan sponsor's name as shown on line 2a of Form 5500. HAMOT HEALTH FOUNDATION		
D Employer Identification Number (EIN) 25-1400999		

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
ING LIFE INSURANCE AND ANNUITY COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
71-0294708	86509	VE0250	230	07/01/2009	06/30/2010

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
2923	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
SECURITIES AMERICA INC 12325 PORT GRACE BLVD RALSTON, NE 68128

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
2915			4

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid
JACOBS GREGORY 634 ALPHA DR STE 1200 PITTSBURGH, PA 15238

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
8			4

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	1099453
5 Current value of plan's interest under this contract in separate accounts at year end	5	1696820

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☐ other ▶

b Balance at the end of the previous year	7b	1038858
c Additions: (1) Contributions deposited during the year	7c(1)	0
(2) Dividends and credits	7c(2)	0
(3) Interest credited during the year	7c(3)	40792
(4) Transferred from separate account	7c(4)	357491
(5) Other (specify below)	7c(5)	16150
▶		
(6) Total additions	7c(6)	414433
d Total of balance and additions (add b and c(6))	7d	1453291
e Deductions:		
(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	188304
(2) Administration charge made by carrier	7e(2)	0
(3) Transferred to separate account	7e(3)	112176
(4) Other (specify below)	7e(4)	53358
▶		
(5) Total deductions	7e(5)	353838
f Balance at the end of the current year (subtract e(5) from d)	7f	1099453

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	
Specify nature of costs ▶		

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2009 This Form is Open to Public Inspection
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For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010	
A Name of plan HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI	B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500. HAMOT HEALTH FOUNDATION	D Employer Identification Number (EIN) 25-1400999

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier ING LIFE INSURANCE AND ANNUITY COMPANY
--

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
71-0294708	86509	VE0240	2	07/01/2009	06/30/2010

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in item 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid 238	(b) Total amount of fees paid 0
--	---

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
--

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid MARTZ ROBERT 1611 PEACH ST STE 120 ERIE, PA 16501
--

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
238			

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	47265
5 Current value of plan's interest under this contract in separate accounts at year end	5	192143

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☐ other ▶

b Balance at the end of the previous year	7b	45447
c Additions: (1) Contributions deposited during the year	7c(1)	0
(2) Dividends and credits	7c(2)	0
(3) Interest credited during the year	7c(3)	1818
(4) Transferred from separate account	7c(4)	0
(5) Other (specify below)	7c(5)	0
▶		
(6) Total additions	7c(6)	1818
d Total of balance and additions (add b and c(6)).	7d	47265
e Deductions:		
(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	0
(2) Administration charge made by carrier	7e(2)	0
(3) Transferred to separate account	7e(3)	0
(4) Other (specify below)	7e(4)	0
▶		
(5) Total deductions	7e(5)	0
f Balance at the end of the current year (subtract e(5) from d)	7f	47265

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount.	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection.
For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010		
A Name of plan HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI		B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 HAMOT HEALTH FOUNDATION		D Employer Identification Number (EIN) 25-1400999

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MASSACHUSETTS MUTUAL LIFE INS. CO.

04-1590850

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

STATE STREET GLOBAL MARKETS

04-3464336

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MASSACHUSETTS MUTUAL LIFE INS. CO.

04-1590850

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 63 64 72	CONTRACT ADMINISTRATOR	7952	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

AIM

47-0929668

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

ALLIANZ GLOBAL INVESTORS

33-0239892

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

AMERICAN CENTURY

43-1677617

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

AMERICAN FUNDS

95-6810128

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

AMERICAN FUNDS

52-0792143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

AMERICAN FUNDS

95-1411037

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

AMERICAN FUNDS

95-4402570

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

JP MORGAN

13-3963293

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

MANNING & NAPIER

16-0995736

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

NORTHERN FUNDS

20-8294681

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

NORTHERN FUNDS

56-2496727

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 72	INVESTMENT PROVIDER	0	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

VALIC

74-1625348

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26	CONTRACT ADMINISTRATOR	38859	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	72	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PREMIER MONEY MARKET FD BABSON 04-3212059	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	72	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SELECT INDEXED EQTY FD NORTHRN TR 04-3410047	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	72	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SELECT MID CAP GR EQ II FD TROWE 04-3512596	BASIS POINTS * PLAN ASSETS

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
PREMIER HIGH YIELD FUND BABSON 04-3520009	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
SELECT FNDMNTL VAL FD WELLINGTON 04-3584138	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY STRAT ALLOC AGR FD 43-1677617	BASIS POINTS * PLAN ASSETS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY STRAT ALLOC CON FD 43-1677617	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY STRAT ALLOC MOD FD 43-1677617	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AIM TRIMARK SMALL COMPANIES FUND 47-0929668	BASIS POINTS * PLAN ASSETS

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS GRTH FUND OF AMERICA 52-0792143	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY REAL ESTATE FUND 94-3226139	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS CAP INCM BUILDER FND 95-1411037	BASIS POINTS * PLAN ASSETS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FNDS CAP WLD GR AND INC FD 95-4402570	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MASSACHUSETTS MUTUAL LIFE INS. CO.	63	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMER FUNDS EUROPACIFIC GROWTH FUND 95-6810128	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AIM	72	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AIM TRIMARK SMALL COMPANIES FUND 47-0929668	BASIS POINTS * PLAN ASSETS

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ALLIANZ GLOBAL INVESTORS	72	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOTAL RETURN FUND ADMIN PIMCO 33-0239892		BASIS POINTS * PLAN ASSETS
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN CENTURY	72	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY STRAT ALLOC AGR FD 43-1677617		BASIS POINTS * PLAN ASSETS
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN CENTURY	72	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY STRAT ALLOC CON FD 43-1677617		BASIS POINTS * PLAN ASSETS

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN CENTURY	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN CENTURY STRAT ALLOC MOD FD 43-1677617	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN FUNDS	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMER FUNDS EUROPACIFIC GROWTH FUND 95-6810128	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN FUNDS	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS GRTH FUND OF AMERICA 52-0792143	BASIS POINTS * PLAN ASSETS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN FUNDS	72	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDS CAP INCM BUILDER FND 95-1411037	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
AMERICAN FUNDS	72	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FND S CAP WLD GR AND INC FD 95-4402570	BASIS POINTS * PLAN ASSETS

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
JP MORGAN	72	0

(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JP MORGAN MID CAP VALUE FUND 13-3963293	BASIS POINTS * PLAN ASSETS

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MANNING & NAPIER	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MANNING & NAPIER PRO-BLEND CONTRM F 16-0995736	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
NORTHERN FUNDS	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NORTHERN BOND INDEX FUND 20-8294681	BASIS POINTS * PLAN ASSETS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
NORTHERN FUNDS	72	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NORTHERN INTL EQUITY INDEX FUND 56-2496727	BASIS POINTS * PLAN ASSETS	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection

For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010		
A Name of plan HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI	B Three-digit plan number (PN) ►	003
C Plan sponsor's name as shown on line 2a of Form 5500 HAMOT HEALTH FOUNDATION	D Employer Identification Number (EIN) 25-1400999	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	2915159	3514371
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	444427	96332
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	1466383	1279300
(9) Value of interest in common/collective trusts	1c(9)	0	0
(10) Value of interest in pooled separate accounts	1c(10)	0	5091150
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	60011631	69354889
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	24841324	25539023
(15) Other	1c(15)	133810	995689

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)	0	0
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	89812734	105870754

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	89812734	105870754
---	-----------	----------	-----------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	3514371	
(B) Participants	2a(1)(B)	7868880	
(C) Others (including rollovers)	2a(1)(C)	0	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		11383251

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	1717630	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	0	
(F) Other	2b(1)(F)	0	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		1717630

(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)	0	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	0	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		0

(3) Rents	2b(3)		
-----------------	--------------	--	--

(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0

		(a) Amount	(b) Total
2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate.....	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0
(6) Net investment gain (loss) from common/collective trusts	2b(6)		0
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		9492994
c Other income.....	2c		0
d Total income. Add all income amounts in column (b) and enter total.....	2d		22593875

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	6489134	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		6489134
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions).....	2g		0
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	46721	
(3) Investment advisory and management fees	2i(3)	0	
(4) Other	2i(4)	0	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		46721
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		6535855

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		16058020
l Transfers of assets:			
(1) To this plan.....	2l(1)		0
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: PARENTEBEARD, LLC

(2) EIN: 26-2932984

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

- 4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

	Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....		X	
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.).....		X	
e Was this plan covered by a fidelity bond?.....	X		10000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.).....	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.).....	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.).....		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

- 5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If yes, enter the amount of any plan assets that reverted to the employer this year ☐ Yes ☒ No Amount:

- 5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)

5b(2) EIN(s)

5b(3) PN(s)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2009
		This Form is Open to Public Inspection.

For calendar plan year 2009 or fiscal plan year beginning 07/01/2009 and ending 06/30/2010

A Name of plan <u>HAMOT HEALTH FOUNDATION EMPLOYEE TAX-DEFERRED SAVI</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>HAMOT HEALTH FOUNDATION</u>	D Employer Identification Number (EIN) <u>25-1400999</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>04-1590850</u> <u>74-1625348</u> Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?.....	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Schedule R (Form 5500) 2009
v.092308.1

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete items (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

**Hamot Health Foundation
Employee Tax Deferred
Savings Plan**

Financial Statements and
Supplemental Schedule

June 30, 2010 and 2009

Hamot Health Foundation Employee Tax Deferred Savings Plan

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June 30, 2010 and 2009

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Independent Auditors' Report

Board of Directors

Hamot Health Foundation Employee Tax Deferred Savings Plan

We were engaged to audit the accompanying statement of net assets available for benefits of the Hamot Health Foundation Employee Tax Deferred Savings Plan (the "Plan") as of June 30, 2010 and the related statement of changes in net assets available for benefits for the year ended June 30, 2010 and the supplemental Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year) as of June 30, 2010. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information disclosed in Note 9, which was certified by the Mass Mutual Life Insurance Company, AIG Federal Savings Bank, MetLife Insurance Company, and the ING Life Insurance and Annuity Company, the custodians of the Plan, except for comparing such information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the custodians hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained a certification from the custodians as of and for the year ended June 30, 2010, that the information provided to the plan administrator by the custodians is complete and accurate.

Because of the significance of the information in the Plan's 2010 financial statements and supplemental schedule that we did not audit, we are unable to, and do not, express an opinion on the accompanying 2010 financial statements and supplemental schedule taken as a whole. The form and content of the information included in the 2010 financial statements and supplemental schedule, other than that derived from the information certified by the custodians, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

We have compiled the accompanying statement of net assets available for benefits of the Plan as of June 30, 2009 in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. A compilation is limited to presenting in the form of financial statements information that is the representation of management. We have not audited or reviewed the accompanying 2009 statement of net assets available for benefits and, accordingly, do not express an opinion or any other form of assurance on it.



Pittsburgh, Pennsylvania
April 11, 2011

Hamot Health Foundation Employee Tax Deferred Savings Plan

Statement of Net Assets Available for Benefits

June 30, 2010 and 2009

	<u>2010</u>	<u>2009</u> <u>(compiled)</u>
Assets		
Investments, at fair value	\$ 102,356,383	\$ 87,090,966
Employer contribution receivable	<u>3,514,371</u>	<u>2,915,159</u>
Total assets	105,870,754	90,006,125
Liabilities	<u>-</u>	<u>-</u>
Net assets available for benefits at fair value	105,870,754	90,006,125
Adjustment from fair value to contract value for fully benefit responsive investment contract	<u>-</u>	<u>(193,391)</u>
Net assets available for benefits	<u>\$ 105,870,754</u>	<u>\$ 89,812,734</u>

See notes to financial statements

Hamot Health Foundation Employee Tax Deferred Savings Plan

Statement of Changes in Net Assets Available for Benefits

Year Ended June 30, 2010

Additions to Net Assets Attributed to

Investment income:

Net appreciation in fair value of investments	\$ 9,492,994
Interest and dividends	<u>1,717,630</u>
Net investment income	11,210,624

Contributions:

Participants	7,868,880
Employer	<u>3,514,371</u>
Total contributions	<u>11,383,251</u>
Total additions	<u>22,593,875</u>

Deductions from Net Assets Attributed to

Benefits paid to participants	6,489,134
Administrative expenses	<u>46,721</u>
Total deductions	<u>6,535,855</u>
Net increase in net assets available for benefits	16,058,020

Net Assets Available for Benefits

Beginning of year	<u>89,812,734</u>
End of year	<u><u>\$ 105,870,754</u></u>

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements

June 30, 2010 and 2009

1. Description of the Plan

The following description of the Hamot Health Foundation Employee Tax Deferred Savings Plan (the "Plan") provides only general information. Participants should refer to the plan document for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan under Section 403(b) of the Internal Revenue Code ("IRC") covering all non-student employees of Hamot Health Foundation (the "Company"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

The Plan's management evaluated subsequent events for recognition or disclosure through April 11, 2011, the date the financial statements were available to be issued.

Contributions

Each year, participants may contribute up to 100% of pretax annual compensation, as defined by the Plan up to the maximum limits of the Internal Revenue Code ("IRC"). Participants who have attained age 50 before the end of the plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified plans. Participant contributions to the Plan are recorded in the period that payroll deductions are made from participants.

Participants that have attained age 21 and completed one year of service, as defined by the Plan, are eligible to receive a discretionary nonelective Company contribution based on years of service and eligible compensation. For the year ended June 30, 2010, the Company made a discretionary nonelective contribution to participants equal to the following:

<u>Years of Service as of June 30, 2010</u>	<u>Percent of Eligible Compensation Contributed to the Plan by the Company</u>
1 - 5	2.00%
6 - 9	2.50%
10 or more	4.00%

Participants direct the investment of all contributions into various investment options offered by the Plan. Contributions are subject to certain IRC limitations.

Participant Accounts

Each participant's account is credited with the participants' contribution and allocations of (a) the discretionary nonelective Company contribution and (b) Plan earnings and charged with an allocation of administrative expenses, if any. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements

June 30, 2010 and 2009

1. Description of the Plan (Continued)

Vesting

Participants are immediately vested in their voluntary contributions plus actual earnings thereon. Vesting in the Company's discretionary nonelective contribution portion of their accounts is based on years of credited service. Participants become 20% vested after two years of service and increases by 20% per year of additional service until full vesting after six years of service.

Participant Loans

Participants may borrow from their fund accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their vested account balance. The loans are secured by the balance in the participant's account and bear interest at rates that range from 4.25% to 9.25% at June 30, 2010, which are commensurate with local prevailing rates as determined quarterly by the plan administrator. Principal and interest is paid ratably through bi-weekly deductions. Loan terms range from one to five years or greater for the purchase of a primary residence.

Payment of Benefits

On termination of service due to death, disability, retirement, or other reasons, a participant may elect to receive either a lump-sum distribution or a joint and survivor annuity equal to the value of the participant's vested account.

Forfeited Accounts

Forfeited nonvested accounts totaled \$262,040 at June 30, 2010 and \$444,227 at June 30, 2009. These accounts will be used to reduce future Company nonelective discretionary contributions. Company nonelective discretionary contributions were reduced by \$492,868 from forfeited nonvested accounts in the 2010 plan year.

2. Summary of Significant Accounting Policies

Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

Investment contracts held by a defined contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The 2009 statement of net assets available for benefits presents the fair value of the investment contract as well as the adjustment of the fully benefit-responsive investment contract from fair value to contract value. The statement of changes in net assets available for benefits is prepared on a contract value basis. This fully benefit-responsive investment contract was liquidated by the Plan during 2010.

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements

June 30, 2010 and 2009

2. Summary of Significant Accounting Policies (Continued)

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosures of contingent assets and liabilities. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 3 for a discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

Payment of Benefits

Benefits are recorded when paid.

Administration of Plan Assets

The Plan's assets are administered under contracts with Mass Mutual Life Insurance Company ("Mass Mutual"), AIG Federal Savings Bank ("AIG"), MetLife Insurance Company ("MetLife"), and the ING Life Insurance and Annuity Company ("ING"), the custodians of the Plan. The custodians invest funds received from contributions, investment sales, interest, and dividend income and make distribution payments to participants. Certain administrative expenses of maintaining the Plan are paid by the Company.

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements

June 30, 2010 and 2009

2. Summary of Significant Accounting Policies (Continued)

New Accounting Standards

In June 2009, the Financial Accounting Standards Board ("FASB") established the FASB Accounting Standards Codification ("Codification") as the sole source of authoritative accounting principles generally accepted in the United States of America. As a result, all references to accounting literature will conform to the appropriate reference within the Codification. The adoption of the Codification, which became effective during September 2009, did not have a significant effect on the Plan's financial statements.

Effective July 1, 2009, the Plan adopted guidance on accounting for uncertainty in income taxes issued by FASB. This interpretation clarifies the accounting for uncertainty in income taxes recognized in an enterprise's financial statements. The adoption of this guidance had no effect on the Plan's financial statements.

During 2010, the Plan adopted FASB guidance related to using net asset value ("NAV") as a practical expedient to estimate the fair value of certain alternative investments, if NAV is calculated consistent with accounting principles generally accepted in the United States of America for investment companies and sale of the investment at an amount different than NAV is not probable. This guidance also establishes standards regarding the classification and disclosure of the applicable investments. The adoption of this guidance did not have a significant impact on the Plan's financial statements, but enhanced disclosure with respect to these investments.

3. Fair Value Measurements

The Plan measures on a recurring basis its investments at fair value in accordance with FASB guidance, which provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). Valuation techniques need to maximize the use of observable inputs and minimize the use of unobservable inputs. The three levels of fair value hierarchy are described as follows:

Level 1 - Fair value is based on unadjusted quoted prices in active markets that are accessible to the Plan for identical assets. These generally provide the most reliable evidence and are used to measure fair value whenever available.

Level 2 - Fair value is based on significant inputs, other than Level 1 inputs, that are observable either directly or indirectly for substantially the full term of the asset through corroboration with observable market data. Level 2 inputs include quoted market prices in active markets for similar assets, quoted market prices in markets that are not active for identical or similar assets, derived principally from or corroborated by observable market data by correlations or other means, and other observable inputs.

Level 3 - Fair value is based on significant unobservable inputs. Examples of valuation methodologies that would result in Level 3 classification include option pricing models, discounted cash flows, and other similar techniques.

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements
June 30, 2010 and 2009

3. Fair Value Measurements (Continued)

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at June 30, 2010 and 2009:

Money market and mutual funds are valued at the net asset value of shares held by the Plan at year end.

The common collective trust fund is valued based upon the units of the common collective trust fund held by the Plan at year end multiplied by the respective unit value. The unit value is based upon significant observable inputs, although is not based upon quoted market prices in an active market. The common collective trust fund's investment objective is to seek preservation of capital and to provide a competitive level of income over time that is consistent with the preservation of capital. To achieve its investment objective, the common collective trust fund invests primarily in fixed-income securities, bond funds, and cash equivalents represented by shares in a money market fund.

Separately managed accounts consist of money market and mutual funds, and common stock. Money market and mutual funds are valued at the net asset value of shares held by the Plan at year end. Common stocks are valued at the quoted market prices on the last day of business of the year in the active market on which the individual securities are traded.

The guaranteed investment contract and other investments are valued based on unobservable inputs using valuation methodologies to determine fair value to include discounted cash flows based on current yields of similar instruments with comparable durations with consideration of the credit-worthiness of the issuer.

Participant loans are not actively traded and significant other observable inputs are not available. Thus, participant loans are recorded at amortized cost which approximates fair value based on unobservable inputs using valuation methodologies to determine fair value to include discounted cash flows and other similar techniques.

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements

June 30, 2010 and 2009

3. Fair Value Measurements (Continued)

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of June 30, 2010 and 2009:

Assets at Fair Value as of June 30, 2010				
	Level 1	Level 2	Level 3	Total
Mutual funds:				
Growth funds	\$ 23,709,315	\$ -	\$ -	\$ 23,709,315
Balanced funds	19,902,089	-	-	19,902,089
International funds	19,360,043	-	-	19,360,043
Fixed income funds	8,688,153	-	-	8,688,153
Other funds	2,710,230	-	-	2,710,230
Separately managed accounts:				
Mutual funds:				
Growth funds	229,528	-	-	229,528
Balanced funds	115,481	-	-	115,481
Fixed income funds	78,846	-	-	78,846
International funds	17,620	-	-	17,620
Money market fund	268,856	-	-	268,856
Common stock	285,358	-	-	285,358
Money market funds	96,332	-	-	96,332
Common collective trust fund	-	22,801,259	-	22,801,259
Other	-	-	2,813,973	2,813,973
Participant loans	-	-	1,279,300	1,279,300
Total	\$ 75,461,851	\$ 22,801,259	\$ 4,093,273	\$ 102,356,383

Assets at Fair Value as of June 30, 2009 (compiled)				
	Level 1	Level 2	Level 3	Total
Mutual funds:				
Growth funds	\$ 31,904,209	\$ -	\$ -	\$ 31,904,209
Balanced funds	11,414,878	-	-	11,414,878
International funds	7,476,174	-	-	7,476,174
Equity funds	3,349,451	-	-	3,349,451
Other funds	5,305,599	-	-	5,305,599
Money market funds	715,302	-	-	715,302
Guaranteed investment contract	-	-	22,625,462	22,625,462
Other	-	-	2,818,278	2,818,278
Participant loans	-	-	1,481,613	1,481,613
Total	\$ 60,165,613	\$ -	\$ 26,925,353	\$ 87,090,966

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements

June 30, 2010 and 2009

3. Fair Value Measurements (Continued)

The following table sets forth a summary of changes in the fair value of the Plan's Level 3 assets for the year ended June 30, 2010:

	Guaranteed Investment Contract and Other	Participant Loans
Beginning balance	\$ 25,443,740	\$ 1,481,613
Realized gain	220,659	-
Purchases, sales, issuances, and settlements (net)	(22,850,426)	(202,313)
Ending balance	<u>\$ 2,813,973</u>	<u>\$ 1,279,300</u>

Gains and losses (realized and unrealized) included in changes in net assets available for period above are reported in net appreciation in fair value of investments in the statement of changes in net assets available for benefits.

4. Investments

The following presents investments that represent 5% or more of the Plan's net assets available for benefits at June 30, 2010 and 2009:

	2010	2009 (compiled)
Mass Mutual Capital Preservation Fund	\$ 22,801,259	\$ -
Manning & Napier Pro-Blend Conservative Term Series Fund	16,464,940	-
American Funds Capital Income Builder Fund	8,748,353	-
Invesco Small Companies Fund	8,282,218	-
Northern Trust Select Indexed Equity Fund	6,739,552	-
American Funds EuroPacific Growth Fund	5,784,122	-
J.P. Morgan Mid Cap Value Fund	5,420,201	4,459,890
VALIC Fixed Interest Option	-	22,625,462
Alliance Balanced Wealth Strategy Fund I	-	10,191,409
Black Rock Large Cap Core Fund A	-	7,888,631
Fidelity Advisor Small Cap Growth Fund A	-	6,894,380
Alliance Bernstein International Value Fund A	-	5,164,129

During 2010, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value as follows:

Mutual funds	\$ 8,820,370
Common collective trust funds	451,965
Guaranteed investment contract	<u>220,659</u>
Total	<u>\$ 9,492,994</u>

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements

June 30, 2010 and 2009

5. Investment Contract with Insurance Company

The Plan held a fully benefit-responsive guaranteed investment contract with Variable Annuity Life Insurance Company ("VALIC"), a division of AIG. VALIC maintained the contributions in a general account. The account was credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The guaranteed investment contract issuer was contractually obligated to repay the principal and a specified interest rate that was guaranteed to the Plan.

As described in Note 2, because the guaranteed investment contract was fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the guaranteed investment contract. Contract value, as reported to the Plan by VALIC, represented contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may have ordinarily directed the withdrawal or transfer of all or a portion of their investment at contract value.

There were no reserves against contract value for credit risk of the contract issuer or otherwise. The fair value of the investment contract was \$22,625,462 at June 30, 2009. The crediting interest rate was based on a formula agreed upon with the issuer. Such interest rates were reviewed on a quarterly basis for resetting.

Certain events limited the ability of the Plan to transact at contract value with the issuer. Such events included the following: (1) amendments to the plan documents (including complete or partial plan termination or merger with another plan), (2) changes to the Plan's prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the Plan, or (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. The plan administrator did not believe that the occurrence of any such value event, which would have limited the Plan's ability to transact at contract value with participants, was probable.

The guaranteed investment contract did not permit VALIC to terminate the agreement prior to the scheduled maturity date.

Average yields:

	2009 (compiled)
Based on actual earnings	3.72 %
Based on interest rate credited to participants	3.75

Hamot Health Foundation Employee Tax Deferred Savings Plan

Notes to Financial Statements
June 30, 2010 and 2009

6. Related Party Transactions

Certain plan investments are managed by the custodians, and therefore, these transactions qualify as party-in-interest transactions. Additionally, the Plan issues loans to participants, which are secured by the participant's account balances. These transactions qualify as party-in-interest transactions.

Certain administrative functions of the Plan are performed by officers or employees of the Company. No such officer or employee receives compensation from the Plan.

7. Plan Termination

Although it has not expressed any intent to do so, the Company has the right to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants will become 100% vested in their accounts.

8. Tax Status

The Internal Revenue Service currently does not have an approval program in place to issue tax determination letters for defined contribution plans organized under section 403(b) of the IRC. As such, the Company has not received a determination letter from the Internal Revenue Service stating that the Plan and related trust are designed in accordance with the applicable sections of the IRC. However, the plan administrator believes that the Plan has been designed to comply with and is currently being operated in compliance with the applicable requirements of the IRC and, therefore, believes the Plan is qualified and the related trust is exempt from taxation.

9. Information Certified by Custodians

The plan administrator has elected the method of compliance as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA for 2010. Accordingly, Mass Mutual, AIG, MetLife, and ING, the custodians of the Plan, have certified to the completeness and accuracy of all investments reported in the accompanying statement of net assets available for benefits as of June 30, 2010 and the supplemental Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year) as of June 30, 2010, and the related investment activity reported in the statement of changes in net assets available for benefits for the year ended June 30, 2010.

10. Subsequent Event

On February 1, 2011, the Company and the University of Pittsburgh Medical Center ("UPMC") completed an affiliation between the organizations. Under the affiliation, UPMC will provide the Company with a financial investment of \$300 million over 10 years to expand and enhance medical services provided by the Company. There were no changes to the Plan or the Plan's benefits as a result of the affiliation.

Hamot Health Foundation Employee Tax Deferred Savings Plan

Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year)

EIN: 25-1400999 Plan Number: 003

June 30, 2010

(a)	Identity of Issue (b)	Description of Investment (c)	Cost (d)	Current Value (e)
*	Common collective trust	Mass Mutual Capital Preservation Fund	N/R	\$ 22,801,259
	Mutual fund	Manning & Napier Pro-Blend Conservative Term Series Fund	N/R	16,464,940
	Mutual fund	American Funds Capital Income Builder Fund	N/R	8,748,353
	Mutual fund	Invesco Small Companies Fund	N/R	8,282,218
	Mutual fund	Northern Trust Select Indexed Equity Fund	N/R	6,739,552
	Mutual fund	American Funds EuroPacific Growth Fund	N/R	5,784,122
	Mutual fund	J.P. Morgan Mid Cap Value Fund	N/R	5,420,201
	Mutual fund	PIMCO Total Return Fund	N/R	4,865,649
	Mutual fund	Northern International Equity Index Fund	N/R	2,090,836
	Mutual fund	Babson Premier High Yield Fund	N/R	1,875,496
	Mutual fund	American Century Real Estate Fund	N/R	1,874,654
	Mutual fund	American Funds Capital World Growth and Income Fund	N/R	1,811,415
*	Guaranteed annuity contract	MetLife T-Flex Annuity Contract	N/R	1,667,255
	Mutual fund	Northern Bond Index Fund	N/R	1,549,281
	Mutual fund	American Century Strategic Allocation: Moderate Fund	N/R	1,157,516
*	Guaranteed annuity contract	ING Fixed Account	N/R	1,121,358
	Mutual fund	American Century Strategic Allocation: Aggressive Fund	N/R	814,270
	Mutual fund	American Funds Growth Fund of America	N/R	685,603
	Mutual fund	BlackRock Diversified	N/R	567,440
	Mutual fund	Janus Forty Portfolio	N/R	515,376
	Mutual fund	Oppen Global Equity Port	N/R	457,237
	Mutual fund	Wellington Select Fundamental Value Fund	N/R	427,940
*	Mutual fund	ING Growth and Income Portfolio - Class I	N/R	410,283
	Mutual fund	Frontier Select Mid Cap Growth Equity II Fund	N/R	376,393
	Mutual fund	Battmarch Gro and Inc Por	N/R	310,232
	Separately managed account	SSGA Money Market Fund	N/R	268,856
	Mutual fund	Opp Capital Appreciation	N/R	255,710
	Mutual fund	Fidelity VIP Contrafund Portfolio - Initial Class	N/R	233,220
	Mutual fund	Fidelity VIP Eqty Income	N/R	216,889
*	Mutual fund	MetLife Stock Index Port	N/R	168,356
	Mutual fund	Fid VIP Mid Cap Port SC 2	N/R	146,214
	Mutual fund	Templeton Global Bond Fund - Class A	N/R	142,223
*	Mutual fund	ING Intermediate Bond Portfolio - Class I	N/R	139,729
*	Mutual fund	ING Oppenheimer Global Portfolio - Initial Class	N/R	110,287
*	Mutual fund	ING Templeton Foreign Equity Portfolio - Initial Class	N/R	108,765
	Mutual fund	Loomis Sayles Global Mkts	N/R	99,613
*	Mutual fund	ING Legg Mason ClearBridge Aggressive Growth Port - Initial	N/R	94,578
	Separately managed account	Parnassus Equity Income Portfolio	N/R	94,307
	Mutual fund	PIMCO Total Return Port	N/R	90,618
	Mutual fund	T. Rowe Price Small CapGr	N/R	90,618
	Mutual fund	Fixed Rider Fund	N/R	83,485
	Mutual fund	American Funds The Growth Fund of America® - Class R-4	N/R	75,963
	Mutual fund	LggMsn Inv Cns Var Sc Awr	N/R	75,168
	Money market fund	Babson Premier Money Market Fund	N/R	74,779
	Separately managed account	Sprtn Total Market Index Investor Class	N/R	63,295
	Mutual fund	American Century Strategic Allocation: Conservative Fund	N/R	56,167
*	Mutual fund	ING T. Rowe Price Diversified Mid Cap Growth Port - Initial	N/R	55,582
	Separately managed account	Vanguard Intermed Trm Invst Gr Invest	N/R	53,074
*	Mutual fund	ING Artio Foreign Portfolio - Service Class	N/R	49,920
*	Mutual fund	ING Oppenheimer Global Strategic Income Port - Initial Class	N/R	46,641
	Mutual fund	American Funds EuroPacific Growth Fund® - Class R-4	N/R	44,247
	Separately managed account	Vanguard Bond Index Total Market	N/R	41,406
	Mutual fund	Invesco Sm Cap Growth	N/R	38,174
*	Mutual fund	ING Balanced Portfolio - Class I	N/R	37,768
	Separately managed account	Citigroup Inc	N/R	35,720
	Mutual fund	MFS Total Return Por-CI F	N/R	33,530
	Mutual fund	LggMsn ClrBr Var Sm Cp Gr	N/R	33,283
	Mutual fund	T. Ro Pri Lg Cap Gro Port	N/R	29,785
	Separately managed account	Vanguard Fixed Income High Yield Corp	N/R	29,010
	Separately managed account	Oakmark Equity and Income Fund A	N/R	27,945
	Mutual fund	Fidelity VIP Growth Portfolio - Initial Class	N/R	27,211
	Mutual fund	Fidelity High Inc Port IC	N/R	26,866
	Separately managed account	Linn Energy LLC Unit Repstg Ltd	N/R	26,786

See notes to financial statements

Hamot Health Foundation Employee Tax Deferred Savings Plan

Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year)

EIN: 25-1400999 Plan Number: 003

June 30, 2010

(a)	Identity of Issue (b)	Description of Investment (c)	Cost (d)	Current Value (e)
*	Mutual fund	ING T. Rowe Price Growth Equity Portfolio - Initial Class	N/R	26,460
*	Mutual fund	ING Thornburg Value Portfolio - Initial Class	N/R	25,753
	Mutual fund	Lazard Mid Cap Portfolio	N/R	25,414
*	Guaranteed annuity contract	ING Fixed Plus Account	N/R	25,360
	Mutual fund	Oppenheimer Developing Markets Fund - Class A	N/R	25,106
	Mutual fund	Third Ave Sm Cap Val Port	N/R	23,669
	Mutual fund	American Funds Washington Mutual Investors FundSM - R-4	N/R	23,031
	Mutual fund	Russell 2000(R) Index	N/R	22,208
	Mutual fund	Pioneer Fund Portfolio	N/R	21,899
*	Money market fund	ING Money Market Portfolio - Class I	N/R	21,553
	Mutual fund	Fidelity VIP Equity-Income Portfolio - Initial Class	N/R	20,336
	Separately managed account	General Electric Co	N/R	19,467
	Separately managed account	Lazard Emerging Markets Open Class	N/R	19,130
	Separately managed account	Lloyds Banking Group	N/R	18,960
	Separately managed account	Barrick Gold Corp Com Npv	N/R	18,248
	Mutual fund	BlackRock Legacy LgCapGr	N/R	17,243
*	Mutual fund	ING Pioneer Equity Income Portfolio - Institutional Class	N/R	17,166
	Separately managed account	Baidu Inc Spon Adr Restg Ord Shs Cl A	N/R	17,020
	Mutual fund	Fidelity ContraFund - SC2	N/R	16,755
	Mutual fund	LggMsnClrBr Var LgCap Val	N/R	15,520
	Mutual fund	MFS Value Portfolio	N/R	15,285
	Separately managed account	Ing Intl High Divid Equity Income Fund	N/R	14,832
	Mutual fund	West As Mgt U.S. Govt Por	N/R	14,501
*	Mutual fund	ING Global Resources Portfolio - Service Class	N/R	13,688
	Mutual fund	JanusAspenSeries Overseas	N/R	13,271
	Mutual fund	Lgg Msn ClrBrg Var Agg Gr	N/R	12,899
	Separately managed account	Boeing Co	N/R	12,714
*	Mutual fund	ING Index Plus SmallCap Portfolio - Class I	N/R	12,643
*	Mutual fund	ING Index Plus LargeCap Portfolio - Class I	N/R	12,614
	Separately managed account	Apple Inc	N/R	12,577
	Mutual fund	Wanger Select	N/R	12,180
	Mutual fund	Wells Fargo Advantage Special Small Cap Value Fund - Class A	N/R	11,691
	Separately managed account	Verizon Communications	N/R	11,208
	Separately managed account	Matthews Pacific Tiger Fund	N/R	10,763
	Mutual fund	Wanger International	N/R	10,431
	Separately managed account	Vanguard Inflation Protected Secs	N/R	10,378
	Separately managed account	Fairholme Fund	N/R	9,880
*	Mutual fund	ING UBS U.S. Large Cap Equity Portfolio - Initial Class	N/R	9,674
	Separately managed account	Driehaus Emerging Markets	N/R	9,191
	Mutual fund	BlackRock High Yield	N/R	9,123
	Separately managed account	Siemens A G Spon	N/R	8,953
	Separately managed account	Kraft Foods Inc Cl A	N/R	8,400
	Separately managed account	PIMCO Short-term Class D	N/R	7,563
	Separately managed account	Du Pont El De Nemours & Co	N/R	6,959
*	Mutual fund	ING Small Company Portfolio - Class I	N/R	6,911
	Separately managed account	Lilly Eli & Co	N/R	6,800
	Mutual fund	Barclays CapAggBond Index	N/R	6,522
	Separately managed account	Van Eck International Investors Gold Cl A	N/R	6,515
	Separately managed account	Bristol Myers Squibb	N/R	6,235
	Mutual fund	American Funds New Perspective Fund® - Class R-4	N/R	6,231
	Mutual fund	Lord Abbett Series Fund - Mid-Cap Value Port - Cl VC Shares	N/R	6,057
	Separately managed account	Alcoa Inc	N/R	6,041
	Separately managed account	Columbia Marsico 21st Century Cl Z	N/R	5,984
*	Mutual fund	ING Artio Foreign Portfolio - Service Class	N/R	5,739
	Separately managed account	United Parcel Service Inc	N/R	5,731
*	Mutual fund	ING International Value Portfolio - Class I	N/R	5,479
	Mutual fund	Wanger USA	N/R	5,356
*	Mutual fund	ING T. Rowe Price Capital Appreciation Portfolio - Service	N/R	5,287
	Separately managed account	Amgen Inc	N/R	5,260
	Separately managed account	Harbor Bond Inst	N/R	5,205
	Separately managed account	Markel Corp Holding Co	N/R	5,100
	Separately managed account	Altria Group Inc	N/R	5,060
	Separately managed account	Royce Value Fund Service Cl	N/R	5,015

See notes to financial statements

Hamot Health Foundation Employee Tax Deferred Savings Plan

Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year)

EIN: 25-1400999 Plan Number: 003

June 30, 2010

(a)	Identity of Issue (b)	Description of Investment (c)	Cost (d)	Current Value (e)
	Separately managed account	Conocophillips	N/R	5,014
	Separately managed account	Roosevelt Multi-cap	N/R	5,003
	Mutual fund	Lord Abb Gro and Inc Port	N/R	4,970
	Separately managed account	Oakmark International I	N/R	4,966
	Mutual fund	LggMsnClrBr Var Fun Val	N/R	4,547
	Separately managed account	Janus Contrarian Fund Class T	N/R	4,299
	Separately managed account	Morningstar Inc	N/R	4,252
	Separately managed account	T Rowe Price Health Sciences	N/R	4,168
	Separately managed account	BlackRock Energy & Resources A	N/R	4,158
*	Mutual fund	ING Pioneer Mid Cap Value Portfolio - Institutional Class	N/R	4,149
	Mutual fund	Frank Sm-Mid Cap Gro Sec	N/R	4,123
*	Mutual fund	ING Clarion Global Real Estate Portfolio - Institutional	N/R	4,036
	Separately managed account	Berkshire Hathaway Inc Del Cl B	N/R	3,985
	Separately managed account	Oppenheimer Dev Markets Fd Class A	N/R	3,958
*	Mutual fund	ING BlackRock Large Cap Growth Portfolio - Institutional	N/R	3,871
	Separately managed account	Valero Energy Corp	N/R	3,607
	Mutual fund	Pioneer Emerging Markets VCT Portfolio - Class I	N/R	3,576
	Separately managed account	BlackRock Inflation Pro Bond Port Inv A	N/R	3,226
	Mutual fund	Invesco V.I. Capital Appreciation Fund - Series I Shares	N/R	2,893
	Mutual fund	Clarion Gbl Real Estate	N/R	2,886
	Separately managed account	Ivy Global Natural Resources Class A	N/R	2,629
	Separately managed account	China Mobile	N/R	2,471
	Mutual fund	Fidelity VIP Overseas Portfolio - Initial Class	N/R	2,447
	Separately managed account	Capitalsource Inc	N/R	2,380
	Separately managed account	Exelixis Inc	N/R	2,082
	Separately managed account	Infinera Corp	N/R	1,929
	Mutual fund	MFS ResearchInternational	N/R	1,798
*	Mutual fund	ING Wells Fargo Health Care Portfolio - Service Class	N/R	1,728
	Separately managed account	Activision Blizzard Inc Com	N/R	1,593
*	Mutual fund	Met Moder-Aggr Alloc Port	N/R	1,496
	Separately managed account	Denbury Res Inc	N/R	1,464
	Mutual fund	Oppenheimer Main Street Small Cap/VA	N/R	1,411
	Separately managed account	PT Telekomunikasi Indonesia Adr	N/R	1,372
*	Mutual fund	ING Opportunistic LargeCap Portfolio - Class I	N/R	1,355
	Separately managed account	PIMCO All Asset All Authority Fund Cl A	N/R	1,334
	Separately managed account	Banco Latino - Americano De Comercio Exterior	N/R	1,263
	Mutual fund	Templeton Developing Mkts	N/R	1,257
	Mutual fund	Morgan Stnly EAFE(R) Ind	N/R	1,233
	Separately managed account	PIMCO Global Multi-Asset Fund Cl A	N/R	1,219
*	Mutual fund	ING Clarion Real Estate Portfolio - Service Class	N/R	1,215
	Separately managed account	First Eagle Global Class A	N/R	1,215
	Separately managed account	Calamos Convertible Fund Class A	N/R	1,214
	Mutual fund	PIMCO Inflation Prot Bond	N/R	1,189
	Separately managed account	Biondo Growth Fund Investor Class	N/R	1,177
	Separately managed account	Oppenheimer Port Ser Active Alloc Inv A	N/R	1,175
*	Mutual fund	ING Baron Small Cap Growth Portfolio - Service Class	N/R	1,102
	Separately managed account	BlackRock All Cap Energy & Resources A	N/R	1,100
	Separately managed account	American Capital World Growth and Income A	N/R	1,077
	Mutual fund	Franklin Small Cap Value Securities Fund - Class 2	N/R	1,027
	Mutual fund	BlackRock Bnd Inc-Cl A	N/R	955
	Separately managed account	China Green Agriculture Inc Com	N/R	898
	Separately managed account	American Century Small Company Inv	N/R	894
	Separately managed account	Terra Nova Royalty	N/R	833
	Mutual fund	BlackRock Aggressive Gro	N/R	458
	Mutual fund	Calvert VP SRI Balanced Portfolio	N/R	347
	Separately managed account	KHD Humboldt Wedag Intl	N/R	145
*	Participant loans	Interest rates: 4.25% - 9.25% at various maturity dates through 2017		1,279,300
				<u>\$ 102,356,383</u>

* A party-in-interest as defined by ERISA

N/R Participant directed investments, cost not required to be reported

See notes to financial statements

A Name of Plan Hamot Health Foundation Employee Tax-Deferred Savings Plan						B Three-digit Plan Number 003	
C Plan sponsor's name as shown on line 2a of Form 5500 Hamot Health Foundation						D Employee Identification Number 251400999	

Schedule H, line 4j - Schedule of Reportable Transactions

(a) Identity of party involved	(b) Description of asset (include interest rate and maturity in case of a loan)	(c) Purchase price	(d) Selling price	(e) Lease Rental	(f) Expense incurred with transaction	(g) Cost of asset	(h) Current value of asset on transaction date	(i) Net gain or (loss)
	Guaranteed Interest	25,095,171	0		0	0	0	0
AIM	MF-QG	7,756,182	0		0	0	0	0
JP Morgan	MF-QJ	5,339,071	0		0	0	0	0
American F	MF-KR	6,105,137	0		0	0	0	0
	MF-705	9,484,997	0		0	0	0	0
Manning &	MF-XC	12,136,342	0		0	0	0	0
MassMutual	MF-ZX	6,715,038	0		0	0	0	0
Allianz Glob	MF-TZ	4,038,479	0		0	0	0	0

A Name of Plan Hamot Health Foundation Employee Tax-Deferred Savings Plan	B Three-digit plan Number 003
C Plan sponsor's name as shown on line 2a of Form 5500 Hamot Health Foundation	D Employer Identification Number 251400999

Schedule C Formula Description and/or EIC Statement Description

Service Provider Name	Service Provider EIN	Service Provider Address	Formula / EIC Statement
AIM	470929668		AIM received estimated Other Fees of 41 basis points * plan assets with respect to AIM Trimark Small Companies Fund (MF-QG).
AIM	470929668		MassMutual provided the Plan with the required written disclosure for Management Fees received by AIM with respect to AIM Trimark Small Companies Fund (MF-QG).
Allianz Global Investors	330239892		Allianz Global Investors received estimated Other Fees of 18 basis points * plan assets with respect to Total Return Fund Admin (PIMCO) (MF-TZ).
Allianz Global Investors	330239892		MassMutual provided the Plan with the required written disclosure for Management Fees received by Allianz Global Investors with respect to Total Return Fund Admin (PIMCO) (MF-TZ).
American Century	431677617		American Century received estimated Other Fees of 1 basis points * plan assets with respect to American Century Strat Alloc Agr Fd (MF-746).
American Century	431677617		American Century received estimated Other Fees of 1 basis points * plan assets with respect to American Century Strat Alloc Con Fd (MF-744).
American Century	431677617		American Century received estimated Other Fees of 1 basis points * plan assets with respect to American Century Strat Alloc Mod Fd (MF-745).
American Century	431677617		MassMutual provided the Plan with the required written disclosure for Management Fees received by American Century with respect to American Century Strat Alloc Agr Fd (MF-746).
American Century	431677617		MassMutual provided the Plan with the required written disclosure for Management Fees received by American Century with respect to American Century Strat Alloc Con Fd (MF-744).
American Century	431677617		MassMutual provided the Plan with the required written disclosure for Management Fees received by American Century with respect to American Century Strat Alloc Mod Fd (MF-745).
American Century	943226139		MassMutual provided the Plan with the required written disclosure for Management Fees received by American Century with respect to American Century Real Estate Fund (MF-GR).
American Funds	520792143		American Funds received estimated Other Fees of 17 basis points * plan assets with respect to American Funds Grth Fund of America (MF-GW).
American Funds	520792143		MassMutual provided the Plan with the required written disclosure for Management Fees received by American Funds with respect to American Funds Grth Fund of America (MF-GW).
American Funds	951411037		American Funds received estimated Other Fees of 18 basis points * plan assets with respect to American Funds Cap Incm Builder Fnd (MF-705).
American Funds	951411037		MassMutual provided the Plan with the required written disclosure for Management Fees received by American Funds with respect to American Funds Cap Incm Builder Fnd (MF-705).
American Funds	954402570		American Funds received estimated Other Fees of 13 basis points * plan assets with respect to American Fnds Cap Wld Gr and Inc Fd (MF-QM).
American Funds	954402570		MassMutual provided the Plan with the required written disclosure for Management Fees received by American Funds with respect to American Fnds Cap Wld Gr and Inc Fd (MF-QM).
American Funds	956810128		American Funds received estimated Other Fees of 17 basis points * plan assets with respect to Amer Funds EuroPacific Growth Fund (MF-KR).

American Funds	956810128	MassMutual provided the Plan with the required written disclosure for Management Fees received by American Funds with respect to Amer Funds EuroPacific Growth Fund (MF-KR).
JP Morgan	133963293	JP Morgan received estimated Other Fees of 53 basis points * plan assets with respect to JP Morgan Mid Cap Value Fund (MF-QJ).
JP Morgan	133963293	MassMutual provided the Plan with the required written disclosure for Management Fees received by JP Morgan with respect to JP Morgan Mid Cap Value Fund (MF-QJ).
Manning & Napier	160995736	Manning & Napier received estimated Other Fees of 38 basis points * plan assets with respect to Manning&Napier Pro-Blend ConTrm Fd (MF-XC).
Manning & Napier	160995736	MassMutual provided the Plan with the required written disclosure for Management Fees received by Manning & Napier with respect to Manning&Napier Pro-Blend ConTrm Fd (MF-XC).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for 12b-1 Fees received by MassMutual with respect to AIM Trimark Small Companies Fund (MF-QG).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for 12b-1 Fees received by MassMutual with respect to Amer Funds EuroPacific Growth Fund (MF-KR).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for 12b-1 Fees received by MassMutual with respect to American Funds Cap Incm Builder Fnd (MF-705).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for 12b-1 Fees received by MassMutual with respect to American Funds Grth Fund of America (MF-GW).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for 12b-1 Fees received by MassMutual with respect to JP Morgan Mid Cap Value Fund (MF-QJ).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for 12b-1 Fees received by MassMutual with respect to Total Return Fund Admin (PIMCO) (MF-TZ).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for float revenue received by MassMutual.
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for Management Fees received by MassMutual with respect to Capital Preservation.
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for Management Fees received by MassMutual with respect to Premier High Yield Fund (Babson) (MF-MH).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for Management Fees received by MassMutual with respect to Premier Money Market Fd (Babson) (MF-G).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for Management Fees received by MassMutual with respect to Select Fndmntl Val Fd (Wellington) (MF-SK).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for Management Fees received by MassMutual with respect to Select Indexed Eqty Fd (Northrn Tr) (MF-ZX).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual provided the Plan with the required written disclosure for Management Fees received by MassMutual with respect to Select Mid Cap Gr Eq II Fd (Trowe) (MF-WF).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Administrative Fees of 12 basis points * plan assets with respect to Select Fndmntl Val Fd (Wellington) (MF-SK).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Administrative Fees of 14 basis points * plan assets with respect to Premier High Yield Fund (Babson) (MF-MH).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Administrative Fees of 3 basis points * plan assets with respect to Premier Money Market Fd (Babson) (MF-G).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Administrative Fees of 8 basis points * plan assets with respect to Select Mid Cap Gr Eq II Fd (Trowe) (MF-WF).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Administrative Fees of 9 basis points * plan assets with respect to Select Indexed Eqty Fd (Northrn Tr) (MF-ZX).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 10 basis points * plan assets with respect to Amer Funds EuroPacific Growth Fund (MF-KR).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 10 basis points * plan assets with respect to American Funds Cap Incm Builder Fnd (MF-705).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 10 basis points * plan assets with respect to American Funds Grth Fund of America (MF-GW).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 25 basis points * plan assets with respect to AIM Trimark Small Companies Fund (MF-QG).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 25 basis points * plan assets with respect

Company		to American Century Strat Alloc Agr Fd (MF-746).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 25 basis points * plan assets with respect to American Century Strat Alloc Con Fd (MF-744).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 25 basis points * plan assets with respect to American Century Strat Alloc Mod Fd (MF-745).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 30 basis points * plan assets with respect to American Century Real Estate Fund (MF-GR).
Massachusetts Mutual Life Insurance Company	041590850	MassMutual received estimated Sub TA Fees of 5 basis points * plan assets with respect to American Fnds Cap Wld Gr and Inc Fd (MF-QM).
Northern Funds	208294681	MassMutual provided the Plan with the required written disclosure for Management Fees received by Northern Funds with respect to Northern Bond Index Fund (MF-QW).
Northern Funds	208294681	Northern Funds received estimated Other Fees of 29 basis points * plan assets with respect to Northern Bond Index Fund (MF-QW).
Northern Funds	562496727	MassMutual provided the Plan with the required written disclosure for Management Fees received by Northern Funds with respect to Northern Intl Equity Index Fund (MF-QA).
Northern Funds	562496727	Northern Funds received estimated Other Fees of 37 basis points * plan assets with respect to Northern Intl Equity Index Fund (MF-QA).
State Street Global Markets	043464336	State Street Global Markets provided the Plan with the required written disclosure for 12b-1 Fees received by State Street Global Markets with respect to the SDBA.
State Street Global Markets	043464336	State Street Global Markets provided the Plan with the required written disclosure for commissions received by State Street Global Markets with respect to the SDBA.

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Aberdeen China Opportunities A	GOPAX	0.25	0.00	0.25
Aberdeen China Opportunities I/S	GOPSX	0.00	0.00	0.00
Aberdeen China Opportunities Inst	GOPIX	0.00	0.00	0.00
Aberdeen China Opportunities R	GOPRX	0.50	0.00	0.50
Aberdeen Developing Markets A	GEGAX	0.25	0.00	0.25
Aberdeen Developing Markets R	GEMRX	0.50	0.00	0.50
Aberdeen Equity Long-Short A	MLSAX	0.25	0.00	0.25
Aberdeen Equity Long-Short Inst	GGUIX	0.00	0.00	0.00
Aberdeen Equity Long-Short R	GLSRX	0.50	0.00	0.50
Aberdeen Global Financial Services A	GLFAX	0.25	0.00	0.25
Aberdeen Global Financial Services I/S	GFISX	0.00	0.00	0.00
Aberdeen Global Financial Services Inst	GLFIX	0.00	0.00	0.00
Aberdeen Global Financial Services R	GLFRX	0.50	0.00	0.50
Aberdeen Global Fixed Income A	CUGAX	0.25	0.25	0.50
Aberdeen Global Fixed Income Instl Svc	CGFIX	0.25	0.15	0.40
Aberdeen Global Small Cap A	WVCCX	0.25	0.15	0.40
Aberdeen Global Small Cap R	WPVAX	0.25	0.50	0.75
Aberdeen Global Utilities A	GGUAX	0.25	0.00	0.25
Aberdeen Global Utilities Inst	GLUIX	0.00	0.00	0.00
Aberdeen Global Utilities R	GLURX	0.50	0.00	0.50
Aberdeen Health Sciences A	GLSAX	0.25	0.00	0.25
Aberdeen Health Sciences I/S	GLSIX	0.00	0.00	0.00
Aberdeen Health Sciences Inst	GGHIX	0.00	0.00	0.00
Aberdeen Health Sciences R	GGHRX	0.50	0.00	0.50
Aberdeen International Equity Instl	WPIEX	0.00	0.00	0.00
Aberdeen Intl Equity A	GIGAX	0.25	0.00	0.25
Aberdeen Intl Equity I/S	GIGSX	0.00	0.00	0.00
Aberdeen Intl Equity Inst	GIGIX	0.00	0.00	0.00
Aberdeen Intl Equity R	GIRRX	0.50	0.00	0.50
Aberdeen Natural Resources A	GGNAX	0.25	0.00	0.25
Aberdeen Natural Resources I	GGNIX	0.00	0.00	0.00
Aberdeen Natural Resources IS	GGNSX	0.00	0.00	0.00
Aberdeen Natural Resources R	GGNRX	0.50	0.00	0.50
Aberdeen Optimal Allocations Def A	GODAX	0.25	0.00	0.25
Aberdeen Optimal Allocations Def I	GODIX	0.00	0.00	0.00
Aberdeen Optimal Allocations Growth A	GVAAX	0.25	0.00	0.25
Aberdeen Optimal Allocations Growth R	GAARX	0.50	0.00	0.50
Aberdeen Optimal Allocations Mod A	GMAAX	0.25	0.00	0.25
Aberdeen Optimal Allocations Mod Gr A	GMMAX	0.25	0.00	0.25
Aberdeen Optimal Allocations Mod Gr Inst	GMMIX	0.00	0.00	0.00
Aberdeen Optimal Allocations Mod Gr R	GAGRX	0.50	0.00	0.50
Aberdeen Optimal Allocations Mod I	GMAIX	0.00	0.00	0.00
Aberdeen Optimal Allocations Mod R	GMRRX	0.50	0.00	0.50
Aberdeen Optimal Allocations Special A	GASAX	0.25	0.00	0.25
Aberdeen Optimal Allocations Special I	GASIX	0.00	0.00	0.00
Aberdeen Optimal Allocations Special R	GASRX	0.50	0.00	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Aberdeen Select Worldwide A	GLLAX	0.25	0.00	0.25
Aberdeen Select Worldwide Inst	GWLIX	0.00	0.00	0.00
Aberdeen Select Worldwide R	GWLRX	0.50	0.00	0.50
Aberdeen Small Cap A	GSXAX	0.25	0.00	0.25
Aberdeen Small Cap I/S	GSXIX	0.00	0.00	0.00
Aberdeen Small Cap Inst	GSCIX	0.00	0.00	0.00
Aberdeen Small Cap R	GNSRX	0.50	0.00	0.50
Aberdeen Tax-Free Income A	NTFAX	0.25	0.00	0.25
Aberdeen Tax-Free Income D	NATFX	0.00	0.00	0.00
Aberdeen Tech & Communications A	GAGTX	0.25	0.00	0.25
Aberdeen Tech & Communications Instl	GGTIX	0.00	0.00	0.00
Aberdeen US Equity A	GXXAX	0.25	0.00	0.25
Aberdeen US Equity Inst	GGLIX	0.00	0.00	0.00
Aberdeen US Equity R	GGLRX	0.50	0.00	0.50
AIM Asia Pacific Growth A	ASIAx	0.25	0.20	0.45
AIM Balanced-Risk 2010 A	INJAX	0.25	0.20	0.45
AIM Balanced-Risk 2010 Institutional	INJIX	0.00	0.35	0.35
AIM Balanced-Risk 2010 R	INJRX	0.50	0.20	0.70
AIM Balanced-Risk 2020 A	AFTAX	0.25	0.20	0.45
AIM Balanced-Risk 2020 Institutional	AFTSX	0.00	0.35	0.35
AIM Balanced-Risk 2030 A	TNAAX	0.25	0.20	0.45
AIM Balanced-Risk 2030 Institutional	TNAIX	0.00	0.35	0.35
AIM Balanced-Risk 2030 R	TNARX	0.50	0.20	0.70
AIM Balanced-Risk 2040 A	TNDAX	0.25	0.20	0.45
AIM Balanced-Risk 2040 Institutional	TNDIX	0.00	0.35	0.35
AIM Balanced-Risk 2040 R	TNDRX	0.50	0.20	0.70
AIM Balanced-Risk 2050 A	TNEAX	0.25	0.20	0.45
AIM Balanced-Risk 2050 R	TNERX	0.50	0.20	0.70
AIM Balanced-Risk Now A	IANAX	0.25	0.20	0.45
AIM Balanced-Risk Now Institutional	IANIX	0.00	0.35	0.35
AIM Balanced-Risk Now R	IANRX	0.50	0.20	0.70
AIM Basic Balanced A	BBLAX	0.25	0.20	0.45
AIM Basic Balanced Inst	BBLIX	0.00	0.35	0.35
AIM Basic Balanced Inv	BBLTX	0.25	0.15	0.40
AIM Basic Balanced R	BBLRX	0.50	0.20	0.70
AIM Basic Value A	GTVLX	0.25	0.20	0.45
AIM Basic Value I	GTVVX	0.00	0.35	0.35
AIM Basic Value R	GTVRX	0.50	0.20	0.70
AIM Capital Development A	ACDAX	0.25	0.20	0.45
AIM Capital Development I	ACDVX	0.00	0.35	0.35
AIM Capital Development Inv	ACDIX	0.25	0.15	0.40
AIM Capital Development R	ACDRX	0.50	0.20	0.70
AIM Charter A	CHTRX	0.25	0.20	0.45
AIM Charter I	CHTVX	0.00	0.35	0.35
AIM Charter R	CHRRX	0.50	0.20	0.70
AIM China A	AACFX	0.25	0.20	0.45

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AIM China I	IACFX	0.00	0.35	0.35
AIM Conservative Allocation A	ACNAX	0.25	0.20	0.45
AIM Conservative Allocation Inst	ACNIX	0.00	0.35	0.35
AIM Conservative Allocation R	ACNRX	0.50	0.20	0.70
AIM Constellation A	CSTGX	0.25	0.20	0.45
AIM Constellation I	CSITX	0.00	0.35	0.35
AIM Constellation R	CSTRX	0.50	0.20	0.70
AIM Core Bond A	TBRAX	0.25	0.20	0.45
AIM Core Bond Inst	TBRIX	0.00	0.35	0.35
AIM Core Bond R	TBRRX	0.50	0.20	0.70
AIM Developing Markets A	GTDDX	0.25	0.20	0.45
AIM Developing Markets Instl	GTDIX	0.00	0.35	0.35
AIM Diversified Dividend A	LCEAX	0.25	0.20	0.45
AIM Diversified Dividend Instl	DDFIX	0.00	0.35	0.35
AIM Diversified Dividend Inv	LCEIX	0.16	0.15	0.31
AIM Dynamics A	IDYAX	0.25	0.20	0.45
AIM Dynamics Instl	IDICX	0.00	0.20	0.20
AIM Dynamics Inv	FIDYX	0.25	0.15	0.40
AIM Energy A	IENAX	0.25	0.20	0.45
AIM Energy Institutional	IENIX	0.00	0.35	0.35
AIM Energy Inv	FSTEX	0.25	0.15	0.40
AIM European Growth A	AEDAX	0.25	0.20	0.45
AIM European Growth Inv	EGINX	0.25	0.15	0.40
AIM European Growth R	AEDRX	0.50	0.20	0.70
AIM European Small Company A	ESMAX	0.25	0.20	0.45
AIM Financial Services A	IFSAX	0.25	0.20	0.45
AIM Financial Services Inv	FSFSX	0.25	0.15	0.40
AIM Floating Rate A	AFRAX	0.25	0.20	0.45
AIM Floating Rate I	AFRIX	0.00	0.35	0.35
AIM Floating Rate R	AFRRX	0.50	0.20	0.70
AIM Global Core Equity A	AWSAX	0.25	0.20	0.45
AIM Global Core Equity Instl	AWSIX	0.00	0.35	0.35
AIM Global Equity A	GTNDX	0.25	0.20	0.45
AIM Global Equity Inst	GNDIX	0.00	0.35	0.35
AIM Global Equity R	GTNRX	0.50	0.20	0.70
AIM Global Growth A	AGGAX	0.25	0.20	0.45
AIM Global Growth Institutional	GGAIX	0.00	0.35	0.35
AIM Global Health Care A	GGHCX	0.25	0.20	0.45
AIM Global Health Care Inv	GTHIX	0.25	0.15	0.40
AIM Global Real Estate A	AGREX	0.25	0.20	0.45
AIM Global Real Estate Instl	IGREX	0.00	0.20	0.20
AIM Global Real Estate R	RGREX	0.50	0.20	0.70
AIM Global Small & Mid Cap Growth A	AGAAX	0.25	0.20	0.45
AIM Global Small & Mid Cap Growth Instl	GAIIX	0.00	0.35	0.35
AIM Gold & Precious Metals A	IGDAX	0.25	0.20	0.45
AIM Gold & Precious Metals Inv	FGLDX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AIM Growth Allocation A	AADAX	0.25	0.20	0.45
AIM Growth Allocation I	AADIX	0.00	0.35	0.35
AIM Growth Allocation R	AADRX	0.50	0.20	0.70
AIM High Yield A	AMHYX	0.25	0.20	0.45
AIM High Yield Inst	AHIYX	0.00	0.35	0.35
AIM High Yield Inv	HYINX	0.24	0.15	0.39
AIM Income A	AMIFX	0.25	0.20	0.45
AIM Income Allocation Instl	ILAAX	0.00	0.35	0.35
AIM Income Instl	AIIRX	0.00	0.35	0.35
AIM Income Inv	AIIVX	0.25	0.15	0.40
AIM Income R	AMIRX	0.50	0.20	0.70
AIM Independence 2050 Institutional	TNEIX	0.00	0.35	0.35
AIM International Allocation A	AINAX	0.25	0.20	0.45
AIM International Allocation Instl	INAIX	0.00	0.35	0.35
AIM International Allocation R	RINAX	0.50	0.20	0.70
AIM International Growth A	AIEX	0.25	0.20	0.45
AIM International Growth I	AIEVX	0.00	0.35	0.35
AIM International Growth R	AIERX	0.50	0.20	0.70
AIM International Small Company A	IEGAX	0.25	0.20	0.45
AIM International Small Company Instl	IEGIX	0.00	0.20	0.20
AIM International Total Return A	AUBAX	0.25	0.20	0.45
AIM International Total Return I	AUBIX	0.00	0.35	0.35
AIM Intl Core Equity A	IBVAX	0.25	0.20	0.45
AIM Intl Core Equity Inst	IBVIX	0.00	0.35	0.35
AIM Intl Core Equity Inv	IIBCX	0.25	0.15	0.40
AIM Intl Core Equity R	IIBRX	0.50	0.20	0.70
AIM Japan A	AJFAX	0.25	0.20	0.45
AIM Japan I	AJFIX	0.00	0.35	0.35
AIM Large Cap Basic Value A	LCBAX	0.25	0.20	0.45
AIM Large Cap Basic Value Inst	LCBIX	0.00	0.35	0.35
AIM Large Cap Basic Value Inv	LCINX	0.25	0.15	0.40
AIM Large Cap Basic Value R	LCBRX	0.50	0.20	0.70
AIM Large Cap Growth A	LCGAX	0.25	0.20	0.45
AIM Large Cap Growth Inst	LCIGX	0.00	0.35	0.35
AIM Large Cap Growth Inv	LCGIX	0.16	0.15	0.31
AIM Large Cap Growth R	LCRGX	0.50	0.20	0.70
AIM Leisure A	ILSAX	0.25	0.20	0.45
AIM Leisure Inv	FLISX	0.25	0.15	0.40
AIM Leisure R	ILSRX	0.50	0.20	0.70
AIM LIBOR Alpha A	EASBX	0.25	0.20	0.45
AIM LIBOR Alpha I	IAESX	0.00	0.35	0.35
AIM LIBOR Alpha R	RESBX	0.50	0.20	0.70
AIM Limited Maturity Treasury A	SHTIX	0.15	0.20	0.35
AIM Mid Cap Basic Value A	MDCAX	0.25	0.20	0.45
AIM Mid Cap Basic Value Inst	MDICX	0.00	0.35	0.35
AIM Mid Cap Basic Value R	MDCRX	0.50	0.20	0.70

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AIM Mid Cap Core Equity A	GTAGX	0.25	0.20	0.45
AIM Mid Cap Core Equity I	GTAVX	0.00	0.35	0.35
AIM Mid Cap Core Equity R	GTARX	0.50	0.20	0.70
AIM Moderate Allocation A	AMKAX	0.25	0.20	0.45
AIM Moderate Allocation Instl	AMLIX	0.00	0.35	0.35
AIM Moderate Allocation R	AMKRX	0.50	0.20	0.70
AIM Moderate Growth Allocation A	AAMGX	0.25	0.20	0.45
AIM Moderate Growth Allocation Instl	AIMGX	0.00	0.35	0.35
AIM Moderate Growth Allocation R	RAMGX	0.50	0.20	0.70
AIM Moderately Conservative Allc A	CAAMX	0.25	0.20	0.45
AIM Moderately Conservative Allc Instl	CMAIX	0.00	0.35	0.35
AIM Moderately Conservative Allc R	CMARX	0.50	0.20	0.70
AIM Multi-Sector A	IAMSX	0.25	0.20	0.45
AIM Multi-Sector Inst	IIMSX	0.00	0.35	0.35
AIM Real Estate A	IARAX	0.25	0.20	0.45
AIM Real Estate Inst	IARIX	0.00	0.20	0.20
AIM Real Estate Inv	REINX	0.25	0.15	0.40
AIM Real Estate R	IARRX	0.50	0.20	0.70
AIM Select Equity A	AGWFX	0.25	0.20	0.45
AIM Select Real Estate Income A	ASRAX	0.25	0.20	0.45
AIM Select Real Estate Income Instl	ASRIX	0.00	0.20	0.20
AIM Short Term Bond A	STBAX	0.25	0.15	0.40
AIM Short Term Bond Inst	ISTBX	0.00	0.35	0.35
AIM Short Term Bond R	STBRX	0.50	0.20	0.70
AIM Small Cap Equity A	SMEAX	0.25	0.20	0.45
AIM Small Cap Equity C	SMECX	1.00	0.00	1.00
AIM Small Cap Equity Instl	SMEIX	0.00	0.35	0.35
AIM Small Cap Equity R	SMERX	0.50	0.20	0.70
AIM Small Cap Growth A	GTSAX	0.25	0.20	0.45
AIM Small Cap Growth I	GTSVX	0.00	0.20	0.20
AIM Small Cap Growth Inv	GTSIX	0.25	0.15	0.40
AIM Small Cap Growth R	GTSRX	0.50	0.20	0.70
AIM Structured Core A	SCAUX	0.25	0.20	0.45
AIM Structured Core I	SCIUX	0.00	0.35	0.35
AIM Structured Core R	SCRUX	0.50	0.20	0.70
AIM Structured Growth A	AASGX	0.25	0.20	0.45
AIM Structured Growth I	IASGX	0.00	0.35	0.35
AIM Structured Growth R	RASGX	0.50	0.20	0.70
AIM Structured Value A	ASAVX	0.25	0.20	0.45
AIM Structured Value I	ASIVX	0.00	0.35	0.35
AIM Structured Value R	ASRVX	0.50	0.20	0.70
AIM Summit P	SMMIX	0.10	0.00	0.10
AIM Technology A	ITYAX	0.25	0.20	0.45
AIM Technology Instl	FTPIX	0.00	0.35	0.35
AIM Technology Inv	FTCHX	0.22	0.15	0.37
AIM Trimark A	ATKAX	0.25	0.20	0.45

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AIM Trimark Endeavor A	ATDAX	0.25	0.20	0.45
AIM Trimark Endeavor Inst	ATDIX	0.00	0.35	0.35
AIM Trimark Endeavor R	ATDRX	0.50	0.20	0.70
AIM Trimark Inst	ATKIX	0.00	0.35	0.35
AIM Trimark R	ATKRX	0.50	0.20	0.70
AIM Trimark Small Companies A	ATIAX	0.25	0.20	0.45
AIM Trimark Small Companies Inst	ATIIX	0.00	0.35	0.35
AIM Trimark Small Companies R	ATIRX	0.50	0.20	0.70
AIM US Government A	AGOVX	0.25	0.20	0.45
AIM US Government Instl	AGOIX	0.00	0.35	0.35
AIM US Government Inv	AGIVX	0.21	0.15	0.36
AIM US Government R	AGVRX	0.50	0.20	0.70
AIM Utilities A	IAUTX	0.25	0.20	0.45
AIM Utilities Instl	FSIUX	0.00	0.35	0.35
AIM Utilities Inv	FSTUX	0.25	0.15	0.40
Alger Balanced A	ALBAX	0.25	0.25	0.50
Alger Balanced C	ALBCX	0.75	0.25	1.00
Alger Capital Appreciation A	ACAAX	0.25	0.25	0.50
Alger Capital Appreciation Instl I	ALARX	0.25	0.25	0.50
Alger Health Sciences A	AHSAX	0.25	0.25	0.50
Alger Large Cap Growth A	ALGAX	0.25	0.25	0.50
Alger Large Cap Growth Institutional I	ALGRX	0.25	0.25	0.50
Alger MidCap Growth A	AMGAX	0.25	0.25	0.50
Alger MidCap Growth Institutional I	ALMRX	0.25	0.25	0.50
Alger SmallCap Growth A	ALSAX	0.25	0.25	0.50
Alger SmallCap Growth Institutional I	ALSRX	0.25	0.25	0.50
Alger SMidCap Growth A	ALMAX	0.25	0.25	0.50
Allegiant Balanced Allocation A	ABLLX	0.00	0.25	0.25
Allegiant Balanced Allocation I	ABAIX	0.00	0.00	0.00
Allegiant Bond A	AIGRX	0.00	0.25	0.25
Allegiant Bond I	AIGIX	0.00	0.00	0.00
Allegiant Government Mortgage A	ARSAX	0.00	0.25	0.25
Allegiant Government Mortgage I	AUSIX	0.00	0.00	0.00
Allegiant Intermediate Bond A	AFIRX	0.00	0.25	0.25
Allegiant Intermediate Bond I	AFIIX	0.00	0.00	0.00
Allegiant Intermediate Tax-Exempt A	ANTEX	0.00	0.25	0.25
Allegiant Intermediate Tax-Exempt I	ANTIX	0.00	0.00	0.00
Allegiant International Equity A	AMIEX	0.00	0.40	0.40
Allegiant International Equity I	AIEIX	0.00	0.15	0.15
Allegiant Large Cap Core Equity A	ACQAX	0.00	0.40	0.40
Allegiant Large Cap Core Equity I	ACFIX	0.00	0.15	0.15
Allegiant Large Cap Growth A	AEQRX	0.00	0.40	0.40
Allegiant Large Cap Growth I	AEQIX	0.00	0.15	0.15
Allegiant Large Cap Value A	AEIRX	0.00	0.40	0.40
Allegiant Large Cap Value I	AEIIX	0.00	0.15	0.15
Allegiant Limited Maturity Bond A	AINRX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Allegiant Limited Maturity Bond I	AINIX	0.00	0.00	0.00
Allegiant Mid Cap Value A	ARVAX	0.00	0.40	0.40
Allegiant Mid Cap Value I	ARVIX	0.00	0.15	0.15
Allegiant Multi-Factor Small Cap Core A	ALOAX	0.00	0.25	0.25
Allegiant Multi-Factor Small Cap Core I	ALOIX	0.00	0.00	0.00
Allegiant Multi-Factor Small Cap Gr A	ALWAX	0.00	0.25	0.25
Allegiant Multi-Factor Small Cap Gr I	ALWIX	0.00	0.00	0.00
Allegiant Multi-Factor Small Cap Value A	AMRRX	0.00	0.25	0.25
Allegiant Multi-Factor Small Cap Value I	AMRIX	0.00	0.00	0.00
Allegiant OH Intermediate Tax-Exempt A	AOHRX	0.00	0.25	0.25
Allegiant OH Intermediate Tax-Exempt I	AOHIX	0.00	0.00	0.00
Allegiant S&P 500 Index A	AEXAX	0.00	0.25	0.25
Allegiant S&P 500 Index I	AQDIX	0.00	0.00	0.00
Allegiant Small Cap Core A	ACRAX	0.00	0.25	0.25
Allegiant Small Cap Core I	ACRIX	0.00	0.00	0.00
Allegiant Total Return Advantage A	ATTRX	0.00	0.25	0.25
Allegiant Total Return Advantage I	ATRIX	0.00	0.00	0.00
Allegiant Ultra Short Bond A	ASDAX	0.00	0.25	0.25
Allegiant Ultra Short Bond I	ASDIX	0.00	0.00	0.00
AllianceBern 2000 Retirement StrAdv	LTAVX	0.00	0.20	0.20
AllianceBern 2000 Retirement Strat A	LTAAX	0.25	0.20	0.45
AllianceBern 2000 Retirement Strat I	LTAIX	0.00	0.15	0.15
AllianceBern 2000 Retirement Strat K	LTAKX	0.25	0.20	0.45
AllianceBern 2000 Retirement Strat R	LTARX	0.50	0.20	0.70
AllianceBern 2005 Retirement StrAdv	LTBVX	0.00	0.20	0.20
AllianceBern 2005 Retirement Strat A	LTBAX	0.25	0.20	0.45
AllianceBern 2005 Retirement Strat I	LTBIX	0.00	0.15	0.15
AllianceBern 2005 Retirement Strat K	LTBKX	0.25	0.20	0.45
AllianceBern 2005 Retirement Strat R	LTBRX	0.50	0.20	0.70
AllianceBern 2010 Retirement StrAdv	LTDVX	0.00	0.20	0.20
AllianceBern 2010 Retirement Strat A	LTDAX	0.25	0.20	0.45
AllianceBern 2010 Retirement Strat I	LTDIX	0.00	0.15	0.15
AllianceBern 2010 Retirement Strat K	LTDKX	0.25	0.20	0.45
AllianceBern 2010 Retirement Strat R	LTDRX	0.50	0.20	0.70
AllianceBern 2015 Retirement StrAdv	LTEVX	0.00	0.20	0.20
AllianceBern 2015 Retirement Strat A	LTEAX	0.25	0.20	0.45
AllianceBern 2015 Retirement Strat I	LTEIX	0.00	0.15	0.15
AllianceBern 2015 Retirement Strat K	LTEKX	0.25	0.20	0.45
AllianceBern 2015 Retirement Strat R	LTERX	0.50	0.20	0.70
AllianceBern 2020 Retirement StrAdv	LTHVX	0.00	0.20	0.20
AllianceBern 2020 Retirement Strat A	LTHAX	0.25	0.20	0.45
AllianceBern 2020 Retirement Strat I	LTHIX	0.00	0.15	0.15
AllianceBern 2020 Retirement Strat K	LTHKX	0.25	0.20	0.45
AllianceBern 2020 Retirement Strat R	LTHRX	0.50	0.20	0.70
AllianceBern 2025 Retirement StrAdv	LTIVX	0.00	0.20	0.20
AllianceBern 2025 Retirement Strat A	LTIAX	0.25	0.20	0.45

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AllianceBern 2025 Retirement Strat I	LTJIX	0.00	0.15	0.15
AllianceBern 2025 Retirement Strat K	LTJKX	0.25	0.20	0.45
AllianceBern 2025 Retirement Strat R	LTJRX	0.50	0.20	0.70
AllianceBern 2030 Retirement StrAdv	LTJVX	0.00	0.20	0.20
AllianceBern 2030 Retirement Strat A	LTJAX	0.25	0.20	0.45
AllianceBern 2030 Retirement Strat I	LTKIX	0.00	0.15	0.15
AllianceBern 2030 Retirement Strat K	LTKKX	0.25	0.20	0.45
AllianceBern 2030 Retirement Strat R	LTKRX	0.50	0.20	0.70
AllianceBern 2035 Retirement StrAdv	LTKVX	0.00	0.20	0.20
AllianceBern 2035 Retirement Strat A	LTKAX	0.25	0.20	0.45
AllianceBern 2035 Retirement Strat I	LTLIX	0.00	0.15	0.15
AllianceBern 2035 Retirement Strat K	LTLKX	0.25	0.20	0.45
AllianceBern 2035 Retirement Strat R	LTLRX	0.50	0.20	0.70
AllianceBern 2040 Retirement StrAdv	LTLVX	0.00	0.20	0.20
AllianceBern 2040 Retirement Strat A	LTLAX	0.25	0.20	0.45
AllianceBern 2040 Retirement Strat I	LTSIX	0.00	0.15	0.15
AllianceBern 2040 Retirement Strat K	LTSKX	0.25	0.20	0.45
AllianceBern 2040 Retirement Strat R	LTSRX	0.50	0.20	0.70
AllianceBern 2045 Retirement StrAdv	LTPVX	0.00	0.20	0.20
AllianceBern 2045 Retirement Strat A	LTPAX	0.25	0.20	0.45
AllianceBern 2045 Retirement Strat I	LTPIX	0.00	0.15	0.15
AllianceBern 2045 Retirement Strat K	LTPKX	0.25	0.20	0.45
AllianceBern 2045 Retirement Strat R	LTPRX	0.50	0.20	0.70
AllianceBern 2050 Retirement StrAdv	LTQVX	0.00	0.20	0.20
AllianceBern 2050 Retirement Strat A	LTQAX	0.25	0.20	0.45
AllianceBern 2050 Retirement Strat I	LTQIX	0.00	0.15	0.15
AllianceBern 2050 Retirement Strat K	LTQKX	0.25	0.20	0.45
AllianceBern 2050 Retirement Strat R	LTQRX	0.50	0.20	0.70
AllianceBern 2055 Retirement StrAdv	LTWVX	0.00	0.20	0.20
AllianceBern 2055 Retirement Strat A	LTWAX	0.25	0.20	0.45
AllianceBern 2055 Retirement Strat I	LTWIX	0.00	0.15	0.15
AllianceBern 2055 Retirement Strat K	LTWKX	0.25	0.20	0.45
AllianceBern 2055 Retirement Strat R	LTWRX	0.50	0.20	0.70
AllianceBern Balance Wealth Strat A	ABWAX	0.25	0.20	0.45
AllianceBern Balance Wealth Strat Adv	ABWYX	0.00	0.20	0.20
AllianceBern Balance Wealth Strat I	ABWIX	0.00	0.15	0.15
AllianceBern Balance Wealth Strat K	ABWKX	0.25	0.20	0.45
AllianceBern Balance Wealth Strat R	ABWRX	0.50	0.20	0.70
AllianceBern Balanced Shares A	CABNX	0.25	0.20	0.45
AllianceBern Balanced Shares Adv	CBSYX	0.00	0.20	0.20
AllianceBern Balanced Shares I	CABIX	0.00	0.15	0.15
AllianceBern Balanced Shares K	CBSKX	0.25	0.20	0.45
AllianceBern Balanced Shares R	CBSRX	0.50	0.20	0.70
AllianceBern BSS US Large Cap A	ABBAX	0.25	0.20	0.45
AllianceBern BSS US Large Cap Adv	ABBYX	0.00	0.20	0.20
AllianceBern BSS US Large Cap I	ABBIX	0.00	0.15	0.15

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AllianceBern BSS US Large Cap K	ABBKX	0.25	0.20	0.45
AllianceBern BSS US Large Cap R	ABBRX	0.50	0.20	0.70
AllianceBern Divers Yld Inc A	AGSAX	0.25	0.20	0.45
AllianceBern Divers Yld Inc Adv	AGSIX	0.00	0.20	0.20
AllianceBern Divers Yld Inc I	AGLIX	0.00	0.15	0.15
AllianceBern Divers Yld Inc K	AGSKX	0.25	0.20	0.45
AllianceBern Divers Yld Inc R	AGSRX	0.50	0.20	0.70
AllianceBern Focused Growth & Inc A	ADGAX	0.25	0.20	0.45
AllianceBern Focused Growth & Inc I	ADGIX	0.00	0.15	0.15
AllianceBern Focused Growth & Inc K	ADGKX	0.25	0.20	0.45
AllianceBern Focused Growth & Inc R	ADGRX	0.50	0.20	0.70
AllianceBern Gbl Rel Est Invmt A	AREAX	0.25	0.20	0.45
AllianceBern Gbl Rel Est Invmt Adv	ARSYX	0.00	0.20	0.20
AllianceBern Gbl Rel Est Invmt I	AEIIX	0.00	0.15	0.15
AllianceBern Gbl Rel Est Invmt II	ARIIX	0.00	0.15	0.15
AllianceBern Gbl Rel Est Invmt K	ARRKX	0.25	0.20	0.45
AllianceBern Gbl Rel Est Invmt R	ARRRX	0.50	0.20	0.70
AllianceBern Global Bond A	ANAGX	0.25	0.20	0.45
AllianceBern Global Bond Adv	ANAYX	0.00	0.20	0.20
AllianceBern Global Bond I	ANAIX	0.00	0.15	0.15
AllianceBern Global Bond K	ANAKX	0.25	0.20	0.45
AllianceBern Global Bond R	ANARX	0.50	0.20	0.70
AllianceBern Global Growth A	ABZAX	0.25	0.20	0.45
AllianceBern Global Growth Adv	ABZYG	0.00	0.20	0.20
AllianceBern Global Growth I	ABZIX	0.00	0.15	0.15
AllianceBern Global Growth K	ABZKX	0.25	0.20	0.45
AllianceBern Global Growth R	ABZRX	0.50	0.20	0.70
AllianceBern Global Thematic Gr A	ALTFX	0.25	0.20	0.45
AllianceBern Global Thematic Gr Adv	ATEYG	0.00	0.20	0.20
AllianceBern Global Thematic Gr I	AGTIX	0.00	0.15	0.15
AllianceBern Global Thematic Gr K	ATEKX	0.25	0.20	0.45
AllianceBern Global Thematic Gr R	ATERX	0.50	0.20	0.70
AllianceBern Global Value A	ABAGX	0.25	0.20	0.45
AllianceBern Global Value Adv	ABGYX	0.00	0.20	0.20
AllianceBern Global Value I	AGVIX	0.00	0.15	0.15
AllianceBern Global Value K	ABGKX	0.25	0.20	0.45
AllianceBern Global Value R	ABGRX	0.50	0.20	0.70
AllianceBern Great China '97 A	GCHAX	0.25	0.20	0.45
AllianceBern Great China '97 Adv	GCHYG	0.00	0.20	0.20
AllianceBern Growth & Income A	CABDX	0.25	0.20	0.45
AllianceBern Growth & Income Adv	CBBYG	0.00	0.20	0.20
AllianceBern Growth & Income I	CBBIX	0.00	0.15	0.15
AllianceBern Growth & Income K	CBBKX	0.25	0.20	0.45
AllianceBern Growth & Income R	CBBRX	0.50	0.20	0.70
AllianceBern Growth A	AGRFX	0.25	0.20	0.45
AllianceBern Growth Adv	AGRYX	0.00	0.20	0.20

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AllianceBern Growth I	AGFIX	0.00	0.15	0.15
AllianceBern Growth K	AGFKX	0.25	0.20	0.45
AllianceBern Growth R	AGFRX	0.50	0.20	0.70
AllianceBern High Income A	AGDAX	0.25	0.20	0.45
AllianceBern High Income Advisor	AGDYX	0.00	0.20	0.20
AllianceBern High Income I	AGDIX	0.00	0.15	0.15
AllianceBern High Income K	AGDKX	0.25	0.20	0.45
AllianceBern High Income R	AGDRX	0.50	0.20	0.70
AllianceBern Interim Bond A	ABQUX	0.25	0.20	0.45
AllianceBern Interim Bond Adv	ABQYX	0.00	0.20	0.20
AllianceBern Interim Bond I	ABQIX	0.00	0.15	0.15
AllianceBern Interim Bond K	ABQKX	0.25	0.20	0.45
AllianceBern Interim Bond R	ABQRX	0.50	0.20	0.70
AllianceBern International A	AIZAX	0.25	0.20	0.45
AllianceBern Intl Growth A	AWPAX	0.25	0.20	0.45
AllianceBern Intl Growth Adv	AWPYX	0.00	0.20	0.20
AllianceBern Intl Growth I	AWPIX	0.00	0.15	0.15
AllianceBern Intl Growth K	AWPKX	0.25	0.20	0.45
AllianceBern Intl Growth R	AWPRX	0.50	0.20	0.70
AllianceBern Intl Val A	ABIAX	0.25	0.20	0.45
AllianceBern Intl Val Adv	ABIYX	0.00	0.20	0.20
AllianceBern Intl Val C	ABICX	1.00	0.20	1.20
AllianceBern Intl Val I	AIVIX	0.00	0.15	0.15
AllianceBern Intl Val K	AIVKX	0.25	0.20	0.45
AllianceBern Intl Val R	AIVRX	0.50	0.20	0.70
AllianceBern Large Cap Growth A	APGAX	0.25	0.20	0.45
AllianceBern Large Cap Growth Adv	APGYX	0.00	0.20	0.20
AllianceBern Large Cap Growth I	ALLIX	0.00	0.15	0.15
AllianceBern Large Cap Growth K	ALCKX	0.25	0.20	0.45
AllianceBern Large Cap Growth R	ABPRX	0.50	0.20	0.70
AllianceBern S/M Cap Growth A	CHCLX	0.25	0.20	0.45
AllianceBern S/M Cap Growth Adv	CHCYX	0.00	0.20	0.20
AllianceBern S/M Cap Growth I	CHCIX	0.00	0.15	0.15
AllianceBern S/M Cap Growth K	CHCKX	0.25	0.20	0.45
AllianceBern S/M Cap Growth R	CHCRX	0.50	0.20	0.70
AllianceBern Short Duration A	ADPAX	0.25	0.20	0.45
AllianceBern Small Cap Growth A	QUASX	0.25	0.20	0.45
AllianceBern Small Cap Growth Adv	QUAYX	0.00	0.20	0.20
AllianceBern Small Cap Growth I	QUAIX	0.00	0.15	0.15
AllianceBern Small Cap Growth K	QUAKX	0.25	0.20	0.45
AllianceBern Small Cap Growth R	QUARX	0.50	0.20	0.70
AllianceBern Small-Mid Cap Value A	ABASX	0.25	0.20	0.45
AllianceBern Small-Mid Cap Value Ad	ABYSX	0.00	0.20	0.20
AllianceBern Small-Mid Cap Value I	ABSIX	0.00	0.15	0.15
AllianceBern Small-Mid Cap Value K	ABSKX	0.25	0.20	0.45
AllianceBern Small-Mid Cap Value R	ABSRX	0.50	0.20	0.70

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
AllianceBern Tx Mgd Bal Wlth St A	AGIAX	0.25	0.20	0.45
AllianceBern Tx Mgd Wlth Presv St A	ACIAX	0.25	0.20	0.45
AllianceBern Tx-Mgd Wlth Appr Strat Adv	ATWYX	0.00	0.20	0.20
AllianceBern Utility Income A	AUIAX	0.25	0.20	0.45
AllianceBern Utility Income Adv	AUIYX	0.00	0.20	0.20
AllianceBern Utility Income I	AUIIX	0.00	0.15	0.15
AllianceBern Utility Income K	AUIKX	0.25	0.20	0.45
AllianceBern Utility Income R	AUIRX	0.50	0.20	0.70
AllianceBern Value A	ABVAX	0.25	0.20	0.45
AllianceBern Value Adv	ABVYX	0.00	0.20	0.20
AllianceBern Value I	ABVIX	0.00	0.15	0.15
AllianceBern Value K	ABVKX	0.25	0.20	0.45
AllianceBern Value R	ABVRX	0.50	0.20	0.70
AllianceBern Wealth Apprec Strat A	AWAAX	0.25	0.20	0.45
AllianceBern Wealth Apprec Strat Ad	AWAYX	0.00	0.20	0.20
AllianceBern Wealth Apprec Strat I	AWAIX	0.00	0.15	0.15
AllianceBern Wealth Apprec Strat K	AWAKX	0.25	0.20	0.45
AllianceBern Wealth Apprec Strat R	AWARX	0.50	0.20	0.70
AllianceBern Wealth Pres Strat A	ABPAX	0.25	0.20	0.45
AllianceBern Wealth Pres Strat Adv	ABPYX	0.00	0.20	0.20
AllianceBern Wealth Pres Strat I	APWIX	0.00	0.15	0.15
AllianceBern Wealth Pres Strat K	APWKX	0.25	0.20	0.45
AllianceBern Wealth Pres Strat R	APPRX	0.50	0.20	0.70
Allianz CCM Capital Appreciation A	PCFAX	0.25	0.15	0.40
Allianz CCM Capital Appreciation Admin	PICAX	0.00	0.25	0.25
Allianz CCM Capital Appreciation Instl	PAPIX	0.00	0.00	0.00
Allianz CCM Capital Appreciation R	PCARX	0.50	0.15	0.65
Allianz CCM Emerging Companies Admin	PMGAX	0.00	0.25	0.25
Allianz CCM Emerging Companies Instl	PMCIX	0.00	0.00	0.00
Allianz CCM Focused Growth A	AFWAX	0.25	0.15	0.40
Allianz CCM Focused Growth Adm	ALADX	0.00	0.25	0.25
Allianz CCM Focused Growth Instl	AFGIX	0.00	0.00	0.00
Allianz CCM Mid Cap A	PFMAX	0.25	0.15	0.40
Allianz CCM Mid Cap Admin	PMCGX	0.00	0.25	0.25
Allianz CCM Mid Cap Instl	PMGIX	0.00	0.00	0.00
Allianz CCM Mid Cap R	PMCRX	0.50	0.15	0.65
Allianz Gbl Inv Solutions Cr Allc A	PALAX	0.25	0.15	0.40
Allianz Gbl Inv Solutions Cr Allc I	PALLX	0.00	0.00	0.00
Allianz NACM Emerging Markets Opp A	AOTAX	0.25	0.15	0.40
Allianz NACM Emerging Mkt Opp I	AOTIX	0.00	0.00	0.00
Allianz NACM Global A	NGBAX	0.25	0.15	0.40
Allianz NACM Global Admin	NGAAX	0.00	0.25	0.25
Allianz NACM Global Instl	NGBIX	0.00	0.00	0.00
Allianz NACM Global R	NGBRX	0.50	0.15	0.65
Allianz NACM Growth A	NGWAX	0.25	0.15	0.40
Allianz NACM Growth Admin	NGFAX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Allianz NACM Growth Instl	NGFIX	0.00	0.00	0.00
Allianz NACM Income & Growth A	AZNAX	0.25	0.15	0.40
Allianz NACM Income & Growth Instl	AZNIX	0.00	0.00	0.00
Allianz NACM International A	PNIAx	0.25	0.15	0.40
Allianz NACM International Adm	ANCAX	0.00	0.25	0.25
Allianz NACM International Instl	NAISX	0.00	0.00	0.00
Allianz NACM International R	ANIRX	0.50	0.15	0.65
Allianz NACM Mid-Cap Growth A	ANMAX	0.25	0.15	0.40
Allianz NACM Mid-Cap Growth I	ANMIX	0.00	0.00	0.00
Allianz NACM Pacific Rim A	PPRAX	0.25	0.15	0.40
Allianz NACM Pacific Rim Instl	NAPRX	0.00	0.00	0.00
Allianz NFJ All-Cap Value A	PNFAX	0.25	0.15	0.40
Allianz NFJ All-Cap Value Admin	PNCAX	0.00	0.25	0.25
Allianz NFJ All-Cap Value Instl	PNFIX	0.00	0.00	0.00
Allianz NFJ Dividend Value A	PNEAX	0.25	0.15	0.40
Allianz NFJ Dividend Value Admin	ANDAX	0.25	0.00	0.25
Allianz NFJ Dividend Value Instl	NFJEX	0.00	0.00	0.00
Allianz NFJ Dividend Value R	PNERX	0.50	0.15	0.65
Allianz NFJ International Value A	AFJAX	0.25	0.15	0.40
Allianz NFJ International Value Instl	ANJIX	0.00	0.00	0.00
Allianz NFJ Large Cap Value A	PNBAX	0.25	0.15	0.40
Allianz NFJ Large Cap Value Adm	ALNFX	0.00	0.25	0.25
Allianz NFJ Large Cap Value Instl	ANVIX	0.00	0.00	0.00
Allianz NFJ Large Cap Value R	ANLRX	0.50	0.15	0.65
Allianz NFJ Mid-Cap Value A	ANVAX	0.25	0.15	0.40
Allianz NFJ Mid-Cap Value Instl	ANIVX	0.00	0.00	0.00
Allianz NFJ Renaissance A	PQNAX	0.25	0.15	0.40
Allianz NFJ Renaissance Admin	PRAAX	0.00	0.25	0.25
Allianz NFJ Renaissance Instl	PRNIX	0.00	0.00	0.00
Allianz NFJ Renaissance R	PRNRX	0.50	0.15	0.65
Allianz NFJ Small Cap Value A	PCVAX	0.25	0.15	0.40
Allianz NFJ Small Cap Value Admin	PVADX	0.00	0.25	0.25
Allianz NFJ Small Cap Value Instl	PSVIX	0.00	0.00	0.00
Allianz NFJ Small Cap Value R	PNVRX	0.50	0.15	0.65
Allianz OCC Growth A	PGWAX	0.25	0.15	0.40
Allianz OCC Growth Admin	PGFAX	0.00	0.25	0.25
Allianz OCC Growth Instl	PGFIX	0.00	0.00	0.00
Allianz OCC Growth R	PPGRX	0.50	0.15	0.65
Allianz OCC Opportunity A	POPAX	0.25	0.15	0.40
Allianz OCC Opportunity Admin	POADX	0.00	0.25	0.25
Allianz OCC Opportunity Instl	POFIX	0.00	0.00	0.00
Allianz OCC Target A	PTAAX	0.25	0.15	0.40
Allianz OCC Target Admin	PTADX	0.00	0.25	0.25
Allianz OCC Target Instl	PFTIX	0.00	0.00	0.00
Allianz RCM Discp Intl Eq A	RAIGX	0.25	0.15	0.40
Allianz RCM Discp Intl Eq Admin	RAIAX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Allianz RCM Discp Intl Eq Instl	DRIEX	0.00	0.00	0.00
Allianz RCM Global Resources A	ARMAX	0.25	0.15	0.40
Allianz RCM Global Resources Instl	RGLIX	0.00	0.00	0.00
Allianz RCM Global Small-Cap A	RGSAX	0.25	0.15	0.40
Allianz RCM Global Small-Cap Instl	DGSCX	0.00	0.00	0.00
Allianz RCM Large-Cap Growth A	RALGX	0.25	0.15	0.40
Allianz RCM Large-Cap Growth Admin	DLGAX	0.00	0.25	0.25
Allianz RCM Large-Cap Growth Instl	DRLCX	0.00	0.00	0.00
Allianz RCM Large-Cap Growth R	PLCRX	0.50	0.15	0.65
Allianz RCM Mid-Cap A	RMDAX	0.25	0.15	0.40
Allianz RCM Mid-Cap Admin	DRMAX	0.00	0.25	0.25
Allianz RCM Mid-Cap Instl	DRMCX	0.00	0.00	0.00
Allianz RCM Mid-Cap R	PRMRX	0.50	0.15	0.65
Allianz RCM Strategic Growth A	ARCAX	0.25	0.15	0.40
Allianz RCM Strategic Growth Inst	ANRIX	0.00	0.00	0.00
Allianz RCM Technology A	RAGTX	0.25	0.15	0.40
Allianz RCM Technology Admin	DGTAX	0.00	0.25	0.25
Allianz RCM Technology Instl	DRGTX	0.00	0.00	0.00
Allianz RCM Wellness A	RAGHX	0.25	0.15	0.40
American Beacon Balanced Instl	AADBX	0.00	0.00	0.00
American Beacon Balanced Inv	AABPX	0.00	0.35	0.35
American Beacon Emerging Mkts Inst	AEMFX	0.00	0.00	0.00
American Beacon Emerging Mkts Inv	AAEPX	0.00	0.35	0.35
American Beacon High Yld Bd Instl	AYBFX	0.00	0.00	0.00
American Beacon High Yld Bd Inv	AHYPX	0.00	0.35	0.35
American Beacon Intl Eq Index Inst	AIIX	0.00	0.00	0.00
American Beacon Intl Equity Adv	AAISX	0.25	0.25	0.50
American Beacon Intl Equity Instl	AAIEX	0.00	0.00	0.00
American Beacon Intl Equity Inv	AAIPX	0.00	0.35	0.35
American Beacon Lg Cap Gr Inst	ALCGX	0.00	0.00	0.00
American Beacon Lg Cap Value Adv	AVASX	0.25	0.25	0.50
American Beacon Lg Cap Value Inst	AADEX	0.00	0.00	0.00
American Beacon Lg Cap Value Inv	AAGPX	0.00	0.35	0.35
American Beacon S&P 500 Idx Instl	AASPX	0.00	0.00	0.00
American Beacon S&P 500 Idx Inv	AAFPX	0.00	0.35	0.35
American Beacon Shrt-Trm Bd Inv	AALPX	0.00	0.35	0.35
American Beacon Small Cap Idx Inst	ASCIX	0.00	0.00	0.00
American Beacon Small Cp Val Adv	AASSX	0.25	0.25	0.50
American Beacon Small Cp Val Inst	AVFIX	0.00	0.00	0.00
American Beacon Small Cp Val Inv	AVPAX	0.00	0.35	0.35
American Century Balanced Instl	ABINX	0.00	0.15	0.15
American Century Balanced Inv	TWBIX	0.00	0.35	0.35
American Century Capital Growth A	ACCGX	0.25	0.35	0.60
American Century Capital Val Adv	ACCVX	0.25	0.35	0.60
American Century Capital Val Instl	ACPIX	0.00	0.15	0.15
American Century Capital Val Inv	ACTIX	0.00	0.35	0.35

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Century Diversified Bond A	ADFAX	0.25	0.25	0.50
American Century Diversified Bond Instl	ACBPX	0.00	0.00	0.00
American Century Diversified Bond Inv	ADFIX	0.00	0.25	0.25
American Century Emerging Markets A	AEMMX	0.25	0.35	0.60
American Century Emerging Markets Ins	AMKIX	0.00	0.15	0.15
American Century Emerging Markets Inv	TWMIX	0.00	0.35	0.35
American Century Emerging Markets R	AEMRX	0.50	0.35	0.85
American Century Equity Growth A	BEQAX	0.25	0.25	0.50
American Century Equity Growth Instl	AMEIX	0.00	0.00	0.00
American Century Equity Growth Inv	BEQGX	0.00	0.25	0.25
American Century Equity Income A	TWEAX	0.25	0.35	0.60
American Century Equity Income Instl	ACIIX	0.00	0.15	0.15
American Century Equity Income Inv	TWEIX	0.00	0.35	0.35
American Century Equity Income R	AEURX	0.50	0.35	0.85
American Century Equity Index Instl	ACQIX	0.00	0.00	0.00
American Century Equity Index Inv	ACIVX	0.00	0.25	0.25
American Century Giftrust Inv	TWGTIX	0.00	0.00	0.00
American Century Ginnie Mae Adv	BGNAX	0.25	0.25	0.50
American Century Ginnie Mae Inv	BGNMX	0.00	0.25	0.25
American Century Global Gold A	ACGGX	0.25	0.25	0.50
American Century Global Gold Instl	AGGNX	0.00	0.15	0.15
American Century Global Gold Inv	BGEIX	0.00	0.25	0.25
American Century Global Gold R	AGGWX	0.50	0.35	0.85
American Century Global Growth A	AGGRX	0.25	0.35	0.60
American Century Global Growth Inst	AGGIX	0.00	0.15	0.15
American Century Global Growth Inv	TWGGX	0.00	0.35	0.35
American Century Global Growth R	AGORX	0.50	0.35	0.85
American Century Government Bond Adv	ABTAX	0.25	0.25	0.50
American Century Government Bond Inv	CPTNX	0.00	0.25	0.25
American Century Growth Adv	TCRAX	0.25	0.35	0.60
American Century Growth Instl	TWGIX	0.00	0.15	0.15
American Century Growth Inv	TWCGX	0.00	0.35	0.35
American Century Growth R	AGWRX	0.50	0.35	0.85
American Century Heritage A	ATHAX	0.25	0.35	0.60
American Century Heritage Inst	ATHIX	0.00	0.15	0.15
American Century Heritage Inv	TWHIX	0.00	0.35	0.35
American Century High-Yield A	AHYVX	0.25	0.35	0.60
American Century High-Yield Inv	ABHIX	0.00	0.35	0.35
American Century Income & Growth A	AMADX	0.25	0.25	0.50
American Century Income & Growth Inst	AMGIX	0.00	0.00	0.00
American Century Income & Growth Inv	BIGRX	0.00	0.25	0.25
American Century Income & Growth R	AICRX	0.50	0.35	0.85
American Century Infl-Adj Bd Instl	AIANX	0.00	0.00	0.00
American Century Infl-Adj Bond Adv	AIAXX	0.25	0.25	0.50
American Century Infl-Adj Bond Inv	ACITX	0.00	0.25	0.25
American Century International Bd A	AIBDX	0.25	0.35	0.60

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Century International Bd Inv	BEGBX	0.00	0.35	0.35
American Century International Opps Inv	AIOIX	0.00	0.35	0.35
American Century International Stock	ASKIX			0.00
American Century Intl Core Equity Instl	ACIUX	0.00	0.15	0.15
American Century Intl Core Equity Inv	ACIMX	0.00	0.25	0.25
American Century Intl Core Equity R	ACIRX	0.50	0.35	0.85
American Century Intl Discovery Adv	ACIDX	0.25	0.35	0.60
American Century Intl Discovery Instl	TIDIX	0.00	0.15	0.15
American Century Intl Discovery Inv	TWEGX	0.00	0.35	0.35
American Century Intl Growth A	TWGAX	0.25	0.35	0.60
American Century Intl Growth Instl	TGRIX	0.00	0.15	0.15
American Century Intl Growth Inv	TWIEX	0.00	0.35	0.35
American Century Intl Growth R	ATGRX	0.50	0.35	0.85
American Century Intl Opps Instl	ACIOX	0.00	0.15	0.15
American Century Intl Value I	ACVUX	0.00	0.35	0.35
American Century Intl Value Inv	ACEVX	0.00	0.25	0.25
American Century Intl Value R	ACVRX	0.50	0.35	0.85
American Century Large Co Val A	ALPAX	0.25	0.35	0.60
American Century Large Co Val Instl	ALVSX	0.00	0.15	0.15
American Century Large Co Val Inv	ALVIX	0.00	0.35	0.35
American Century Large Co Val R	ALVRX	0.50	0.35	0.85
American Century Legacy Foc Lg Cap Adv	ACFDX	0.25	0.35	0.60
American Century Legacy Foc Lg Cap Instl	ACFSX	0.00	0.15	0.15
American Century Legacy Foc Lg Cap Inv	ACFOX	0.00	0.35	0.35
American Century Legacy Foc Lg Cap R	ACFCX	0.50	0.35	0.85
American Century Legacy Lg Cap Adv	ACGDX	0.25	0.35	0.60
American Century Legacy Lg Cap Instl	ACGHX	0.00	0.15	0.15
American Century Legacy Lg Cap Inv	ACGOX	0.00	0.35	0.35
American Century Legacy Lg Cap R	ACGEX	0.50	0.35	0.85
American Century Legacy Multi Cap Adv	ACMFX	0.25	0.35	0.60
American Century Legacy Multi Cap Instl	ACMHX	0.00	0.15	0.15
American Century Legacy Multi Cap Inv	ACMNX	0.00	0.35	0.35
American Century Legacy Multi Cap R	ACMEX	0.50	0.35	0.85
American Century LIVESTRONG 2015 Adv	ARFAX	0.25	0.35	0.60
American Century LIVESTRONG 2015 Instl	ARNIX	0.00	0.15	0.15
American Century LIVESTRONG 2015 Inv	ARFIX	0.00	0.35	0.35
American Century LIVESTRONG 2015 R	ARFRX	0.50	0.35	0.85
American Century LIVESTRONG 2020 Adv	ARBMX	0.25	0.35	0.60
American Century LIVESTRONG 2020 Instl	ARBSX	0.00	0.15	0.15
American Century LIVESTRONG 2020 Inv	ARBVX	0.00	0.35	0.35
American Century LIVESTRONG 2020 R	ARBRX	0.50	0.35	0.85
American Century LIVESTRONG 2025 Adv	ARWAX	0.25	0.35	0.60
American Century LIVESTRONG 2025 Instl	ARWFX	0.00	0.15	0.15
American Century LIVESTRONG 2025 Inv	ARWIX	0.00	0.35	0.35
American Century LIVESTRONG 2025 R	ARWRX	0.50	0.35	0.85
American Century LIVESTRONG 2030 Adv	ARCMX	0.25	0.35	0.60

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Century LIVESTRONG 2030 Instl	ARCSX	0.00	0.15	0.15
American Century LIVESTRONG 2030 Inv	ARCVX	0.00	0.35	0.35
American Century LIVESTRONG 2030 R	ARCRX	0.50	0.35	0.85
American Century LIVESTRONG 2035 Adv	ARYAX	0.25	0.35	0.60
American Century LIVESTRONG 2035 Instl	ARLIX	0.00	0.15	0.15
American Century LIVESTRONG 2035 Inv	ARYIX	0.00	0.35	0.35
American Century LIVESTRONG 2035 R	ARYRX	0.50	0.35	0.85
American Century LIVESTRONG 2040 Adv	ARDMX	0.25	0.35	0.60
American Century LIVESTRONG 2040 Instl	ARDSX	0.00	0.15	0.15
American Century LIVESTRONG 2040 Inv	ARDVX	0.00	0.35	0.35
American Century LIVESTRONG 2040 R	ARDRX	0.50	0.35	0.85
American Century LIVESTRONG 2045 Adv	AROAX	0.25	0.35	0.60
American Century LIVESTRONG 2045 Instl	AOOIX	0.00	0.15	0.15
American Century LIVESTRONG 2045 Inv	AROIX	0.00	0.35	0.35
American Century LIVESTRONG 2045 R	ARORX	0.50	0.35	0.85
American Century LIVESTRONG 2050 Adv	ARFMX	0.25	0.35	0.60
American Century LIVESTRONG 2050 Instl	ARFSX	0.00	0.15	0.15
American Century LIVESTRONG 2050 Inv	ARFVX	0.00	0.35	0.35
American Century LIVESTRONG 2050 R	ARFWX	0.50	0.35	0.85
American Century LIVESTRONG Adv	ARTAX	0.25	0.35	0.60
American Century LIVESTRONG Inc Inv	ARTOX	0.00	0.35	0.35
American Century LIVESTRONG Inc R	ARSRX	0.50	0.35	0.85
American Century LIVESTRONG Instl	ATTIX	0.00	0.15	0.15
American Century Mid Cap Value Adv	ACLAX	0.25	0.35	0.60
American Century Mid Cap Value Inst	AVUAX	0.00	0.15	0.15
American Century Mid Cap Value Inv	ACMVX	0.00	0.35	0.35
American Century Mid Cap Value R	AMVRX	0.50	0.35	0.85
American Century New Opps Investor	TWNOX	0.00	0.35	0.35
American Century Real Estate A	AREEX	0.25	0.35	0.60
American Century Real Estate Instl	REAIX	0.00	0.15	0.15
American Century Real Estate Inv	REACX	0.00	0.35	0.35
American Century Select A	TWCAX	0.25	0.35	0.60
American Century Select Instl	TWSIX	0.00	0.15	0.15
American Century Select Inv	TWCIX	0.00	0.35	0.35
American Century Short-Term Govt Adv	TWAVX	0.25	0.25	0.50
American Century Short-Term Govt Inv	TWUSX	0.00	0.25	0.25
American Century Small Cap Gr A	ANOAX	0.25	0.35	0.60
American Century Small Cap Gr Instl	ANONX	0.00	0.15	0.15
American Century Small Cap Gr Investor	ANOIX	0.00	0.35	0.35
American Century Small Cap Gr R	ANORX	0.50	0.35	0.85
American Century Small Cap Value Adv	ACSCX	0.25	0.35	0.60
American Century Small Cap Value Instl	ACVIX	0.00	0.15	0.15
American Century Small Cap Value Inv	ASVIX	0.00	0.35	0.35
American Century Small Company Adv	ASQAX	0.25	0.35	0.60
American Century Small Company Inst	ASCQX	0.00	0.15	0.15
American Century Small Company Inv	ASQIX	0.00	0.35	0.35

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Century Small Company R	ASCRX	0.50	0.35	0.85
American Century Strat Allc: Agrsv Inst	AAAIX	0.00	0.15	0.15
American Century Strat Allc: Agrsv Inv	TWSAX	0.00	0.35	0.35
American Century Strat Allc: Cnsrv A	ACCAX	0.25	0.35	0.60
American Century Strat Allc: Cnsrv Inst	ACCIX	0.00	0.15	0.15
American Century Strat Allc: Cnsrv Inv	TWSCX	0.00	0.35	0.35
American Century Strat Allc: Mod A	ACOAX	0.25	0.35	0.60
American Century Strat Allc: Mod Inst	ASAMX	0.00	0.15	0.15
American Century Strat Allc: Mod Inv	TWSMX	0.00	0.35	0.35
American Century Strat Allc: Mod R	ASMRX	0.50	0.35	0.85
American Century Strategic Allc: Agrsv A	ACVAX	0.25	0.35	0.60
American Century Target Mat 2010 Adv	ACTRX	0.25	0.25	0.50
American Century Target Mat 2010 Inv	BTTNX	0.00	0.25	0.25
American Century Target Mat 2015 Adv	ACTTX	0.25	0.25	0.50
American Century Target Mat 2015 Inv	BTFTX	0.00	0.25	0.25
American Century Target Mat 2020 Adv	ACTEX	0.25	0.25	0.50
American Century Target Mat 2020 Inv	BTTTX	0.00	0.25	0.25
American Century Target Mat 2025 Adv	ACTVX	0.25	0.25	0.50
American Century Target Mat 2025 Inv	BTTRX	0.00	0.25	0.25
American Century Ultra A	TWUAX	0.25	0.35	0.60
American Century Ultra Inst	TWUIX	0.00	0.15	0.15
American Century Ultra Inv	TWCUX	0.00	0.35	0.35
American Century Ultra R	AULRX	0.50	0.35	0.85
American Century Utilities Inv	BULIX	0.00	0.25	0.25
American Century Value A	TWADX	0.25	0.35	0.60
American Century Value Instl	AVLIX	0.00	0.15	0.15
American Century Value Inv	TWVLX	0.00	0.35	0.35
American Century Veedot Instl	AVDIX	0.00	0.15	0.15
American Century Veedot Inv	AMVIX	0.00	0.35	0.35
American Century Vista Adv	TWVAX	0.25	0.35	0.60
American Century Vista Instl	TWVIX	0.00	0.15	0.15
American Century Vista Inv	TWCVX	0.00	0.35	0.35
American Funds AMCAP A	AMCPX	0.25	0.05	0.30
American Funds AMCAP R2	RAFBX	0.75	0.10	0.85
American Funds AMCAP R3	RAFCX	0.50	0.10	0.60
American Funds AMCAP R4	RAFEX	0.25	0.10	0.35
American Funds AMCAP R5	RAFFX	0.00	0.05	0.05
American Funds AMCAP R6	RAFGX	0.00	0.00	0.00
American Funds American Balanced A	ABALX	0.25	0.05	0.30
American Funds American Balanced R2	RLBBX	0.75	0.10	0.85
American Funds American Balanced R3	RLBCX	0.50	0.10	0.60
American Funds American Balanced R4	RLBEX	0.25	0.10	0.35
American Funds American Balanced R5	RLBFX	0.00	0.05	0.05
American Funds American Balanced R6	RLBGX	0.00	0.00	0.00
American Funds American Hi Inc Tr A	AHITX	0.25	0.05	0.30
American Funds American Hi Inc Tr R2	RITBX	0.75	0.10	0.85

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Funds American Hi Inc Tr R3	RITCX	0.50	0.10	0.60
American Funds American Hi Inc Tr R4	RITEX	0.25	0.10	0.35
American Funds American Hi Inc Tr R5	RITFX	0.00	0.05	0.05
American Funds American Hi Inc Tr R6	RITGX	0.00	0.00	0.00
American Funds American Mutual A	AMRMX	0.25	0.05	0.30
American Funds American Mutual R2	RMFBX	0.75	0.10	0.85
American Funds American Mutual R3	RMFCX	0.50	0.10	0.60
American Funds American Mutual R4	RMFEX	0.25	0.10	0.35
American Funds American Mutual R5	RMFFX	0.00	0.05	0.05
American Funds American Mutual R6	RMFGX	0.00	0.00	0.00
American Funds Bond Fund of Amer A	ABNDX	0.25	0.05	0.30
American Funds Bond Fund of Amer R2	RBFBX	0.75	0.10	0.85
American Funds Bond Fund of Amer R3	RBFCX	0.50	0.10	0.60
American Funds Bond Fund of Amer R4	RBFEX	0.25	0.10	0.35
American Funds Bond Fund of Amer R5	RBFFX	0.00	0.05	0.05
American Funds Bond Fund of Amer R6	RBFEX	0.00	0.00	0.00
American Funds Capital Inc Bldr A	CAIBX	0.25	0.05	0.30
American Funds Capital Inc Bldr R2	RIRBX	0.75	0.10	0.85
American Funds Capital Inc Bldr R3	RIRCX	0.50	0.10	0.60
American Funds Capital Inc Bldr R4	RIREX	0.25	0.10	0.35
American Funds Capital Inc Bldr R5	RIRFX	0.00	0.05	0.05
American Funds Capital Inc Bldr R6	RIRGX	0.00	0.00	0.00
American Funds Capital World Bond A	CWBFX	0.25	0.05	0.30
American Funds Capital World Bond R2	RCWBX	0.75	0.10	0.85
American Funds Capital World Bond R3	RCWCX	0.50	0.10	0.60
American Funds Capital World Bond R4	RCWEX	0.25	0.10	0.35
American Funds Capital World Bond R5	RCWFX	0.00	0.05	0.05
American Funds Capital World Bond R6	RCWGX	0.00	0.00	0.00
American Funds Capital World G/I A	CWGIX	0.25	0.05	0.30
American Funds Capital World G/I R2	RWIBX	0.75	0.10	0.85
American Funds Capital World G/I R3	RWICX	0.50	0.10	0.60
American Funds Capital World G/I R4	RWIEX	0.25	0.10	0.35
American Funds Capital World G/I R5	RWIFX	0.00	0.05	0.05
American Funds Capital World G/I R6	RWIGX	0.00	0.00	0.00
American Funds EuroPacific Gr A	AEPGX	0.25	0.05	0.30
American Funds EuroPacific Gr R2	RERBX	0.75	0.10	0.85
American Funds EuroPacific Gr R3	RERCX	0.50	0.10	0.60
American Funds EuroPacific Gr R4	REREX	0.25	0.10	0.35
American Funds EuroPacific Gr R5	RERFX	0.00	0.05	0.05
American Funds EuroPacific Gr R6	RERGX	0.00	0.00	0.00
American Funds Fundamental Investors A	ANCFX	0.25	0.05	0.30
American Funds Fundamental Invs R2	RFNBX	0.75	0.10	0.85
American Funds Fundamental Invs R3	RFNCX	0.50	0.10	0.60
American Funds Fundamental Invs R4	RFNEX	0.25	0.10	0.35
American Funds Fundamental Invs R5	RFNFX	0.00	0.05	0.05
American Funds Fundamental Invs R6	RFNGX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Funds Growth Fund of Amer A	AGTHX	0.25	0.05	0.30
American Funds Growth Fund of Amer R2	RGABX	0.75	0.10	0.85
American Funds Growth Fund of Amer R3	RGACX	0.50	0.10	0.60
American Funds Growth Fund of Amer R4	RGAEX	0.25	0.10	0.35
American Funds Growth Fund of Amer R5	RGAFX	0.00	0.05	0.05
American Funds Growth Fund of Amer R6	RGAGX	0.00	0.00	0.00
American Funds Inc Fund of Amer A	AMECX	0.25	0.05	0.30
American Funds Inc Fund of Amer R2	RIDBX	0.75	0.10	0.85
American Funds Inc Fund of Amer R3	RIDCX	0.50	0.10	0.60
American Funds Inc Fund of Amer R4	RIDEX	0.25	0.10	0.35
American Funds Inc Fund of Amer R5	RIDFX	0.00	0.05	0.05
American Funds Inc Fund of Amer R6	RIDGX	0.00	0.00	0.00
American Funds Interm Bd Fd of Amer R2	RBOBX	0.75	0.10	0.85
American Funds Interm Bd Fd of Amer R3	RBOCX	0.50	0.10	0.60
American Funds Interm Bd Fd of Amer R4	RBOEX	0.25	0.10	0.35
American Funds Interm Bd Fd of Amer R5	RBOFX	0.00	0.05	0.05
American Funds Interm Bd Fd of Amer R6	RBOGX	0.00	0.00	0.00
American Funds Interm Bond Fd of Amer A	AIBAX	0.25	0.05	0.30
American Funds Intl Gr And Inc R6	RIGGX	0.00	0.00	0.00
American Funds Invmt Co of Amer A	AIVSX	0.25	0.05	0.30
American Funds Invmt Co of Amer R2	RICBX	0.75	0.10	0.85
American Funds Invmt Co of Amer R3	RICCX	0.50	0.10	0.60
American Funds Invmt Co of Amer R4	RICEX	0.25	0.10	0.35
American Funds Invmt Co of Amer R5	RICFX	0.00	0.05	0.05
American Funds Invmt Co of Amer R6	RICGX	0.00	0.00	0.00
American Funds New Economy A	ANEFX	0.25	0.05	0.30
American Funds New Economy R2	RNGBX	0.75	0.10	0.85
American Funds New Economy R3	RNGCX	0.50	0.10	0.60
American Funds New Economy R4	RNGEX	0.25	0.10	0.35
American Funds New Economy R5	RNGFX	0.00	0.05	0.05
American Funds New Economy R6	RNGGX	0.00	0.00	0.00
American Funds New Perspective A	ANWPX	0.25	0.05	0.30
American Funds New Perspective R2	RNPBX	0.75	0.10	0.85
American Funds New Perspective R3	RNPCX	0.50	0.10	0.60
American Funds New Perspective R4	RNPEX	0.25	0.10	0.35
American Funds New Perspective R5	RNPFX	0.00	0.05	0.05
American Funds New Perspective R6	RNPGX	0.00	0.00	0.00
American Funds New World A	NEWFX	0.25	0.05	0.30
American Funds New World R2	RNWBX	0.75	0.10	0.85
American Funds New World R3	RNWCX	0.50	0.10	0.60
American Funds New World R4	RNWEX	0.25	0.10	0.35
American Funds New World R5	RNWFX	0.00	0.05	0.05
American Funds New World R6	RNWGX	0.00	0.00	0.00
American Funds SMALLCAP World A	SMCWX	0.25	0.05	0.30
American Funds SMALLCAP World R2	RSLBX	0.75	0.10	0.85
American Funds SMALLCAP World R3	RSLCX	0.50	0.10	0.60

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Funds SMALLCAP World R4	RSLEX	0.25	0.10	0.35
American Funds SMALLCAP World R5	RSLFX	0.00	0.05	0.05
American Funds SMALLCAP World R6	RLLGX	0.00	0.00	0.00
American Funds ST Bd Fd of America A	ASBAX	0.15	0.05	0.20
American Funds ST Bd Fd of America R6	RMMGX	0.00	0.00	0.00
American Funds Trgt Date Ret 2010 R3	RCATX	0.50	0.10	0.60
American Funds Trgt Date Ret 2010 R4	RDATX	0.25	0.10	0.35
American Funds Trgt Date Ret 2010 R5	REATX	0.00	0.05	0.05
American Funds Trgt Date Ret 2010 R6	RFTTX	0.00	0.00	0.00
American Funds Trgt Date Ret 2015 R3	RCJTX	0.50	0.10	0.60
American Funds Trgt Date Ret 2015 R4	RDBTX	0.25	0.10	0.35
American Funds Trgt Date Ret 2015 R5	REJTX	0.00	0.05	0.05
American Funds Trgt Date Ret 2015 R6	RFJTX	0.00	0.00	0.00
American Funds Trgt Date Ret 2020 R3	RCCTX	0.50	0.10	0.60
American Funds Trgt Date Ret 2020 R4	RDCTX	0.25	0.10	0.35
American Funds Trgt Date Ret 2020 R5	RECTX	0.00	0.05	0.05
American Funds Trgt Date Ret 2020 R6	RRCTX	0.00	0.00	0.00
American Funds Trgt Date Ret 2025 R3	RCDTX	0.50	0.10	0.60
American Funds Trgt Date Ret 2025 R4	RDDTX	0.25	0.10	0.35
American Funds Trgt Date Ret 2025 R5	REDTX	0.00	0.05	0.05
American Funds Trgt Date Ret 2025 R6	RFDTX	0.00	0.00	0.00
American Funds Trgt Date Ret 2030 R3	RCETX	0.50	0.10	0.60
American Funds Trgt Date Ret 2030 R4	RDETX	0.25	0.10	0.35
American Funds Trgt Date Ret 2030 R5	REETX	0.00	0.05	0.05
American Funds Trgt Date Ret 2030 R6	RFETX	0.00	0.00	0.00
American Funds Trgt Date Ret 2035 R3	RCFTX	0.50	0.10	0.60
American Funds Trgt Date Ret 2035 R4	RDFTX	0.25	0.10	0.35
American Funds Trgt Date Ret 2035 R5	REFTX	0.00	0.05	0.05
American Funds Trgt Date Ret 2035 R6	RFFTX	0.00	0.00	0.00
American Funds Trgt Date Ret 2040 R3	RCKTX	0.50	0.10	0.60
American Funds Trgt Date Ret 2040 R4	RDGTX	0.25	0.10	0.35
American Funds Trgt Date Ret 2040 R5	REGTX	0.00	0.05	0.05
American Funds Trgt Date Ret 2040 R6	RFGTX	0.00	0.00	0.00
American Funds Trgt Date Ret 2045 R3	RCHTX	0.50	0.10	0.60
American Funds Trgt Date Ret 2045 R4	RDHTX	0.25	0.10	0.35
American Funds Trgt Date Ret 2045 R5	REHTX	0.00	0.05	0.05
American Funds Trgt Date Ret 2045 R6	RFHTX	0.00	0.00	0.00
American Funds Trgt Date Ret 2050 R3	RCITX	0.50	0.10	0.60
American Funds Trgt Date Ret 2050 R4	RDITX	0.25	0.10	0.35
American Funds Trgt Date Ret 2050 R5	REITX	0.00	0.05	0.05
American Funds Trgt Date Ret 2050 R6	RFITX	0.00	0.00	0.00
American Funds US Government Sec A	AMUSX	0.25	0.05	0.30
American Funds US Government Sec R2	RGVBX	0.75	0.10	0.85
American Funds US Government Sec R3	RGVCX	0.50	0.10	0.60
American Funds US Government Sec R4	RGVEX	0.25	0.10	0.35
American Funds US Government Sec R5	RGVFX	0.00	0.05	0.05

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
American Funds US Government Sec R6	RGVGX	0.00	0.00	0.00
American Funds Washington Mutual A	AWSHX	0.25	0.05	0.30
American Funds Washington Mutual R2	RWMBX	0.75	0.10	0.85
American Funds Washington Mutual R3	RWMCX	0.50	0.10	0.60
American Funds Washington Mutual R4	RWMEX	0.25	0.10	0.35
American Funds Washington Mutual R5	RWMFX	0.00	0.05	0.05
American Funds Washington Mutual R6	RWMGX	0.00	0.00	0.00
Ariel	ARGFX	0.20	0.15	0.35
Ariel Appreciation	CAAPX	0.20	0.15	0.35
Artio Global High Income A	BJBHX	0.25	0.00	0.25
Artio Global High Income I	JHYIX	0.00	0.00	0.00
Artio International Equity A	BJBIX	0.25	0.10	0.35
Artio International Equity I	JIEIX	0.05	0.05	0.10
Artio International Equity II A	JETAX	0.25	0.10	0.35
Artio Total Return Bond A	BJBGX	0.25	0.00	0.25
Artio Total Return Bond I	JBGIX	0.00	0.00	0.00
Artisan International Inv	ARTIX	0.00	0.35	0.35
Artisan International Small Cap Investor	ARTJX	0.00	0.35	0.35
Artisan International Value Investor	ARTKX	0.00	0.35	0.35
Artisan Mid Cap Inv	ARTMX	0.00	0.35	0.35
Artisan Mid Cap Value Investor	ARTQX	0.00	0.35	0.35
Artisan Small Cap Investor	ARTSX	0.00	0.35	0.35
Artisan Small Cap Value Investor	ARTVX	0.00	0.35	0.35
Aston Balanced N	CHTAX	0.25	0.10	0.35
Aston Growth I	CTGIX	0.00	0.00	0.00
Aston Growth N	CHTIX	0.25	0.15	0.40
Aston Growth R	CCGRX	0.50	0.00	0.50
Aston Value N	RVALX	0.25	0.10	0.35
Aston/Fortis Real Estate N	ARFCX	0.25	0.10	0.35
Aston/Montag & Caldwell Balanced I	MOBIX	0.00	0.00	0.00
Aston/Montag & Caldwell Balanced N	MOBAX	0.25	0.10	0.35
Aston/Montag & Caldwell Growth I	MCGIX	0.00	0.00	0.00
Aston/Montag & Caldwell Growth N	MCGFX	0.25	0.10	0.35
Aston/Montag & Caldwell Growth R	MCRGX	0.50	0.00	0.50
Aston/Optimum Mid Cap N	CHTTX	0.25	0.10	0.35
Aston/River Road Small Cap Value I	ARSIX	0.00	0.00	0.00
Aston/River Road Small Cap Value N	ARSVX	0.25	0.10	0.35
Aston/TAMRO Diversified Equity N	ATLVX	0.25	0.10	0.35
Aston/TAMRO Small Cap N	ATASX	0.25	0.10	0.35
Aston/TCH Fixed Income I	CTBIX	0.00	0.00	0.00
Aston/TCH Fixed Income N	CHTBX	0.25	0.10	0.35
Aston/Veredus Aggressive Growth I	AVEIX	0.00	0.00	0.00
Aston/Veredus Aggressive Growth N	VERDX	0.25	0.10	0.35
Aston/Veredus Select Growth N	AVSGX	0.25	0.10	0.35
Ave Maria Bond R	AVEFX	0.00	0.08	0.08
Ave Maria Catholic Values	AVEMX	0.25	0.00	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Ave Maria Growth	AVEGX	0.25	0.00	0.25
Ave Maria Opportunity	AVESX	0.00	0.25	0.25
Ave Maria Rising Dividend	AVEDX	0.00	0.25	0.25
Baron Asset	BARAX	0.25	0.10	0.35
Baron Fifth Avenue Growth	BFTHX	0.25	0.10	0.35
Baron Growth	BGRFX	0.25	0.10	0.35
Baron iOpportunity	BIOPX	0.25	0.10	0.35
Baron Partners	BPTRX	0.25	0.10	0.35
Baron Small Cap	BSCFX	0.25	0.10	0.35
BlackRock Aggressive Gr Prepared Instl	BIAPX	0.00	0.25	0.25
BlackRock Aggressive Growth Prepared A	BAAPX	0.25	0.25	0.50
BlackRock Aggressive Growth Prepared R	BRAPX	0.50	0.25	0.75
BlackRock Balanced Capital A	MDCPX	0.25	0.25	0.50
BlackRock Balanced Capital I	MACPX	0.00	0.25	0.25
BlackRock Balanced Capital R	MRBPX	0.50	0.25	0.75
BlackRock Basic Value A	MDBAX	0.25	0.25	0.50
BlackRock Basic Value I	MABAX	0.00	0.25	0.25
BlackRock Basic Value R	MRBVX	0.50	0.25	0.75
BlackRock Conservative Prepared A	BACPX	0.25	0.25	0.50
BlackRock Conservative Prepared Instl	BICPX	0.00	0.25	0.25
BlackRock Conservative Prepared R	BRCPX	0.50	0.25	0.75
BlackRock Equity Dividend A	MDDVX	0.25	0.25	0.50
BlackRock Equity Dividend I	MADVX	0.00	0.25	0.25
BlackRock Equity Dividend R	MRDVX	0.50	0.25	0.75
BlackRock EuroFund A	MDEFX	0.25	0.25	0.50
BlackRock EuroFund I	MAEFX	0.00	0.25	0.25
BlackRock EuroFund R	MREFX	0.50	0.25	0.75
BlackRock Focus Growth A	MDFOX	0.25	0.25	0.50
BlackRock Focus Growth I	MAFOX	0.00	0.25	0.25
BlackRock Focus Value A	MDPNX	0.25	0.25	0.50
BlackRock Focus Value R	MRPNX	0.50	0.25	0.75
BlackRock Fundamental Growth A	MDFGX	0.25	0.25	0.50
BlackRock Fundamental Growth I	MAFGX	0.00	0.25	0.25
BlackRock Fundamental Growth R	MRFGX	0.50	0.25	0.75
BlackRock Gbl Emerging Mkts A	MDDCX	0.25	0.25	0.50
BlackRock Global Allocation A	MDLOX	0.25	0.25	0.50
BlackRock Global Allocation I	MALOX	0.00	0.25	0.25
BlackRock Global Allocation R	MRLOX	0.50	0.25	0.75
BlackRock Global Financial Svcs Instl	MAFNX	0.00	0.25	0.25
BlackRock Global Financial Svcs Inv A	MDFNX	0.25	0.25	0.50
BlackRock Global Financial Svcs R	MRFNX	0.50	0.25	0.75
BlackRock Global Growth A	MDGGX	0.25	0.25	0.50
BlackRock Global Growth I	MAGGX	0.00	0.25	0.25
BlackRock Global Growth R	MRGWX	0.50	0.25	0.75
BlackRock Global SmallCap A	MDGCX	0.25	0.25	0.50
BlackRock Global SmallCap I	MAGCX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
BlackRock Global SmallCap R	MRGSX	0.50	0.25	0.75
BlackRock Growth Prepared A	BAGPX	0.25	0.25	0.50
BlackRock Growth Prepared Instl	BIGPX	0.00	0.25	0.25
BlackRock Growth Prepared R	BRGPX	0.50	0.25	0.75
BlackRock Healthcare Instl	MAHCX	0.00	0.25	0.25
BlackRock Healthcare Inv A	MDHCX	0.25	0.25	0.50
BlackRock Healthcare R	MRHCX	0.50	0.25	0.75
BlackRock High Income I	MAHIX	0.00	0.15	0.15
BlackRock High Yield Bond Instl	BHYIX	0.00	0.15	0.15
BlackRock High Yield Bond Svc	BHYSX	0.25	0.15	0.40
BlackRock International A	MDILX	0.25	0.25	0.50
BlackRock International I	MAILX	0.00	0.25	0.25
BlackRock International Index A	MDIIX	0.25	0.05	0.30
BlackRock International Index I	MAIIX	0.00	0.05	0.05
BlackRock International Value I	MAIVX	0.00	0.25	0.25
BlackRock International Value Inv A	MDIVX	0.25	0.25	0.50
BlackRock International Value R	MRIVX	0.50	0.25	0.75
BlackRock Large Cap Core I	MALRX	0.00	0.25	0.25
BlackRock Large Cap Core Inv A	MDLRX	0.25	0.25	0.50
BlackRock Large Cap Core R	MRLRX	0.50	0.25	0.75
BlackRock Large Cap Growth A	MDLHX	0.25	0.25	0.50
BlackRock Large Cap Growth I	MALHX	0.00	0.25	0.25
BlackRock Large Cap Growth R	MRLHX	0.50	0.25	0.75
BlackRock Large Cap Value A	MDLVX	0.25	0.25	0.50
BlackRock Large Cap Value I	MALVX	0.00	0.25	0.25
BlackRock Large Cap Value R	MRLVX	0.50	0.25	0.75
BlackRock Latin America A	MDLTX	0.25	0.25	0.50
BlackRock Latin America I	MALTX	0.00	0.25	0.25
BlackRock Mid Cap Value Opportunities A	MDRFX	0.25	0.25	0.50
BlackRock Mid Cap Value Opportunities I	MARFX	0.00	0.25	0.25
BlackRock Mid Cap Value Opportunities R	MRRFX	0.50	0.25	0.75
BlackRock Mid-Cap Value Inv A	BMCAx	0.25	0.25	0.50
BlackRock Moderate Prepared A	BAMPX	0.25	0.25	0.50
BlackRock Moderate Prepared Instl	BIMPX	0.00	0.25	0.25
BlackRock Moderate Prepared R	BRMPX	0.50	0.25	0.75
BlackRock Natural Resources A	MDGRX	0.25	0.25	0.50
BlackRock Natural Resources I	MAGR X	0.00	0.25	0.25
BlackRock Pacific A	MDPCX	0.25	0.25	0.50
BlackRock Pacific I	MAPCX	0.00	0.25	0.25
BlackRock Pacific R	MRPCX	0.50	0.25	0.75
BlackRock S&P 500 Index A	MDSRX	0.25	0.05	0.30
BlackRock S&P 500 Index I	MASRX	0.00	0.05	0.05
BlackRock Short Term Bond A	MDDUX	0.25	0.15	0.40
BlackRock Short Term Bond I	MADUX	0.00	0.15	0.15
BlackRock Short Term Bond R	MRDUX	0.50	0.15	0.65
BlackRock Small Cap Growth Equity Instl	PSGIX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
BlackRock Small Cap Growth Equity Inv A	CSGEX	0.00	0.25	0.25
BlackRock Small Cap Growth Equity Svc	PCGEX	0.00	0.25	0.25
BlackRock Small Cap Growth II A	MDSWX	0.25	0.25	0.50
BlackRock Small Cap Growth II Instl	MASWX	0.00	0.25	0.25
BlackRock Small Cap Growth II R	MRUSX	0.50	0.25	0.75
BlackRock Small Cap Index A	MDSKX	0.25	0.05	0.30
BlackRock Small Cap Index I	MASKX	0.00	0.05	0.05
BlackRock US Opportunities Instl	BMCIX	0.00	0.25	0.25
BlackRock US Opportunities Inv A	BMEAX	0.25	0.25	0.50
BlackRock Utilities & Telecom A	MDGUX	0.25	0.25	0.50
BlackRock Utilities & Telecom I	MAGUX	0.00	0.25	0.25
BlackRock Value Opportunities A	MDSPX	0.25	0.25	0.50
BlackRock Value Opportunities I	MASPX	0.00	0.25	0.25
BlackRock Value Opportunities R	MRSPX	0.50	0.25	0.75
BlackRock World Income Instl	MAWIX	0.00	0.15	0.15
BlackRock World Income Inv A	MDWIX	0.25	0.15	0.40
Brandywine	BRWIX	0.00	0.15	0.15
Brandywine Advisors Midcap Growth	BWAFX	0.25	0.15	0.40
Brandywine Blue	BLUEX	0.00	0.15	0.15
Bridgeway Aggressive Investors 2	BRAIX	0.00	0.20	0.20
Bridgeway Balanced	BRBPX	0.00	0.15	0.15
Bridgeway Blue Chip 35 Index	BRLIX	0.00	0.00	0.00
Bridgeway Large-Cap Growth	BRLGX	0.00	0.15	0.15
Bridgeway Large-Cap Value	BRLVX	0.00	0.15	0.15
Bridgeway Small-Cap Growth	BRSGX	0.00	0.15	0.15
Bridgeway Small-Cap Value	BRSVX	0.00	0.15	0.15
Bridgeway Ultra-Small Company Market	BRSIX	0.00	0.15	0.15
Calamos Blue Chip A	CBCAX	0.25	0.00	0.25
Calamos Blue Chip I	CBCIX	0.00	0.10	0.10
Calamos Convertible A	CCVIX	0.25	0.00	0.25
Calamos Convertible I	CICVX	0.00	0.10	0.10
Calamos Global Equity A	CAGEX	0.25	0.00	0.25
Calamos Global Equity I	CIGEX	0.00	0.10	0.10
Calamos Global Equity R	CRGEX	0.50	0.00	0.50
Calamos Global Growth & Income A	CVLOX	0.25	0.00	0.25
Calamos Global Growth & Income I	CGCIX	0.00	0.10	0.10
Calamos Growth & Income A	CVTRX	0.25	0.00	0.25
Calamos Growth & Income I	CGIIX	0.00	0.10	0.10
Calamos Growth A	CVGRX	0.25	0.00	0.25
Calamos Growth I	CGRIX	0.00	0.10	0.10
Calamos High Yield A	CHYDX	0.25	0.00	0.25
Calamos High Yield I	CIHYX	0.00	0.10	0.10
Calamos International Growth A	CIGRX	0.25	0.00	0.25
Calamos International Growth I	CIGIX	0.00	0.10	0.10
Calamos International Growth R	CIGFX	0.50	0.00	0.50
Calamos Market Neutral Income A	CVSIX	0.25	0.00	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Calamos Market Neutral Income I	CMNIX	0.00	0.10	0.10
Calamos Total Return Bond I	CTRIX	0.00	0.10	0.10
Calamos Value A	CVAAX	0.25	0.00	0.25
Calamos Value I	CVAIX	0.00	0.10	0.10
Calvert Aggressive Allocation A	CAAAX	0.25	0.25	0.50
Calvert Capital Accumulation A	CCAFX	0.25	0.25	0.50
Calvert Conservative Allocation A	CCLAX	0.25	0.25	0.50
Calvert Global Alternative Energy A	CGAEX	0.25	0.25	0.50
Calvert Income A	CFICX	0.25	0.25	0.50
Calvert Income I	CINCX	0.00	0.00	0.00
Calvert Large Cap Growth A	CLGAX	0.25	0.25	0.50
Calvert Large Cap Growth I	CLCIX	0.00	0.00	0.00
Calvert Long Term Income A	CLDAX	0.25	0.25	0.50
Calvert Mid Cap Value A	CMVAX	0.25	0.25	0.50
Calvert Mid Cap Value I	CMDIX	0.00	0.00	0.00
Calvert Moderate Allocation A	CMAAX	0.25	0.25	0.50
Calvert New Vision Small Cap A	CNVAX	0.25	0.25	0.50
Calvert New Vision Small Cap I	CVSMX	0.00	0.00	0.00
Calvert Short Duration Income A	CSDAX	0.25	0.25	0.50
Calvert Small Cap Value A	CCVAX	0.25	0.25	0.50
Calvert Small Cap Value I	CSVIX	0.00	0.00	0.00
Calvert Social Index A	CSXAX	0.25	0.25	0.50
Calvert Social Index I	CISIX	0.00	0.00	0.00
Calvert Social Investment Balanced A	CSIFX	0.25	0.25	0.50
Calvert Social Investment Bond A	CSIBX	0.25	0.25	0.50
Calvert Social Investment Bond I	CBDIX	0.00	0.00	0.00
Calvert Social Investment Enhanced Eq A	CMIFX	0.25	0.25	0.50
Calvert Social Investment Equity A	CSIEX	0.25	0.25	0.50
Calvert Social Investment Equity I	CEYIX	0.00	0.00	0.00
Calvert World Values International Eq A	CWVGX	0.25	0.25	0.50
Calvert World Values International Eq I	CWVIX	0.00	0.00	0.00
Century Shares Trust Instl	CENSX	0.00	0.00	0.00
Century Shares Trust Inv	CENVX	0.00	0.25	0.25
Century Small Cap Select Instl	CSMCX	0.00	0.00	0.00
Century Small Cap Select Inv	CSMVX	0.00	0.25	0.25
Columbia Acorn A	LACAX	0.25	0.15	0.40
Columbia Acorn International A	LAIAX	0.25	0.15	0.40
Columbia Acorn International Select A	LAFAX	0.25	0.15	0.40
Columbia Acorn International Select Z	ACFFX	0.00	0.15	0.15
Columbia Acorn International Z	ACINX	0.00	0.15	0.15
Columbia Acorn Select A	LTFAX	0.25	0.15	0.40
Columbia Acorn Select Z	ACTWX	0.00	0.15	0.15
Columbia Acorn USA A	LAUAX	0.25	0.15	0.40
Columbia Acorn USA Z	AUSAX	0.00	0.15	0.15
Columbia Acorn Z	ACRNX	0.00	0.15	0.15
Columbia Asset Allocation A	LAAAX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Columbia Asset Allocation Z	GAATX	0.00	0.25	0.25
Columbia Balanced A	CBLAX	0.25	0.25	0.50
Columbia Balanced Z	CBALX	0.00	0.25	0.25
Columbia Blended Equity Z	UMEQX	0.00	0.25	0.25
Columbia Bond Z	UMMGX	0.00	0.25	0.25
Columbia Conservative High Yield A	CHGAX	0.25	0.25	0.50
Columbia Conservative High Yield Z	CMHYX	0.00	0.25	0.25
Columbia Contrarian Core A	LCCAX	0.25	0.25	0.50
Columbia Contrarian Core Z	SMGIX	0.00	0.25	0.25
Columbia Core Bond A	LQPAX	0.25	0.25	0.50
Columbia Core Bond Z	GHQTX	0.00	0.25	0.25
Columbia Disciplined Value A	LEVAX	0.25	0.25	0.50
Columbia Disciplined Value Z	GEVTX	0.00	0.25	0.25
Columbia Dividend Income A	LBSAX	0.25	0.25	0.50
Columbia Dividend Income Z	GSFTX	0.00	0.25	0.25
Columbia Emerging Markets A	EEMAX	0.25	0.25	0.50
Columbia Emerging Markets Z	UMEMX	0.00	0.25	0.25
Columbia Energy & Nat Resources A	EENAX	0.25	0.25	0.50
Columbia Energy & Nat Resources Z	UMESX	0.00	0.25	0.25
Columbia Federal Securities A	CFSAX	0.25	0.25	0.50
Columbia Federal Securities Z	LFSZX	0.00	0.25	0.25
Columbia Greater China A	NGCAX	0.25	0.25	0.50
Columbia Greater China Z	LNGZX	0.00	0.25	0.25
Columbia High Yield Opportunity A	COLHX	0.25	0.25	0.50
Columbia High Yield Opportunity Z	LHYZX	0.00	0.25	0.25
Columbia Income A	LIAX	0.25	0.25	0.50
Columbia Income Z	SRINX	0.00	0.25	0.25
Columbia Intermediate Bond A	LIBAX	0.25	0.25	0.50
Columbia Intermediate Bond Z	SRBFX	0.00	0.25	0.25
Columbia International Bond A	CNBAX	0.25	0.25	0.50
Columbia International Bond Z	CNBZX	0.00	0.25	0.25
Columbia International Growth Z	UMINX	0.00	0.25	0.25
Columbia International Stock A	CISAX	0.25	0.25	0.50
Columbia International Stock Z	CMISX	0.00	0.25	0.25
Columbia International Value A	NIVLX	0.25	0.25	0.50
Columbia International Value Z	EMIEX	0.00	0.25	0.25
Columbia Large Cap Growth A	LEGAX	0.25	0.25	0.50
Columbia Large Cap Growth Z	GEGTX	0.00	0.25	0.25
Columbia Large Cap Index A	NEIAX	0.25	0.00	0.25
Columbia Large Cap Index Z	NINDX	0.00	0.00	0.00
Columbia Liberty A	COLFX	0.25	0.25	0.50
Columbia Liberty Z	CTCFX	0.00	0.25	0.25
Columbia Marsico 21st Century A	NMTAX	0.25	0.25	0.50
Columbia Marsico 21st Century R	CMTRX	0.50	0.25	0.75
Columbia Marsico 21st Century Z	NMYAX	0.00	0.25	0.25
Columbia Marsico Focused Eq A	NFEAX	0.25	0.25	0.50

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	Ticker			
Columbia Marsico Focused Eq Z	NFEPX	0.00	0.25	0.25
Columbia Marsico Growth A	NMGIX	0.25	0.25	0.50
Columbia Marsico Growth R	CMWRX	0.50	0.25	0.75
Columbia Marsico Growth Z	NGIPX	0.00	0.25	0.25
Columbia Marsico Intl Opp A	MAIOX	0.25	0.25	0.50
Columbia Marsico Intl Opp R	CMORX	0.50	0.25	0.75
Columbia Marsico Intl Opp Z	NMOAX	0.00	0.25	0.25
Columbia Mid Cap Core R	URVEX	0.50	0.25	0.75
Columbia Mid Cap Core Z	UMVEX	0.00	0.25	0.25
Columbia Mid Cap Growth A	CBSAX	0.25	0.25	0.50
Columbia Mid Cap Growth Z	CLSPX	0.00	0.25	0.25
Columbia Mid Cap Index A	NTIAX	0.25	0.00	0.25
Columbia Mid Cap Index Z	NMPAX	0.00	0.00	0.00
Columbia Mid Cap Value A	CMUAX	0.25	0.25	0.50
Columbia Mid Cap Value R	CMVRX	0.50	0.25	0.75
Columbia Mid Cap Value Z	NAMAX	0.00	0.25	0.25
Columbia Pacific/Asia Z	USPAX	0.00	0.25	0.25
Columbia Real Estate Equity A	CREAX	0.25	0.25	0.50
Columbia Real Estate Equity Z	CREEX	0.00	0.25	0.25
Columbia Select Large Cap Growth A	ELGAX	0.25	0.25	0.50
Columbia Select Large Cap Growth R	URLGX	0.50	0.25	0.75
Columbia Select Large Cap Growth Z	UMLGX	0.00	0.25	0.25
Columbia Select Opportunities A	EEOAX	0.25	0.25	0.50
Columbia Select Opportunities Z	UMECX	0.00	0.25	0.25
Columbia Select Small Cap A	ESCAX	0.25	0.25	0.50
Columbia Select Small Cap R	URLCX	0.50	0.25	0.75
Columbia Select Small Cap Z	UMLCX	0.00	0.25	0.25
Columbia Short-Intermediate Bond Z	UIMIX	0.00	0.25	0.25
Columbia Small Cap Core A	LSMAX	0.25	0.25	0.50
Columbia Small Cap Core Z	SMCEX	0.00	0.25	0.25
Columbia Small Cap Growth I Z	CMSCX	0.00	0.25	0.25
Columbia Small Cap Index A	NMSAX	0.25	0.00	0.25
Columbia Small Cap Index Z	NMSCX	0.00	0.00	0.00
Columbia Small Cap Value I A	CSMIX	0.25	0.25	0.50
Columbia Small Cap Value I Z	CSCZX	0.00	0.25	0.25
Columbia Small Cap Value II A	COVAX	0.25	0.15	0.40
Columbia Small Cap Value II R	CCTRX	0.50	0.15	0.65
Columbia Small Cap Value II Z	NSVAX	0.00	0.15	0.15
Columbia Strategic Income A	COSIX	0.25	0.25	0.50
Columbia Strategic Income Z	LSIZX	0.00	0.25	0.25
Columbia Strategic Investor A	CSVAX	0.25	0.25	0.50
Columbia Strategic Investor Z	CSVFX	0.00	0.25	0.25
Columbia Technology A	CTCAX	0.25	0.25	0.50
Columbia Technology Z	CMTFX	0.00	0.25	0.25
Columbia Thermostat Z	COTZX	0.00	0.25	0.25
Columbia Value & Restructuring A	EVRAX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Columbia Value & Restructuring R	URBIX	0.50	0.25	0.75
Columbia Value & Restructuring Z	UMBIX	0.00	0.25	0.25
Columbia World Equity A	CGUAX	0.25	0.25	0.50
Credit Suisse Global High Yield	RBSFX	0.00	0.00	0.00
Credit Suisse High Income A	CHIAX	0.25	0.25	0.50
Credit Suisse High Income Common	CSHIX	0.25	0.15	0.40
Credit Suisse Large Cap Blend A	CFFAX	0.25	0.25	0.50
Credit Suisse Large Cap Blend Comm	WFDCX	0.25	0.15	0.40
Credit Suisse Large Cap Growth A	CUAAX	0.25	0.25	0.50
Credit Suisse Large Cap Growth Adv	WCATX	0.25	0.50	0.75
Credit Suisse Large Cap Growth Comm	CUCAX	0.25	0.15	0.40
Credit Suisse Large Cap Value A	WFGIX	0.25	0.25	0.50
Credit Suisse Large Cap Value Adv	CSLVX	0.50	0.25	0.75
Credit Suisse Large Cap Value Comm	CSWVX	0.25	0.15	0.40
Credit Suisse Mid-Cap Core A	CUWAX	0.25	0.25	0.50
Credit Suisse Mid-Cap Core Adv	WEGTX	0.25	0.50	0.75
Credit Suisse Mid-Cap Core Common	CUEGX	0.25	0.15	0.40
Credit Suisse Small Cap Core I A	WFAGX	0.25	0.25	0.50
Credit Suisse Small Cap Core I Comm	CSWCX	0.25	0.15	0.40
Davis Appreciation & Income A	RPFCX	0.25	0.25	0.50
Davis Appreciation & Income Y	DCSYX	0.00	0.25	0.25
Davis Financial A	RPFGX	0.25	0.25	0.50
Davis Financial Y	DVFX	0.00	0.25	0.25
Davis Government Bond A	RFBAX	0.25	0.25	0.50
Davis Government Bond Y	DGVYX	0.00	0.25	0.25
Davis NY Venture A	NYVTX	0.25	0.25	0.50
Davis NY Venture R	NYVRX	0.50	0.25	0.75
Davis NY Venture Y	DNVYX	0.00	0.25	0.25
Davis Opportunity A	RPEAX	0.25	0.25	0.50
Davis Opportunity Y	DGOYX	0.00	0.25	0.25
Davis Real Estate A	RPFRX	0.25	0.25	0.50
Davis Real Estate Y	DREYX	0.00	0.25	0.25
Dodge & Cox Balanced	DODBX	0.00	0.10	0.10
Dodge & Cox Income	DODIX	0.00	0.08	0.08
Dodge & Cox International Stock	DODFX	0.00	0.10	0.10
Dodge & Cox Stock	DODGX	0.00	0.10	0.10
Domini Euro Social Equity Inv	DEUFX	0.00	0.00	0.00
Domini PacAsia Social Equity Inv	DPAFX	0.00	0.00	0.00
Domini Social Bond Inv	DSBFX	0.25	0.00	0.25
Domini Social Equity Instl	DIEQX	0.00	0.00	0.00
Domini Social Equity Inv	DSEFX	0.25	0.00	0.25
Domini Social Equity R	DSFRX	0.00	0.00	0.00
Dreyfus	DREYX	0.00	0.40	0.40
Dreyfus Active MidCap A	DNLDX	0.25	0.25	0.50
Dreyfus Active MidCap I	DNLRX	0.00	0.40	0.40
Dreyfus Alpha Growth A	DPWAX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
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Dreyfus Alpha Growth I	DPARX	0.00	0.40	0.40
Dreyfus Appreciation	DGAGX	0.25	0.25	0.50
Dreyfus Balanced Opportunity A	DBOAX	0.25	0.25	0.50
Dreyfus Balanced Opportunity I	DBORX	0.00	0.40	0.40
Dreyfus Basic S&P 500 Stock Index	DSPIX	0.00	0.05	0.05
Dreyfus Basic US Mortgage Securities	DIGFX	0.00	0.15	0.15
Dreyfus Bond Market Index Basic	DBIRX	0.00	0.03	0.03
Dreyfus Bond Market Index Inv	DBMIX	0.25	0.10	0.35
Dreyfus Core Equity A	DLTSX	0.25	0.25	0.50
Dreyfus Core Equity I	DPERX	0.00	0.40	0.40
Dreyfus Core Value A	DCVIX	0.25	0.25	0.50
Dreyfus Core Value I	DTCRX	0.00	0.40	0.40
Dreyfus Disciplined Stock	DDSTX	0.10	0.30	0.40
Dreyfus Discovery A	FDIDX	0.25	0.25	0.50
Dreyfus Discovery F	FDISX	0.25	0.15	0.40
Dreyfus Discovery I	FDIRX	0.00	0.40	0.40
Dreyfus Emerging Leaders	DRELX	0.25	0.15	0.40
Dreyfus Emerging Markets A	DRFMX	0.25	0.25	0.50
Dreyfus Emerging Markets I	DRPEX	0.00	0.40	0.40
Dreyfus Emerging Markets Opp A	SEOAX	0.25	0.25	0.50
Dreyfus Emerging Markets Opp I	SEORX	0.00	0.40	0.40
Dreyfus Equity Growth A	FRMAX	0.25	0.25	0.50
Dreyfus Equity Growth F	FRMUX	0.25	0.15	0.40
Dreyfus Equity Growth I	FRMRX	0.00	0.40	0.40
Dreyfus Equity Income A	DQIAX	0.25	0.25	0.50
Dreyfus Equity Income I	DQIRX	0.00	0.40	0.40
Dreyfus Global Alpha A	AVGAX	0.25	0.25	0.50
Dreyfus Global Alpha I	AVGRX	0.00	0.40	0.40
Dreyfus GNMA A	GPGAX	0.25	0.25	0.50
Dreyfus GNMA Z	DRGMX	0.20	0.15	0.35
Dreyfus Greater China A	DPCAX	0.25	0.25	0.50
Dreyfus Greater China I	DPCRX	0.00	0.40	0.40
Dreyfus Growth & Income	DGRIX	0.00	0.50	0.50
Dreyfus Health Care A	DHCAX	0.25	0.25	0.50
Dreyfus Health Care I	DHCRX	0.00	0.40	0.40
Dreyfus High Yld A	DPLTX	0.25	0.25	0.50
Dreyfus High Yld I	DLHRX	0.00	0.40	0.40
Dreyfus Inflation-Adjusted Sec Instl	DIASX	0.00	0.10	0.10
Dreyfus Inflation-Adjusted Sec Inv	DIAVX	0.25	0.10	0.35
Dreyfus Intermediate Term Inc A	DRITX	0.25	0.25	0.50
Dreyfus Intermediate Term Inc I	DITIX	0.00	0.40	0.40
Dreyfus International Bond A	DIBAX	0.25	0.25	0.50
Dreyfus International Bond I	DIBRX	0.00	0.40	0.40
Dreyfus International Eq A	DIEAX	0.25	0.25	0.50
Dreyfus International Eq I	DIERX	0.00	0.40	0.40
Dreyfus International Value A	DVLAX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Dreyfus International Value I	DIRVX	0.00	0.40	0.40
Dreyfus Intl Stock Index	DIISX	0.25	0.15	0.40
Dreyfus LifeTime Growth & Income Inv	DGIIX	0.25	0.25	0.50
Dreyfus LifeTime Growth & Income R	DGIRX	0.00	0.25	0.25
Dreyfus Mid-Cap Growth A	FRSDX	0.25	0.25	0.50
Dreyfus Mid-Cap Growth F	FRSPX	0.25	0.15	0.40
Dreyfus Mid-Cap Growth I	FRSRX	0.00	0.40	0.40
Dreyfus MidCap Index	PESPX	0.25	0.15	0.40
Dreyfus Midcap Value A	DMCVX	0.25	0.15	0.40
Dreyfus Midcap Value I	DVLIX	0.00	0.40	0.40
Dreyfus Natural Resources A	DNLAX	0.25	0.25	0.50
Dreyfus Natural Resources I	DLDRX	0.00	0.40	0.40
Dreyfus S&P 500 Index	PEOPX	0.25	0.15	0.40
Dreyfus S&P Stars A	DPPAX	0.25	0.25	0.50
Dreyfus S&P Stars I	BSSPX	0.00	0.40	0.40
Dreyfus S&P Stars Opp A	DPOAX	0.25	0.25	0.50
Dreyfus S&P Stars Opp I	DSORX	0.00	0.40	0.40
Dreyfus Short Term Income D	DSTIX	0.20	0.25	0.45
Dreyfus Short-Intermediate Government	DSIGX	0.00	0.40	0.40
Dreyfus Small Cap Equity A	DSEAX	0.25	0.25	0.50
Dreyfus Small Cap Equity I	DSERX	0.00	0.40	0.40
Dreyfus Small Cap Stock Index	DISSX	0.25	0.15	0.40
Dreyfus Small Cap Value A	DSVAX	0.25	0.25	0.50
Dreyfus Small Cap Value I	DSVRX	0.00	0.40	0.40
Dreyfus Small Company Value	DSCVX	0.25	0.15	0.40
Dreyfus Strategic Income A	DSTAX	0.00	0.25	0.25
Dreyfus Strategic Income I	DSTRX	0.00	0.40	0.40
Dreyfus Strategic Value A	DAGVX	0.25	0.25	0.50
Dreyfus Strategic Value I	DRGVX	0.00	0.40	0.40
Dreyfus Structured Midcap A	DPSAX	0.25	0.25	0.50
Dreyfus Structured Midcap I	DPSRX	0.00	0.40	0.40
Dreyfus Tax-Managed Growth A	DTMGX	0.25	0.25	0.50
Dreyfus Tax-Managed Growth I	DPTRX	0.00	0.40	0.40
Dreyfus Technology Growth A	DTGRX	0.25	0.25	0.50
Dreyfus Technology Growth I	DGVRX	0.00	0.40	0.40
Dreyfus Third Century A	DTCAx	0.25	0.25	0.50
Dreyfus Third Century I	DRTCX	0.00	0.40	0.40
Dreyfus Third Century Z	DRTHX	0.00	0.50	0.50
Dreyfus Total Return Advantage A	DTRAX	0.25	0.25	0.50
Dreyfus Total Return Advantage I	DTRRX	0.00	0.40	0.40
Dreyfus US Equity A	DPUAX	0.25	0.25	0.50
Dreyfus US Equity I	DPUIX	0.00	0.40	0.40
Dreyfus US Treasury Intermediate Term	DRGIX	0.00	0.40	0.40
Dreyfus US Treasury Long-Term	DRGBX	0.00	0.40	0.40
Dreyfus Worldwide Growth A	PGROX	0.25	0.25	0.50
Dreyfus Worldwide Growth I	DPWRX	0.00	0.40	0.40

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Dreyfus/Newton International Equity I	SNIEX	0.00	0.25	0.25
Dreyfus/Standish Fixed-Income I	SDFIX	0.00	0.15	0.15
Dreyfus/Standish International Fxd-Inc I	SDIFX	0.00	0.15	0.15
Dreyfus/The Boston Co Emerg Mkt Cor Eq I	SBCEX	0.00	0.15	0.15
Dreyfus/The Boston Co Intl Core Eq I	SDIEX	0.00	0.25	0.25
Dreyfus/The Boston Co Lg Cp Cr I	SDEQX	0.00	0.15	0.15
Dreyfus/The Boston Co Sm/Md Cp Gr I	SDSCX	0.00	0.15	0.15
Dryden Asset Allocation A	PIBAX	0.25	0.25	0.50
Dryden Asset Allocation R	PALRX	0.50	0.25	0.75
Dryden Asset Allocation Z	PABFX	0.00	0.25	0.25
Dryden Financial Svcs A	PFSAX	0.25	0.25	0.50
Dryden Financial Svcs Z	PFSZX	0.00	0.25	0.25
Dryden Global Real Estate A	PURAX	0.25	0.25	0.50
Dryden Global Real Estate R	PURRX	0.50	0.25	0.75
Dryden Global Real Estate Z	PURZX	0.00	0.25	0.25
Dryden Global Total Return A	GTRAX	0.25	0.25	0.50
Dryden Global Total Return Z	PZTRX	0.00	0.25	0.25
Dryden Government Income A	PGVAX	0.25	0.25	0.50
Dryden Government Income R	JDRVX	0.50	0.25	0.75
Dryden Government Income Z	PGVZX	0.00	0.25	0.25
Dryden High-Yield A	PBHAX	0.25	0.25	0.50
Dryden High-Yield R	JDYRX	0.50	0.25	0.75
Dryden High-Yield Z	PHYZX	0.00	0.25	0.25
Dryden International Equity A	PJRAX	0.25	0.25	0.50
Dryden International Equity Z	PJIZX	0.00	0.25	0.25
Dryden International Value A	PISAX	0.25	0.25	0.50
Dryden International Value Z	PISZX	0.00	0.25	0.25
Dryden Large Cap Core Equity A	PTMAX	0.25	0.25	0.50
Dryden Large Cap Core Equity Z	PTEZX	0.00	0.25	0.25
Dryden Mid Cap Value A	SPRAX	0.25	0.25	0.50
Dryden Mid Cap Value Z	SPVZX	0.00	0.25	0.25
Dryden Short-Term Corporate Bd A	PBSMX	0.30	0.25	0.55
Dryden Short-Term Corporate Bd R	JDTRX	0.75	0.25	1.00
Dryden Short-Term Corporate Bd Z	PIFZX	0.00	0.25	0.25
Dryden Small Cap Core Equity A	PQVAX	0.25	0.25	0.50
Dryden Small Cap Core Equity R	DCERX	0.50	0.25	0.75
Dryden Small Cap Core Equity Z	PSQZX	0.00	0.25	0.25
Dryden Small Cap Value A	PZVAX	0.25	0.25	0.50
Dryden Stock Index A	PSIAX	0.25	0.15	0.40
Dryden Stock Index Z	PSIFX	0.00	0.15	0.15
Dryden Strategic Value A	SUVAX	0.25	0.25	0.50
Dryden Strategic Value Z	SUVZX	0.00	0.25	0.25
Dryden Total Return Bond A	PDBAX	0.25	0.25	0.50
Dryden Total Return Bond R	DTBRX	0.50	0.25	0.75
Dryden Total Return Bond Z	PDBZX	0.00	0.25	0.25
DWS Alternative Asset Allc Plus Instl	AAAZX	0.00	0.10	0.10

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DWS Alternative Asset Allocation Plus A	AAAAX	0.25	0.25	0.50
DWS Alternative Asset Allocation Plus S	AAASX	0.00	0.25	0.25
DWS Balanced A	KTRAX	0.25	0.25	0.50
DWS Balanced Inst	KTRIX	0.00	0.10	0.10
DWS Balanced S	KTRSX	0.00	0.25	0.25
DWS Blue Chip A	KBCAX	0.25	0.25	0.50
DWS Blue Chip Inst	KBCIX	0.00	0.10	0.10
DWS Blue Chip S	KBCSX	0.00	0.25	0.25
DWS Capital Growth A	SDGAX	0.25	0.25	0.50
DWS Capital Growth Inst	SDGTX	0.00	0.10	0.10
DWS Capital Growth R	SDGRX	0.15	0.25	0.40
DWS Capital Growth S	SCGSX	0.00	0.25	0.25
DWS Climate Change A	WRMAX	0.25	0.25	0.50
DWS Climate Change Instl	WRMIX	0.00	0.10	0.10
DWS Climate Change S	WRMSX	0.00	0.25	0.25
DWS Commodity Securities A	SKNRX	0.25	0.25	0.50
DWS Commodity Securities Inst	SKIRX	0.00	0.10	0.10
DWS Commodity Securities S	SKSRX	0.00	0.25	0.25
DWS Communications A	TISHX	0.25	0.25	0.50
DWS Communications Inst	FLICX	0.00	0.10	0.10
DWS Core Fixed Income A	SFXAX	0.25	0.15	0.40
DWS Core Fixed Income Inst	MFINX	0.00	0.05	0.05
DWS Core Fixed Income R	SFXRX	0.50	0.15	0.65
DWS Core Fixed Income S	SFXSX	0.00	0.15	0.15
DWS Core Plus Income A	SZIAX	0.25	0.15	0.40
DWS Core Plus Income Inst	SZIIX	0.00	0.05	0.05
DWS Core Plus Income S	SCSBX	0.00	0.15	0.15
DWS Disciplined Long/Short Growth A	LSGAX	0.25	0.25	0.50
DWS Disciplined Long/Short Growth Instl	LSGTX	0.00	0.10	0.10
DWS Disciplined Long/Short Growth S	LSGFX	0.00	0.25	0.25
DWS Disciplined Market Neutral A	DDMAX	0.25	0.25	0.50
DWS Disciplined Market Neutral Instl	DDMIX	0.00	0.10	0.10
DWS Disciplined Market Neutral S	DDMSX	0.00	0.25	0.25
DWS Diversified Intl Eq A	DBISX	0.25	0.25	0.50
DWS Diversified Intl Eq Inst	MGINX	0.00	0.10	0.10
DWS Diversified Intl Eq R	DBITX	0.15	0.25	0.40
DWS Diversified Intl Eq S	DBIVX	0.00	0.25	0.25
DWS Dreman Mid Cap Value A	MIDVX	0.25	0.25	0.50
DWS Dreman Mid Cap Value I	MIDIX	0.00	0.10	0.10
DWS Dreman Mid Cap Value S	MIDTX	0.00	0.25	0.25
DWS Dreman Small Cap Value A	KDSAX	0.25	0.25	0.50
DWS Dreman Small Cap Value Instl	KDSIX	0.00	0.10	0.10
DWS Dreman Small Cap Value S	KDSSX	0.00	0.25	0.25
DWS EAFE Equity Index Inst	BTAEX	0.00	0.00	0.00
DWS Emerging Markets Equity A	SEKAX	0.25	0.25	0.50
DWS Emerging Markets Equity S	SEMGX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
DWS Emerging Markets Fixed Income A	SZEAX	0.25	0.15	0.40
DWS Emerging Markets Fixed Income S	SCEMX	0.00	0.15	0.15
DWS Equity 500 Index Inst	BTIIX	0.00	0.00	0.00
DWS Equity 500 Index S	BTIEX	0.00	0.08	0.08
DWS Europe Equity A	SERAX	0.25	0.25	0.50
DWS Europe Equity Inst	SERNX	0.00	0.10	0.10
DWS Europe Equity S	SCGEX	0.00	0.25	0.25
DWS Floating Rate Plus A	DFRAX	0.25	0.15	0.40
DWS Floating Rate Plus Instl	DFRTX	0.00	0.05	0.05
DWS Floating Rate Plus S	DFRPX	0.00	0.15	0.15
DWS Global Bond A	SZGAX	0.25	0.15	0.40
DWS Global Bond S	SSTGX	0.00	0.15	0.15
DWS Global Opportunities A	KGDAX	0.25	0.25	0.50
DWS Global Opportunities S	SGSCX	0.00	0.25	0.25
DWS Global Thematic A	SGQAX	0.25	0.25	0.50
DWS Global Thematic R	SGQRX	0.15	0.25	0.40
DWS Global Thematic S	SCOBX	0.00	0.25	0.25
DWS GNMA S	SGINX	0.00	0.15	0.15
DWS Gold & Precious Metals A	SGDAX	0.25	0.25	0.50
DWS Gold & Precious Metals S	SCGDX	0.00	0.25	0.25
DWS Growth & Income A	SUWAX	0.25	0.25	0.50
DWS Growth & Income Inst	SUWIX	0.00	0.10	0.10
DWS Growth & Income S	SCDGX	0.00	0.25	0.25
DWS Health Care A	SUHAX	0.25	0.25	0.50
DWS Health Care Instl	SUHIX	0.00	0.10	0.10
DWS Health Care S	SCHLX	0.00	0.25	0.25
DWS High Income A	KHYAX	0.25	0.15	0.40
DWS High Income Instl	KHYIX	0.00	0.05	0.05
DWS High Income Plus A	SGHAX	0.25	0.15	0.40
DWS High Income Plus Inst	MGHYX	0.00	0.05	0.05
DWS High Income Plus S	SGHSX	0.00	0.15	0.15
DWS Inflation Protected Plus A	TIPAX	0.25	0.15	0.40
DWS Inflation Protected Plus Inst	TIPIX	0.00	0.05	0.05
DWS Inflation Protected Plus S	TIPSX	0.00	0.15	0.15
DWS International A	SUIAX	0.25	0.25	0.50
DWS International Inst	SUIIX	0.00	0.10	0.10
DWS International S	SCINX	0.00	0.25	0.25
DWS International Value Opportunities S	DNVSX	0.00	0.25	0.25
DWS Large Cap Value A	KDCAX	0.25	0.25	0.50
DWS Large Cap Value Inst	KDCIX	0.00	0.10	0.10
DWS Large Cap Value S	KDCSX	0.00	0.25	0.25
DWS Large Company Growth A	SGGAX	0.25	0.25	0.50
DWS Large Company Growth Inst	SGGIX	0.00	0.10	0.10
DWS Large Company Growth S	SCQGX	0.00	0.25	0.25
DWS Latin America Equity A	SLANX	0.25	0.25	0.50
DWS Latin America Equity S	SLAFX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
DWS LifeCompass 2015 A	SPDAX	0.25	0.25	0.50
DWS LifeCompass 2015 S	SPBAX	0.00	0.25	0.25
DWS LifeCompass 2020 A	SUPAX	0.25	0.25	0.50
DWS LifeCompass 2020 S	SPGRX	0.00	0.25	0.25
DWS LifeCompass 2030 A	PLUSX	0.25	0.25	0.50
DWS LifeCompass 2030 S	PPLSX	0.00	0.25	0.25
DWS LifeCompass 2040 A	TGTAX	0.25	0.25	0.50
DWS LifeCompass 2040 S	TGTSX	0.00	0.25	0.25
DWS LifeCompass Protect 2017 A	PROAX	0.25	0.25	0.50
DWS LifeCompass Protect 2017 Instl	PROTX	0.00	0.10	0.10
DWS LifeCompass Protect 2017 S	PROSX	0.00	0.25	0.25
DWS LifeCompass Retirement A	SUCAX	0.25	0.25	0.50
DWS LifeCompass Retirement S	SCPCX	0.00	0.25	0.25
DWS Lifecycle Long Range Inst	BTAMX	0.00	0.10	0.10
DWS Lifecycle Long Range S	BTILX	0.25	0.25	0.50
DWS Mid Cap Growth A	SMCAX	0.25	0.25	0.50
DWS Mid Cap Growth Inst	BTEAX	0.00	0.10	0.10
DWS Mid Cap Growth S	SMCSX	0.00	0.25	0.25
DWS RREEF Global Real Estate Secs A	RRGAX	0.25	0.25	0.50
DWS RREEF Global Real Estate Secs I	RRGIX	0.00	0.10	0.10
DWS RREEF Global Real Estate Secs S	RRGTX	0.00	0.25	0.25
DWS RREEF Real Estate Securities A	RRRAX	0.25	0.25	0.50
DWS RREEF Real Estate Securities Inst	RRRRX	0.00	0.10	0.10
DWS RREEF Real Estate Securities R	RRRSX	0.15	0.25	0.40
DWS RREEF Real Estate Securities S	RRREX	0.00	0.25	0.25
DWS S&P 500 Index A	SXPAX	0.25	0.08	0.33
DWS S&P 500 Index S	SCPIX	0.00	0.08	0.08
DWS S&P 500 Plus A	OUTDX	0.25	0.25	0.50
DWS S&P 500 Plus R	OUTRX	0.15	0.25	0.40
DWS S&P 500 Plus S	SSFFX	0.00	0.25	0.25
DWS Short Duration A	SDUAX	0.25	0.15	0.40
DWS Short Duration Instl	MGSFX	0.00	0.05	0.05
DWS Short Duration Plus A	PPIAX	0.25	0.15	0.40
DWS Short Duration Plus S	DBPIX	0.00	0.15	0.15
DWS Short Duration Svc	SDUSX	0.00	0.15	0.15
DWS Small Cap Core A	SZCAX	0.25	0.25	0.50
DWS Small Cap Core S	SSLCX	0.00	0.25	0.25
DWS Small Cap Growth A	SSDAX	0.25	0.25	0.50
DWS Small Cap Growth Instl	SSDIX	0.00	0.10	0.10
DWS Small Cap Growth S	SSDSX	0.00	0.25	0.25
DWS Strategic Government Securities A	KUSAX	0.25	0.15	0.40
DWS Strategic Government Securities Inst	KUSIX	0.00	0.05	0.05
DWS Strategic Income A	KSTAX	0.25	0.15	0.40
DWS Strategic Income S	KSTSX	0.00	0.15	0.15
DWS Strategic Value A	KDHAX	0.00	0.25	0.25
DWS Strategic Value Instl	KDHIX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
DWS Strategic Value R	KDHRX	0.15	0.25	0.40
DWS Strategic Value S	KDHSX	0.00	0.25	0.25
DWS Technology A	KTCAX	0.25	0.25	0.50
DWS Technology Instl	KTCIX	0.00	0.10	0.10
DWS Technology S	KTCSX	0.00	0.25	0.25
DWS US Bond Index Instl	BTUSX	0.00	0.00	0.00
Essex Small/Micro Cap Growth A	MBSRX	0.25	0.25	0.50
Evergreen Adjustable Rate A	ESAAX	0.25	0.25	0.50
Evergreen Adjustable Rate Instl	EKIZX	0.00	0.15	0.15
Evergreen Adjustable Rate Instl Svc	ESARX	0.25	0.25	0.50
Evergreen Asset Allocation A	EAAFX	0.25	0.25	0.50
Evergreen Asset Allocation I	EAIFX	0.00	0.15	0.15
Evergreen Asset Allocation R	EAXFX	0.50	0.25	0.75
Evergreen Core Bond A	ESBAX	0.25	0.25	0.50
Evergreen Core Bond Instl	ESBIX	0.00	0.15	0.15
Evergreen Core Bond Instl Svc	ESBSX	0.25	0.25	0.50
Evergreen Core Bond R	ESBRX	0.50	0.25	0.75
Evergreen Core Plus Bond A	EKDLX	0.25	0.25	0.50
Evergreen Core Plus Bond I	EKDYG	0.00	0.15	0.15
Evergreen Disciplined Value A	EDSAX	0.25	0.25	0.50
Evergreen Disciplined Value I	EDSIX	0.00	0.15	0.15
Evergreen Diversified Capital Builder A	EKBAX	0.25	0.25	0.50
Evergreen Diversified Capital Builder I	EKBYX	0.00	0.15	0.15
Evergreen Diversified Income Builder A	EKSAX	0.25	0.25	0.50
Evergreen Diversified Income Builder I	EKSYX	0.00	0.15	0.15
Evergreen Emerging Markets Growth A	EMGAX	0.25	0.25	0.50
Evergreen Emerging Markets Growth I	EMGYX	0.00	0.15	0.15
Evergreen Enhanced S&P 500 A	EVSAX	0.25	0.25	0.50
Evergreen Enhanced S&P 500 I	EVSIX	0.00	0.15	0.15
Evergreen Enhanced S&P 500 InSvc	EVSSX	0.25	0.25	0.50
Evergreen Equity Income A	ETRAX	0.25	0.25	0.50
Evergreen Equity Income I	EVTRX	0.00	0.15	0.15
Evergreen Equity Income R	ETRRX	0.50	0.25	0.75
Evergreen Equity Index A	ESINX	0.25	0.25	0.50
Evergreen Equity Index Instl	EVIIX	0.00	0.15	0.15
Evergreen Equity Index Instl Svc	EVISX	0.25	0.25	0.50
Evergreen Fundamental Large Cap A	EGIAX	0.25	0.25	0.50
Evergreen Fundamental Large Cap I	EVVTX	0.00	0.15	0.15
Evergreen Global Large Cap Equity A	EAGLX	0.25	0.25	0.50
Evergreen Global Large Cap Equity I	EYGLX	0.00	0.15	0.15
Evergreen Global Opportunities A	EKGAX	0.25	0.25	0.50
Evergreen Global Opportunities I	EKGIX	0.00	0.15	0.15
Evergreen Golden Core Opp A	ECOAX	0.25	0.25	0.50
Evergreen Growth A	EGWAX	0.25	0.25	0.50
Evergreen Growth I	EGRYX	0.00	0.15	0.15
Evergreen Health Care A	EHABX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Evergreen Health Care I	EHCYX	0.00	0.15	0.15
Evergreen High Income A	EKHAX	0.25	0.25	0.50
Evergreen High Income I	EKHYY	0.00	0.15	0.15
Evergreen International Bond A	ESIYX	0.25	0.25	0.50
Evergreen International Bond Instl	ESICX	0.00	0.15	0.15
Evergreen International Bond Instl Svc	ESIBX	0.25	0.25	0.50
Evergreen International Equity A	EKZAX	0.25	0.25	0.50
Evergreen International Equity I	EKZYX	0.00	0.15	0.15
Evergreen International Equity R	EKZRX	0.50	0.25	0.75
Evergreen Intrinsic Value I	EIVIX	0.00	0.15	0.15
Evergreen Large Company Growth A	EKJAX	0.25	0.25	0.50
Evergreen Large Company Growth I	EKJYX	0.00	0.15	0.15
Evergreen Mid Cap Growth A	EKAAX	0.25	0.25	0.50
Evergreen Mid Cap Growth I	EKAYX	0.00	0.15	0.15
Evergreen Municipal Bond A	EKEAX	0.25	0.25	0.50
Evergreen Omega A	EKOAX	0.25	0.25	0.50
Evergreen Omega I	EOMYX	0.00	0.15	0.15
Evergreen Omega R	EKORX	0.50	0.25	0.75
Evergreen Precious Metals A	EKWAX	0.25	0.25	0.50
Evergreen Precious Metals I	EKWYX	0.00	0.15	0.15
Evergreen Shrt-Interm Bond A	EFXAX	0.25	0.25	0.50
Evergreen Shrt-Interm Bond I	ESFIX	0.00	0.15	0.15
Evergreen Shrt-Interm Bond IS	EFISX	0.25	0.25	0.50
Evergreen Small Cap Value A	ESKAX	0.25	0.25	0.50
Evergreen Small Cap Value I	ESKIX	0.00	0.15	0.15
Evergreen Special Values A	ESPAX	0.25	0.25	0.50
Evergreen Special Values I	ESPIX	0.00	0.15	0.15
Evergreen Special Values R	ESPRX	0.50	0.25	0.75
Evergreen Strategic Growth A	ESGAX	0.25	0.25	0.50
Evergreen Strategic Growth Instl	ESGIX	0.00	0.15	0.15
Evergreen Strategic Growth InSvc	ESGSX	0.25	0.25	0.50
Evergreen Strategic Growth R	ESGRX	0.50	0.25	0.75
Evergreen US Government A	EUSAX	0.25	0.25	0.50
Evergreen US Government I	EUSYX	0.00	0.15	0.15
Evergreen Utility & Telecom A	EVUAX	0.25	0.25	0.50
Evergreen Utility & Telecom I	EVUYX	0.00	0.15	0.15
FDP Ser Van Kampen Value FDP Instl	MAVVX	0.00	0.15	0.15
Federated Bond A	FDBAX	0.25	0.15	0.40
Federated Capital Appreciation A	FEDEX	0.25	0.30	0.55
Federated Capital Income A	CAPAX	0.25	0.30	0.55
Federated Clover Mid Value A	VFMAX	0.05	0.15	0.20
Federated Clover Small Value A	VSFAX	0.05	0.15	0.20
Federated Clover Value A	VFCAX	0.05	0.15	0.20
Federated Equity-Income A	LEIFX	0.25	0.30	0.55
Federated High-Income Bond A	FHIIX	0.25	0.15	0.40
Federated InterContinental A	RIMAX	0.05	0.15	0.20

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Federated International Bond A	FTIIX	0.25	0.10	0.35
Federated International Small-Mid Co A	ISCAX	0.25	0.30	0.55
Federated Kaufmann A	KAUAX	0.25	0.30	0.55
Federated Kaufmann Large Cap A	KLCAX	0.25	0.15	0.40
Federated Kaufmann Small Cap A	FKASX	0.25	0.30	0.55
Federated Market Opportunity A	FMAAX	0.25	0.30	0.55
Federated MDT All Cap Core A	QAACX	0.25	0.25	0.50
Federated MDT All Cap Core Institutional	QIACX	0.00	0.25	0.25
Federated MDT Balanced A	QABGX	0.25	0.25	0.50
Federated MDT Balanced Institutional	QIBGX	0.00	0.25	0.25
Federated MDT Large Cap Growth A	QALGX	0.25	0.25	0.50
Federated MDT Large Cap Growth Inst	QILGX	0.00	0.25	0.25
Federated MDT Mid Cap Growth A	QAMGX	0.25	0.25	0.50
Federated MDT Mid Cap Growth Inst	QIMGX	0.00	0.25	0.25
Federated MDT Small Cap Core A	QASCX	0.25	0.25	0.50
Federated MDT Small Cap Core Inst	QISCX	0.00	0.25	0.25
Federated MDT Small Cap Growth A	QASGX	0.25	0.25	0.50
Federated MDT Small Cap Growth Inst	QISGX	0.00	0.25	0.25
Federated MDT Small Cap Value A	QASVX	0.25	0.25	0.50
Federated MDT Small Cap Value Inst	QISVX	0.00	0.25	0.25
Federated Mid Cap Growth Strategies A	FGSAX	0.25	0.15	0.40
Federated Prudent Bear A	BEARX	0.05	0.15	0.20
Federated Stock	FSTKX	0.25	0.15	0.40
Federated Stock & Bond A	FSTBX	0.25	0.30	0.55
Federated Strategic Value A	SVAAX	0.25	0.15	0.40
Federated Total Return Bond A	TLRAX	0.25	0.15	0.40
Federated Total Return Bond Instl	FTRBX	0.00	0.05	0.05
Federated Total Return Bond Instl Svc	FTRFX	0.00	0.30	0.30
Fidelity	FFIDX	0.00	0.25	0.25
Fidelity Advisor Asset Manager 20% A	FTAWX	0.25	0.15	0.40
Fidelity Advisor Asset Manager 20% I	FTIWX	0.00	0.15	0.15
Fidelity Advisor Asset Manager 20% T	FTDWX	0.50	0.15	0.65
Fidelity Advisor Asset Manager 50% A	FFAMX	0.25	0.15	0.40
Fidelity Advisor Asset Manager 50% I	FFIMX	0.00	0.15	0.15
Fidelity Advisor Asset Manager 50% T	FFTMX	0.50	0.15	0.65
Fidelity Advisor Asset Manager 85% A	FEYAX	0.25	0.15	0.40
Fidelity Advisor Asset Manager 85% I	FEYIX	0.00	0.15	0.15
Fidelity Advisor Asset Manager 85% T	FEYTX	0.50	0.15	0.65
Fidelity Advisor Balanced A	FABLX	0.25	0.15	0.40
Fidelity Advisor Balanced I	FAIOX	0.00	0.15	0.15
Fidelity Advisor Balanced T	FAIGX	0.50	0.15	0.65
Fidelity Advisor Biotechnology A	FBTAX	0.25	0.15	0.40
Fidelity Advisor Biotechnology I	FBTIX	0.00	0.15	0.15
Fidelity Advisor Biotechnology T	FBTTX	0.50	0.15	0.65
Fidelity Advisor Capital Development A	FDTTX	0.00	0.15	0.15
Fidelity Advisor Capital Development O	FDETX	0.00	0.15	0.15

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Fidelity Advisor China Region A	FHKAX	0.25	0.15	0.40
Fidelity Advisor China Region Inst	FHKIX	0.00	0.15	0.15
Fidelity Advisor China Region T	FHKTX	0.50	0.15	0.65
Fidelity Advisor Communications Equip A	FDMAX	0.25	0.15	0.40
Fidelity Advisor Communications Equip I	FDMIX	0.00	0.15	0.15
Fidelity Advisor Communications Equip T	FDMTX	0.50	0.15	0.65
Fidelity Advisor Consumer Discret A	FCNAX	0.25	0.15	0.40
Fidelity Advisor Consumer Discret I	FCNIX	0.00	0.15	0.15
Fidelity Advisor Consumer Discret T	FACPX	0.50	0.15	0.65
Fidelity Advisor Diversified Intl A	FDVAX	0.25	0.15	0.40
Fidelity Advisor Diversified Intl I	FDVIX	0.00	0.15	0.15
Fidelity Advisor Diversified Intl T	FADIX	0.50	0.15	0.65
Fidelity Advisor Diversified Stock A	FDTOX	0.00	0.15	0.15
Fidelity Advisor Diversified Stock O	FDESX	0.00	0.15	0.15
Fidelity Advisor Dividend Growth A	FADAX	0.25	0.15	0.40
Fidelity Advisor Dividend Growth I	FDGIX	0.00	0.15	0.15
Fidelity Advisor Dividend Growth T	FDGTX	0.50	0.15	0.65
Fidelity Advisor Dynamic Cap App A	FARAX	0.25	0.15	0.40
Fidelity Advisor Dynamic Cap App I	FDCIX	0.00	0.15	0.15
Fidelity Advisor Dynamic Cap App T	FRGTX	0.50	0.15	0.65
Fidelity Advisor Electronics A	FELAX	0.25	0.15	0.40
Fidelity Advisor Electronics I	FELIX	0.00	0.15	0.15
Fidelity Advisor Electronics T	FELTX	0.50	0.15	0.65
Fidelity Advisor EMEA A	FMEAX	0.25	0.15	0.40
Fidelity Advisor EMEA Inst	FIEMX	0.00	0.15	0.15
Fidelity Advisor EMEA T	FEMTX	0.50	0.15	0.65
Fidelity Advisor Emerging Asia A	FEAAX	0.25	0.15	0.40
Fidelity Advisor Emerging Asia I	FERIX	0.00	0.15	0.15
Fidelity Advisor Emerging Asia T	FEATX	0.50	0.15	0.65
Fidelity Advisor Emerging Markets A	FAMKX	0.25	0.15	0.40
Fidelity Advisor Emerging Markets I	FIMKX	0.00	0.15	0.15
Fidelity Advisor Emerging Markets Inc A	FMKAX	0.25	0.15	0.40
Fidelity Advisor Emerging Markets Inc I	FMKIX	0.00	0.15	0.15
Fidelity Advisor Emerging Markets Inc T	FAEMX	0.50	0.15	0.65
Fidelity Advisor Emerging Markets T	FTMKX	0.50	0.15	0.65
Fidelity Advisor Energy A	FANAX	0.25	0.15	0.40
Fidelity Advisor Energy I	FANIX	0.00	0.15	0.15
Fidelity Advisor Energy T	FAGNX	0.50	0.15	0.65
Fidelity Advisor Equity Growth A	EPGAX	0.25	0.15	0.40
Fidelity Advisor Equity Growth I	EQPGX	0.00	0.15	0.15
Fidelity Advisor Equity Growth T	FAEGX	0.50	0.15	0.65
Fidelity Advisor Equity Income A	FEIAX	0.25	0.15	0.40
Fidelity Advisor Equity Income I	EQPIX	0.00	0.15	0.15
Fidelity Advisor Equity Income T	FEIRX	0.50	0.15	0.65
Fidelity Advisor Equity Value A	FAVAX	0.25	0.15	0.40
Fidelity Advisor Equity Value I	FAIVX	0.00	0.15	0.15

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Fidelity Advisor Equity Value T	FAVTX	0.50	0.15	0.65
Fidelity Advisor Europe Cap Apprec A	FAEAX	0.25	0.15	0.40
Fidelity Advisor Europe Cap Apprec I	FIEAX	0.00	0.15	0.15
Fidelity Advisor Europe Cap Apprec T	FAECX	0.50	0.15	0.65
Fidelity Advisor Fifty A	FFYAX	0.25	0.15	0.40
Fidelity Advisor Fifty I	FFYIX	0.00	0.15	0.15
Fidelity Advisor Fifty T	FFYTX	0.50	0.15	0.65
Fidelity Advisor Financial Services A	FAFDX	0.25	0.15	0.40
Fidelity Advisor Financial Services I	FFSIX	0.00	0.15	0.15
Fidelity Advisor Financial Services T	FAFSX	0.50	0.15	0.65
Fidelity Advisor Float Rate Hi Inc A	FFRAX	0.15	0.15	0.30
Fidelity Advisor Float Rate Hi Inc I	FFRIX	0.00	0.15	0.15
Fidelity Advisor Float Rate Hi Inc T	FFRTX	0.25	0.15	0.40
Fidelity Advisor Freedom 2005 A	FFAVX	0.25	0.15	0.40
Fidelity Advisor Freedom 2005 I	FFIVX	0.00	0.15	0.15
Fidelity Advisor Freedom 2005 T	FFTVX	0.50	0.15	0.65
Fidelity Advisor Freedom 2010 A	FACFX	0.25	0.15	0.40
Fidelity Advisor Freedom 2010 I	FCIFX	0.00	0.15	0.15
Fidelity Advisor Freedom 2010 T	FCFTX	0.50	0.15	0.65
Fidelity Advisor Freedom 2015 A	FFVAX	0.25	0.15	0.40
Fidelity Advisor Freedom 2015 I	FFVIX	0.00	0.15	0.15
Fidelity Advisor Freedom 2015 T	FFVTX	0.50	0.15	0.65
Fidelity Advisor Freedom 2020 A	FDAFX	0.25	0.15	0.40
Fidelity Advisor Freedom 2020 I	FDIFX	0.00	0.15	0.15
Fidelity Advisor Freedom 2020 T	FDTFX	0.50	0.15	0.65
Fidelity Advisor Freedom 2025 A	FATWX	0.25	0.15	0.40
Fidelity Advisor Freedom 2025 I	FITWX	0.00	0.15	0.15
Fidelity Advisor Freedom 2025 T	FTTWX	0.50	0.15	0.65
Fidelity Advisor Freedom 2030 A	FAFEX	0.25	0.15	0.40
Fidelity Advisor Freedom 2030 I	FEFIX	0.00	0.15	0.15
Fidelity Advisor Freedom 2030 T	FTFEX	0.50	0.15	0.65
Fidelity Advisor Freedom 2035 A	FATHX	0.25	0.15	0.40
Fidelity Advisor Freedom 2035 I	FITHX	0.00	0.15	0.15
Fidelity Advisor Freedom 2035 T	FTTHX	0.50	0.15	0.65
Fidelity Advisor Freedom 2040 A	FAFFX	0.25	0.15	0.40
Fidelity Advisor Freedom 2040 I	FIFFX	0.00	0.15	0.15
Fidelity Advisor Freedom 2040 T	FTFFX	0.50	0.15	0.65
Fidelity Advisor Freedom 2045 A	FFFZX	0.25	0.15	0.40
Fidelity Advisor Freedom 2045 I	FFFIX	0.00	0.15	0.15
Fidelity Advisor Freedom 2045 T	FFFTX	0.50	0.15	0.65
Fidelity Advisor Freedom 2050 A	FFFLX	0.25	0.15	0.40
Fidelity Advisor Freedom 2050 I	FFFPX	0.00	0.15	0.15
Fidelity Advisor Freedom Inc A	FAFAX	0.25	0.15	0.40
Fidelity Advisor Freedom Inc I	FIAFX	0.00	0.15	0.15
Fidelity Advisor Freedom Inc T	FTAFX	0.50	0.15	0.65
Fidelity Advisor Global Capital Apprec A	FGEAX	0.25	0.15	0.40

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	Ticker			
Fidelity Advisor Global Capital Apprec I	FEUIX	0.00	0.15	0.15
Fidelity Advisor Global Capital Apprec T	FGETX	0.50	0.15	0.65
Fidelity Advisor Gold A	FGDAX	0.00	0.15	0.15
Fidelity Advisor Growth & Income A	FGIRX	0.25	0.15	0.40
Fidelity Advisor Growth & Income I	FGIOX	0.00	0.15	0.15
Fidelity Advisor Growth & Income T	FGITX	0.50	0.15	0.65
Fidelity Advisor Growth Opportunities A	FAGAX	0.25	0.15	0.40
Fidelity Advisor Growth Opportunities I	FAGCX	0.00	0.15	0.15
Fidelity Advisor Growth Opportunities T	FAGOX	0.50	0.15	0.65
Fidelity Advisor Growth Strategies A	FGVAX	0.25	0.15	0.40
Fidelity Advisor Growth Strategies I	FRVIX	0.00	0.15	0.15
Fidelity Advisor Growth Strategies T	FGVTX	0.50	0.15	0.65
Fidelity Advisor Health Care A	FACDX	0.25	0.15	0.40
Fidelity Advisor Health Care I	FHCIX	0.00	0.15	0.15
Fidelity Advisor Health Care T	FACTX	0.50	0.15	0.65
Fidelity Advisor High Income A	FHIAX	0.25	0.15	0.40
Fidelity Advisor High Income Advantage A	FAHDX	0.25	0.15	0.40
Fidelity Advisor High Income Advantage I	FAHCX	0.00	0.15	0.15
Fidelity Advisor High Income Advantage T	FAHYX	0.50	0.15	0.65
Fidelity Advisor High Income I	FHNIX	0.00	0.15	0.15
Fidelity Advisor High Income T	FHITX	0.50	0.15	0.65
Fidelity Advisor Industrials A	FCLAX	0.25	0.15	0.40
Fidelity Advisor Industrials I	FCLIX	0.00	0.15	0.15
Fidelity Advisor Industrials T	FCLTX	0.50	0.15	0.65
Fidelity Advisor Infl-Prot Bond A	FIPAX	0.25	0.15	0.40
Fidelity Advisor Infl-Prot Bond I	FIPIX	0.00	0.15	0.15
Fidelity Advisor Infl-Prot Bond T	FIPTX	0.50	0.15	0.65
Fidelity Advisor Intermediate Bond A	FDIAX	0.25	0.15	0.40
Fidelity Advisor Intermediate Bond I	EFIPX	0.00	0.15	0.15
Fidelity Advisor Intermediate Bond T	FTBRX	0.50	0.15	0.65
Fidelity Advisor Intl Capital App A	FCPAX	0.25	0.15	0.40
Fidelity Advisor Intl Capital App I	FCPIX	0.00	0.15	0.15
Fidelity Advisor Intl Capital App T	FIATX	0.50	0.15	0.65
Fidelity Advisor Intl Discovery A	FAIDX	0.25	0.15	0.40
Fidelity Advisor Intl Discovery I	FIADX	0.00	0.15	0.15
Fidelity Advisor Intl Discovery T	FTADX	0.50	0.15	0.65
Fidelity Advisor Intl Sm Cap Opp A	FOPAX	0.25	0.15	0.40
Fidelity Advisor Intl Sm Cap Opp I	FOPIX	0.00	0.15	0.15
Fidelity Advisor Intl Sm Cap Opp T	FOPTX	0.50	0.15	0.65
Fidelity Advisor Intl Small Cap A	FIASX	0.25	0.15	0.40
Fidelity Advisor Intl Small Cap I	FIXIX	0.00	0.15	0.15
Fidelity Advisor Intl Small Cap T	FTISX	0.50	0.15	0.65
Fidelity Advisor Investment Gr Bd A	FGBAX	0.25	0.15	0.40
Fidelity Advisor Investment Gr Bd I	FGBPX	0.00	0.15	0.15
Fidelity Advisor Investment Gr Bd T	FGBTX	0.50	0.15	0.65
Fidelity Advisor Japan A	FJPAX	0.25	0.15	0.40

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	Ticker			
Fidelity Advisor Japan I	FAJIX	0.00	0.15	0.15
Fidelity Advisor Japan T	FAJTX	0.50	0.15	0.65
Fidelity Advisor Large Cap A	FALAX	0.25	0.15	0.40
Fidelity Advisor Large Cap I	FALIX	0.00	0.15	0.15
Fidelity Advisor Large Cap T	FALGX	0.50	0.15	0.65
Fidelity Advisor Large Cap Value A	FLUAX	0.25	0.15	0.40
Fidelity Advisor Latin America A	FLTAX	0.25	0.15	0.40
Fidelity Advisor Latin America I	FLNIX	0.00	0.15	0.15
Fidelity Advisor Latin America T	FLTTX	0.50	0.15	0.65
Fidelity Advisor Leveraged Co Stk A	FLSAX	0.25	0.15	0.40
Fidelity Advisor Leveraged Co Stk I	FLVIX	0.00	0.15	0.15
Fidelity Advisor Leveraged Co Stk T	FLSTX	0.50	0.15	0.65
Fidelity Advisor Mega Cap Stock A	FGTAX	0.25	0.15	0.40
Fidelity Advisor Mega Cap Stock I	FTRIX	0.00	0.15	0.15
Fidelity Advisor Mega Cap Stock T	FTGRX	0.50	0.15	0.65
Fidelity Advisor Mid Cap A	FMCDX	0.25	0.15	0.40
Fidelity Advisor Mid Cap I	FMCCX	0.00	0.15	0.15
Fidelity Advisor Mid Cap T	FMCAx	0.50	0.15	0.65
Fidelity Advisor Mortgage Securities A	FMGAX	0.25	0.15	0.40
Fidelity Advisor Mortgage Securities I	FMSCX	0.00	0.15	0.15
Fidelity Advisor Mortgage Securities T	FMSAX	0.50	0.15	0.65
Fidelity Advisor New Insights A	FNIAx	0.25	0.15	0.40
Fidelity Advisor New Insights I	FINSX	0.00	0.15	0.15
Fidelity Advisor New Insights T	FNITX	0.50	0.15	0.65
Fidelity Advisor Overseas A	FAOAX	0.25	0.15	0.40
Fidelity Advisor Overseas I	FAOIX	0.00	0.15	0.15
Fidelity Advisor Overseas T	FAERX	0.50	0.15	0.65
Fidelity Advisor Real Estate A	FHEAX	0.25	0.15	0.40
Fidelity Advisor Real Estate I	FHEIX	0.00	0.15	0.15
Fidelity Advisor Real Estate T	FHETX	0.50	0.15	0.65
Fidelity Advisor Short Fxd-Inc A	FSFAX	0.25	0.15	0.40
Fidelity Advisor Short Fxd-Inc I	FSXIX	0.00	0.15	0.15
Fidelity Advisor Short Fxd-Inc T	FASFX	0.50	0.15	0.65
Fidelity Advisor Small Cap A	FSCDX	0.25	0.15	0.40
Fidelity Advisor Small Cap Growth A	FCAGX	0.25	0.15	0.40
Fidelity Advisor Small Cap Growth I	FCIGX	0.00	0.15	0.15
Fidelity Advisor Small Cap Growth T	FCTGX	0.50	0.15	0.65
Fidelity Advisor Small Cap I	FSCIX	0.00	0.15	0.15
Fidelity Advisor Small Cap T	FSCTX	0.50	0.15	0.65
Fidelity Advisor Small Cap Value A	FCVAX	0.25	0.15	0.40
Fidelity Advisor Small Cap Value I	FCVIX	0.00	0.15	0.15
Fidelity Advisor Small Cap Value T	FCVTX	0.50	0.15	0.65
Fidelity Advisor Strategic Div & Inc A	FASDX	0.25	0.15	0.40
Fidelity Advisor Strategic Div & Inc I	FSIDX	0.00	0.15	0.15
Fidelity Advisor Strategic Div & Inc T	FTSDX	0.50	0.15	0.65
Fidelity Advisor Strategic Growth A	FTQAX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Fidelity Advisor Strategic Growth I	FTQIX	0.00	0.15	0.15
Fidelity Advisor Strategic Growth T	FTQTX	0.50	0.15	0.65
Fidelity Advisor Strategic Income A	FSTAX	0.25	0.15	0.40
Fidelity Advisor Strategic Income I	FSRIX	0.00	0.15	0.15
Fidelity Advisor Strategic Income T	FSIAX	0.50	0.15	0.65
Fidelity Advisor Strategic Real Return A	FSRAX	0.25	0.15	0.40
Fidelity Advisor Strategic Real Return I	FSIRX	0.00	0.15	0.15
Fidelity Advisor Strategic Real Return T	FSRTX	0.50	0.15	0.65
Fidelity Advisor Technology A	FADTX	0.25	0.15	0.40
Fidelity Advisor Technology I	FATIX	0.00	0.15	0.15
Fidelity Advisor Technology T	FATEX	0.50	0.15	0.65
Fidelity Advisor Total Bond A	FEPAX	0.15	0.15	0.30
Fidelity Advisor Total Bond I	FEPIX	0.00	0.15	0.15
Fidelity Advisor Total Bond T	FEPTX	0.25	0.15	0.40
Fidelity Advisor Ultra Short Bond A	FUBAX	0.15	0.15	0.30
Fidelity Advisor Ultra Short Bond I	FUBIX	0.00	0.15	0.15
Fidelity Advisor Ultra Short Bond T	FTUSX	0.15	0.15	0.30
Fidelity Advisor Utilities A	FUGAX	0.25	0.15	0.40
Fidelity Advisor Utilities I	FUGIX	0.00	0.15	0.15
Fidelity Advisor Utilities T	FAUFX	0.50	0.15	0.65
Fidelity Advisor Value A	FAVFX	0.25	0.15	0.40
Fidelity Advisor Value I	FVIFX	0.00	0.15	0.15
Fidelity Advisor Value Leaders A	FVLAX	0.25	0.15	0.40
Fidelity Advisor Value Leaders I	FVLIX	0.00	0.15	0.15
Fidelity Advisor Value Leaders T	FVLTX	0.50	0.15	0.65
Fidelity Advisor Value Strategies A	FSOAX	0.25	0.15	0.40
Fidelity Advisor Value Strategies I	FASOX	0.00	0.15	0.15
Fidelity Advisor Value Strategies T	FASPX	0.50	0.15	0.65
Fidelity Advisor Value T	FTVFX	0.50	0.15	0.65
Fidelity Asset Manager 20%	FASIX	0.00	0.25	0.25
Fidelity Asset Manager 50%	FASMIX	0.00	0.25	0.25
Fidelity Asset Manager 70%	FASGX	0.00	0.25	0.25
Fidelity Asset Manager 85%	FAMRX	0.00	0.25	0.25
Fidelity Balanced	FBALX	0.00	0.25	0.25
Fidelity Blue Chip Growth	FBGRX	0.00	0.25	0.25
Fidelity Blue Chip Value	FBCVX	0.00	0.25	0.25
Fidelity Canada	FICDX	0.00	0.25	0.25
Fidelity Capital & Income	FAGIX	0.00	0.10	0.10
Fidelity Capital Appreciation	FDCAX	0.00	0.25	0.25
Fidelity China Region	FHKCX	0.00	0.25	0.25
Fidelity Congress Street	CNGRX	0.00	0.00	0.00
Fidelity Contrafund	FCNTX	0.00	0.25	0.25
Fidelity Convertible Securities	FCVSX	0.00	0.10	0.10
Fidelity Disciplined Equity	FDEQX	0.00	0.25	0.25
Fidelity Diversified International	FDIVX	0.00	0.25	0.25
Fidelity Dividend Growth	FDGFX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Fidelity Emerging Markets	FEMKX	0.00	0.25	0.25
Fidelity Equity-Income	FEQIX	0.00	0.25	0.25
Fidelity Equity-Income II	FEQTX	0.00	0.25	0.25
Fidelity Europe	FIEUX	0.00	0.25	0.25
Fidelity Europe Capital Appreciation	FECAX	0.00	0.25	0.25
Fidelity Exchange	FDLEX	0.00	0.00	0.00
Fidelity Export & Multinational	FEXPX	0.00	0.25	0.25
Fidelity Fifty	FFTYX	0.00	0.25	0.25
Fidelity Floating Rate High Income	FFRHX	0.00	0.10	0.10
Fidelity Focused High Income	FHIFX	0.00	0.10	0.10
Fidelity Focused Stock	FTQGX	0.00	0.25	0.25
Fidelity Four-in-One Index	FFNOX	0.00	0.00	0.00
Fidelity Freedom 2000	FFFBX	0.00	0.25	0.25
Fidelity Freedom 2005	FFVFX	0.00	0.25	0.25
Fidelity Freedom 2010	FFFCX	0.00	0.25	0.25
Fidelity Freedom 2015	FFVFX	0.00	0.25	0.25
Fidelity Freedom 2020	FFFDX	0.00	0.25	0.25
Fidelity Freedom 2025	FFTWX	0.00	0.25	0.25
Fidelity Freedom 2030	FFFEX	0.00	0.25	0.25
Fidelity Freedom 2035	FFTHX	0.00	0.25	0.25
Fidelity Freedom 2040	FFFFX	0.00	0.25	0.25
Fidelity Freedom 2050	FFFHX	0.00	0.25	0.25
Fidelity Freedom Income	FFFAX	0.00	0.25	0.25
Fidelity Global Balanced	FGBLX	0.00	0.25	0.25
Fidelity GNMA Fund	FGMNX	0.00	0.10	0.10
Fidelity Government Income	FGOVX	0.00	0.10	0.10
Fidelity Growth & Income	FGRIX	0.00	0.25	0.25
Fidelity Growth Company	FDGRX	0.00	0.25	0.25
Fidelity Growth Discovery	FDSVX	0.00	0.25	0.25
Fidelity Growth Strategies	FDEGX	0.00	0.25	0.25
Fidelity High Income	SPHIX	0.00	0.10	0.10
Fidelity Independence	FDDFX	0.00	0.25	0.25
Fidelity Inflation-Protected Bond	FINPX	0.00	0.10	0.10
Fidelity Instl Shrt-Interm Govt	FFXSX	0.00	0.10	0.10
Fidelity Intermediate Bond	FTHRXX	0.00	0.10	0.10
Fidelity Intermediate Government Inc	FSTGX	0.00	0.10	0.10
Fidelity International Capital Apprec	FIVFX	0.00	0.25	0.25
Fidelity International Discovery	FIGRX	0.00	0.25	0.25
Fidelity International Real Estate	FIREX	0.00	0.25	0.25
Fidelity International Small Cap	FISMXX	0.00	0.25	0.25
Fidelity International Small Cap Opp	FSCOX	0.00	0.25	0.25
Fidelity International Value	FIVLX	0.00	0.25	0.25
Fidelity Investment Grade Bond	FBNDX	0.00	0.10	0.10
Fidelity Japan	FJPNX	0.00	0.25	0.25
Fidelity Japan Smaller Companies	FJSCX	0.00	0.25	0.25
Fidelity Large Cap Growth	FSLGX	0.00	0.25	0.25

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	Ticker			
Fidelity Large Cap Stock	FLCSX	0.00	0.25	0.25
Fidelity Large Cap Value	FSLVX	0.00	0.25	0.25
Fidelity Latin America	FLATX	0.00	0.25	0.25
Fidelity Leveraged Company Stock	FLVCX	0.00	0.25	0.25
Fidelity Low-Priced Stock	FLPSX	0.00	0.25	0.25
Fidelity Magellan	FMAGX	0.00	0.25	0.25
Fidelity Mega Cap Stock	FGRTX	0.00	0.25	0.25
Fidelity Mid Cap Growth	FSMGX	0.00	0.25	0.25
Fidelity Mid Cap Value	FSMVX	0.00	0.25	0.25
Fidelity Mid-Cap Stock	FMCSX	0.00	0.25	0.25
Fidelity Mortgage Secs	FMSFX	0.00	0.10	0.10
Fidelity Nasdaq Composite Index	FNCMX	0.00	0.00	0.00
Fidelity New Markets Income	FN MIX	0.00	0.10	0.10
Fidelity New Millennium	FMILX	0.00	0.25	0.25
Fidelity Nordic	FNORX	0.00	0.25	0.25
Fidelity OTC	FOCPX	0.00	0.25	0.25
Fidelity Overseas	FOSFX	0.00	0.25	0.25
Fidelity Pacific Basin	FPBFX	0.00	0.25	0.25
Fidelity Puritan	FPURX	0.00	0.25	0.25
Fidelity Real Estate Income	FRIFX	0.00	0.25	0.25
Fidelity Real Estate Investment	FRESX	0.00	0.25	0.25
Fidelity Select Air Transportation	FSAIX	0.00	0.25	0.25
Fidelity Select Automotive	FS AVX	0.00	0.25	0.25
Fidelity Select Banking	FSRBX	0.00	0.25	0.25
Fidelity Select Biotechnology	FBIOX	0.00	0.25	0.25
Fidelity Select Brokerage & Invmt Mgmt	FSLBX	0.00	0.25	0.25
Fidelity Select Chemicals	FSCHX	0.00	0.25	0.25
Fidelity Select Communications Equip	FSDCX	0.00	0.25	0.25
Fidelity Select Computers	FDCPX	0.00	0.25	0.25
Fidelity Select Construction & Housing	FSHOX	0.00	0.25	0.25
Fidelity Select Consumer Discretionary	FSCPX	0.00	0.25	0.25
Fidelity Select Consumer Staples	FD FAX	0.00	0.25	0.25
Fidelity Select Defense & Aerospace	FSDAX	0.00	0.25	0.25
Fidelity Select Electronics	FSELX	0.00	0.25	0.25
Fidelity Select Energy	FSENX	0.00	0.25	0.25
Fidelity Select Energy Service	FSESX	0.00	0.25	0.25
Fidelity Select Environmental	FSLEX	0.00	0.25	0.25
Fidelity Select Financial Services	FIDSX	0.00	0.25	0.25
Fidelity Select Gold	FSAGX	0.00	0.25	0.25
Fidelity Select Health Care	FSPHX	0.00	0.25	0.25
Fidelity Select Home Finance	FSVLX	0.00	0.25	0.25
Fidelity Select Industrial Equipment	FSCGX	0.00	0.25	0.25
Fidelity Select Industrials	FCYIX	0.00	0.25	0.25
Fidelity Select Insurance	FSPCX	0.00	0.25	0.25
Fidelity Select IT Services	FBSOX	0.00	0.25	0.25
Fidelity Select Leisure	FDLSX	0.00	0.25	0.25

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	Ticker			
Fidelity Select Materials	FSDPX	0.00	0.25	0.25
Fidelity Select Medical Delivery	FSHCX	0.00	0.25	0.25
Fidelity Select Medical Equip & Systems	FSMEX	0.00	0.25	0.25
Fidelity Select Multimedia	FBMPX	0.00	0.25	0.25
Fidelity Select Natural Gas	FSNGX	0.00	0.25	0.25
Fidelity Select Natural Resources	FNARX	0.00	0.25	0.25
Fidelity Select Pharmaceuticals	FPHAX	0.00	0.25	0.25
Fidelity Select Retailing	FSRPX	0.00	0.25	0.25
Fidelity Select Software & Comp	FSCSX	0.00	0.25	0.25
Fidelity Select Technology	FSPTX	0.00	0.25	0.25
Fidelity Select Telecommunications	FSTCX	0.00	0.25	0.25
Fidelity Select Transportation	FSRFX	0.00	0.25	0.25
Fidelity Select Utilities Portfolio	FSUTX	0.00	0.25	0.25
Fidelity Select Wireless	FWRLX	0.00	0.25	0.25
Fidelity Short-Term Bond	FSHBX	0.00	0.10	0.10
Fidelity Small Cap Discovery	FSCRX	0.00	0.25	0.25
Fidelity Small Cap Growth	FCPGX	0.00	0.25	0.25
Fidelity Small Cap Independence	FDSCX	0.00	0.25	0.25
Fidelity Small Cap Stock	FSLCX	0.00	0.25	0.25
Fidelity Small Cap Value	FCPVX	0.00	0.25	0.25
Fidelity Southeast Asia	FSEAX	0.00	0.25	0.25
Fidelity Spartan 500 Index Advantage	FSMAX	0.00	0.00	0.00
Fidelity Spartan 500 Index Investor	FSMKX	0.00	0.00	0.00
Fidelity Spartan Extended Mkt Index Inv	FSEMX	0.00	0.00	0.00
Fidelity Spartan International Index Inv	FSIIX	0.00	0.00	0.00
Fidelity Spartan Total Market Index Inv	FSTMX	0.00	0.00	0.00
Fidelity Spartan US Equity Index Inv	FUSEX	0.00	0.00	0.00
Fidelity Stock Selector	FDSSX	0.00	0.25	0.25
Fidelity Strategic Dividend & Income	FSDIX	0.00	0.25	0.25
Fidelity Strategic Income	FSICX	0.00	0.10	0.10
Fidelity Strategic Real Return	FSRRX	0.00	0.10	0.10
Fidelity Tax Managed Stock	FTXMX	0.00	0.00	0.00
Fidelity Telecom and Utilities	FIUIX	0.00	0.25	0.25
Fidelity Total Bond	FTBFX	0.00	0.10	0.10
Fidelity Trend	FTRNX	0.00	0.25	0.25
Fidelity Ultra-Short Bond	FUSFX	0.00	0.10	0.10
Fidelity US Bond Index	FBIDX	0.00	0.00	0.00
Fidelity Value	FDVLX	0.00	0.25	0.25
Fidelity Value Discovery	FVDFX	0.00	0.25	0.25
Fidelity Value Strategies	FSLSX	0.00	0.25	0.25
Fidelity Worldwide	FWWFX	0.00	0.25	0.25
First American Core Bond A	FAFIX	0.25	0.25	0.50
First American Core Bond R	FFISX	0.50	0.25	0.75
First American Core Bond Y	FFIIX	0.00	0.25	0.25
First American Equity Income A	FFEIX	0.25	0.25	0.50
First American Equity Income R	FEISX	0.50	0.25	0.75

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	Ticker			
First American Equity Income Y	FAQIX	0.00	0.25	0.25
First American Equity Index A	FAEIX	0.25	0.15	0.40
First American Equity Index R	FADSX	0.50	0.15	0.65
First American Equity Index Y	FEIIX	0.00	0.15	0.15
First American Global Infrastructure A	FGIAX	0.25	0.25	0.50
First American Global Infrastructure Y	FGIYX	0.00	0.25	0.25
First American High Income Bond A	FJSIX	0.25	0.25	0.50
First American High Income Bond R	FANSX	0.50	0.25	0.75
First American High Income Bond Y	FJSYX	0.00	0.25	0.25
First American Inflation Protect Secs A	FAIPX	0.25	0.25	0.50
First American Inflation Protect Secs R	FRIPX	0.50	0.25	0.75
First American Inflation Protect Secs Y	FYIPX	0.00	0.25	0.25
First American Interm Govt Bd A	FIGAX	0.25	0.25	0.50
First American Interm Govt Bd Y	FYGYX	0.00	0.25	0.25
First American Interm-Term Bond A	FAIIX	0.25	0.25	0.50
First American Interm-Term Bond Y	FINIX	0.00	0.25	0.25
First American International A	FAIAX	0.25	0.25	0.50
First American International R	ARQIX	0.50	0.25	0.75
First American International Select A	ISACX	0.25	0.25	0.50
First American International Select R	ISRCX	0.50	0.25	0.75
First American International Select Y	ISYCX	0.00	0.25	0.25
First American International Y	FAICX	0.00	0.25	0.25
First American Large Cap Growth Opp A	FRGWX	0.25	0.25	0.50
First American Large Cap Growth Opp R	FLCYX	0.50	0.25	0.75
First American Large Cap Growth Opp Y	FIGWX	0.00	0.25	0.25
First American Large Cap Select A	FLRAX	0.25	0.25	0.50
First American Large Cap Select R	FLSSX	0.50	0.25	0.75
First American Large Cap Select Y	FLRYX	0.00	0.25	0.25
First American Large Cap Value A	FASKX	0.25	0.25	0.50
First American Large Cap Value R	FAVSX	0.50	0.25	0.75
First American Large Cap Value Y	FSKIX	0.00	0.25	0.25
First American Mid Cap Growth Opp A	FRSLX	0.25	0.25	0.50
First American Mid Cap Growth Opp R	FMEYX	0.50	0.25	0.75
First American Mid Cap Growth Opp Y	FISGX	0.00	0.25	0.25
First American Mid Cap Index A	FDXAX	0.25	0.15	0.40
First American Mid Cap Index R	FMCYX	0.50	0.15	0.65
First American Mid Cap Index Y	FIMEX	0.00	0.15	0.15
First American Mid Cap Select A	FATAX	0.25	0.25	0.50
First American Mid Cap Select Y	FATCX	0.00	0.25	0.25
First American Mid Cap Value A	FASEX	0.25	0.25	0.50
First American Mid Cap Value R	FMVSX	0.50	0.25	0.75
First American Mid Cap Value Y	FSEIX	0.00	0.25	0.25
First American Quantitative Lg Cp Gr A	FQGAX	0.25	0.25	0.50
First American Quantitative Lg Cp Gr Y	FQGYX	0.00	0.25	0.25
First American Real Estate Secs A	FREAX	0.25	0.25	0.50
First American Real Estate Secs R	FRSSX	0.50	0.25	0.75

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
First American Real Estate Secs Y	FARCX	0.00	0.25	0.25
First American Short-Term Bond A	FALTX	0.25	0.25	0.50
First American Short-Term Bond Y	FLTIX	0.00	0.25	0.25
First American Small Cap Growth Opp A	FRMPX	0.25	0.25	0.50
First American Small Cap Growth Opp R	FMPYX	0.50	0.25	0.75
First American Small Cap Growth Opp Y	FIMPX	0.00	0.25	0.25
First American Small Cap Index A	FMDAX	0.25	0.15	0.40
First American Small Cap Index R	ARSCX	0.50	0.15	0.65
First American Small Cap Index Y	ASETX	0.00	0.15	0.15
First American Small Cap Select A	EMGRX	0.25	0.25	0.50
First American Small Cap Select R	ASEIX	0.50	0.25	0.75
First American Small Cap Select Y	ARSTX	0.00	0.25	0.25
First American Small Cap Value A	FSCAX	0.25	0.25	0.50
First American Small Cap Value R	FSVSX	0.50	0.25	0.75
First American Small Cap Value Y	FSCCX	0.00	0.25	0.25
First American Strat Growth Allc A	FAGSX	0.25	0.25	0.50
First American Strat Growth Allc R	FSNSX	0.50	0.25	0.75
First American Strat Growth Allc Y	FSGYX	0.00	0.25	0.25
First American Strategy Agrsv Gr Allc A	FAAGX	0.25	0.25	0.50
First American Strategy Agrsv Gr Allc R	FSASX	0.50	0.25	0.75
First American Strategy Agrsv Gr Allc Y	FSAYX	0.00	0.25	0.25
First American Strategy Balanced Allc A	FSGNX	0.25	0.25	0.50
First American Strategy Balanced Allc R	FSKSX	0.50	0.25	0.75
First American Strategy Balanced Allc Y	FSKYX	0.00	0.25	0.25
First American Strategy Cnsrv Allc A	FSFIX	0.25	0.25	0.50
First American Strategy Cnsrv Allc R	FSJSX	0.50	0.25	0.75
First American Strategy Cnsrv Allc Y	FSFYX	0.00	0.25	0.25
First American Total Return Bond A	FCDDX	0.25	0.25	0.50
First American Total Return Bond R	FABSX	0.50	0.25	0.75
First American Total Return Bond Y	FCBYX	0.00	0.25	0.25
First American US Government Mtg A	ARUGX	0.25	0.25	0.50
First American US Government Mtg R	ARUIX	0.50	0.25	0.75
First American US Government Mtg Y	ARGTX	0.00	0.25	0.25
FPA Capital	FPPTX	0.00	0.00	0.00
FPA Crescent	FPACX	0.00	0.00	0.00
FPA New Income	FPNIX	0.00	0.00	0.00
FPA Paramount	FPRAX	0.00	0.00	0.00
FPA Perennial	FPPFX	0.00	0.00	0.00
Franklin Adjustable US Govt Secs A	FISAX	0.25	0.15	0.40
Franklin All Cap Value A	FRAVX	0.25	0.15	0.40
Franklin Balance Sheet Investment A	FRBSX	0.25	0.15	0.40
Franklin Balance Sheet Investment Adv	FBSAX	0.00	0.15	0.15
Franklin Balance Sheet Investment R	FBSRX	0.50	0.15	0.65
Franklin Balanced A	FBLAX	0.25	0.15	0.40
Franklin Biotechnology Discovery A	FBDIX	0.25	0.15	0.40
Franklin Convertible Securities A	FISCX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Franklin DynaTech A	FKDNX	0.25	0.15	0.40
Franklin Equity Income A	FISEX	0.25	0.15	0.40
Franklin Equity Income R	FREIX	0.50	0.15	0.65
Franklin Flex Cap Growth A	FKCGX	0.25	0.15	0.40
Franklin Flex Cap Growth Adv	FKCAX	0.00	0.15	0.15
Franklin Flex Cap Growth R	FRCGX	0.50	0.15	0.65
Franklin Floating Rate Daily Access A	FAFRX	0.25	0.15	0.40
Franklin Floating Rate Daily Access Adv	FDAAX	0.00	0.15	0.15
Franklin Global Real Estate Adv	FVGRX	0.00	0.15	0.15
Franklin Gold and Precious Metals A	FKRCX	0.25	0.15	0.40
Franklin Gold and Precious Metals Adv	FGADX	0.00	0.15	0.15
Franklin Growth A	FKGRX	0.25	0.15	0.40
Franklin Growth Adv	FCGAX	0.00	0.15	0.15
Franklin Growth Opportunities A	FGRAX	0.25	0.15	0.40
Franklin Growth Opportunities Adv	FRAAX	0.00	0.15	0.15
Franklin Growth Opportunities R	FKARX	0.50	0.15	0.65
Franklin Growth R	FGSRX	0.50	0.15	0.65
Franklin High Income A	FHAIX	0.15	0.15	0.30
Franklin High Income Adv	FVHIX	0.00	0.15	0.15
Franklin High Income R	FHIRX	0.50	0.15	0.65
Franklin Income A	FKINX	0.15	0.15	0.30
Franklin Income Adv	FRIAX	0.00	0.15	0.15
Franklin Income R	FISRX	0.50	0.15	0.65
Franklin Large Cap Value A	FLVAX	0.25	0.15	0.40
Franklin Large Cap Value R	FLCRX	0.50	0.15	0.65
Franklin Limited Maturity US Govt A	FRGVX	0.10	0.15	0.25
Franklin Limited Maturity US Govt Adv	FSUAX	0.00	0.15	0.15
Franklin Low Duration Total Return A	FLDAX	0.25	0.15	0.40
Franklin MicroCap Value A	FRMCX	0.25	0.15	0.40
Franklin MicroCap Value Adv	FVRMX	0.00	0.15	0.15
Franklin Mid Cap Value A	FMVAX	0.25	0.15	0.40
Franklin Natural Resources A	FRNRX	0.25	0.15	0.40
Franklin Natural Resources Adv	FNRAX	0.00	0.15	0.15
Franklin Real Estate Securities A	FREEX	0.25	0.15	0.40
Franklin Real Estate Securities Adv	FRLAX	0.00	0.15	0.15
Franklin Real Return A	FRRAX	0.25	0.15	0.40
Franklin Real Return Adv	FARRX	0.00	0.15	0.15
Franklin Rising Dividends A	FRDPX	0.25	0.15	0.40
Franklin Rising Dividends Adv	FRDAX	0.00	0.15	0.15
Franklin Rising Dividends R	FRDRX	0.50	0.15	0.65
Franklin Small Cap Growth A	FSGRX	0.25	0.15	0.40
Franklin Small Cap Growth Adv	FSSAX	0.00	0.15	0.15
Franklin Small Cap Growth R	FSSRX	0.50	0.15	0.65
Franklin Small Cap Value A	FRVLX	0.25	0.15	0.40
Franklin Small Cap Value Adv	FVADX	0.00	0.15	0.15
Franklin Small Cap Value R	FVFRX	0.50	0.15	0.65

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Franklin Small-Mid Cap Growth A	FRSGX	0.25	0.15	0.40
Franklin Small-Mid Cap Growth Adv	FSGAX	0.00	0.15	0.15
Franklin Small-Mid Cap Growth R	FSMRX	0.50	0.15	0.65
Franklin Strategic Income A	FRSTX	0.25	0.15	0.40
Franklin Strategic Income Adv	FKSAX	0.00	0.15	0.15
Franklin Strategic Income R	FKSRX	0.50	0.15	0.65
Franklin Strategic Mortgage	FSMIX	0.00	0.15	0.15
Franklin Templeton Cnsrv Target A	FTCIX	0.25	0.15	0.40
Franklin Templeton Cnsrv Target R	FTCRX	0.50	0.15	0.65
Franklin Templeton Corefolio Allc A	FTCOX	0.25	0.15	0.40
Franklin Templeton Founding All A	FFALX	0.25	0.15	0.40
Franklin Templeton Founding All Adv	FFAAX	0.00	0.15	0.15
Franklin Templeton Founding All R	FFARX	0.50	0.15	0.65
Franklin Templeton Growth Target A	FGTIX	0.25	0.15	0.40
Franklin Templeton Growth Target R	FGTRX	0.50	0.15	0.65
Franklin Templeton Hard Currency A	ICPHX	0.25	0.15	0.40
Franklin Templeton Hard Currency Adv	ICHHX	0.00	0.15	0.15
Franklin Templeton Moderate Target A	FMTIX	0.25	0.15	0.40
Franklin Templeton Moderate Target R	FTMRX	0.50	0.15	0.65
Franklin Templeton Perspective Allc A	FPAAX	0.25	0.15	0.40
Franklin Total Return A	FKBAX	0.25	0.15	0.40
Franklin Total Return Adv	FBDAX	0.00	0.15	0.15
Franklin Total Return R	FTRRX	0.50	0.15	0.65
Franklin US Government Secs A	FKUSX	0.10	0.15	0.25
Franklin US Government Secs Adv	FUSAX	0.00	0.15	0.15
Franklin US Government Secs R	FUSRX	0.50	0.15	0.65
Franklin Utilities A	FKUTX	0.15	0.15	0.30
Franklin Utilities Adv	FRUAX	0.00	0.15	0.15
Franklin Utilities R	FRURX	0.50	0.15	0.65
Gabelli Asset A	GATAX	0.25	0.25	0.50
Gabelli Blue Chip Value A	GBCAX	0.25	0.25	0.50
Gabelli Equity Income A	GCAEX	0.25	0.25	0.50
Gabelli Small Cap Growth A	GCASX	0.25	0.25	0.50
Gabelli Utilities A	GAUAX	0.25	0.25	0.50
Gabelli Value A	GABVX	0.25	0.25	0.50
Gateway A	GATEX	0.25	0.30	0.55
Gateway Y	GTEYX	0.00	0.20	0.20
George Putnam of Boston A	PGEOX	0.25	0.20	0.45
George Putnam of Boston R	PGPRX	0.50	0.20	0.70
George Putnam of Boston Y	PGEYX	0.00	0.20	0.20
Goldman Sachs Absolute Return Tracker A	GARTX	0.25	0.15	0.40
Goldman Sachs Absolute Return Tracker I	GJRTX	0.00	0.10	0.10
Goldman Sachs All Cap Growth A	GALLX	0.25	0.15	0.40
Goldman Sachs All Cap Growth I	GILLX	0.00	0.10	0.10
Goldman Sachs Asia Equity A	GSAGX	0.25	0.15	0.40
Goldman Sachs Asia Equity Instl	GSAIX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Goldman Sachs Balanced A	GSBFX	0.25	0.15	0.40
Goldman Sachs Balanced Instl	GSBIX	0.00	0.10	0.10
Goldman Sachs Balanced Strat A	GIPAX	0.25	0.15	0.40
Goldman Sachs Balanced Strat Instl	GIPIX	0.00	0.10	0.10
Goldman Sachs BRIC A	GBRAX	0.25	0.15	0.40
Goldman Sachs BRIC Inst	GBRIX	0.00	0.10	0.10
Goldman Sachs Capital Growth A	GSCGX	0.25	0.15	0.40
Goldman Sachs Capital Growth Instl	GSPIX	0.00	0.10	0.10
Goldman Sachs Commodity Strategy A	GSCAX	0.25	0.15	0.40
Goldman Sachs Commodity Strategy Instl	GCCIX	0.00	0.10	0.10
Goldman Sachs Concentrated Growth A	GCGAX	0.25	0.15	0.40
Goldman Sachs Concentrated Growth I	GCRIX	0.00	0.10	0.10
Goldman Sachs Concentrated Intl Eq A	GSIFX	0.25	0.15	0.40
Goldman Sachs Concnr Intl Eq Instl	GSIEX	0.00	0.10	0.10
Goldman Sachs Core Fxd-Inc A	GCFIX	0.25	0.20	0.45
Goldman Sachs Core Fxd-Inc Instl	GSFIX	0.00	0.05	0.05
Goldman Sachs Core Plus Fixed Income A	GSFAX	0.25	0.20	0.45
Goldman Sachs Core Plus Fixed Income I	GSNIX	0.00	0.05	0.05
Goldman Sachs Emerging Market Debt A	GSDAX	0.25	0.20	0.45
Goldman Sachs Emerging Market Debt I	GSDIX	0.00	0.05	0.05
Goldman Sachs Emerging Mkts Equity A	GEMAX	0.25	0.15	0.40
Goldman Sachs Emerging Mkts Equity Instl	GEMIX	0.00	0.10	0.10
Goldman Sachs Enhanced Income A	GEIAX	0.25	0.20	0.45
Goldman Sachs Enhanced Income Instl	GEIIX	0.00	0.05	0.05
Goldman Sachs Equity Growth Strategy A	GAPAX	0.25	0.15	0.40
Goldman Sachs Equity Growth Strategy Ins	GAPIX	0.00	0.10	0.10
Goldman Sachs Global Income A	GSGIX	0.25	0.20	0.45
Goldman Sachs Global Income Instl	GSGLX	0.00	0.05	0.05
Goldman Sachs Government Income A	GSGOX	0.25	0.20	0.45
Goldman Sachs Government Income Instl	GSOIX	0.00	0.05	0.05
Goldman Sachs Growth & Inc A	GSGRX	0.25	0.15	0.40
Goldman Sachs Growth & Inc Instl	GSIIX	0.00	0.10	0.10
Goldman Sachs Growth & Inc Strat A	GOIAX	0.25	0.15	0.40
Goldman Sachs Growth & Inc Strat Inst	GOIIX	0.00	0.10	0.10
Goldman Sachs Growth Opportunities A	GGOAX	0.25	0.15	0.40
Goldman Sachs Growth Opportunities I	GGOIX	0.00	0.10	0.10
Goldman Sachs Growth Strat A	GGSAX	0.25	0.15	0.40
Goldman Sachs Growth Strat Instl	GSIX	0.00	0.10	0.10
Goldman Sachs High Yield A	GSHAX	0.25	0.20	0.45
Goldman Sachs High Yield Instl	GSHIX	0.00	0.05	0.05
Goldman Sachs Income Strategies A	GXIAX	0.25	0.15	0.40
Goldman Sachs Income Strategies Instl	GXIIX	0.00	0.10	0.10
Goldman Sachs Infl Protected Secs Instl	GSIPX	0.00	0.05	0.05
Goldman Sachs Inflation Protected Secs A	GSAPX	0.25	0.20	0.45
Goldman Sachs Intl Eq Div & Prem A	GIDAX	0.25	0.15	0.40
Goldman Sachs Intl Eq Div & Prem I	GIDHX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Goldman Sachs Intl Real Estate Sec A	GIRAX	0.25	0.15	0.40
Goldman Sachs Intl Real Estate Sec I	GIRIX	0.00	0.10	0.10
Goldman Sachs Intl Small Cap A	GISAX	0.25	0.15	0.40
Goldman Sachs Intl Small Cap Instl	GISIX	0.00	0.10	0.10
Goldman Sachs Invest Grade Credit A	GSGAX	0.25	0.20	0.45
Goldman Sachs Invest Grade Credit Inst	GSGDX	0.00	0.05	0.05
Goldman Sachs Large Cap Value A	GSLAX	0.25	0.15	0.40
Goldman Sachs Large Cap Value Inst	GSLIX	0.00	0.10	0.10
Goldman Sachs Local Emerg Mkts Debt A	GAMDX	0.25	0.20	0.45
Goldman Sachs Local Emerg Mkts Debt Inst	GIMDX	0.00	0.05	0.05
Goldman Sachs Mid Cap Value A	GCMAX	0.25	0.15	0.40
Goldman Sachs Mid Cap Value Instl	GSMCX	0.00	0.10	0.10
Goldman Sachs Real Estate A	GREAX	0.25	0.15	0.40
Goldman Sachs Real Estate Instl	GREIX	0.00	0.10	0.10
Goldman Sachs Retirement Str 2010 A	GRCAX	0.25	0.15	0.40
Goldman Sachs Retirement Str 2010 Instl	GRCIX	0.00	0.10	0.10
Goldman Sachs Retirement Str 2015 A	GRDAX	0.25	0.15	0.40
Goldman Sachs Retirement Str 2015 Instl	GRDIX	0.00	0.10	0.10
Goldman Sachs Retirement Str 2020 A	GRJAX	0.25	0.15	0.40
Goldman Sachs Retirement Str 2020 Instl	GRJIX	0.00	0.10	0.10
Goldman Sachs Retirement Str 2030 A	GRLAX	0.25	0.15	0.40
Goldman Sachs Retirement Str 2030 Instl	GRLIX	0.00	0.10	0.10
Goldman Sachs Retirement Str 2040 A	GRNAX	0.25	0.15	0.40
Goldman Sachs Retirement Str 2040 Instl	GRNIX	0.00	0.10	0.10
Goldman Sachs Retirement Str 2050 A	GRPAX	0.25	0.15	0.40
Goldman Sachs Retirement Str 2050 Instl	GRPIX	0.00	0.10	0.10
Goldman Sachs Satellite Strategies A	GXSAX	0.25	0.15	0.40
Goldman Sachs Satellite Strategies Instl	GXSIX	0.00	0.10	0.10
Goldman Sachs Short Dur Govt A	GSSDX	0.25	0.20	0.45
Goldman Sachs Short Dur Govt Instl	GSTGX	0.00	0.05	0.05
Goldman Sachs Small Cap Value A	GSSMX	0.25	0.15	0.40
Goldman Sachs Small Cap Value Inst	GSSIX	0.00	0.10	0.10
Goldman Sachs Small/Mid Cap Growth A	GSMAX	0.25	0.15	0.40
Goldman Sachs Small/Mid Cap Growth I	GSMYX	0.00	0.10	0.10
Goldman Sachs Strategic Growth A	GGRAX	0.25	0.15	0.40
Goldman Sachs Strategic Growth Instl	GSTIX	0.00	0.10	0.10
Goldman Sachs Strategic Intl Equity A	GSAKX	0.25	0.15	0.40
Goldman Sachs Strategic Intl Equity Inst	GSIKX	0.00	0.10	0.10
Goldman Sachs Struct Emerg Mkt Eq A	GERAX	0.25	0.15	0.40
Goldman Sachs Struct Emerg Mkt Eq Intl	GERIX	0.00	0.10	0.10
Goldman Sachs Struct Intl T/M Eq Inst	GHTMX	0.00	0.10	0.10
Goldman Sachs Struct Large Cap Gr A	GLCGX	0.25	0.15	0.40
Goldman Sachs Struct Large Cap Gr I	GCGIX	0.00	0.10	0.10
Goldman Sachs Struct Large Cap Val A	GCVAX	0.25	0.15	0.40
Goldman Sachs Struct Large Cap Val I	GCVIX	0.00	0.10	0.10
Goldman Sachs Structured Intl Eq Flex A	GAFLX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Goldman Sachs Structured Intl Eq Flex I	GIFLX	0.00	0.10	0.10
Goldman Sachs Structured Intl Equity A	GCIAX	0.25	0.15	0.40
Goldman Sachs Structured Intl Equity I	GCIIX	0.00	0.10	0.10
Goldman Sachs Structured Intl Small Cp A	GICAX	0.25	0.15	0.40
Goldman Sachs Structured Intl Small Cp I	GICIX	0.00	0.10	0.10
Goldman Sachs Structured Intl T/M Eq A	GATMX	0.25	0.15	0.40
Goldman Sachs Structured Sm Cap Gr A	GSAOX	0.25	0.15	0.40
Goldman Sachs Structured Sm Cap Gr Instl	GSIOX	0.00	0.10	0.10
Goldman Sachs Structured Sm Cap Val A	GSATX	0.25	0.15	0.40
Goldman Sachs Structured Sm Cap Val I	GSITX	0.00	0.10	0.10
Goldman Sachs Structured Small Cap Eq A	GCSAX	0.25	0.15	0.40
Goldman Sachs Structured Small Cap Eq I	GCSIX	0.00	0.10	0.10
Goldman Sachs Structured Tx-Mgd Eq A	GCTAX	0.25	0.15	0.40
Goldman Sachs Structured Tx-Mgd Eq I	GCTIX	0.00	0.10	0.10
Goldman Sachs Structured US Equity A	GSSQX	0.25	0.15	0.40
Goldman Sachs Structured US Equity I	GSELX	0.00	0.10	0.10
Goldman Sachs Tollkeeper A	GITAX	0.25	0.15	0.40
Goldman Sachs Tollkeeper Ins	GITIX	0.00	0.10	0.10
Goldman Sachs Ultra-Short Dur Gov A	GSAMX	0.25	0.20	0.45
Goldman Sachs Ultra-Short Dur Gov Ins	GSARX	0.00	0.05	0.05
Goldman Sachs US Eq Div and Premium A	GSPAX	0.25	0.15	0.40
Goldman Sachs US Eq Div and Premium I	GSPKX	0.00	0.10	0.10
Goldman Sachs US Mortgages A	GSUAX	0.25	0.20	0.45
Goldman Sachs US Mortgages Inst	GSUIX	0.00	0.05	0.05
Hansberger Instl Emerging Markets Adv	HEMMX	0.00	0.35	0.35
Hansberger Instl Emerging Markets Instl	HEMGX	0.00	0.20	0.20
Hansberger Instl International Value Adv	HIVVX	0.00	0.35	0.35
Hansberger Instl Intl Value Instl	HINTX	0.00	0.20	0.20
Hansberger International Core Adv	HICCX	0.00	0.35	0.35
Hansberger International Core Inst	HICFX	0.00	0.20	0.20
Hansberger International Growth Adv	HIGGX	0.00	0.35	0.35
Hansberger International Growth Inst	HITGX	0.00	0.20	0.20
Harbor Bond Adm	HRBDX	0.25	0.00	0.25
Harbor Bond Instl	HABDX	0.00	0.00	0.00
Harbor Capital Appreciation Adm	HRCAX	0.25	0.00	0.25
Harbor Capital Appreciation Instl	HACAX	0.00	0.00	0.00
Harbor Capital Appreciation Inv	HCAIX	0.25	0.00	0.25
Harbor High-Yield Bond Adm	HYFRX	0.25	0.00	0.25
Harbor High-Yield Bond Instl	HYFAX	0.00	0.00	0.00
Harbor High-Yield Bond Inv	HYFIX	0.25	0.00	0.25
Harbor International Adm	HRINX	0.25	0.00	0.25
Harbor International Growth Adm	HRIGX	0.25	0.00	0.25
Harbor International Growth Instl	HAIGX	0.00	0.00	0.00
Harbor International Growth Inv	HIIGX	0.25	0.00	0.25
Harbor International Instl	HAIX	0.00	0.00	0.00
Harbor International Inv	HIINX	0.25	0.00	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Harbor Large Cap Value Adm	HRLVX	0.25	0.00	0.25
Harbor Large Cap Value Instl	HAVLX	0.00	0.00	0.00
Harbor Large Cap Value Inv	HILVX	0.25	0.00	0.25
Harbor Mid Cap Growth Adm	HRMGX	0.25	0.00	0.25
Harbor Mid Cap Growth Instl	HAMGX	0.00	0.00	0.00
Harbor Mid Cap Growth Inv	HIMGX	0.25	0.00	0.25
Harbor Mid Cap Value Adm	HRMVX	0.25	0.00	0.25
Harbor Mid Cap Value Instl	HAMVX	0.00	0.00	0.00
Harbor Mid Cap Value Inv	HIMVX	0.25	0.00	0.25
Harbor Short Duration Adm	HRSDX	0.25	0.00	0.25
Harbor Short Duration Instl	HASDX	0.00	0.00	0.00
Harbor Small Cap Growth Adm	HRSGX	0.00	0.00	0.00
Harbor Small Cap Growth Instl	HASGX	0.00	0.00	0.00
Harbor Small Cap Growth Inv	HISGX	0.00	0.00	0.00
Harbor Small Cap Value Adm	HSVRX	0.25	0.00	0.25
Harbor Small Cap Value Instl	HASCX	0.00	0.00	0.00
Harbor Small Cap Value Inv	HISVX	0.25	0.00	0.25
Heartland Select Value	HRSVX	0.25	0.25	0.50
Heartland Select Value Inst	HNSVX	0.00	0.25	0.25
Heartland Value	HRTVX	0.25	0.25	0.50
Heartland Value Inst	HNTVX	0.00	0.25	0.25
Heartland Value Plus	HRVIX	0.25	0.25	0.50
Heartland Value Plus Inst	HNVIX	0.00	0.25	0.25
Hotchkis and Wiley Diversified Value A	HWCAIX	0.25	0.00	0.25
Hotchkis and Wiley Diversified Value I	HWCIX	0.00	0.00	0.00
Hotchkis and Wiley Large Cap Value A	HWLAX	0.25	0.00	0.25
Hotchkis and Wiley Large Cap Value I	HWLIX	0.00	0.00	0.00
Hotchkis and Wiley Mid-Cap Value A	HWMAX	0.25	0.00	0.25
Hotchkis and Wiley Mid-Cap Value I	HWMIX	0.00	0.00	0.00
Hotchkis and Wiley Small Cap Value A	HWSAX	0.25	0.00	0.25
Hotchkis and Wiley Small Cap Value I	HWSIX	0.00	0.00	0.00
INTECH Risk-Managed Core I	JRMCX	0.00	0.00	0.00
INTECH Risk-Managed Core J	JRMSX	0.00	0.25	0.25
INTECH Risk-Managed Core S	JLCIX	0.25	0.25	0.50
INTECH Risk-Managed Growth I	JRMGX	0.00	0.00	0.00
INTECH Risk-Managed Growth S	JCGIX	0.25	0.25	0.50
INTECH Risk-Managed Value I	JRSIX	0.00	0.00	0.00
Ivy Asset Strategy I	IVAEX	0.00	0.10	0.10
Ivy Asset Strategy Y	WASYX	0.25	0.15	0.40
Ivy Balanced I	IYBIX	0.00	0.10	0.10
Ivy Balanced Y	IBNYX	0.25	0.15	0.40
Ivy Bond I	IBBIX	0.00	0.10	0.10
Ivy Bond Y	IBOYX	0.25	0.15	0.40
Ivy Capital Appreciation I	IVAIX	0.00	0.10	0.10
Ivy Capital Appreciation Y	WMEYX	0.25	0.15	0.40
Ivy Core Equity I	ICIEIX	0.00	0.10	0.10

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	Ticker			
Ivy Core Equity Y	WCEYX	0.25	0.15	0.40
Ivy Cundill Global Value I	ICVIX	0.00	0.10	0.10
Ivy Cundill Global Value Y	ICDYX	0.25	0.15	0.40
Ivy Dividend Opportunities I	IVDIX	0.00	0.10	0.10
Ivy Dividend Opportunities Y	IVDYX	0.25	0.15	0.40
Ivy Energy I	IVEIX	0.00	0.10	0.10
Ivy Energy Y	IEYYX	0.25	0.15	0.40
Ivy European Opportunities I	IEOIX	0.00	0.10	0.10
Ivy European Opportunities Y	IEOYX	0.25	0.15	0.40
Ivy Global Natural Resources I	IGNIX	0.00	0.10	0.10
Ivy Global Natural Resources R	IGNRX	0.50	0.25	0.75
Ivy Global Natural Resources Y	IGNYX	0.25	0.15	0.40
Ivy High Income I	IVHIX	0.00	0.10	0.10
Ivy High Income Y	WHIYX	0.25	0.15	0.40
Ivy International Balanced I	IIBIX	0.00	0.10	0.10
Ivy International Balanced Y	IVBYX	0.25	0.15	0.40
Ivy International Core Equity I	ICEIX	0.00	0.10	0.10
Ivy International Core Equity Y	IVVYX	0.25	0.15	0.40
Ivy International Growth I	IGIIX	0.00	0.10	0.10
Ivy International Growth Y	IVIYX	0.25	0.15	0.40
Ivy Large Cap Growth I	IYGIX	0.00	0.10	0.10
Ivy Large Cap Growth R	WLGRX	0.50	0.25	0.75
Ivy Large Cap Growth Y	WLGYX	0.25	0.15	0.40
Ivy Limited-Term Bond I	ILTIX	0.00	0.10	0.10
Ivy Limited-Term Bond Y	WLTIX	0.25	0.15	0.40
Ivy Managed European/Pacific I	IVMIX	0.25	0.10	0.35
Ivy Managed European/Pacific Y	IVMYX	0.25	0.15	0.40
Ivy Managed Intl Opportunities I	IVTIX	0.00	0.10	0.10
Ivy Managed Intl Opportunities Y	IVTYX	0.25	0.15	0.40
Ivy Mid Cap Growth I	IYMIX	0.00	0.10	0.10
Ivy Mid Cap Growth R	WMGRX	0.50	0.25	0.75
Ivy Mid Cap Growth Y	WMGYX	0.25	0.15	0.40
Ivy Mortgage Securities I	IMFIX	0.00	0.10	0.10
Ivy Mortgage Securities Y	IYMYX	0.25	0.15	0.40
Ivy Pacific Opportunities I	IPOIX	0.00	0.10	0.10
Ivy Pacific Opportunities Y	IPOYX	0.25	0.15	0.40
Ivy Real Estate Securities I	IREIX	0.00	0.10	0.10
Ivy Real Estate Securities R	IRSRX	0.50	0.25	0.75
Ivy Real Estate Securities Y	IRSYX	0.25	0.15	0.40
Ivy Science & Technology I	ISTIX	0.00	0.10	0.10
Ivy Science & Technology R	WSTRX	0.50	0.25	0.75
Ivy Science & Technology Y	WSTYX	0.25	0.15	0.40
Ivy Small Cap Growth I	IYSIX	0.00	0.10	0.10
Ivy Small Cap Growth R	WSGRX	0.50	0.25	0.75
Ivy Small Cap Growth Y	WSCYX	0.25	0.15	0.40
Ivy Small Cap Value I	IVVIX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Ivy Small Cap Value Y	IYSYX	0.25	0.15	0.40
Ivy Value I	IYAIX	0.00	0.10	0.10
Ivy Value Y	IYVYX	0.25	0.15	0.40
Janus A	JDGAX	0.25	0.10	0.35
Janus Balanced A	JDBAX	0.25	0.10	0.35
Janus Balanced I	JBALX	0.00	0.00	0.00
Janus Balanced J	JABAX	0.00	0.25	0.25
Janus Balanced R	JDBRX	0.50	0.25	0.75
Janus Balanced S	JABRX	0.25	0.25	0.50
Janus Contrarian A	JCNAX	0.25	0.10	0.35
Janus Contrarian J	JSVAX	0.00	0.25	0.25
Janus Contrarian R	JCNRX	0.50	0.25	0.75
Janus Enterprise A	JDMAX	0.25	0.10	0.35
Janus Enterprise I	JMGRX	0.00	0.00	0.00
Janus Enterprise J	JAENX	0.00	0.25	0.25
Janus Enterprise R	JDMRX	0.50	0.25	0.75
Janus Enterprise S	JGRTX	0.25	0.25	0.50
Janus Flexible Bond A	JDFAX	0.25	0.10	0.35
Janus Flexible Bond J	JAFIX	0.00	0.25	0.25
Janus Flexible Bond R	JDFRX	0.50	0.25	0.75
Janus Forty A	JDCAX	0.25	0.10	0.35
Janus Forty I	JCAPX	0.00	0.00	0.00
Janus Forty R	JDCRX	0.50	0.25	0.75
Janus Forty S	JARTX	0.25	0.25	0.50
Janus Forty T	JACTX	0.00	0.25	0.25
Janus Global Life Sciences A	JFNAX	0.25	0.10	0.35
Janus Global Life Sciences J	JAGLX	0.00	0.25	0.25
Janus Global Opportunities A	JPPAX	0.25	0.10	0.35
Janus Global Opportunities J	JGVAX	0.00	0.25	0.25
Janus Global Real Estate A	JERAX	0.25	0.10	0.35
Janus Global Research A	JRGAX	0.25	0.10	0.35
Janus Global Technology A	JATAX	0.25	0.10	0.35
Janus Global Technology J	JAGTX	0.00	0.25	0.25
Janus Growth & Income A	JDNAX	0.25	0.10	0.35
Janus Growth & Income I	JGINX	0.00	0.00	0.00
Janus Growth & Income J	JAGIX	0.00	0.25	0.25
Janus Growth & Income R	JDNRX	0.50	0.25	0.75
Janus Growth & Income S	JADGX	0.25	0.25	0.50
Janus High-Yield A	JHYAX	0.25	0.10	0.35
Janus High-Yield I	JHYFX	0.00	0.00	0.00
Janus High-Yield J	JAHYX	0.00	0.25	0.25
Janus High-Yield R	JHYRX	0.50	0.25	0.75
Janus I	JGROX	0.00	0.00	0.00
Janus International Equity A	JAIEX	0.25	0.10	0.35
Janus International Equity I	JIIEX	0.00	0.00	0.00
Janus International Equity R	JRIEX	0.50	0.25	0.75

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Janus International Forty A	JFFAX	0.25	0.10	0.35
Janus J	JANSX	0.00	0.25	0.25
Janus Long/Short A	JALSX	0.25	0.10	0.35
Janus Long/Short I	JLSIX	0.00	0.00	0.00
Janus Long/Short R	JRLSX	0.50	0.25	0.75
Janus Modular Port Constrcn A	JAMPX	0.25	0.10	0.35
Janus Orion A	JORAX	0.25	0.10	0.35
Janus Orion I	JORFX	0.00	0.00	0.00
Janus Orion J	JORNX	0.00	0.25	0.25
Janus Orion R	JORRX	0.50	0.25	0.75
Janus Overseas A	JDIAX	0.25	0.10	0.35
Janus Overseas I	JIGFX	0.00	0.00	0.00
Janus Overseas J	JAOSX	0.00	0.25	0.25
Janus Overseas R	JDIRX	0.50	0.25	0.75
Janus Overseas S	JIGRX	0.25	0.25	0.50
Janus R	JDGRX	0.50	0.25	0.75
Janus Research A	JRAAX	0.25	0.10	0.35
Janus Research Core A	JDQAX	0.25	0.10	0.35
Janus Research Core J	JAEX	0.00	0.25	0.25
Janus Research Core R	JDQRX	0.50	0.25	0.75
Janus Research Core S	JADEX	0.25	0.25	0.50
Janus Research J	JAMRX	0.00	0.25	0.25
Janus S	JGORX	0.25	0.25	0.50
Janus Short-Term Bond A	JSHAX	0.25	0.10	0.35
Janus Short-Term Bond J	JASBX	0.00	0.25	0.25
Janus Smart Portfolio Conservative A	JCAAX	0.25	0.10	0.35
Janus Smart Portfolio Growth A	JGCAX	0.25	0.10	0.35
Janus Smart Portfolio Moderate A	JMOAX	0.25	0.10	0.35
Janus Triton A	JGMAX	0.25	0.10	0.35
Janus Triton I	JSMGX	0.00	0.00	0.00
Janus Triton R	JGMRX	0.50	0.25	0.75
Janus Twenty J	JAVLX	0.00	0.25	0.25
Janus Venture J	JAVTX	0.00	0.25	0.25
Janus Worldwide A	JDWAX	0.25	0.10	0.35
Janus Worldwide I	JWWFX	0.00	0.00	0.00
Janus Worldwide J	JAWWX	0.00	0.25	0.25
Janus Worldwide R	JDWRX	0.50	0.25	0.75
Janus Worldwide S	JWGRX	0.25	0.25	0.50
Jennison 20/20 Focus A	PTWAX	0.25	0.25	0.50
Jennison 20/20 Focus R	JTWRX	0.50	0.25	0.75
Jennison 20/20 Focus Z	PTWZX	0.00	0.25	0.25
Jennison Blend A	PBQAX	0.25	0.25	0.50
Jennison Blend Z	PEQZX	0.00	0.25	0.25
Jennison Conservative Growth A	TBDAX	0.25	0.25	0.50
Jennison Equity Income A	SPQAX	0.25	0.25	0.50
Jennison Equity Income Z	JDEZX	0.00	0.25	0.25

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	Ticker			
Jennison Equity Opp A	PJIAX	0.25	0.25	0.50
Jennison Equity Opp R	PJORX	0.50	0.25	0.75
Jennison Equity Opp Z	PJGZX	0.00	0.25	0.25
Jennison Growth A	PJFAX	0.25	0.25	0.50
Jennison Growth R	PJGRX	0.50	0.25	0.75
Jennison Growth Z	PJFZX	0.00	0.25	0.25
Jennison Health Sciences A	PHLAX	0.25	0.25	0.50
Jennison Health Sciences Z	PHSZX	0.00	0.25	0.25
Jennison Mid Cap Growth A	PEEAX	0.25	0.25	0.50
Jennison Mid Cap Growth R	JDERX	0.50	0.25	0.75
Jennison Mid Cap Growth Z	PEGZX	0.00	0.25	0.25
Jennison Natural Resources A	PGNAX	0.25	0.25	0.50
Jennison Natural Resources R	JNRRX	0.50	0.25	0.75
Jennison Natural Resources Z	PNRZX	0.00	0.25	0.25
Jennison Select Growth A	SPFAX	0.25	0.25	0.50
Jennison Select Growth Z	SPFZX	0.00	0.25	0.25
Jennison Small Company A	PGOAX	0.25	0.25	0.50
Jennison Small Company R	JSCRX	0.50	0.25	0.75
Jennison Small Company Z	PSCZX	0.00	0.25	0.25
Jennison Utility A	PRUAX	0.25	0.15	0.40
Jennison Utility R	JDURX	0.50	0.15	0.65
Jennison Utility Z	PRUZX	0.00	0.15	0.15
Jennison Value A	PBEAX	0.25	0.25	0.50
Jennison Value R	JDVRX	0.50	0.25	0.75
Jennison Value Z	PEIZX	0.00	0.25	0.25
JennisonDryden Conservative Allocation A	JDUAX	0.25	0.25	0.50
JennisonDryden Conservative Allocation R	JDARX	0.50	0.25	0.75
JennisonDryden Conservative Allocation Z	JDAZX	0.00	0.25	0.25
JennisonDryden Growth Allocation A	JDAAX	0.25	0.25	0.50
JennisonDryden Growth Allocation R	JGARX	0.50	0.25	0.75
JennisonDryden Growth Allocation Z	JDGZX	0.00	0.25	0.25
JennisonDryden Moderate Allocation A	JDTAX	0.25	0.25	0.50
JennisonDryden Moderate Allocation R	JMARX	0.50	0.25	0.75
JennisonDryden Moderate Allocation Z	JDMZX	0.00	0.25	0.25
JHancock Balanced A	SVBAX	0.30	0.20	0.50
JHancock Balanced R1	JBAOX	0.50	0.25	0.75
JHancock Balanced R3	JBAHX	0.50	0.15	0.65
JHancock Balanced R4	JBAFX	0.25	0.10	0.35
JHancock Balanced R5	JBAVX	0.00	0.05	0.05
JHancock Bond A	JHNBX	0.30	0.12	0.42
JHancock Classic Value A	PZFVX	0.25	0.20	0.45
JHancock Classic Value R1	JCVRX	0.50	0.25	0.75
JHancock Classic Value R1	JCVRX	0.50	0.25	0.75
JHancock Classic Value R3	JCVHX	0.50	0.15	0.65
JHancock Classic Value R4	JCVFX	0.25	0.10	0.35
JHancock Classic Value R5	JCVVX	0.00	0.05	0.05

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JHancock Financial Industries A	FIDAX	0.30	0.20	0.50
JHancock Global Opportunities A	JGPAX	0.30	0.20	0.50
JHancock Government Income A	JHGIX	0.25	0.12	0.37
JHancock Greater China Opp A	JCOAX	0.30	0.20	0.50
JHancock High-Yield A	JHHBX	0.25	0.12	0.37
JHancock Investment Grade Bond A	TAUSX	0.25	0.12	0.37
JHancock Large Cap Equity A	TAGR X	0.25	0.20	0.45
JHancock Large Cap Equity R1	JLCRX	0.50	0.25	0.75
JHancock Large Cap Equity R3	JLCHX	0.50	0.15	0.65
JHancock Large Cap Equity R4	JLCFX	0.25	0.10	0.35
JHancock Large Cap Equity R5	JLCVX	0.00	0.05	0.05
JHancock Mid Cap Equity A	JCEAX	0.30	0.20	0.50
JHancock Regional Bank A	FRBAX	0.30	0.20	0.50
JHancock Small Cap A	DSISX	0.30	0.20	0.50
JHancock Small Cap Equity A	SPVAX	0.30	0.20	0.50
JHancock Small Cap Intrinsic Value A	JHIAX	0.30	0.20	0.50
JHancock Sovereign Investors A	SOVIX	0.30	0.20	0.50
JHancock Strategic Income A	JHFIX	0.30	0.12	0.42
JHancock Strategic Income R1	JSTRX	0.50	0.25	0.75
JHancock Strategic Income R1	JSTRX	0.50	0.25	0.75
JHancock Strategic Income R3	JSNHX	0.50	0.15	0.65
JHancock Strategic Income R4	JSNFX	0.25	0.10	0.35
JHancock Strategic Income R5	JSNVX	0.00	0.05	0.05
JHancock US Global Leaders Gr A	USGLX	0.25	0.20	0.45
JHancock2 Lifecycle 2010 1	JLAOX	0.05	0.25	0.30
JHancock2 Lifecycle 2010 A	JLAAX	0.30	0.20	0.50
JHancock2 Lifecycle 2010 R1	JLADX	0.50	0.25	0.75
JHancock2 Lifecycle 2010 R3	JLAFX	0.50	0.15	0.65
JHancock2 Lifecycle 2010 R4	JLAGX	0.25	0.10	0.35
JHancock2 Lifecycle 2010 R5	JLAHX	0.00	0.05	0.05
JHancock2 Lifecycle 2015 1	JLBOX	0.05	0.25	0.30
JHancock2 Lifecycle 2015 A	JLBAX	0.30	0.20	0.50
JHancock2 Lifecycle 2015 R1	JLBDX	0.50	0.25	0.75
JHancock2 Lifecycle 2015 R3	JLBFX	0.50	0.15	0.65
JHancock2 Lifecycle 2015 R4	JLBGX	0.25	0.10	0.35
JHancock2 Lifecycle 2015 R5	JLBHX	0.00	0.05	0.05
JHancock2 Lifecycle 2020 1	JLDOX	0.05	0.25	0.30
JHancock2 Lifecycle 2020 A	JLDAX	0.30	0.20	0.50
JHancock2 Lifecycle 2020 R1	JLDDX	0.50	0.25	0.75
JHancock2 Lifecycle 2020 R3	JLDFX	0.50	0.15	0.65
JHancock2 Lifecycle 2020 R4	JLDGX	0.25	0.10	0.35
JHancock2 Lifecycle 2020 R5	JLDHX	0.00	0.05	0.05
JHancock2 Lifecycle 2025 1	JLEOX	0.05	0.25	0.30
JHancock2 Lifecycle 2025 A	JLEAX	0.30	0.20	0.50
JHancock2 Lifecycle 2025 R1	JLEDX	0.50	0.25	0.75
JHancock2 Lifecycle 2025 R3	JLEFX	0.50	0.15	0.65

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JHancock2 Lifecycle 2025 R4	JLEGX	0.25	0.10	0.35
JHancock2 Lifecycle 2025 R5	JLEHX	0.00	0.05	0.05
JHancock2 Lifecycle 2030 1	JLFOX	0.05	0.25	0.30
JHancock2 Lifecycle 2030 A	JLFAX	0.30	0.20	0.50
JHancock2 Lifecycle 2030 R1	JLFDX	0.50	0.25	0.75
JHancock2 Lifecycle 2030 R3	JLFFX	0.50	0.15	0.65
JHancock2 Lifecycle 2030 R4	JLFGX	0.25	0.10	0.35
JHancock2 Lifecycle 2030 R5	JLFHX	0.00	0.05	0.05
JHancock2 Lifecycle 2035 1	JLHOX	0.05	0.25	0.30
JHancock2 Lifecycle 2035 A	JLHAX	0.30	0.20	0.50
JHancock2 Lifecycle 2035 R1	JLHDX	0.50	0.25	0.75
JHancock2 Lifecycle 2035 R3	JLHFX	0.50	0.15	0.65
JHancock2 Lifecycle 2035 R4	JLHGX	0.25	0.10	0.35
JHancock2 Lifecycle 2035 R5	JLHHX	0.00	0.05	0.05
JHancock2 Lifecycle 2040 1	JLIOX	0.05	0.25	0.30
JHancock2 Lifecycle 2040 A	JLIAX	0.30	0.20	0.50
JHancock2 Lifecycle 2040 R1	JLIDX	0.50	0.25	0.75
JHancock2 Lifecycle 2040 R3	JLIFX	0.50	0.15	0.65
JHancock2 Lifecycle 2040 R4	JLIGX	0.25	0.10	0.35
JHancock2 Lifecycle 2040 R5	JLIHX	0.00	0.05	0.05
JHancock2 Lifecycle 2045 1	JLJOX	0.05	0.25	0.30
JHancock2 Lifecycle 2045 A	JLJAX	0.30	0.20	0.50
JHancock2 Lifecycle 2045 R1	JLJDX	0.50	0.25	0.75
JHancock2 Lifecycle 2045 R3	JLJFX	0.50	0.15	0.65
JHancock2 Lifecycle 2045 R4	JLJGX	0.25	0.10	0.35
JHancock2 Lifecycle 2045 R5	JLJHX	0.00	0.05	0.05
JHancock2 Lifestyle Aggressive 1	JILAX	0.05	0.25	0.30
JHancock2 Lifestyle Aggressive A	JALAX	0.30	0.20	0.50
JHancock2 Lifestyle Aggressive R1	JPLAX	0.50	0.25	0.75
JHancock2 Lifestyle Aggressive R3	JRLAX	0.50	0.15	0.65
JHancock2 Lifestyle Aggressive R4	JSLAX	0.25	0.10	0.35
JHancock2 Lifestyle Aggressive R5	JTLAX	0.00	0.05	0.05
JHancock2 Lifestyle Balanced 1	JILBX	0.05	0.25	0.30
JHancock2 Lifestyle Balanced 5	JHLAX	0.00	0.05	0.05
JHancock2 Lifestyle Balanced A	JALBX	0.30	0.20	0.50
JHancock2 Lifestyle Balanced R1	JPLBX	0.50	0.25	0.75
JHancock2 Lifestyle Balanced R3	JRLBX	0.50	0.15	0.65
JHancock2 Lifestyle Balanced R4	JSLBX	0.25	0.10	0.35
JHancock2 Lifestyle Balanced R5	JTSBX	0.00	0.05	0.05
JHancock2 Lifestyle Conservative 1	JILCX	0.05	0.25	0.30
JHancock2 Lifestyle Conservative A	JALRX	0.30	0.20	0.50
JHancock2 Lifestyle Conservative R1	JPLCX	0.50	0.25	0.75
JHancock2 Lifestyle Conservative R3	JRLCX	0.50	0.15	0.65
JHancock2 Lifestyle Conservative R4	JSLCX	0.25	0.10	0.35
JHancock2 Lifestyle Conservative R5	JTLRX	0.00	0.05	0.05
JHancock2 Lifestyle Growth 1	JILGX	0.05	0.25	0.30

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
JHancock2 Lifestyle Growth 5	JHLGX	0.00	0.05	0.05
JHancock2 Lifestyle Growth A	JALGX	0.30	0.20	0.50
JHancock2 Lifestyle Growth R1	JPLGX	0.50	0.25	0.75
JHancock2 Lifestyle Growth R3	JRLGX	0.50	0.15	0.65
JHancock2 Lifestyle Growth R4	JSLGX	0.25	0.10	0.35
JHancock2 Lifestyle Growth R5	JTLGX	0.00	0.05	0.05
JHancock2 Lifestyle Moderate 1	JILMX	0.05	0.25	0.30
JHancock2 Lifestyle Moderate 5	JHLMX	0.00	0.05	0.05
JHancock2 Lifestyle Moderate A	JALMX	0.30	0.20	0.50
JHancock2 Lifestyle Moderate R1	JPLMX	0.50	0.25	0.75
JHancock2 Lifestyle Moderate R3	JRLMX	0.50	0.15	0.65
JHancock2 Lifestyle Moderate R4	JSLMX	0.25	0.10	0.35
JHancock2 Lifestyle Moderate R5	JTLMX	0.00	0.05	0.05
JHancock3 Classic Value Mega Cap A	JMEAX	0.25	0.20	0.45
JHancock3 Disciplined Value I	JVLIX	0.00	0.00	0.00
JHancock3 Disciplined Value R3	JDVHX	0.50	0.15	0.65
JHancock3 Disciplined Value R4	JDVFX	0.25	0.10	0.35
JHancock3 Disciplined Value R5	JDVVX	0.00	0.05	0.05
JHancock3 Global Shareholder Yield A	JGYAX	0.30	0.20	0.50
JHancock3 Growth Opportunities A	GMSGX	0.30	0.20	0.50
JHancock3 International Allocation A	JAIAX	0.30	0.20	0.50
JHancock3 International Core A	GIDEX	0.30	0.20	0.50
JHancock3 International Core R1	GOCRX	0.50	0.25	0.75
JHancock3 International Core R1	GOCRX	0.50	0.25	0.75
JHancock3 International Core R3	JICHX	0.50	0.15	0.65
JHancock3 International Core R4	JICFX	0.25	0.10	0.35
JHancock3 International Core R5	JICWX	0.00	0.05	0.05
JHancock3 International Growth 1	GOIOX	0.05	0.25	0.30
JHancock3 International Growth A	GOIGX	0.30	0.20	0.50
JHancock3 Rainier Growth R1	RGRWX	0.50	0.25	0.75
JHancock3 Rainier Growth R3	RGRHX	0.50	0.15	0.65
JHancock3 Rainier Growth R4	RGRFX	0.25	0.10	0.35
JHancock3 Rainier Growth R5	RGRVX	0.00	0.05	0.05
JHancock3 Small Company A	JCSAX	0.30	0.20	0.50
JHancock3 US Core A	GOCGX	0.30	0.20	0.50
JHancock3 US Core R1	GOTRX	0.50	0.25	0.75
JHancock3 US Core R1	GOTRX	0.50	0.25	0.75
JHancock3 US Core R3	JUCHX	0.50	0.15	0.65
JHancock3 US Core R4	JUCFX	0.25	0.10	0.35
JHancock3 US Core R5	JUCVX	0.00	0.05	0.05
JHancock3 Value Opportunities A	GOUGX	0.30	0.20	0.50
JPMorgan Asia Equity A	JAEX	0.25	0.20	0.45
JPMorgan Asia Equity Instl	JPAIX	0.00	0.20	0.20
JPMorgan Asia Equity Sel	JPASX	0.00	0.20	0.20
JPMorgan Core Bond A	PGBOX	0.25	0.20	0.45
JPMorgan Core Bond R5	JCBRX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
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JPMorgan Core Bond Select	WOBDX	0.00	0.20	0.20
JPMorgan Core Plus Bond A	ONIAx	0.25	0.20	0.45
JPMorgan Core Plus Bond Select	HLIPX	0.00	0.20	0.20
JPMorgan Disciplined Equity A	JDEAX	0.25	0.20	0.45
JPMorgan Disciplined Equity Instl	JPIEX	0.00	0.20	0.20
JPMorgan Disciplined Equity Sel	JDESX	0.00	0.20	0.20
JPMorgan Diversified A	JDVAX	0.25	0.20	0.45
JPMorgan Diversified Instl	JPDVX	0.00	0.20	0.20
JPMorgan Diversified Sel	JDVSX	0.00	0.20	0.20
JPMorgan Dynamic Small Cap Growth A	VSCOX	0.25	0.20	0.45
JPMorgan Dynamic Small Cap Growth Sel	JDSCX	0.00	0.20	0.20
JPMorgan Emerging Mkts Debt Sel	JEMDX	0.00	0.20	0.20
JPMorgan Emerging Mkts Eq A	JFAMX	0.25	0.20	0.45
JPMorgan Emerging Mkts Instl	JMIEX	0.00	0.20	0.20
JPMorgan Emerging Mkts Sel	JEMSx	0.00	0.20	0.20
JPMorgan Equity Income A	OIEIX	0.25	0.20	0.45
JPMorgan Equity Income Select	HLIEX	0.00	0.20	0.20
JPMorgan Equity Index A	OGEAX	0.25	0.20	0.45
JPMorgan Equity Index Select	HLEIX	0.00	0.20	0.20
JPMorgan Government Bond A	OGGAX	0.25	0.20	0.45
JPMorgan Government Bond Select	HLGAX	0.00	0.20	0.20
JPMorgan Growth & Income A	VGRIX	0.25	0.20	0.45
JPMorgan Growth & Income Sel	VGIIX	0.00	0.20	0.20
JPMorgan Growth Advantage A	VHIAx	0.25	0.20	0.45
JPMorgan High Yield Fund A	OHYAX	0.25	0.20	0.45
JPMorgan High Yield Fund Select	OHYFX	0.00	0.20	0.20
JPMorgan International Eq A	JSEAX	0.25	0.20	0.45
JPMorgan International Eq S	VSIEx	0.00	0.20	0.20
JPMorgan International Equity Index A	OEIAx	0.25	0.20	0.45
JPMorgan International Equity Index Sel	OIEAX	0.00	0.20	0.20
JPMorgan International Val A	JFEAX	0.25	0.20	0.45
JPMorgan International Val I	JNUSx	0.00	0.20	0.20
JPMorgan International Val S	JIESx	0.00	0.20	0.20
JPMorgan Intl Opp A	JIOAX	0.25	0.20	0.45
JPMorgan Intl Opp Instl	JPIOx	0.00	0.20	0.20
JPMorgan Intl Opp Sel	JIOSx	0.00	0.20	0.20
JPMorgan Intrepid America Sel	JPIAX	0.00	0.20	0.20
JPMorgan Intrepid European A	VEUAX	0.25	0.20	0.45
JPMorgan Intrepid European Instl	JFEIX	0.00	0.20	0.20
JPMorgan Intrepid European Sel	JFESx	0.00	0.20	0.20
JPMorgan Intrepid Gr Sel	JPGSx	0.00	0.20	0.20
JPMorgan Intrepid Growth A	JIGAX	0.25	0.20	0.45
JPMorgan Intrepid International A	JFTAX	0.25	0.20	0.45
JPMorgan Intrepid Japan A	CVJAX	0.25	0.20	0.45
JPMorgan Intrepid Mid Cap A	PECAX	0.25	0.20	0.45
JPMorgan Intrepid Mid Cap Select	WOOPx	0.00	0.20	0.20

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	Ticker			
JPMorgan Intrepid Multi Cap Sel	JISX	0.00	0.20	0.20
JPMorgan Intrepid Value Select	JPIVX	0.00	0.20	0.20
JPMorgan Investor Balanced A	OGIAX	0.25	0.20	0.45
JPMorgan Investor Balanced Select	OIBFX	0.00	0.20	0.20
JPMorgan Investor Conservative Growth A	OICAX	0.25	0.20	0.45
JPMorgan Investor Conservative Growth S	ONCFX	0.00	0.20	0.20
JPMorgan Investor Growth & Income A	ONGIX	0.25	0.20	0.45
JPMorgan Investor Growth & Income Sel	ONGFX	0.00	0.20	0.20
JPMorgan Investor Growth A	ONGAX	0.25	0.20	0.45
JPMorgan Investor Growth Select	ONIFX	0.00	0.20	0.20
JPMorgan Large Cap Growth A	OLGAX	0.25	0.20	0.45
JPMorgan Large Cap Growth Select	SEEGX	0.00	0.20	0.20
JPMorgan Large Cap Value A	OLVAX	0.25	0.20	0.45
JPMorgan Large Cap Value Select	HLQVX	0.00	0.20	0.20
JPMorgan Limited Duration Bd A	ONUAX	0.25	0.20	0.45
JPMorgan Limited Duration Bd Sel	HLGFX	0.00	0.20	0.20
JPMorgan Market Expansion Index A	OMEAX	0.25	0.20	0.45
JPMorgan Market Expansion Index Select	PGMIX	0.00	0.20	0.20
JPMorgan Market Neutral A	JMNAX	0.25	0.20	0.45
JPMorgan Market Neutral Instl	JPMNX	0.00	0.20	0.20
JPMorgan Mid Cap Equity Select	VSNGX	0.00	0.20	0.20
JPMorgan Mid Cap Growth A	OSGIX	0.25	0.20	0.45
JPMorgan Mid Cap Growth Sel	HLGEX	0.00	0.20	0.20
JPMorgan Mid Cap Value A	JAMCX	0.25	0.20	0.45
JPMorgan Mid Cap Value Instl	FLMVX	0.00	0.20	0.20
JPMorgan Mid Cap Value Sel	JMVSX	0.00	0.20	0.20
JPMorgan Mortgage-Backed Securities A	OMBAX	0.25	0.20	0.45
JPMorgan Mortgage-Backed Securities Sel	OMBIX	0.00	0.20	0.20
JPMorgan Multi-Cap Market Neutral A	OGNAX	0.25	0.20	0.45
JPMorgan Multi-Cap Market Neutral Sel	OGNIX	0.00	0.20	0.20
JPMorgan Realty Income A	URTAX	0.25	0.20	0.45
JPMorgan Realty Income Instl	URLTX	0.00	0.00	0.00
JPMorgan Short Duration Bond A	OGLVX	0.25	0.20	0.45
JPMorgan Short Duration Bond Select	HLLVX	0.00	0.20	0.20
JPMorgan Short-Term Bond II A	HSTGX	0.25	0.20	0.45
JPMorgan Short-Term Bond II Sel	TRSBX	0.00	0.20	0.20
JPMorgan Small Cap Core Select	VSSCX	0.00	0.20	0.20
JPMorgan Small Cap Equity A	VSEAX	0.25	0.20	0.45
JPMorgan Small Cap Equity Sel	VSEIX	0.00	0.20	0.20
JPMorgan Small Cap Growth A	PGSGX	0.25	0.20	0.45
JPMorgan Small Cap Growth Inst	JISGX	0.00	0.20	0.20
JPMorgan Small Cap Growth Select	OGGFX	0.00	0.20	0.20
JPMorgan Small Cap Value A	PSOAX	0.25	0.20	0.45
JPMorgan Small Cap Value Select	PSOPX	0.00	0.20	0.20
JPMorgan SmartRetirement 2010 A	JSWAX	0.25	0.20	0.45
JPMorgan SmartRetirement 2015 A	JSFAX	0.25	0.20	0.45

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JPMorgan SmartRetirement 2020 A	JTTAX	0.25	0.20	0.45
JPMorgan SmartRetirement 2025 A	JNSAX	0.25	0.20	0.45
JPMorgan SmartRetirement 2030 A	JSMAX	0.25	0.20	0.45
JPMorgan SmartRetirement 2035 A	SRJAX	0.25	0.20	0.45
JPMorgan SmartRetirement 2040 A	SMTAX	0.25	0.20	0.45
JPMorgan SmartRetirement 2045 A	JSAAX	0.25	0.20	0.45
JPMorgan SmartRetirement 2050 A	JTSAX	0.25	0.20	0.45
JPMorgan SmartRetirement Income A	JSRAX	0.25	0.20	0.45
JPMorgan Tax Aware US Equity A	JTEAX	0.25	0.20	0.45
JPMorgan Tax Aware US Equity Sel	JPTAX	0.00	0.20	0.20
JPMorgan Treasury & Agency A	OTABX	0.25	0.20	0.45
JPMorgan Treasury & Agency Select	OGTFX	0.00	0.20	0.20
JPMorgan US Equity A	JUEAX	0.25	0.20	0.45
JPMorgan US Equity Instl	JMUEX	0.00	0.20	0.20
JPMorgan US Equity Sel	JUESX	0.00	0.20	0.20
JPMorgan US Small Company Instl	JUSSX	0.00	0.20	0.20
JPMorgan US Small Company Sel	JSCSX	0.00	0.20	0.20
JPMorgan Value Opportunities A	JVOAX	0.25	0.20	0.45
JPMorgan Value Opportunities Inst	JVOIX	0.00	0.20	0.20
Keeley All Cap Value A	KACVX	0.25	0.15	0.40
Keeley All Cap Value I	KACIX	0.00	0.15	0.15
Keeley Mid Cap Value A	KMCVX	0.25	0.15	0.40
Keeley Mid Cap Value I	KMCIX	0.00	0.15	0.15
Keeley Small Cap Value A	KSCVX	0.25	0.15	0.40
Keeley Small Cap Value I	KSCIX	0.00	0.15	0.15
Keeley Small-Mid Cap Value A	KSMVX	0.25	0.15	0.40
Laudus International MarketMasters Inv	SWOIX	0.00	0.25	0.25
Laudus International MarketMasters Sel	SWMIX	0.00	0.00	0.00
Laudus Mondrian Emerging Markets Instl	LEMNX	0.00	0.00	0.00
Laudus Mondrian Emerging Markets Inv	LEMIX	0.25	0.15	0.40
Laudus Mondrian Emerging Markets Select	LEMSX	0.00	0.00	0.00
Laudus Mondrian Global Equity Instl	LGEVX	0.00	0.00	0.00
Laudus Mondrian Global Equity Inv	LGEQX	0.25	0.15	0.40
Laudus Mondrian Global Equity Select	LGESX	0.00	0.15	0.15
Laudus Mondrian Intl Equity Instl	LIEIX	0.00	0.00	0.00
Laudus Mondrian Intl Equity Inv	LIEQX	0.25	0.15	0.40
Laudus Mondrian Intl Equity Select	LIEFX	0.00	0.15	0.15
Laudus Mondrian Intl Fixed Income	LIFNX	0.00	0.00	0.00
Laudus Rosenberg Intl Discovery Inv	LIDIX	0.25	0.15	0.40
Laudus Rosenberg Intl Discovery Sel	LIDSX	0.00	0.00	0.00
Laudus Rosenberg Intl Small Cap Inv	RISIX	0.25	0.15	0.40
Laudus Rosenberg Intl Small Cap Sel	ICSIX	0.00	0.00	0.00
Laudus Rosenberg US Discovery Sel	RDISX	0.00	0.00	0.00
Laudus Rosenberg US Large Cap Inv	AXLVX	0.25	0.15	0.40
Laudus Rosenberg US Large Cap Sel	AXLIX	0.00	0.00	0.00
Laudus Small-Cap MarketMasters Inv	SWOSX	0.00	0.25	0.25

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Laudus Small-Cap MarketMasters Select	SWMSX	0.00	0.00	0.00
Lazard Capital Allctr Opp Str Instl	LCAIX	0.00	0.00	0.00
Lazard Capital Allctr Opp Str Open	LCAOX	0.25	0.00	0.25
Lazard Developing Markets Equity Instl	LDMIX	0.00	0.00	0.00
Lazard Developing Markets Equity Open	LDMOX	0.25	0.00	0.25
Lazard Emerging Markets Equity Instl	LZEMX	0.00	0.00	0.00
Lazard Emerging Markets Equity Open	LZOEX	0.25	0.00	0.25
Lazard International Equity Instl	LZIEX	0.00	0.00	0.00
Lazard International Equity Open	LZIOX	0.25	0.00	0.25
Lazard International Equity Sel Instl	LZSIX	0.00	0.00	0.00
Lazard International Equity Sel Open	LZESX	0.25	0.00	0.25
Lazard International Small Cap Eq Instl	LZISX	0.00	0.00	0.00
Lazard International Small Cap Eq Open	LZSMX	0.25	0.00	0.25
Lazard Intl Strategic Equity Instl	LISIX	0.00	0.00	0.00
Lazard Intl Strategic Equity Open	LISOX	0.25	0.00	0.25
Lazard US Equity Value Instl	LEVIX	0.00	0.00	0.00
Lazard US Equity Value Open	LEVOX	0.25	0.00	0.25
Lazard US High Yield Instl	LZHYX	0.00	0.00	0.00
Lazard US High Yield Open	LZHOX	0.25	0.00	0.25
Lazard US Mid Cap Equity Instl	LZMIX	0.00	0.00	0.00
Lazard US Mid Cap Equity Open	LZMOX	0.25	0.00	0.25
Lazard US Small-Mid Cap Equity Instl	LZSCX	0.00	0.00	0.00
Lazard US Small-Mid Cap Equity Open	LZCOX	0.25	0.00	0.25
Lazard US Strategic Equity Instl	LZUSX	0.00	0.00	0.00
Lazard US Strategic Equity Open	LZUOX	0.25	0.00	0.25
Legg Mason Barrett Financial Services A	SBFAX	0.25	0.15	0.40
Legg Mason Barrett Financial Services I	LMRIX	0.00	0.09	0.09
Legg Mason Batterymarch Emerging Mkts FI	LGFMX	0.25	0.10	0.35
Legg Mason Batterymarch Emerging Mkts I	LGEMX	0.00	0.10	0.10
Legg Mason Batterymarch Global Eq A	CFIPX	0.25	0.15	0.40
Legg Mason Batterymarch Global Eq I	SMYIX	0.00	0.15	0.15
Legg Mason Batterymarch Intl Eq FI	LGFEY	0.25	0.10	0.35
Legg Mason Batterymarch Intl Eq I	LGIEY	0.00	0.10	0.10
Legg Mason Batterymarch Intl Eq R	LMIRX	0.50	0.15	0.65
Legg Mason Batterymarch US Lg Cap Eq I	LMTIX	0.00	0.15	0.15
Legg Mason Batterymarch US Sm Cap Eq FI	LGSCX	0.25	0.10	0.35
Legg Mason Batterymarch US Sm Cap Eq I	LMSIX	0.00	0.10	0.10
Legg Mason BFM 130/30 US Lg Cap Eq A	LMUAX	0.25	0.15	0.40
Legg Mason BFM 130/30 US Lg Cap Eq I	LMUIX	0.00	0.15	0.15
Legg Mason BFM 130/30 US Lg Cap Eq R	LMURX	0.50	0.15	0.65
Legg Mason Cap Mgmt All Cap A	SPAAX	0.25	0.15	0.40
Legg Mason Cap Mgmt All Cap I	LACIX	0.00	0.15	0.15
Legg Mason Cap Mgmt American Ldg Co I	LGAMX	0.00	0.10	0.10
Legg Mason Cap Mgmt Growth FI	LMGFX	0.25	0.10	0.35
Legg Mason Cap Mgmt Growth I	LMGNX	0.00	0.10	0.10
Legg Mason Cap Mgmt Growth R	LMGRX	0.50	0.15	0.65

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Legg Mason Cap Mgmt Opportunity FI	LMOFX	0.25	0.10	0.35
Legg Mason Cap Mgmt Opportunity I	LMNOX	0.00	0.10	0.10
Legg Mason Cap Mgmt Opportunity R	LMORX	0.50	0.15	0.65
Legg Mason Cap Mgmt Special Inv FI	LGASX	0.25	0.10	0.35
Legg Mason Cap Mgmt Special Inv I	LMNSX	0.00	0.10	0.10
Legg Mason Cap Mgmt Special Inv R	LMARX	0.50	0.15	0.65
Legg Mason Cap Mgmt Value FI	LMVFX	0.25	0.10	0.35
Legg Mason Cap Mgmt Value I	LMNVX	0.00	0.10	0.10
Legg Mason Cap Mgmt Value R	LMVRX	0.50	0.15	0.65
Legg Mason ClearBridge Aggressive Gr A	SHRAX	0.25	0.25	0.50
Legg Mason ClearBridge Aggressive Gr I	SAGYX	0.00	0.24	0.24
Legg Mason ClearBridge Aggressive Gr R	LMPRX	0.50	0.15	0.65
Legg Mason ClearBridge Appreciation A	SHAPX	0.25	0.25	0.50
Legg Mason ClearBridge Appreciation I	SAPYX	0.00	0.17	0.17
Legg Mason ClearBridge Appreciation R	LMPPX	0.50	0.15	0.65
Legg Mason ClearBridge Capital A	SCCAX	0.25	0.25	0.50
Legg Mason ClearBridge Capital I	SACPX	0.00	0.17	0.17
Legg Mason ClearBridge Divers LgCpGr A	CFLGX	0.25	0.25	0.50
Legg Mason ClearBridge Dividend Strat A	GROAX	0.25	0.25	0.50
Legg Mason ClearBridge Dividend Strat I	LMBIX	0.00	0.15	0.15
Legg Mason ClearBridge Equity A	LMQAX	0.25	0.15	0.40
Legg Mason ClearBridge Equity I	LMQIX	0.00	0.15	0.15
Legg Mason ClearBridge Equity Inc Bldr A	SOPAX	0.25	0.15	0.40
Legg Mason ClearBridge Equity Inc Bldr I	SOPYX	0.00	0.23	0.23
Legg Mason ClearBridge Fdmtl Value A	SHFVX	0.25	0.25	0.50
Legg Mason ClearBridge Fdmtl Value I	SFVYX	0.00	0.19	0.19
Legg Mason ClearBridge Investors Val A	SINAX	0.25	0.25	0.50
Legg Mason ClearBridge Investors Val I	SAIFX	0.00	0.15	0.15
Legg Mason ClearBridge Large Cap Gr A	SBLGX	0.25	0.25	0.50
Legg Mason ClearBridge Large Cap Gr I	SBLYX	0.00	0.23	0.23
Legg Mason ClearBridge Large Cap Gr R	LMPLX	0.50	0.15	0.65
Legg Mason ClearBridge Mid Cap Core A	SBMAX	0.25	0.25	0.50
Legg Mason ClearBridge Mid Cap Core I	SMBYX	0.00	0.23	0.23
Legg Mason ClearBridge Mid Cap Core R	LMREX	0.50	0.15	0.65
Legg Mason ClearBridge Small Cap Gr A	SASMX	0.25	0.25	0.50
Legg Mason ClearBridge Small Cap Gr I	SBPYX	0.00	0.23	0.23
Legg Mason ClearBridge Small Cap Gr R	LMPOX	0.50	0.15	0.65
Legg Mason ClearBridge Small Cap Val A	SBVAX	0.25	0.25	0.50
Legg Mason ClearBridge Small Cap Val I	SMCYX	0.00	0.15	0.15
Legg Mason Esemplia Emerg Mkts Eq A	SMKAX	0.25	0.15	0.40
Legg Mason Esemplia Emerg Mkts Eq I	SBEYX	0.00	0.15	0.15
Legg Mason Gbl Curr Intl All Cap Opp A	SBIEX	0.25	0.25	0.50
Legg Mason Gbl Curr Intl All Cap Opp I	SBIYX	0.00	0.26	0.26
Legg Mason Inv Counsel Social Aware A	SSIAX	0.25	0.25	0.50
Legg Mason Inv Counsel Social Aware I	LMRNX	0.00	0.23	0.23
Legg Mason Lifestyle Allocation 100% A	LMLAX	0.25	0.15	0.40

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Legg Mason Lifestyle Allocation 100% I	LMYIX	0.00	0.15	0.15
Legg Mason Lifestyle Allocation 30% A	SBCPX	0.25	0.15	0.40
Legg Mason Lifestyle Allocation 50% A	SBBAX	0.25	0.15	0.40
Legg Mason Lifestyle Allocation 70% A	SCGRX	0.25	0.15	0.40
Legg Mason Lifestyle Allocation 70% I	LLAIX	0.00	0.15	0.15
Legg Mason Lifestyle Allocation 85% A	SCHAX	0.25	0.15	0.40
Legg Mason Lifestyle Income A	SCAAX	0.25	0.15	0.40
Legg Mason Target Retirement 2015 A	LMFAX	0.25	0.15	0.40
Legg Mason Target Retirement 2015 I	LMFIX	0.00	0.15	0.15
Legg Mason Target Retirement 2015 R	LMFRX	0.50	0.15	0.65
Legg Mason Target Retirement 2020 A	LMWAX	0.25	0.15	0.40
Legg Mason Target Retirement 2020 I	LMWIX	0.00	0.15	0.15
Legg Mason Target Retirement 2020 R	LMWRX	0.50	0.15	0.65
Legg Mason Target Retirement 2025 A	LMXAX	0.25	0.15	0.40
Legg Mason Target Retirement 2025 I	LMXIX	0.00	0.15	0.15
Legg Mason Target Retirement 2025 R	LMXRX	0.50	0.15	0.65
Legg Mason Target Retirement 2030 A	LMVAX	0.25	0.15	0.40
Legg Mason Target Retirement 2030 I	LMVIX	0.00	0.15	0.15
Legg Mason Target Retirement 2030 R	LMQRX	0.50	0.15	0.65
Legg Mason Target Retirement 2035 A	LMNAX	0.25	0.15	0.40
Legg Mason Target Retirement 2035 I	LMNIX	0.00	0.15	0.15
Legg Mason Target Retirement 2035 R	LMRRX	0.50	0.15	0.65
Legg Mason Target Retirement 2040 A	LMYAX	0.25	0.15	0.40
Legg Mason Target Retirement 2040 I	LMNRX	0.00	0.15	0.15
Legg Mason Target Retirement 2040 R	LMYRX	0.50	0.15	0.65
Legg Mason Target Retirement 2045 A	LMKAX	0.25	0.15	0.40
Legg Mason Target Retirement 2045 I	LMKIX	0.00	0.15	0.15
Legg Mason Target Retirement 2045 R	LMKRX	0.50	0.15	0.65
Legg Mason Target Retirement 2050 A	LMJAX	0.25	0.15	0.40
Legg Mason Target Retirement 2050 I	LMJIX	0.00	0.15	0.15
Legg Mason Target Retirement 2050 R	LMJRX	0.50	0.15	0.65
Legg Mason Target Retirement A	LMPAX	0.25	0.15	0.40
Legg Mason Target Retirement I	LMIMX	0.00	0.15	0.15
Legg Mason Target Retirement R	LMERX	0.50	0.15	0.65
Legg Mason WA Adj Rate Income A	ARMZX	0.25	0.15	0.40
Legg Mason WA Adj Rate Income I	SBAYX	0.00	0.18	0.18
Legg Mason WA Core Bond A	TRBAX	0.25	0.25	0.50
Legg Mason WA Core Bond R	LMPTX	0.50	0.15	0.65
Legg Mason WA Core Plus Bond A	SHMGX	0.25	0.25	0.50
Legg Mason WA Core Plus Bond R	LMPCX	0.50	0.15	0.65
Legg Mason WA Corporate Bond A	SIGAX	0.25	0.25	0.50
Legg Mason WA Corporate Bond I	SIGYX	0.00	0.19	0.19
Legg Mason WA Global Hi Yld Bd A	SAHYX	0.25	0.25	0.50
Legg Mason WA Global Hi Yld Bd I	SHYOX	0.00	0.24	0.24
Legg Mason WA Global Inflation Mgmt A	SBGLX	0.25	0.25	0.50
Legg Mason WA Government Securities A	SGVAX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Legg Mason WA Government Securities I	SGSYX	0.00	0.17	0.17
Legg Mason WA High Income A	SHIAX	0.25	0.25	0.50
Legg Mason WA Short-Term Bond A	SBSTX	0.25	0.15	0.40
Legg Mason WA Strategic Income A	SDSAX	0.25	0.15	0.40
Legg Mason WA Strategic Income I	SDSYX	0.00	0.20	0.20
Loomis Sayles Bond Admin	LBFAX	0.50	0.10	0.60
Loomis Sayles Bond Instl	LSBDX	0.00	0.20	0.20
Loomis Sayles Bond Retail	LSBRX	0.25	0.10	0.35
Loomis Sayles Core Plus Bond A	NEFRX	0.25	0.20	0.45
Loomis Sayles Core Plus Bond Y	NERYX	0.00	0.20	0.20
Loomis Sayles Disciplined Equity A	LSRRX	0.25	0.30	0.55
Loomis Sayles Disciplined Equity Y	LISRX	0.00	0.25	0.25
Loomis Sayles Fixed Income	LSFIX	0.00	0.00	0.00
Loomis Sayles Global Bond Instl	LSGBX	0.00	0.20	0.20
Loomis Sayles Global Bond Retail	LSGLX	0.25	0.10	0.35
Loomis Sayles Global Markets A	LGMAX	0.25	0.30	0.55
Loomis Sayles Global Markets Y	LSWWX	0.00	0.25	0.25
Loomis Sayles Growth A	LGRRX	0.25	0.30	0.55
Loomis Sayles Growth Y	LSGRX	0.00	0.25	0.25
Loomis Sayles High Income A	NEFHX	0.25	0.20	0.45
Loomis Sayles High Income Y	NEHYX	0.00	0.20	0.20
Loomis Sayles Inflation Protected Secs I	LSGSX	0.00	0.00	0.00
Loomis Sayles Instl High Income	LSHIX	0.00	0.00	0.00
Loomis Sayles Interm Duration F/I	LSDIX	0.00	0.00	0.00
Loomis Sayles International Bond A	LSIAX	0.25	0.20	0.45
Loomis Sayles International Bond Y	LSIYX	0.00	0.20	0.20
Loomis Sayles Investment Grade Bond A	LIGRX	0.25	0.20	0.45
Loomis Sayles Investment Grade Bond Y	LSIIX	0.00	0.15	0.15
Loomis Sayles Investment Grade F/I	LSIGX	0.00	0.00	0.00
Loomis Sayles Ltd Term Govt and Agency A	NEFLX	0.25	0.20	0.45
Loomis Sayles Ltd Term Govt and Agency Y	NELYX	0.00	0.20	0.20
Loomis Sayles Mid Cap Growth A	LAGRX	0.25	0.15	0.40
Loomis Sayles Mid Cap Growth Y	LSAIX	0.00	0.15	0.15
Loomis Sayles Small Cap Growth Instl	LSSIX	0.00	0.15	0.15
Loomis Sayles Small Cap Growth Retail	LCGRX	0.25	0.15	0.40
Loomis Sayles Small Cap Value Admin	LSVAX	0.50	0.10	0.60
Loomis Sayles Small Cap Value Instl	LSSCX	0.00	0.15	0.15
Loomis Sayles Small Cap Value Retail	LSCRX	0.25	0.15	0.40
Loomis Sayles Strategic Income A	NEFZX	0.25	0.20	0.45
Loomis Sayles Strategic Income Y	NEZYX	0.00	0.20	0.20
Loomis Sayles Value A	LSVRX	0.25	0.30	0.55
Loomis Sayles Value Y	LSGIX	0.00	0.25	0.25
Lord Abbett Affiliated A	LAFFX	0.25	0.15	0.40
Lord Abbett Affiliated I	LAFYX	0.00	0.10	0.10
Lord Abbett Affiliated P	LAFPX	0.45	0.15	0.60
Lord Abbett Affiliated R3	LAFRX	0.50	0.15	0.65

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Lord Abbett Alpha Strategy A	ALFAX	0.25	0.15	0.40
Lord Abbett Alpha Strategy I	ALFYX	0.00	0.10	0.10
Lord Abbett Alpha Strategy R3	ALFRX	0.50	0.15	0.65
Lord Abbett Balanced Strategy A	LABFX	0.25	0.15	0.40
Lord Abbett Balanced Strategy I	LABYX	0.00	0.10	0.10
Lord Abbett Balanced Strategy P	LABPX	0.45	0.15	0.60
Lord Abbett Balanced Strategy R3	BLARX	0.50	0.15	0.65
Lord Abbett Bond-Debenture A	LBNDX	0.25	0.15	0.40
Lord Abbett Bond-Debenture I	LBNYX	0.00	0.10	0.10
Lord Abbett Bond-Debenture P	LBNPX	0.45	0.15	0.60
Lord Abbett Bond-Debenture R3	LBNRX	0.50	0.15	0.65
Lord Abbett Capital Structure A	LAMAX	0.25	0.15	0.40
Lord Abbett Capital Structure I	LAMYX	0.00	0.10	0.10
Lord Abbett Capital Structure P	LAMPX	0.45	0.15	0.60
Lord Abbett Capital Structure R3	LAMRX	0.50	0.15	0.65
Lord Abbett Classic Stock A	LRLCX	0.25	0.15	0.40
Lord Abbett Classic Stock I	LARYX	0.00	0.10	0.10
Lord Abbett Classic Stock P	LRLPX	0.45	0.15	0.60
Lord Abbett Classic Stock R3	LRLRX	0.50	0.15	0.65
Lord Abbett Convertible A	LACFX	0.25	0.15	0.40
Lord Abbett Convertible I	LCFYX	0.00	0.10	0.10
Lord Abbett Convertible P	LCFPX	0.45	0.15	0.60
Lord Abbett Convertible R3	LCFRX	0.50	0.15	0.65
Lord Abbett Core Fixed Income A	LCRAX	0.25	0.15	0.40
Lord Abbett Core Fixed Income I	LCRYX	0.00	0.10	0.10
Lord Abbett Core Fixed Income P	LCRPX	0.45	0.15	0.60
Lord Abbett Core Fixed Income R3	LCRRX	0.50	0.15	0.65
Lord Abbett Developing Growth A	LAGWX	0.25	0.15	0.40
Lord Abbett Developing Growth I	LADYX	0.00	0.10	0.10
Lord Abbett Developing Growth P	LADPX	0.45	0.15	0.60
Lord Abbett Developing Growth R3	LADRX	0.50	0.15	0.65
Lord Abbett Developing Local Markets A	LDMAX	0.25	0.15	0.40
Lord Abbett Developing Local Markets R3	LDMRX	0.50	0.15	0.65
Lord Abbett Div Income Strategy A	ISFAX	0.25	0.15	0.40
Lord Abbett Div Income Strategy I	ISFYX	0.00	0.10	0.10
Lord Abbett Div Income Strategy P	ISFPX	0.45	0.15	0.60
Lord Abbett Div Income Strategy R3	LIXRX	0.50	0.15	0.65
Lord Abbett Diversified Equity Strat A	LDSAX	0.25	0.15	0.40
Lord Abbett Diversified Equity Strat I	LDSYX	0.00	0.10	0.10
Lord Abbett Diversified Equity Strat P	LDSPX	0.45	0.15	0.60
Lord Abbett Diversified Equity Strat R3	LDSRX	0.50	0.15	0.65
Lord Abbett Fundamental Equity A	LDFVX	0.25	0.15	0.40
Lord Abbett Fundamental Equity I	LAVYX	0.00	0.10	0.10
Lord Abbett Fundamental Equity P	LAVPX	0.45	0.15	0.60
Lord Abbett Fundamental Equity R3	LAVRX	0.50	0.15	0.65
Lord Abbett Global Allocation A	LAGEX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Lord Abbett Global Allocation I	LGEYX	0.00	0.15	0.15
Lord Abbett Growth & Inc Strat A	LWSAX	0.25	0.15	0.40
Lord Abbett Growth & Inc Strat I	LWSYX	0.00	0.10	0.10
Lord Abbett Growth & Inc Strat P	LWSPX	0.45	0.15	0.60
Lord Abbett Growth & Inc Strat R3	LGIRX	0.50	0.15	0.65
Lord Abbett Growth Opportunities A	LMGAX	0.25	0.15	0.40
Lord Abbett Growth Opportunities I	LMGYX	0.00	0.10	0.10
Lord Abbett Growth Opportunities P	LGOPX	0.45	0.15	0.60
Lord Abbett Growth Opportunities R3	LGORX	0.50	0.15	0.65
Lord Abbett High Yield A	LHYAX	0.25	0.15	0.40
Lord Abbett High Yield I	LAHYX	0.00	0.10	0.10
Lord Abbett High Yield P	LHYPX	0.45	0.15	0.60
Lord Abbett High Yield R3	LHYRX	0.50	0.15	0.65
Lord Abbett International Opp A	LAIEX	0.25	0.15	0.40
Lord Abbett International Opp I	LINYX	0.00	0.10	0.10
Lord Abbett International Opp P	LINPX	0.45	0.15	0.60
Lord Abbett International Opp R3	LINRX	0.50	0.15	0.65
Lord Abbett Intl Core Equity A	LICAX	0.25	0.15	0.40
Lord Abbett Intl Core Equity I	LICYX	0.00	0.10	0.10
Lord Abbett Intl Core Equity P	LICPX	0.45	0.15	0.60
Lord Abbett Intl Core Equity R3	LICRX	0.50	0.15	0.65
Lord Abbett Intl Dividend Inc A	LIDAX	0.25	0.15	0.40
Lord Abbett Intl Dividend Inc I	LAIDX	0.00	0.10	0.10
Lord Abbett Intl Dividend Inc R2	LIDRX	0.60	0.10	0.70
Lord Abbett Intl Dividend Inc R3	LIRRX	0.50	0.15	0.65
Lord Abbett Large-Cap Value A	LALAX	0.25	0.15	0.40
Lord Abbett Large-Cap Value I	LLCYX	0.00	0.10	0.10
Lord Abbett Large-Cap Value P	LALPX	0.45	0.15	0.60
Lord Abbett Mid-Cap Value A	LAVLX	0.25	0.15	0.40
Lord Abbett Mid-Cap Value I	LMCYX	0.00	0.10	0.10
Lord Abbett Mid-Cap Value P	LMCPX	0.45	0.15	0.60
Lord Abbett Mid-Cap Value R3	LMCRX	0.50	0.15	0.65
Lord Abbett Short Duration Income A	LALDX	0.15	0.15	0.30
Lord Abbett Short Duration Income I	LLDYX	0.00	0.10	0.10
Lord Abbett Small Cap Value R3	LRSRX	0.50	0.15	0.65
Lord Abbett Small-Cap Blend A	LSBAX	0.25	0.15	0.40
Lord Abbett Small-Cap Blend I	LSBYX	0.00	0.10	0.10
Lord Abbett Small-Cap Blend P	LSBPX	0.45	0.15	0.60
Lord Abbett Small-Cap Blend R3	LSSRX	0.50	0.15	0.65
Lord Abbett Small-Cap Value A	LRSCX	0.25	0.15	0.40
Lord Abbett Small-Cap Value I	LRSYX	0.00	0.10	0.10
Lord Abbett Small-Cap Value P	LRSPX	0.45	0.15	0.60
Lord Abbett Stock Appreciation A	LALCX	0.25	0.15	0.40
Lord Abbett Stock Appreciation I	LALYX	0.00	0.10	0.10
Lord Abbett Stock Appreciation P	LLCPX	0.45	0.15	0.60
Lord Abbett Stock Appreciation R3	LALRX	0.50	0.15	0.65

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Lord Abbett Total Return A	LTRAX	0.25	0.15	0.40
Lord Abbett Total Return I	LTRYX	0.00	0.10	0.10
Lord Abbett Total Return P	LTRPX	0.45	0.15	0.60
Lord Abbett Total Return R3	LTRRX	0.50	0.15	0.65
Lord Abbett Value Opportunities A	LVOAX	0.25	0.15	0.40
Lord Abbett Value Opportunities I	LVOYX	0.00	0.10	0.10
Lord Abbett Value Opportunities P	LVOPX	0.45	0.15	0.60
Lord Abbett Value Opportunities R3	LVORX	0.50	0.15	0.65
LSV Value Equity	LSVEX	0.00	0.00	0.00
MainStay 130/30 Core I	MYCIX	0.00	0.15	0.15
MainStay 130/30 Core Inv	MYCNX	0.25	0.15	0.40
MainStay 130/30 Growth I	MYGIX	0.00	0.15	0.15
MainStay Balanced I	MBAIX	0.00	0.15	0.15
MainStay Balanced Inv	MBINX	0.25	0.15	0.40
MainStay Balanced R1	MBNRX	0.00	0.35	0.35
MainStay Balanced R2	MBCRX	0.25	0.25	0.50
MainStay Balanced R3	MBDRX	0.50	0.25	0.75
MainStay Common Stock I	MSOIX	0.00	0.05	0.05
MainStay Common Stock Inv	MCSSX	0.25	0.05	0.30
MainStay Conservative Allocation I	MCKIX	0.00	0.05	0.05
MainStay Conservative Allocation Inv	MCKNX	0.25	0.05	0.30
MainStay Convertible Inv	MCINX	0.25	0.05	0.30
MainStay Diversified Income I	MSDIX	0.00	0.05	0.05
MainStay Diversified Income Inv	MSYDX	0.25	0.05	0.30
MainStay Epoch U.S. All Cap I	MATIX	0.00	0.15	0.15
MainStay Epoch U.S. All Cap Inv	MAWNX	0.25	0.15	0.40
MainStay Floating Rate I	MXFIX	0.00	0.05	0.05
MainStay Floating Rate Inv	MXFNX	0.25	0.05	0.30
MainStay Global High Income Inv	MGHHX	0.25	0.05	0.30
MainStay Government I	MGOIX	0.00	0.05	0.05
MainStay Government Inv	MGVNX	0.25	0.05	0.30
MainStay Growth Allocation I	MGXIX	0.00	0.05	0.05
MainStay Growth Allocation Inv	MGXNX	0.25	0.05	0.30
MainStay High Yield Corporate Bond I	MHYIX	0.00	0.15	0.15
MainStay High Yield Corporate Bond Inv	MHHIX	0.25	0.15	0.40
MainStay High Yield Corporate Bond R2	MHYRX	0.25	0.25	0.50
MainStay ICAP Equity I	ICAEX	0.00	0.15	0.15
MainStay ICAP Equity Inv	ICANX	0.25	0.15	0.40
MainStay ICAP Equity R1	ICAWX	0.00	0.35	0.35
MainStay ICAP Equity R2	ICAYX	0.25	0.25	0.50
MainStay ICAP Equity R3	ICAZX	0.50	0.25	0.75
MainStay ICAP Global I	ICGRX	0.00	0.15	0.15
MainStay ICAP Global Inv	ICGNX	0.25	0.15	0.40
MainStay ICAP International I	ICEUX	0.00	0.15	0.15
MainStay ICAP International R1	ICETX	0.00	0.35	0.35
MainStay ICAP International R2	ICEYX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
MainStay ICAP International R3	ICEZX	0.50	0.25	0.75
MainStay ICAP Select Equity I	ICSLX	0.00	0.15	0.15
MainStay ICAP Select Equity R1	ICSWX	0.00	0.35	0.35
MainStay ICAP Select Equity R2	ICSYX	0.25	0.25	0.50
MainStay ICAP Select Equity R3	ICSZX	0.50	0.25	0.75
MainStay Income Builder I	MTOIX	0.00	0.05	0.05
MainStay Income Builder Inv	MTINX	0.25	0.05	0.30
MainStay Indexed Bond I	MIXIX	0.00	0.05	0.05
MainStay Indexed Bond Inv	MIXNX	0.25	0.05	0.30
MainStay Intermediate Term Bond I	MTMIX	0.00	0.05	0.05
MainStay Intermediate Term Bond Inv	MTMNX	0.25	0.05	0.30
MainStay International Equity I	MSIIX	0.00	0.15	0.15
MainStay International Equity Inv	MINNX	0.25	0.15	0.40
MainStay International Equity R1	MIERX	0.00	0.35	0.35
MainStay International Equity R2	MIRRX	0.25	0.25	0.50
MainStay International Equity R3	MIFRX	0.50	0.25	0.75
MainStay Large Cap Growth I	MLAIX	0.00	0.15	0.15
MainStay Large Cap Growth Inv	MLINX	0.25	0.15	0.40
MainStay Large Cap Growth R1	MLRRX	0.00	0.35	0.35
MainStay Large Cap Growth R2	MLRTX	0.25	0.25	0.50
MainStay Large Cap Growth R3	MLGRX	0.50	0.25	0.75
MainStay MAP I	MUBFX	0.00	0.15	0.15
MainStay MAP Inv	MSMIX	0.25	0.15	0.40
MainStay MAP R1	MAPRX	0.00	0.35	0.35
MainStay MAP R2	MPRRX	0.25	0.25	0.50
MainStay MAP R3	MMAPX	0.50	0.25	0.75
MainStay Moderate Allocation I	MMRIX	0.00	0.05	0.05
MainStay Moderate Allocation Inv	MMRDX	0.25	0.05	0.30
MainStay Moderate Growth Allocation I	MGDIX	0.00	0.05	0.05
MainStay Moderate Growth Allocation Inv	MGDNX	0.25	0.05	0.30
MainStay Retirement 2010 I	MYRIX	0.00	0.05	0.05
MainStay Retirement 2010 Inv	MYRDX	0.25	0.05	0.30
MainStay Retirement 2020 I	MYRTX	0.00	0.05	0.05
MainStay Retirement 2020 Inv	MYRYX	0.25	0.05	0.30
MainStay Retirement 2020 R3	MYRZX	0.50	0.25	0.75
MainStay Retirement 2030 I	MRTIX	0.00	0.05	0.05
MainStay Retirement 2030 Inv	MRTFX	0.25	0.05	0.30
MainStay Retirement 2030 R3	MRTVX	0.50	0.25	0.75
MainStay Retirement 2040 I	MSRYX	0.00	0.05	0.05
MainStay Retirement 2040 Inv	MSRUX	0.25	0.05	0.30
MainStay Retirement 2040 R3	MSRZX	0.50	0.25	0.75
MainStay Retirement 2050 I	MSRMX	0.00	0.05	0.05
MainStay Retirement 2050 Inv	MSRVX	0.25	0.05	0.30
MainStay Retirement 2050 R3	MSRWX	0.50	0.25	0.75
MainStay S&P 500 Index I	MSPIX	0.00	0.05	0.05
MainStay S&P 500 Index Inv	MYSPX	0.25	0.05	0.30

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
MainStay Short-Term Bond I	MSTIX	0.00	0.05	0.05
MainStay Short-Term Bond Inv	MYTBX	0.25	0.05	0.30
MainStay Tax-Free Bond Inv	MKINX	0.25	0.05	0.30
MainStay U.S. Small Cap I	MOPIX	0.00	0.15	0.15
MainStay U.S. Small Cap Inv	MOINX	0.25	0.15	0.40
Managers AMG Chicago Eq Part Bal A	MBEAX	0.25	0.25	0.50
Managers AMG Chicago Eq Part Bal Inst	MBEYX	0.00	0.15	0.15
Managers AMG Chicago Eq Part Midcap A	MKPAX	0.25	0.25	0.50
Managers AMG Chicago Eq Part Midcap I	MKPYX	0.00	0.15	0.15
Managers AMG Essex Gr Instl	MEAIX	0.00	0.15	0.15
Managers AMG Essex Large Cap Growth	MGCAX	0.00	0.35	0.35
Managers AMG FQ Global Alternatives A	MGAAX	0.25	0.25	0.50
Managers AMG FQ Global Essentials Instl	MMAFX	0.00	0.35	0.35
Managers AMG FQ Tax Mgd US Eq A	MFQAX	0.25	0.25	0.50
Managers AMG FQ Tax Mgd US Eq Instl	MFQTX	0.00	0.15	0.15
Managers AMG FQ US Equity A	FQUAX	0.25	0.25	0.50
Managers AMG FQ US Equity Inst	MEQFX	0.00	0.15	0.15
Managers AMG Systematic Mid Cap Value A	SYAMX	0.25	0.25	0.50
Managers AMG Systematic Mid Cap Value I	SYIMX	0.00	0.15	0.15
Managers AMG Systematic Value A	MSYAX	0.25	0.25	0.50
Managers AMG Systematic Value Inst	MSYSX	0.00	0.15	0.15
Managers Bond	MGFIX	0.00	0.35	0.35
Managers Emerging Markets Equity	MEMEX	0.00	0.35	0.35
Managers Fixed Income A	MFDAX	0.25	0.10	0.35
Managers Fixed Income Inst	MFDYX	0.00	0.15	0.15
Managers Global Bond	MGGBX	0.00	0.35	0.35
Managers High Yield A	MHHAX	0.25	0.25	0.50
Managers High Yield Inst	MHHYX	0.00	0.15	0.15
Managers Institutional Micro-Cap	MIMFX	0.00	0.15	0.15
Managers Intermediate Duration Govt	MGIDX	0.00	0.35	0.35
Managers International Equity	MGITX	0.00	0.35	0.35
Managers Micro-Cap	MMCFX	0.00	0.35	0.35
Managers PIMCO Bond	MBDFX	0.00	0.15	0.15
Managers Real Estate Securities	MRESX	0.00	0.35	0.35
Managers Short Duration Government	MGSDX	0.00	0.35	0.35
Managers Special Equity I	MSEIX	0.00	0.15	0.15
Managers Special Equity Managers	MGSEX	0.00	0.35	0.35
Marsico 21st Century	MXXIX	0.25	0.00	0.25
Marsico Focus	MFOCX	0.25	0.00	0.25
Marsico Growth	MGRIX	0.25	0.00	0.25
MFS Aggressive Growth Allocation I	MIAGX	0.00	0.00	0.00
MFS Aggressive Growth Allocation R1	MAAFX	1.00	0.10	1.10
MFS Aggressive Growth Allocation R2	MAWAX	0.50	0.15	0.65
MFS Aggressive Growth Allocation R3	MAAHX	0.25	0.25	0.50
MFS Aggressive Growth Allocation R4	MAALX	0.00	0.10	0.10
MFS Blended Research Core Equity A	MUEAX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
MFS Blended Research Core Equity I	MUSEX	0.00	0.00	0.00
MFS Blended Research Core Equity R1	MUERX	1.00	0.10	1.10
MFS Blended Research Core Equity R2	MUESX	0.50	0.15	0.65
MFS Blended Research Core Equity R3	MUETX	0.25	0.25	0.50
MFS Blended Research Core Equity R4	MUEUX	0.00	0.10	0.10
MFS Bond A	MFBFX	0.25	0.15	0.40
MFS Bond I	MBDIX	0.00	0.00	0.00
MFS Bond R1	MFBGX	1.00	0.10	1.10
MFS Bond R2	MBRRX	0.50	0.15	0.65
MFS Bond R3	MFBHX	0.25	0.25	0.50
MFS Bond R4	MFBJX	0.00	0.10	0.10
MFS Conservative Allocation I	MACIX	0.00	0.00	0.00
MFS Conservative Allocation R1	MACKX	1.00	0.10	1.10
MFS Conservative Allocation R2	MCARX	0.50	0.15	0.65
MFS Conservative Allocation R3	MACNX	0.25	0.25	0.50
MFS Conservative Allocation R4	MACJX	0.00	0.10	0.10
MFS Core Equity A	MRGAX	0.25	0.15	0.40
MFS Core Equity I	MRGRX	0.00	0.00	0.00
MFS Core Equity R1	MRGGX	1.00	0.10	1.10
MFS Core Equity R2	MRERX	0.50	0.15	0.65
MFS Core Equity R3	MRGHX	0.25	0.25	0.50
MFS Core Equity R4	MRGJX	0.00	0.10	0.10
MFS Core Growth I	MFCIX	0.00	0.00	0.00
MFS Core Growth R1	MFCGX	1.00	0.10	1.10
MFS Core Growth R2	MCRRX	0.50	0.15	0.65
MFS Core Growth R3	MFCHX	0.25	0.25	0.50
MFS Core Growth R4	MFCJX	0.00	0.10	0.10
MFS Diversified Income R1	DIFDX	1.00	0.10	1.10
MFS Diversified Income R2	DIFEX	0.50	0.15	0.65
MFS Diversified Income R3	DIFFX	0.25	0.25	0.50
MFS Diversified Income R4	DIFGX	0.00	0.10	0.10
MFS Diversified Target Return R1	DVRFX	1.00	0.10	1.10
MFS Diversified Target Return R2	DVRHX	0.50	0.15	0.65
MFS Diversified Target Return R3	DVRJX	0.25	0.25	0.50
MFS Diversified Target Return R4	DVRKX	0.00	0.10	0.10
MFS Emerging Markets Debt I	MEDIX	0.00	0.00	0.00
MFS Emerging Markets Debt R1	MEDDX	1.00	0.10	1.10
MFS Emerging Markets Debt R2	MEDEX	0.50	0.15	0.65
MFS Emerging Markets Debt R3	MEDFX	0.25	0.25	0.50
MFS Emerging Markets Debt R4	MEDGX	0.00	0.10	0.10
MFS Emerging Markets Equity A	MEMAX	0.25	0.15	0.40
MFS Emerging Markets Equity I	MEMIX	0.00	0.00	0.00
MFS Emerging Markets Equity R1	MEMRX	1.00	0.10	1.10
MFS Emerging Markets Equity R2	MEMFX	0.50	0.15	0.65
MFS Emerging Markets Equity R3	MEMGX	0.25	0.25	0.50
MFS Emerging Markets Equity R4	MEMHX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
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MFS Global Equity A	MWEFX	0.25	0.15	0.40
MFS Global Equity I	MWEIX	0.00	0.00	0.00
MFS Global Equity R1	MWEGX	1.00	0.10	1.10
MFS Global Equity R2	MEQRX	0.50	0.15	0.65
MFS Global Equity R3	MWEHX	0.25	0.25	0.50
MFS Global Equity R4	MWELX	0.00	0.10	0.10
MFS Global Growth A	MWOFX	0.25	0.15	0.40
MFS Global Growth I	MWOIX	0.00	0.00	0.00
MFS Global Growth R1	MWOGX	1.00	0.10	1.10
MFS Global Growth R2	MGWRX	0.50	0.15	0.65
MFS Global Growth R3	MWOHX	0.25	0.25	0.50
MFS Global Growth R4	MWOJX	0.00	0.10	0.10
MFS Global Total Return A	MFWTX	0.25	0.15	0.40
MFS Global Total Return I	MFWIX	0.00	0.00	0.00
MFS Global Total Return R1	MFWGX	1.00	0.10	1.10
MFS Global Total Return R2	MGBRX	0.50	0.15	0.65
MFS Global Total Return R3	MFWHX	0.25	0.25	0.50
MFS Global Total Return R4	MFWJX	0.00	0.10	0.10
MFS Government Securities R1	MFGGX	1.00	0.10	1.10
MFS Government Securities R2	MGVSX	0.50	0.15	0.65
MFS Government Securities R3	MFGHX	0.25	0.25	0.50
MFS Government Securities R4	MFGJX	0.00	0.10	0.10
MFS Growth A	MFEGX	0.25	0.15	0.40
MFS Growth Allocation I	MGWIX	0.00	0.00	0.00
MFS Growth Allocation R1	MAGMX	1.00	0.10	1.10
MFS Growth Allocation R2	MGALX	0.50	0.15	0.65
MFS Growth Allocation R3	MAGEX	0.25	0.25	0.50
MFS Growth Allocation R4	MAGJX	0.00	0.10	0.10
MFS Growth I	MFEIX	0.00	0.00	0.00
MFS Growth R1	MFELX	1.00	0.10	1.10
MFS Growth R2	MEGRX	0.50	0.15	0.65
MFS Growth R3	MFEHX	0.25	0.25	0.50
MFS Growth R4	MFEJX	0.00	0.10	0.10
MFS High Income A	MHITX	0.25	0.15	0.40
MFS High Income I	MHIIX	0.00	0.00	0.00
MFS High Income R1	MHIGX	1.00	0.10	1.10
MFS High Income R2	MIHRX	0.50	0.15	0.65
MFS High Income R3	MHIHX	0.25	0.25	0.50
MFS High Income R4	MHIJX	0.00	0.10	0.10
MFS High Yield Opportunities A	MHOAX	0.25	0.15	0.40
MFS High Yield Opportunities I	MHOIX	0.00	0.00	0.00
MFS High Yield Opportunities R1	MHORX	1.00	0.10	1.10
MFS High Yield Opportunities R2	MHOSX	0.50	0.15	0.65
MFS High Yield Opportunities R3	MHOTX	0.25	0.25	0.50
MFS High Yield Opportunities R4	MHOUX	0.00	0.10	0.10
MFS Inflation Adjusted Bond R1	MIALX	1.00	0.10	1.10

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MFS Inflation Adjusted Bond R2	MIATX	0.50	0.15	0.65
MFS Inflation Adjusted Bond R3	MIAHX	0.25	0.25	0.50
MFS Inflation Adjusted Bond R4	MIAJX	0.00	0.10	0.10
MFS International Diversification R1	MDIOX	1.00	0.10	1.10
MFS International Diversification R2	MDIKX	0.50	0.15	0.65
MFS International Diversification R3	MDIHX	0.25	0.25	0.50
MFS International Diversification R4	MDITX	0.00	0.10	0.10
MFS International Growth A	MGRAX	0.25	0.15	0.40
MFS International Growth I	MQGIX	0.00	0.00	0.00
MFS International Growth R1	MGRRX	1.00	0.10	1.10
MFS International Growth R2	MGRQX	0.50	0.15	0.65
MFS International Growth R3	MGRTX	0.25	0.25	0.50
MFS International Growth R4	MGRVX	0.00	0.10	0.10
MFS International New Discovery A	MIDAX	0.25	0.15	0.40
MFS International New Discovery I	MWNIX	0.00	0.00	0.00
MFS International New Discovery R1	MIDGX	1.00	0.10	1.10
MFS International New Discovery R2	MIDRX	0.50	0.15	0.65
MFS International New Discovery R3	MIDHX	0.25	0.25	0.50
MFS International New Discovery R4	MIDJX	0.00	0.10	0.10
MFS International Value A	MGIAX	0.25	0.15	0.40
MFS International Value I	MINIX	0.00	0.00	0.00
MFS International Value R1	MINRX	1.00	0.10	1.10
MFS International Value R2	MINFX	0.50	0.15	0.65
MFS International Value R3	MINGX	0.25	0.25	0.50
MFS International Value R4	MINHX	0.00	0.10	0.10
MFS Lifetime 2010 R1	MFSEX	1.00	0.10	1.10
MFS Lifetime 2010 R2	MFSNX	0.50	0.15	0.65
MFS Lifetime 2010 R3	MFSEX	0.25	0.25	0.50
MFS Lifetime 2010 R4	MFSPX	0.00	0.10	0.10
MFS Lifetime 2020 R1	MFLEX	1.00	0.10	1.10
MFS Lifetime 2020 R2	MFLGX	0.50	0.15	0.65
MFS Lifetime 2020 R3	MFLHX	0.25	0.25	0.50
MFS Lifetime 2020 R4	MFLJX	0.00	0.10	0.10
MFS Lifetime 2030 R1	MLTEX	1.00	0.10	1.10
MFS Lifetime 2030 R2	MLTGX	0.50	0.15	0.65
MFS Lifetime 2030 R3	MLTHX	0.25	0.25	0.50
MFS Lifetime 2030 R4	MLTJX	0.00	0.10	0.10
MFS Lifetime 2040 R1	MLFEX	1.00	0.10	1.10
MFS Lifetime 2040 R2	MLFGX	0.50	0.15	0.65
MFS Lifetime 2040 R3	MLFHX	0.25	0.25	0.50
MFS Lifetime 2040 R4	MLFJX	0.00	0.10	0.10
MFS Lifetime Retirement Income R1	MLLEX	1.00	0.10	1.10
MFS Lifetime Retirement Income R2	MLLGX	0.50	0.15	0.65
MFS Lifetime Retirement Income R3	MLLHX	0.25	0.25	0.50
MFS Lifetime Retirement Income R4	MLLJX	0.00	0.10	0.10
MFS Limited Maturity A	MLQFX	0.15	0.15	0.30

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MFS Limited Maturity R1	MQLGX	1.00	0.10	1.10
MFS Limited Maturity R2	MLMRX	0.40	0.15	0.55
MFS Limited Maturity R3	MQLHX	0.25	0.25	0.50
MFS Limited Maturity R4	MQLJX	0.00	0.10	0.10
MFS Massachusetts Investors Gr Stk A	MIGFX	0.25	0.15	0.40
MFS Massachusetts Investors Gr Stk I	MGTIX	0.00	0.00	0.00
MFS Massachusetts Investors Gr Stk R1	MIGMX	1.00	0.10	1.10
MFS Massachusetts Investors Gr Stk R2	MIRGX	0.50	0.15	0.65
MFS Massachusetts Investors Gr Stk R3	MIGHX	0.25	0.25	0.50
MFS Massachusetts Investors Gr Stk R4	MIGKX	0.00	0.10	0.10
MFS Massachusetts Investors Tr A	MITTX	0.25	0.15	0.40
MFS Massachusetts Investors Tr I	MITIX	0.00	0.00	0.00
MFS Massachusetts Investors Tr R1	MITGX	1.00	0.10	1.10
MFS Massachusetts Investors Tr R2	MIRTX	0.50	0.15	0.65
MFS Massachusetts Investors Tr R3	MITHX	0.25	0.25	0.50
MFS Massachusetts Investors Tr R4	MITDX	0.00	0.10	0.10
MFS Mid Cap Value I	MCVIX	0.00	0.00	0.00
MFS Mid Cap Value R1	MVCGX	1.00	0.10	1.10
MFS Mid Cap Value R2	MCVRX	0.50	0.15	0.65
MFS Mid Cap Value R3	MVCHX	0.25	0.25	0.50
MFS Mid Cap Value R4	MVCJX	0.00	0.10	0.10
MFS Mid-Cap Growth A	OTCAX	0.25	0.15	0.40
MFS Mid-Cap Growth I	OTCIX	0.00	0.00	0.00
MFS Mid-Cap Growth R1	OTCGX	1.00	0.10	1.10
MFS Mid-Cap Growth R2	MCPRX	0.50	0.15	0.65
MFS Mid-Cap Growth R3	OTCHX	0.25	0.25	0.50
MFS Mid-Cap Growth R4	OTCJX	0.00	0.10	0.10
MFS Moderate Allocation I	MMAIX	0.00	0.00	0.00
MFS Moderate Allocation R1	MAMFX	1.00	0.10	1.10
MFS Moderate Allocation R2	MARRX	0.50	0.15	0.65
MFS Moderate Allocation R3	MAMHX	0.25	0.25	0.50
MFS Moderate Allocation R4	MAMJX	0.00	0.10	0.10
MFS New Discovery A	MNDAX	0.25	0.15	0.40
MFS New Discovery R1	MNDGX	1.00	0.10	1.10
MFS New Discovery R2	MNDRX	0.50	0.15	0.65
MFS New Discovery R3	MNDHX	0.25	0.25	0.50
MFS New Discovery R4	MNDJX	0.00	0.10	0.10
MFS Research A	MFRFX	0.25	0.15	0.40
MFS Research Bond A	MRBFX	0.15	0.15	0.30
MFS Research Bond I	MRBIX	0.00	0.00	0.00
MFS Research Bond R1	MRBGX	1.00	0.10	1.10
MFS Research Bond R2	MRRRX	0.50	0.15	0.65
MFS Research Bond R3	MRBHX	0.25	0.25	0.50
MFS Research Bond R4	MRBJX	0.00	0.10	0.10
MFS Research I	MRFIX	0.00	0.00	0.00
MFS Research International A	MRSAX	0.25	0.15	0.40

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MFS Research International I	MRSIX	0.00	0.00	0.00
MFS Research International R1	MMSGX	1.00	0.10	1.10
MFS Research International R2	MRSRX	0.50	0.15	0.65
MFS Research International R3	MRSIX	0.25	0.25	0.50
MFS Research International R4	MRSJX	0.00	0.10	0.10
MFS Research R1	MFRLX	1.00	0.10	1.10
MFS Research R2	MSRRX	0.50	0.15	0.65
MFS Research R3	MFRHX	0.25	0.25	0.50
MFS Research R4	MFRJX	0.00	0.10	0.10
MFS Sector Rotational R1	SRFDX	1.00	0.10	1.10
MFS Sector Rotational R2	SRFEX	0.50	0.15	0.65
MFS Sector Rotational R3	SRFFX	0.25	0.25	0.50
MFS Sector Rotational R4	SRFGX	0.00	0.10	0.10
MFS Strategic Income I	MFIIX	0.00	0.00	0.00
MFS Technology I	MTCIX	0.00	0.00	0.00
MFS Technology R1	MTCKX	1.00	0.10	1.10
MFS Technology R2	MTERX	0.50	0.15	0.65
MFS Technology R3	MTCHX	0.25	0.25	0.50
MFS Technology R4	MTCJX	0.00	0.10	0.10
MFS Total Return A	MSFRX	0.25	0.15	0.40
MFS Total Return I	MTRIX	0.00	0.00	0.00
MFS Total Return R1	MSFFX	1.00	0.10	1.10
MFS Total Return R2	MTRRX	0.50	0.15	0.65
MFS Total Return R3	MSFHX	0.25	0.25	0.50
MFS Total Return R4	MSFJX	0.00	0.10	0.10
MFS Utilities A	MMUFEX	0.25	0.15	0.40
MFS Utilities I	MMUIX	0.00	0.00	0.00
MFS Utilities R1	MMUGX	1.00	0.10	1.10
MFS Utilities R2	MURRX	0.50	0.15	0.65
MFS Utilities R3	MMUHX	0.25	0.25	0.50
MFS Utilities R4	MMUJX	0.00	0.10	0.10
MFS Value A	MEIAX	0.25	0.15	0.40
MFS Value I	MEIIX	0.00	0.00	0.00
MFS Value R1	MEIGX	1.00	0.10	1.10
MFS Value R2	MVRRX	0.50	0.15	0.65
MFS Value R3	MEIHX	0.25	0.25	0.50
MFS Value R4	MEIJX	0.00	0.10	0.10
Morgan Stanley Inst Act Intl Allc I	MSACX	0.00	0.00	0.00
Morgan Stanley Inst Act Intl Allc P	MSIBX	0.25	0.00	0.25
Morgan Stanley Inst Balanced Instl	MPBAX	0.00	0.00	0.00
Morgan Stanley Inst Balanced P	MBAAX	0.00	0.00	0.00
Morgan Stanley Inst Capital Growth I	MSEQX	0.00	0.00	0.00
Morgan Stanley Inst Capital Growth P	MSEGX	0.25	0.00	0.25
Morgan Stanley Inst Core Fixed Inc	MPSFX	0.00	0.00	0.00
Morgan Stanley Inst Core Fixed Inc P	MDIAX	0.00	0.00	0.00
Morgan Stanley Inst Core Plus FI P	MTXAX	0.25	0.00	0.25

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Morgan Stanley Inst Emerg Mkts Debt I	MSIEX	0.00	0.00	0.00
Morgan Stanley Inst Emerg Mkts Debt P	IEDBX	0.25	0.00	0.25
Morgan Stanley Inst Emerging Mkts I	MGEMX	0.00	0.00	0.00
Morgan Stanley Inst Emerging Mkts P	MMKBX	0.25	0.00	0.25
Morgan Stanley Inst Focus Growth I	MSAGX	0.00	0.00	0.00
Morgan Stanley Inst Focus Growth P	MAEBX	0.25	0.00	0.25
Morgan Stanley Inst Gbl Franchise P	MSFBX	0.25	0.00	0.25
Morgan Stanley Inst Gbl Real Estate I	MRLAX	0.00	0.00	0.00
Morgan Stanley Inst International Eq I	MSIQX	0.00	0.00	0.00
Morgan Stanley Inst International Eq P	MIQBX	0.25	0.00	0.25
Morgan Stanley Inst Intl Fixed-Income I	MPIFX	0.00	0.00	0.00
Morgan Stanley Inst Intl Fixed-Income P	MITAX	0.00	0.00	0.00
Morgan Stanley Inst Intl Growth Equity I	MNWAX	0.00	0.00	0.00
Morgan Stanley Inst Intl Growth Equity P	MNWBX	0.00	0.00	0.00
Morgan Stanley Inst Intl Real Estate I	MSUAX	0.00	0.00	0.00
Morgan Stanley Inst Intl Real Estate P	IERBX	0.25	0.00	0.25
Morgan Stanley Inst Intl Small Cap I	MSISX	0.00	0.00	0.00
Morgan Stanley Inst Invmt Grade FI I	MPFDX	0.00	0.00	0.00
Morgan Stanley Inst Invmt Grade FI P	MIGAX	0.00	0.00	0.00
Morgan Stanley Inst Large Cap Rel Val I	MSIVX	0.00	0.00	0.00
Morgan Stanley Inst Large Cap Rel Val P	IVABX	0.25	0.00	0.25
Morgan Stanley Inst Limited Duration I	MPLDX	0.00	0.00	0.00
Morgan Stanley Inst Limited Duration P	MLDAX	0.00	0.00	0.00
Morgan Stanley Inst Long Dur Fix Inc I	MSFIX	0.00	0.00	0.00
Morgan Stanley Inst Long Dur Fix Inc P	MSFVX	0.00	0.00	0.00
Morgan Stanley Inst Mid Cap Growth I	MPEGX	0.00	0.00	0.00
Morgan Stanley Inst Mid Cap Growth P	MACGX	0.25	0.00	0.25
Morgan Stanley Inst Small Co Gr I	MSSGX	0.00	0.00	0.00
Morgan Stanley Inst Small Co Gr P	MSSMX	0.25	0.00	0.25
Morgan Stanley Inst US Md Cp Value I	MPMVX	0.00	0.00	0.00
Morgan Stanley Inst US Md Cp Value P	MMCAx	0.25	0.00	0.25
Morgan Stanley Inst US Real Estate I	MSUSX	0.00	0.00	0.00
Morgan Stanley Inst US Real Estate P	MUSDx	0.25	0.00	0.25
Morgan Stanley Inst US Sm Cp Value I	MPSCX	0.00	0.00	0.00
Morgan Stanley Inst US Sm Cp Value P	MCVAX	0.25	0.00	0.25
Morgan Stanley Inst US Sm/Md Cap Val I	MSMDX	0.00	0.00	0.00
Morgan Stanley Inst US Sm/Md Cap Val P	MSMMX	0.00	0.00	0.00
Morgan Stanley Inst Value I	MPVLX	0.00	0.00	0.00
Morgan Stanley Inst Value P	MPVAX	0.25	0.00	0.25
Morgan Stanley Instl Interm Duration I	MAIDX	0.00	0.00	0.00
Morgan Stanley Instl Interm Duration Inv	MDFIX	0.00	0.00	0.00
Morgan Stanley Real Estate I	REFDX	0.00	0.00	0.00
Munder Asset Allocation Balanced A	MUBAX	0.25	0.25	0.50
Munder Asset Allocation Balanced Y	MUBYX	0.00	0.25	0.25
Munder Bond A	MUCAX	0.25	0.25	0.50
Munder Bond Y	MUCYX	0.00	0.25	0.25

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Munder Energy A	MPFAX	0.25	0.25	0.50
Munder Energy Y	MPFYX	0.00	0.25	0.25
Munder Growth Opportunities A	MNNAX	0.25	0.25	0.50
Munder Growth Opportunities R	MNNRX	0.50	0.25	0.75
Munder Growth Opportunities Y	MNNYX	0.00	0.25	0.25
Munder Healthcare A	MFHAX	0.25	0.25	0.50
Munder Healthcare R	MHCRX	0.50	0.25	0.75
Munder Healthcare Y	MFHYX	0.00	0.25	0.25
Munder Index 500 A	MUXAX	0.25	0.00	0.25
Munder Index 500 R	MUXRX	0.50	0.00	0.50
Munder Index 500 Y	MUXYX	0.00	0.00	0.00
Munder International Core Equity A	MAICX	0.25	0.25	0.50
Munder International Core Equity Y	MICYX	0.00	0.25	0.25
Munder International Equity A	MUIAX	0.25	0.25	0.50
Munder International Equity Y	MUIYX	0.00	0.25	0.25
Munder International Small Cap A	MISAX	0.25	0.25	0.50
Munder International Small Cap Y	MYSIX	0.00	0.25	0.25
Munder Large Cap Value A	MUGAX	0.25	0.25	0.50
Munder Large Cap Value Y	MUGYX	0.00	0.25	0.25
Munder Large-Cap Growth A	MUSAX	0.25	0.25	0.50
Munder Large-Cap Growth Y	MUSYX	0.00	0.25	0.25
Munder Micro-Cap Equity A	MMEAX	0.25	0.25	0.50
Munder Micro-Cap Equity R	MMERX	0.50	0.25	0.75
Munder Micro-Cap Equity Y	MMEYX	0.00	0.25	0.25
Munder Mid-Cap Core Growth A	MGOAX	0.25	0.25	0.50
Munder Mid-Cap Core Growth R	MMSRX	0.50	0.25	0.75
Munder Mid-Cap Core Growth Y	MGOYX	0.00	0.25	0.25
Munder Small-Cap Value A	MNVAX	0.25	0.25	0.50
Munder Small-Cap Value R	MSCRX	0.50	0.25	0.75
Munder Small-Cap Value Y	MCVYX	0.00	0.25	0.25
Munder Technology A	MTFAX	0.25	0.25	0.50
Munder Technology Y	MTFYX	0.00	0.25	0.25
Mutual Beacon A	TEBIX	0.25	0.15	0.40
Mutual European A	TEMIX	0.25	0.15	0.40
Mutual Financial Services A	TFSIX	0.25	0.15	0.40
Mutual Global Discovery A	TEDIX	0.25	0.15	0.40
Mutual Global Discovery R	TEDRX	0.50	0.15	0.65
Mutual Quest A	TEQIX	0.25	0.15	0.40
Mutual Shares A	TESIX	0.25	0.15	0.40
Mutual Shares R	TESRX	0.50	0.15	0.65
Natixis AEW Real Estate A	NRFAX	0.25	0.30	0.55
Natixis AEW Real Estate Y	NRFYX	0.00	0.35	0.35
Natixis CGM Advisor Targeted Equity A	NEFGX	0.25	0.15	0.40
Natixis CGM Advisor Targeted Equity Y	NEGYX	0.00	0.15	0.15
Natixis Hansberger International A	NEFDX	0.25	0.30	0.55
Natixis Harris Associates Large Cp Val A	NEFOX	0.25	0.30	0.55

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	Ticker			
Natixis Harris Associates Large Cp Val Y	NEOYX	0.00	0.20	0.20
Natixis Income Diversified A	IIDPX	0.25	0.20	0.45
Natixis US Diversified A	NEFSX	0.25	0.30	0.55
Natixis US Diversified Y	NESYX	0.00	0.20	0.20
Natixis Vaughan Nelson Small Cap Value A	NEFJX	0.25	0.30	0.55
Natixis Vaughan Nelson Small Cap Value Y	NEJYX	0.00	0.25	0.25
Neuberger Berman Climate Change A	NBCAX	0.25	0.25	0.50
Neuberger Berman Climate Change I	NBCLX	0.00	0.00	0.00
Neuberger Berman Core Bond A	NCRAX	0.25	0.25	0.50
Neuberger Berman Core Bond Inst	NCRLX	0.00	0.00	0.00
Neuberger Berman Core Bond Inv	NCRIX	0.25	0.00	0.25
Neuberger Berman Emerg Mkts Eq A	NEMAX	0.25	0.25	0.50
Neuberger Berman Emerg Mkts Eq Instl	NEMIX	0.00	0.00	0.00
Neuberger Berman Equity Income A	NBHAX	0.25	0.25	0.50
Neuberger Berman Equity Income Inst	NBHIX	0.00	0.00	0.00
Neuberger Berman Focus Adv	NBFAX	0.25	0.25	0.50
Neuberger Berman Focus Inv	NBSSX	0.00	0.00	0.00
Neuberger Berman Focus Tr	NBFCX	0.10	0.25	0.35
Neuberger Berman Genesis Adv	NBGAX	0.25	0.25	0.50
Neuberger Berman Genesis Instl	NBGIX	0.00	0.00	0.00
Neuberger Berman Genesis Inv	NBGNX	0.00	0.00	0.00
Neuberger Berman Genesis Tr	NBGEX	0.00	0.25	0.25
Neuberger Berman Guardian A	NGDAX	0.25	0.25	0.50
Neuberger Berman Guardian Adv	NBGUX	0.25	0.25	0.50
Neuberger Berman Guardian Inst	NGDLX	0.00	0.00	0.00
Neuberger Berman Guardian Inv	NGUAX	0.00	0.00	0.00
Neuberger Berman Guardian R3	NGDRX	0.50	0.25	0.75
Neuberger Berman Guardian Tr	NBGTX	0.10	0.25	0.35
Neuberger Berman High Inc Bond A	NHIAX	0.25	0.25	0.50
Neuberger Berman High Inc Bond Inst	NHILX	0.00	0.00	0.00
Neuberger Berman High Inc Bond Inv	NHINX	0.00	0.25	0.25
Neuberger Berman High Inc Bond R3	NHIRX	0.50	0.25	0.75
Neuberger Berman International Inst I	NBIIX	0.00	0.00	0.00
Neuberger Berman International Inv	NBISX	0.00	0.00	0.00
Neuberger Berman International Tr	NBITX	0.00	0.25	0.25
Neuberger Berman Intl Large Cap A	NBNAX	0.25	0.25	0.50
Neuberger Berman Intl Large Cap Instl	NILIX	0.00	0.00	0.00
Neuberger Berman Intl Large Cap R3	NBNRX	0.50	0.25	0.75
Neuberger Berman Intl Large Cap Tr	NILTX	0.10	0.25	0.35
Neuberger Berman LgCp Discp Gr A	NLDAX	0.25	0.25	0.50
Neuberger Berman LgCp Discp Gr Instl	NLDLX	0.00	0.00	0.00
Neuberger Berman LgCp Discp Gr Inv	NBCIX	0.00	0.00	0.00
Neuberger Berman LgCp Discp Gr R3	NLDRX	0.50	0.25	0.75
Neuberger Berman Mid Cap Growth A	NMGAX	0.25	0.25	0.50
Neuberger Berman Mid Cap Growth Adv	NBMBX	0.25	0.25	0.50
Neuberger Berman Mid Cap Growth Inst	NBMLX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Neuberger Berman Mid Cap Growth Inv	NMANX	0.00	0.00	0.00
Neuberger Berman Mid Cap Growth R3	NMGRX	0.50	0.25	0.75
Neuberger Berman Mid Cap Growth Tr	NBMTX	0.00	0.25	0.25
Neuberger Berman Partners Adv	NBPBX	0.25	0.25	0.50
Neuberger Berman Partners Inst	NBPIX	0.00	0.00	0.00
Neuberger Berman Partners Inv	NPRTX	0.00	0.00	0.00
Neuberger Berman Partners Tr	NBPTX	0.10	0.25	0.35
Neuberger Berman Real Estate I	NBRIX	0.00	0.00	0.00
Neuberger Berman Real Estate Tr	NBRFX	0.10	0.25	0.35
Neuberger Berman Regency Inv	NBRVX	0.00	0.00	0.00
Neuberger Berman Regency Tr	NBREX	0.10	0.25	0.35
Neuberger Berman S/M Cap Gr Tr	NBATX	0.10	0.25	0.35
Neuberger Berman Select Equities A	NBEAX	0.25	0.25	0.50
Neuberger Berman Select Equities Instl	NBEIX	0.00	0.00	0.00
Neuberger Berman Short Duration Bd Inv	NSBIX	0.00	0.00	0.00
Neuberger Berman Short Duration Bd Tr	NSBTX	0.00	0.25	0.25
Neuberger Berman Small Cap Growth A	NSNAX	0.25	0.25	0.50
Neuberger Berman Small Cap Growth Adv	NBMVX	0.25	0.25	0.50
Neuberger Berman Small Cap Growth Instl	NBSMX	0.00	0.00	0.00
Neuberger Berman Small Cap Growth Inv	NBMIX	0.00	0.00	0.00
Neuberger Berman Small Cap Growth R3	NSNRX	0.50	0.25	0.75
Neuberger Berman Small Cap Growth Tr	NBMOX	0.10	0.25	0.35
Neuberger Berman Socially Resp A	NRAAX	0.25	0.25	0.50
Neuberger Berman Socially Resp Inst	NBSLX	0.00	0.00	0.00
Neuberger Berman Socially Resp Inv	NBSRX	0.00	0.00	0.00
Neuberger Berman Socially Resp R3	NRARX	0.50	0.25	0.75
Neuberger Berman Socially Resp Tr	NBSTX	0.10	0.25	0.35
Neuberger Berman Strategic Income A	NSTAX	0.25	0.25	0.50
Neuberger Berman Strategic Income I	NSTLX	0.00	0.00	0.00
Neuberger Berman Strategic Income Tr	NSTTX	0.10	0.25	0.35
Old Mutual Analytic A	ANAEX	0.00	0.25	0.25
Old Mutual Analytic US Long/Short A	OADEX	0.00	0.25	0.25
Old Mutual Asset Allocation Balanced A	OMABX	0.00	0.25	0.25
Old Mutual Asset Allocation Cnsrv A	OMCAX	0.00	0.25	0.25
Old Mutual Asset Allocation Growth A	OMGAX	0.00	0.25	0.25
Old Mutual Asset Allocation Mod Gr A	OMMAX	0.00	0.25	0.25
Old Mutual Barrow Hanley Value A	OAFIX	0.00	0.25	0.25
Old Mutual China A	OMNAX	0.00	0.25	0.25
Old Mutual Copper Rock Emerging Gr A	OMARX	0.00	0.25	0.25
Old Mutual Dwight Interm Fixed Inc A	OAFJX	0.00	0.25	0.25
Old Mutual Dwight S/T Fixed Income A	OIRAX	0.00	0.25	0.25
Old Mutual Focused A	OAFIX	0.00	0.25	0.25
Old Mutual Focused Z	OBFVX	0.00	0.25	0.25
Old Mutual Heitman REIT A	OARTX	0.00	0.25	0.25
Old Mutual International Equity A	OMXAX	0.00	0.25	0.25
Old Mutual Large Cap Gr A	OLGBX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Old Mutual Strategic Small Company A	OSSAX	0.00	0.25	0.25
Old Mutual Strategic Small Company Z	OSSCX	0.00	0.25	0.25
Old Mutual TS&W Small Cap Value A	OACVX	0.00	0.25	0.25
Old Mutual TS&W Small Cap Value Z	OSMVX	0.00	0.25	0.25
Oppenheimer Active Allc Y	OAAYX	0.00	0.25	0.25
Oppenheimer Balanced A	OPASX	0.25	0.25	0.50
Oppenheimer Balanced N	OASNX	0.50	0.25	0.75
Oppenheimer Capital Appreciation A	OPTFX	0.25	0.25	0.50
Oppenheimer Capital Appreciation C	OTFCX	1.00	0.00	1.00
Oppenheimer Capital Appreciation N	OTCNX	0.50	0.25	0.75
Oppenheimer Capital Appreciation Y	OTCYX	0.00	0.25	0.25
Oppenheimer Capital Income A	OPPEX	0.25	0.25	0.50
Oppenheimer Capital Income N	OCINX	0.50	0.25	0.75
Oppenheimer Champion Income A	OPCHX	0.25	0.25	0.50
Oppenheimer Champion Income N	OCHNX	0.50	0.25	0.75
Oppenheimer Champion Income Y	OCHYX	0.00	0.25	0.25
Oppenheimer Commodity Strat Total Ret A	QRAAX	0.25	0.25	0.50
Oppenheimer Commodity Strat Total Ret N	QRANX	0.50	0.25	0.75
Oppenheimer Commodity Strat Total Ret Y	QRAYX	0.00	0.25	0.25
Oppenheimer Conservative Inv Y	OYCIX	0.00	0.25	0.25
Oppenheimer Core Bond A	OPIGX	0.25	0.25	0.50
Oppenheimer Core Bond N	OPBNX	0.50	0.25	0.75
Oppenheimer Core Bond Y	OPBYX	0.00	0.25	0.25
Oppenheimer Developing Markets A	ODMAX	0.25	0.25	0.50
Oppenheimer Developing Markets N	ODVNX	0.50	0.25	0.75
Oppenheimer Developing Markets Y	ODVYX	0.00	0.25	0.25
Oppenheimer Discovery A	OPOCX	0.25	0.25	0.50
Oppenheimer Discovery N	ODINX	0.50	0.25	0.75
Oppenheimer Discovery Y	ODIYX	0.00	0.25	0.25
Oppenheimer Emerging Growth A	OEGAX	0.25	0.25	0.50
Oppenheimer Emerging Growth N	OEGNX	0.50	0.25	0.75
Oppenheimer Emerging Growth Y	OEGYX	0.00	0.25	0.25
Oppenheimer Equity A	OEQAX	0.25	0.25	0.50
Oppenheimer Equity Inv Y	OYAIX	0.00	0.25	0.25
Oppenheimer Equity N	OEQNX	0.50	0.25	0.75
Oppenheimer Equity Y	OEQYX	0.00	0.25	0.25
Oppenheimer Global A	OPPAX	0.25	0.25	0.50
Oppenheimer Global C	OGLCX	1.00	0.00	1.00
Oppenheimer Global N	OGLNX	0.50	0.25	0.75
Oppenheimer Global Opportunities A	OPGIX	0.25	0.25	0.50
Oppenheimer Global Opportunities N	OGINX	0.50	0.25	0.75
Oppenheimer Global Opportunities Y	OGIYX	0.00	0.25	0.25
Oppenheimer Global Y	OGLYX	0.00	0.25	0.25
Oppenheimer Gold & Special Minerals A	OPGSX	0.25	0.25	0.50
Oppenheimer Gold & Special Minerals N	OGMNX	0.50	0.25	0.75
Oppenheimer International Bond A	OIBAX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Oppenheimer International Bond N	OIBNX	0.50	0.25	0.75
Oppenheimer International Bond Y	OIBYX	0.00	0.25	0.25
Oppenheimer International Diversified A	OIDAX	0.25	0.25	0.50
Oppenheimer International Diversified Y	OIDYX	0.00	0.25	0.25
Oppenheimer International Growth A	OIGAX	0.25	0.25	0.50
Oppenheimer International Growth N	OIGNX	0.50	0.25	0.75
Oppenheimer International Growth Y	OIGYX	0.00	0.25	0.25
Oppenheimer International Small Co A	OSMAX	0.25	0.25	0.50
Oppenheimer International Small Co C	OSMCX	1.00	0.25	1.25
Oppenheimer International Small Co N	OSMNX	0.50	0.25	0.75
Oppenheimer International Small Co Y	OSMYX	0.00	0.25	0.25
Oppenheimer Limited-Term Government A	OPGVX	0.25	0.25	0.50
Oppenheimer Limited-Term Government N	OLTNX	0.50	0.25	0.75
Oppenheimer Limited-Term Government Y	OLTYX	0.00	0.25	0.25
Oppenheimer Main St Opportunity A	OMSOX	0.25	0.25	0.50
Oppenheimer Main St Opportunity C	OMSCX	1.00	0.25	1.25
Oppenheimer Main St Opportunity N	OMSNX	0.50	0.25	0.75
Oppenheimer Main St Opportunity Y	OMSYX	0.00	0.25	0.25
Oppenheimer Main St Small Cap A	OPMSX	0.25	0.25	0.50
Oppenheimer Main St Small Cap N	OPMNX	0.50	0.25	0.75
Oppenheimer Main St Small Cap Y	OPMYX	0.00	0.25	0.25
Oppenheimer Main Street A	MSIGX	0.25	0.25	0.50
Oppenheimer Main Street C	MIGCX	1.00	0.25	1.25
Oppenheimer Main Street N	OMGNX	0.50	0.25	0.75
Oppenheimer Main Street Y	MIGYX	0.00	0.25	0.25
Oppenheimer Moderate Inv Y	OYMIX	0.00	0.25	0.25
Oppenheimer Quest Balanced A	QVGIX	0.25	0.25	0.50
Oppenheimer Quest Balanced N	QGRNX	0.50	0.25	0.75
Oppenheimer Quest Balanced Y	QGRYX	0.00	0.25	0.25
Oppenheimer Quest International Value A	QIVAX	0.25	0.25	0.50
Oppenheimer Quest International Value N	QIVNX	0.50	0.25	0.75
Oppenheimer Quest Opportunity Value A	QVOPX	0.25	0.25	0.50
Oppenheimer Quest Opportunity Value N	QOPNX	0.50	0.25	0.75
Oppenheimer Quest Opportunity Value Y	QOPYX	0.00	0.25	0.25
Oppenheimer Real Estate A	OREAX	0.25	0.25	0.50
Oppenheimer Real Estate N	ORENX	0.50	0.25	0.75
Oppenheimer Real Estate Y	OREYX	0.00	0.25	0.25
Oppenheimer Rising Dividends N	ONRDX	0.50	0.25	0.75
Oppenheimer Rising Dividends Y	OYRDX	0.00	0.25	0.25
Oppenheimer Select Value Y	OSVYX	0.00	0.25	0.25
Oppenheimer Senior Floating Rate Y	XOSYX	0.00	0.25	0.25
Oppenheimer Small & Mid Cap Value A	QVSCX	0.25	0.25	0.50
Oppenheimer Small & Mid Cap Value C	QSCCX	1.00	0.25	1.25
Oppenheimer Small & Mid Cap Value N	QSCNX	0.50	0.25	0.75
Oppenheimer Small & Mid Cap Value Y	QSCYX	0.00	0.25	0.25
Oppenheimer Strat Income A	OPSIX	0.25	0.25	0.50

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Oppenheimer Strat Income N	OSINX	0.50	0.25	0.75
Oppenheimer Strat Income Y	OSIYX	0.00	0.25	0.25
Oppenheimer Transition 2010 A	OTTAX	0.25	0.25	0.50
Oppenheimer Transition 2010 N	OTTNX	0.50	0.25	0.75
Oppenheimer Transition 2010 Y	OTTYX	0.00	0.25	0.25
Oppenheimer Transition 2015 A	OTFAX	0.25	0.25	0.50
Oppenheimer Transition 2015 N	OTFNX	0.50	0.25	0.75
Oppenheimer Transition 2015 Y	OTFYX	0.00	0.25	0.25
Oppenheimer Transition 2020 A	OTWAX	0.25	0.25	0.50
Oppenheimer Transition 2020 N	OTWNX	0.50	0.25	0.75
Oppenheimer Transition 2020 Y	OTWYX	0.00	0.25	0.25
Oppenheimer Transition 2030 A	OTHAX	0.25	0.25	0.50
Oppenheimer Transition 2030 N	OTHNX	0.50	0.25	0.75
Oppenheimer Transition 2030 Y	OTHYX	0.00	0.25	0.25
Oppenheimer US Government A	OUSGX	0.25	0.25	0.50
Oppenheimer US Government N	OUSNX	0.50	0.25	0.75
Oppenheimer US Government Y	OUSYX	0.00	0.25	0.25
Oppenheimer Value A	CGRWX	0.25	0.25	0.50
Oppenheimer Value C	CGRCX	1.00	0.25	1.25
Oppenheimer Value N	CGRNX	0.50	0.25	0.75
Oppenheimer Value Y	CGRYX	0.00	0.25	0.25
Pax World Balanced	PAXWX	0.25	0.00	0.25
Pax World Balanced Instl	PAXIX	0.00	0.00	0.00
Pax World Balanced R	PAXRX	0.50	0.00	0.50
Pax World Global Green Individual Inv	PGRNX	0.25	0.00	0.25
Pax World Global Green Inst	PGINX	0.00	0.00	0.00
Pax World Global Green R	PGRGX	0.50	0.00	0.50
Pax World Growth	PXWGX	0.25	0.00	0.25
Pax World Growth Instl	PWGIX	0.00	0.00	0.00
Pax World Growth R	PXGRX	0.50	0.00	0.50
Pax World High Yield	PAXHX	0.25	0.00	0.25
Pax World High Yield Institutional	PXHIX	0.00	0.00	0.00
Pax World High Yield R	PXHRX	0.50	0.00	0.50
Pax World International Individual Inv	PXINX	0.25	0.00	0.25
Pax World International Inst	PXNIX	0.00	0.00	0.00
Pax World International R	PXIRX	0.50	0.00	0.50
Pax World Small Cap Individual Inv	PXSCX	0.25	0.00	0.25
Pax World Small Cap Inst	PXSIX	0.00	0.00	0.00
Pax World Small Cap R	PXSRX	0.50	0.00	0.50
Pax World Women's Equity I	PXWIX	0.00	0.00	0.00
Pax World Women's Equity Ind Inv	PXWEX	0.25	0.00	0.25
Perkins Large Cap Value A	JAPAX	0.25	0.10	0.35
Perkins Mid Cap Value A	JDPAX	0.25	0.10	0.35
Perkins Mid Cap Value A	JDPAX	0.25	0.10	0.35
Perkins Mid Cap Value I	JMVAX	0.00	0.00	0.00
Perkins Mid Cap Value J	JMCVX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Perkins Mid Cap Value L	JMIVX	0.00	0.00	0.00
Perkins Mid Cap Value R	JDPRX	0.50	0.25	0.75
Perkins Mid Cap Value S	JMVIX	0.25	0.25	0.50
Perkins Small Cap Value A	JDSAX	0.25	0.10	0.35
Perkins Small Cap Value J	JSCVX	0.00	0.25	0.25
Perkins Small Cap Value L	JSIVX	0.00	0.00	0.00
Perkins Small Cap Value R	JDSRX	0.50	0.25	0.75
Perkins Small Company Value S	JISCX	0.25	0.25	0.50
PIMCO All Asset A	PASAX	0.25	0.15	0.40
PIMCO All Asset Admin	PAALX	0.25	0.00	0.25
PIMCO All Asset All Authority A	PAUAX	0.25	0.10	0.35
PIMCO All Asset All Authority Instl	PAUIX	0.00	0.00	0.00
PIMCO All Asset Instl	PAAIX	0.00	0.00	0.00
PIMCO Commodity Real Ret Strat A	PCRAX	0.25	0.15	0.40
PIMCO Commodity Real Ret Strat Admin	PCRRX	0.25	0.00	0.25
PIMCO Commodity Real Ret Strat Instl	PCRIX	0.00	0.00	0.00
PIMCO Convertible Admin	PFCAX	0.25	0.00	0.25
PIMCO Convertible Instl	PFCIX	0.00	0.00	0.00
PIMCO Developing Local Markets I	PLMIX	0.00	0.00	0.00
PIMCO Diversified Inc A	PDVAX	0.25	0.10	0.35
PIMCO Diversified Inc Admin	PDAAX	0.25	0.00	0.25
PIMCO Diversified Inc Instl	PDIIX	0.00	0.00	0.00
PIMCO Emerging Local Bond Adm	PEBLX	0.25	0.00	0.25
PIMCO Emerging Local Bond Instl	PELBX	0.00	0.00	0.00
PIMCO Emerging Markets Bond A	PAEMX	0.25	0.10	0.35
PIMCO Emerging Markets Bond Admin	PEBAX	0.25	0.00	0.25
PIMCO Emerging Markets Bond Instl	PEBIX	0.00	0.00	0.00
PIMCO Extended Duration Instl	PEDIX	0.00	0.00	0.00
PIMCO Floating Income Admin	PFTAX	0.25	0.00	0.25
PIMCO Floating Income Instl	PFIIX	0.00	0.00	0.00
PIMCO Foreign Bond (Unhedged) Adm	PFUUX	0.25	0.00	0.25
PIMCO Foreign Bond (Unhedged) I	PFUIX	0.00	0.00	0.00
PIMCO Foreign Bond (USD-Hedged) A	PFOAX	0.25	0.10	0.35
PIMCO Foreign Bond (USD-Hedged) Adm	PFRAX	0.25	0.00	0.25
PIMCO Foreign Bond (USD-Hedged) I	PFORX	0.00	0.00	0.00
PIMCO Foreign Bond (USD-Hedged) R	PFRRX	0.50	0.10	0.60
PIMCO Fundamental Advtg Total Ret Instl	PFATX	0.00	0.00	0.00
PIMCO Fundamental IndexPLUS Admin	PFPAX	0.25	0.00	0.25
PIMCO Fundamental IndexPLUS Inst	PFPIX	0.00	0.00	0.00
PIMCO Fundamental IndexPLUS TR Admin	PXTAX	0.25	0.00	0.25
PIMCO Fundamental IndexPLUS TR Inst	PXTIX	0.00	0.00	0.00
PIMCO Global Bond (Unhedged) Admin	PADMX	0.25	0.00	0.25
PIMCO Global Bond (Unhedged) Instl	PIGLX	0.00	0.00	0.00
PIMCO Global Bond (USD-Hedged) A	PAIIX	0.25	0.10	0.35
PIMCO Global Bond (USD-Hedged) Adm	PGDAX	0.25	0.00	0.25
PIMCO Global Bond (USD-Hedged) I	PGBIX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
PIMCO GNMA A	PAGNX	0.25	0.10	0.35
PIMCO GNMA Instl	PDMIX	0.00	0.00	0.00
PIMCO High Yield A	PHDAX	0.25	0.10	0.35
PIMCO High Yield Admin	PHYAX	0.25	0.00	0.25
PIMCO High Yield D	PHYDX	0.25	0.00	0.25
PIMCO High Yield Instl	PHIYX	0.00	0.00	0.00
PIMCO High Yield R	PHYRX	0.50	0.10	0.60
PIMCO Income Adm	PIINX	0.25	0.00	0.25
PIMCO Income Instl	PIMIX	0.00	0.00	0.00
PIMCO International StocksPLUS TR Str I	PISIX	0.00	0.00	0.00
PIMCO Intl StkPLUS Tr Strat (Unhdgd) Adm	PSKAX	0.25	0.00	0.25
PIMCO Intl StkPLUS Tr Strat (Unhedged) I	PSKIX	0.00	0.00	0.00
PIMCO Investment Grade Corp Bd Admin	PGCAX	0.25	0.00	0.25
PIMCO Investment Grade Corp Bd Instl	PIGIX	0.00	0.00	0.00
PIMCO Long Duration Total Return Instl	PLRIX	0.00	0.00	0.00
PIMCO Long-Term US Government A	PFGAX	0.25	0.10	0.35
PIMCO Long-Term US Government Admin	PLGBX	0.25	0.00	0.25
PIMCO Long-Term US Government Instl	PGOVX	0.00	0.00	0.00
PIMCO Low Duration A	PTLAX	0.25	0.10	0.35
PIMCO Low Duration Admin	PLDAX	0.25	0.00	0.25
PIMCO Low Duration II Admin	PDFAX	0.25	0.00	0.25
PIMCO Low Duration II Instl	PLDTX	0.00	0.00	0.00
PIMCO Low Duration III Admin	PDRAX	0.25	0.10	0.35
PIMCO Low Duration III Instl	PLDIX	0.00	0.00	0.00
PIMCO Low Duration Instl	PTLDX	0.00	0.00	0.00
PIMCO Low Duration R	PLDRX	0.50	0.10	0.60
PIMCO Moderate Duration Instl	PMDRX	0.00	0.00	0.00
PIMCO Mortgage-Backed Securities A	PMRAX	0.25	0.10	0.35
PIMCO Mortgage-Backed Securities Adm	PMTAX	0.25	0.00	0.25
PIMCO Mortgage-Backed Securities Instl	PTRIX	0.00	0.00	0.00
PIMCO Real Estate Real Return Strategy I	PRRSX	0.00	0.00	0.00
PIMCO Real Return A	PRTNX	0.25	0.10	0.35
PIMCO Real Return Admin	PARRX	0.25	0.00	0.25
PIMCO Real Return Asset Instl	PRAIX	0.00	0.00	0.00
PIMCO Real Return Instl	PRRIX	0.00	0.00	0.00
PIMCO Real Return R	PRRRX	0.50	0.10	0.60
PIMCO RealRetirement 2010 R	PTNRX	0.50	0.10	0.60
PIMCO RealRetirement 2020 R	PTYRX	0.50	0.10	0.60
PIMCO RealRetirement 2030 R	PEHRX	0.50	0.10	0.60
PIMCO RealRetirement 2040 R	POFRX	0.50	0.10	0.60
PIMCO RealRetirement 2050 R	PFYRX	0.50	0.10	0.60
PIMCO Short-Term A	PSHAX	0.25	0.10	0.35
PIMCO Short-Term Admin	PSFAX	0.25	0.00	0.25
PIMCO Short-Term Instl	PTSHX	0.00	0.00	0.00
PIMCO Short-Term R	PTSRX	0.50	0.10	0.60
PIMCO Small Cap StocksPLUS TR Inst	PSCSX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
PIMCO StocksPLUS A	PSPAX	0.25	0.15	0.40
PIMCO StocksPLUS Admin	PPLAX	0.25	0.00	0.25
PIMCO StocksPLUS Instl	PSTKX	0.00	0.00	0.00
PIMCO StocksPLUS Long Duration Instl	PSLDX	0.00	0.00	0.00
PIMCO StocksPLUS R	PSPRX	0.50	0.10	0.60
PIMCO StocksPLUS Total Return A	PTOAX	0.25	0.15	0.40
PIMCO StocksPLUS Total Return Instl	PSPTX	0.00	0.00	0.00
PIMCO StocksPLUS TR Short Strat Instl	PSTIX	0.00	0.00	0.00
PIMCO Total Return A	PTTAX	0.25	0.10	0.35
PIMCO Total Return Admin	PTRAX	0.25	0.00	0.25
PIMCO Total Return II Admin	PRADX	0.25	0.00	0.25
PIMCO Total Return II Instl	PMBIX	0.00	0.00	0.00
PIMCO Total Return III Admin	PRFAX	0.25	0.00	0.25
PIMCO Total Return III Instl	PTSAX	0.00	0.00	0.00
PIMCO Total Return Instl	PTTRX	0.00	0.00	0.00
PIMCO Total Return R	PTRRX	0.50	0.10	0.60
PIMCO Unconstrained Bond A	PUBAX	0.25	0.10	0.35
PIMCO Unconstrained Bond R	PUBRX	0.50	0.10	0.60
Pioneer A	PIODX	0.25	0.30	0.55
Pioneer Bond A	PIOBX	0.25	0.30	0.55
Pioneer Bond R	PBFRX	0.50	0.25	0.75
Pioneer Bond Y	PICYX	0.00	0.20	0.20
Pioneer Cullen Value A	CVFCX	0.25	0.30	0.55
Pioneer Cullen Value Y	CVFYX	0.00	0.15	0.15
Pioneer Emerging Markets A	PEMFX	0.25	0.30	0.55
Pioneer Emerging Markets Y	PYEFX	0.00	0.20	0.20
Pioneer Equity Income A	PEQIX	0.25	0.30	0.55
Pioneer Equity Income R	PQIRX	0.50	0.25	0.75
Pioneer Equity Income Y	PYEQX	0.00	0.20	0.20
Pioneer Global High Yield A	PGHYX	0.25	0.30	0.55
Pioneer Government Income A	AMGEX	0.25	0.30	0.55
Pioneer Growth Opportunities A	PGOFX	0.25	0.30	0.55
Pioneer High Yield A	TAHYX	0.25	0.30	0.55
Pioneer High Yield R	TYHRX	0.50	0.25	0.75
Pioneer High Yield Y	TYHYX	0.00	0.20	0.20
Pioneer International Value A	PIIFX	0.25	0.30	0.55
Pioneer Mid-Cap Growth A	PITHX	0.25	0.30	0.55
Pioneer Mid-Cap Value A	PCGRX	0.25	0.30	0.55
Pioneer Mid-Cap Value R	PCMRX	0.50	0.25	0.75
Pioneer Mid-Cap Value Y	PYCGX	0.00	0.20	0.20
Pioneer Oak Ridge Large Cap Growth A	ORILX	0.25	0.30	0.55
Pioneer Oak Ridge Large Cap Growth R	ORLRX	0.50	0.25	0.75
Pioneer Oak Ridge Small Cap Growth A	ORIGX	0.25	0.30	0.55
Pioneer R	PIORX	0.50	0.25	0.75
Pioneer Real Estate A	PWREX	0.25	0.30	0.55
Pioneer Real Estate Y	PYREX	0.00	0.20	0.20

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Pioneer Research A	PATMX	0.00	0.30	0.30
Pioneer Select Mid Cap Growth A	PMCTX	0.25	0.30	0.55
Pioneer Select Mid Cap Growth Y	PMTYX	0.00	0.20	0.20
Pioneer Strategic Income A	PSRAX	0.25	0.30	0.55
Pioneer Strategic Income R	STIRX	0.50	0.25	0.75
Pioneer Strategic Income Y	STRYX	0.00	0.20	0.20
Pioneer Value A	PIOTX	0.25	0.30	0.55
Pioneer Y	PYODX	0.00	0.20	0.20
Putnam American Government Income A	PAGVX	0.25	0.20	0.45
Putnam American Government Income R	PAMRX	0.50	0.20	0.70
Putnam Asset Allocation: Balanced A	PABAX	0.25	0.20	0.45
Putnam Asset Allocation: Balanced R	PAARX	0.50	0.20	0.70
Putnam Asset Allocation: Balanced Y	PABYX	0.00	0.20	0.20
Putnam Asset Allocation: Conservative A	PACAX	0.25	0.20	0.45
Putnam Asset Allocation: Conservative R	PACRX	0.50	0.20	0.70
Putnam Asset Allocation: Conservative Y	PACYX	0.00	0.20	0.20
Putnam Asset Allocation: Growth A	PAEAX	0.25	0.20	0.45
Putnam Asset Allocation: Growth R	PASRX	0.50	0.20	0.70
Putnam Asset Allocation: Growth Y	PAGYX	0.00	0.20	0.20
Putnam Capital Opportunities A	PCOAX	0.25	0.20	0.45
Putnam Capital Opportunities R	PCORX	0.50	0.20	0.70
Putnam Capital Opportunities Y	PYCOX	0.00	0.20	0.20
Putnam Convertible Income-Growth A	PCONX	0.25	0.20	0.45
Putnam Convertible Income-Growth R	PCVRX	0.50	0.20	0.70
Putnam Convertible Income-Growth Y	PCGYX	0.00	0.20	0.20
Putnam Diversified Income A	PDINX	0.25	0.20	0.45
Putnam Diversified Income R	PDVRX	0.50	0.20	0.70
Putnam Diversified Income Y	PDVYX	0.00	0.20	0.20
Putnam Equity Income A	PEYAX	0.25	0.20	0.45
Putnam Equity Income R	PEQRX	0.50	0.20	0.70
Putnam Equity Income Y	PEIYX	0.00	0.20	0.20
Putnam Europe Equity A	PEUGX	0.25	0.20	0.45
Putnam Europe Equity R	PEERX	0.50	0.20	0.70
Putnam Floating Rate Income A	PFLRX	0.25	0.20	0.45
Putnam Floating Rate Income Y	PFRYX	0.20	0.20	0.40
Putnam for Growth & Income A	PGRWX	0.25	0.20	0.45
Putnam for Growth & Income R	PGCRX	0.50	0.20	0.70
Putnam for Growth & Income Y	PGIYX	0.00	0.20	0.20
Putnam Global Equity A	PEQUX	0.25	0.20	0.45
Putnam Global Equity R	PGLRX	0.50	0.20	0.70
Putnam Global Equity Y	PEQYX	0.00	0.20	0.20
Putnam Global Health Care A	PHSTX	0.25	0.20	0.45
Putnam Global Health Care R	PHSRX	0.50	0.20	0.70
Putnam Global Health Care Y	PHSYX	0.00	0.20	0.20
Putnam Global Income A	PGGIX	0.25	0.20	0.45
Putnam Global Income R	PGBRX	0.50	0.20	0.70

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Putnam Global Natural Resources A	EBERX	0.25	0.20	0.45
Putnam Global Natural Resources R	PGNRX	0.25	0.20	0.45
Putnam Global Utilities A	PUGIX	0.25	0.20	0.45
Putnam Global Utilities R	PULRX	0.50	0.20	0.70
Putnam Growth Opportunities A	POGAX	0.25	0.20	0.45
Putnam Growth Opportunities R	PGORX	0.50	0.20	0.70
Putnam Growth Opportunities Y	PGOYX	0.00	0.20	0.20
Putnam High Yield A	PHIGX	0.25	0.20	0.45
Putnam High Yield Advantage A	PHYIX	0.25	0.20	0.45
Putnam High Yield Advantage Y	PHAYX	0.00	0.20	0.20
Putnam High Yield R	PHDRX	0.50	0.20	0.70
Putnam High Yield Y	PHYYX	0.00	0.20	0.20
Putnam Income A	PINCX	0.25	0.20	0.45
Putnam Income R	PIFRX	0.50	0.20	0.70
Putnam Income Strategies A	PISFX	0.25	0.20	0.45
Putnam Income Strategies Y	PIIYX	0.00	0.20	0.20
Putnam Income Y	PNCYX	0.00	0.20	0.20
Putnam International Capital Opp A	PNVAX	0.25	0.20	0.45
Putnam International Capital Opp R	PICRX	0.50	0.20	0.70
Putnam International Capital Opp Y	PIVYX	0.00	0.20	0.20
Putnam International Equity A	POVSX	0.25	0.20	0.45
Putnam International Equity R	PIERX	0.50	0.20	0.70
Putnam International Equity Y	POVYX	0.00	0.20	0.20
Putnam International Growth A	PINOX	0.25	0.20	0.45
Putnam International Growth R	PNPRX	0.50	0.20	0.70
Putnam International Value A	PNGAX	0.25	0.20	0.45
Putnam International Value R	PIIRX	0.50	0.20	0.70
Putnam Investors A	PINVX	0.25	0.20	0.45
Putnam Investors R	PIVRX	0.50	0.20	0.70
Putnam Investors Y	PNVYX	0.00	0.20	0.20
Putnam Mid Cap Value A	PMVAX	0.25	0.20	0.45
Putnam Mid Cap Value R	PMVRX	0.50	0.20	0.70
Putnam New Opportunities A	PNOPX	0.25	0.20	0.45
Putnam New Opportunities R	PNORX	0.50	0.20	0.70
Putnam New Opportunities Y	PNOYX	0.00	0.20	0.20
Putnam Research A	PNRAX	0.25	0.20	0.45
Putnam Research R	PRSRX	0.50	0.20	0.70
Putnam Research Y	PURYX	0.00	0.20	0.20
Putnam Small Cap Growth A	PNSAX	0.25	0.20	0.45
Putnam Small Cap Growth R	PSGRX	0.50	0.20	0.70
Putnam Small Cap Growth Y	PSYGX	0.00	0.20	0.20
Putnam Small Cap Value A	PSLAX	0.25	0.20	0.45
Putnam Small Cap Value Y	PYSVX	0.00	0.20	0.20
Putnam US Government Income A	PGSIX	0.25	0.20	0.45
Putnam US Government Income R	PGVRX	0.50	0.20	0.70
Putnam US Government Income Y	PUSYX	0.00	0.20	0.20

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Putnam Vista A	PVISX	0.25	0.20	0.45
Putnam Vista R	PVIRX	0.50	0.20	0.70
Putnam Vista Y	PVIYX	0.00	0.20	0.20
Putnam Voyager A	PVOYX	0.25	0.20	0.45
Putnam Voyager R	PVYRX	0.50	0.20	0.70
Putnam Voyager Y	PVYYX	0.00	0.20	0.20
Rice Hall James Micro Cap	RHJSX	0.00	0.00	0.00
Rice Hall James Small Cap	RHJMX	0.00	0.15	0.15
RidgeWorth Aggressive Growth Stock A	SAGAX	0.30	0.25	0.55
RidgeWorth Aggressive Growth Stock I	SCATX	0.00	0.25	0.25
RidgeWorth Agrsv Gr Allc Strat A	SLAAX	0.30	0.25	0.55
RidgeWorth Agrsv Gr Allc Strat I	CVMGX	0.00	0.25	0.25
RidgeWorth Conservative Allc Strat A	SVCAX	0.30	0.25	0.55
RidgeWorth Conservative Allc Strat I	SCCTX	0.00	0.25	0.25
RidgeWorth Corporate Bond A	SAINX	0.30	0.10	0.40
RidgeWorth Corporate Bond I	STICX	0.00	0.10	0.10
RidgeWorth Emerging Growth Stock A	SCEAX	0.30	0.25	0.55
RidgeWorth Emerging Growth Stock I	SEGTX	0.00	0.25	0.25
RidgeWorth Growth Allocation Strategy A	SGIAX	0.30	0.25	0.55
RidgeWorth Growth Allocation Strategy I	CLVGX	0.00	0.25	0.25
RidgeWorth High Income A	SAHIX	0.30	0.10	0.40
RidgeWorth High Income I	STHTX	0.00	0.10	0.10
RidgeWorth Intermediate Bond A	IBASX	0.25	0.10	0.35
RidgeWorth Intermediate Bond I	SAMIX	0.00	0.10	0.10
RidgeWorth International Eq 130/30 I	SCEIX	0.00	0.25	0.25
RidgeWorth International Equity A	SCIIX	0.30	0.25	0.55
RidgeWorth International Equity I	STITX	0.00	0.25	0.25
RidgeWorth Intl Equity Index A	SIIX	0.30	0.25	0.55
RidgeWorth Intl Equity Index I	SIEIX	0.00	0.25	0.25
RidgeWorth Investment Grade Bond A	STGIX	0.30	0.10	0.40
RidgeWorth Investment Grade Bond I	STIGX	0.00	0.10	0.10
RidgeWorth Large Cap Core Equity A	CFVIX	0.25	0.25	0.50
RidgeWorth Large Cap Core Equity I	CRVAX	0.00	0.25	0.25
RidgeWorth Large Cap Growth Stock A	STCIX	0.30	0.25	0.55
RidgeWorth Large Cap Growth Stock I	STCAX	0.00	0.25	0.25
RidgeWorth Large Cap Quantitative Eq A	SQEAX	0.25	0.25	0.50
RidgeWorth Large Cap Quantitative Eq I	SQETX	0.00	0.25	0.25
RidgeWorth Large Cap Value Equity A	SVIIX	0.30	0.25	0.55
RidgeWorth Large Cap Value Equity I	STVTX	0.00	0.25	0.25
RidgeWorth Limited Duration I	SAMLX	0.00	0.10	0.10
RidgeWorth Limited-Term Federal A	SLTMX	0.20	0.10	0.30
RidgeWorth Limited-Term Federal I	SLMTX	0.00	0.10	0.10
RidgeWorth Mid-Cap Core Equity A	SCAIX	0.30	0.25	0.55
RidgeWorth Mid-Cap Core Equity I	SAGTX	0.00	0.25	0.25
RidgeWorth Mid-Cap Value Equity A	SAMVX	0.30	0.25	0.55
RidgeWorth Mid-Cap Value Equity I	SMVTX	0.00	0.25	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
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RidgeWorth Moderate Allocation Strat A	SVMAX	0.30	0.25	0.55
RidgeWorth Moderate Allocation Strat I	CLVBX	0.00	0.25	0.25
RidgeWorth Real Estate 130/30 I	SRIEX	0.00	0.25	0.25
RidgeWorth Seix Floating RT High Inc A	SFRAX	0.30	0.10	0.40
RidgeWorth Seix Floating RT High Inc I	SAMBX	0.00	0.10	0.10
RidgeWorth Seix Global Strategy I	CGSIX	0.00	0.10	0.10
RidgeWorth Seix High Yield A	HYPX	0.25	0.10	0.35
RidgeWorth Seix High Yield I	SAMHX	0.00	0.10	0.10
RidgeWorth Sel Large Cap Growth Stock A	SXSAX	0.30	0.25	0.55
RidgeWorth Sel Large Cap Growth Stock I	STTAX	0.00	0.25	0.25
RidgeWorth Short-Term Bond A	STSBX	0.20	0.10	0.30
RidgeWorth Short-Term Bond I	SSBTX	0.00	0.10	0.10
RidgeWorth Short-Term US Treas Sec A	STSFX	0.18	0.10	0.28
RidgeWorth Short-Term US Treas Sec I	SUSTX	0.00	0.10	0.10
RidgeWorth Small Cap Growth Stock A	SCGIX	0.30	0.25	0.55
RidgeWorth Small Cap Growth Stock I	SSCTX	0.00	0.25	0.25
RidgeWorth Small Cap Value Equity A	SASVX	0.30	0.25	0.55
RidgeWorth Small Cap Value Equity I	SCETX	0.00	0.25	0.25
RidgeWorth Total Return Bond A	CBPSX	0.25	0.10	0.35
RidgeWorth Total Return Bond I	SAMFX	0.00	0.10	0.10
RidgeWorth Ultra-Short Bond I	SISSX	0.00	0.10	0.10
RidgeWorth US Equity 130/30 I	SUEIX	0.00	0.25	0.25
RidgeWorth US Gov Sec Ultra-Short Bd I	SIGVX	0.00	0.10	0.10
RidgeWorth US Government Secs A	SCUSX	0.30	0.10	0.40
RidgeWorth US Government Secs I	SUGTX	0.00	0.10	0.10
RiverSource 120/20 Contrarian Equity A	RCEAX	0.25	0.10	0.35
RiverSource 120/20 Contrarian Equity R5	RCERX	0.00	0.10	0.10
RiverSource Absolute Ret Ccy & Inc A	RARAX	0.25	0.10	0.35
RiverSource Absolute Ret Ccy & Inc R5	RARRX	0.00	0.10	0.10
RiverSource Balanced A	INMUX	0.25	0.10	0.35
RiverSource Balanced R4	IDMYX	0.00	0.35	0.35
RiverSource Disciplined Equity A	AQEAX	0.25	0.10	0.35
RiverSource Disciplined Equity R3	RSDEX	0.25	0.35	0.60
RiverSource Disciplined Equity R4	RQEYX	0.00	0.35	0.35
RiverSource Disciplined Equity R5	RSIPX	0.00	0.10	0.10
RiverSource Disciplined Intl Equity A	RDIAX	0.25	0.10	0.35
RiverSource Disciplined Intl Equity R2	RDIOX	0.50	0.35	0.85
RiverSource Disciplined Intl Equity R3	RDIEX	0.25	0.35	0.60
RiverSource Disciplined Intl Equity R4	RDIRX	0.00	0.35	0.35
RiverSource Disciplined Large Cap Gr A	RDLAX	0.25	0.10	0.35
RiverSource Disciplined Large Cap Gr R4	RDLFX	0.00	0.35	0.35
RiverSource Disciplined Large Cap Val A	RLCAX	0.25	0.10	0.35
RiverSource Disciplined Large Cap Val R2	RLCOX	0.50	0.35	0.85
RiverSource Disciplined Large Cap Val R3	RLCTX	0.25	0.35	0.60
RiverSource Disciplined Large Cap Val R4	RLCYX	0.00	0.35	0.35
RiverSource Disciplined Large Cap Val R5	RLCRX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
RiverSource Disciplined Small Cap Val A	RDVAX	0.25	0.10	0.35
RiverSource Disciplined Small Cap Val R4	RSDVX	0.00	0.35	0.35
RiverSource Discp Sm & Md Cap Eq A	RDSAX	0.25	0.10	0.35
RiverSource Diversified Bond A	INBNX	0.25	0.10	0.35
RiverSource Diversified Bond R3	RSDBX	0.25	0.35	0.60
RiverSource Diversified Bond R4	IDBYX	0.00	0.35	0.35
RiverSource Diversified Bond R5	RSVBX	0.00	0.10	0.10
RiverSource Diversified Equity Inc A	INDZX	0.25	0.10	0.35
RiverSource Diversified Equity Inc R4	IDQYX	0.00	0.35	0.35
RiverSource Diversified Equity Income R2	RDEIX	0.50	0.35	0.85
RiverSource Diversified Equity Income R3	RDERX	0.25	0.35	0.60
RiverSource Diversified Equity Income R5	RSEDX	0.00	0.10	0.10
RiverSource Dividend Opportunity A	INUTX	0.25	0.10	0.35
RiverSource Dividend Opportunity R2	RSOOX	0.50	0.35	0.85
RiverSource Dividend Opportunity R3	RSOTX	0.25	0.35	0.60
RiverSource Dividend Opportunity R4	RSORX	0.00	0.35	0.35
RiverSource Dividend Opportunity R5	RSDFX	0.00	0.10	0.10
RiverSource Emerging Markets Bond A	REBAX	0.25	0.10	0.35
RiverSource Equity Value A	IEVAX	0.25	0.10	0.35
RiverSource Equity Value R2	REVRX	0.50	0.35	0.85
RiverSource Equity Value R3	RSEVX	0.25	0.35	0.60
RiverSource Equity Value R4	AEVYX	0.00	0.35	0.35
RiverSource Equity Value R5	RSEYX	0.00	0.10	0.10
RiverSource Floating Rate A	RFRAX	0.25	0.10	0.35
RiverSource Floating Rate R5	RFRFX	0.00	0.10	0.10
RiverSource Global Bond A	IGBFX	0.25	0.10	0.35
RiverSource Global Bond R4	RGBRX	0.00	0.35	0.35
RiverSource High Yield Bond A	INEAX	0.25	0.10	0.35
RiverSource High Yield Bond R4	RSHYX	0.00	0.35	0.35
RiverSource High Yield Bond R5	RSHRX	0.00	0.10	0.10
RiverSource Income Builder Basic Inc A	RBBAX	0.25	0.10	0.35
RiverSource Income Builder Enh Inc A	RSBAX	0.25	0.10	0.35
RiverSource Income Builder Mod Inc A	RSMAX	0.25	0.10	0.35
RiverSource Income Opportunities A	AIOAX	0.25	0.10	0.35
RiverSource Infl Protected Secs A	APSAX	0.25	0.10	0.35
RiverSource LaSalle Global Rel Est A	SLDAX	0.25	0.10	0.35
RiverSource LaSalle Global Rel Est R2	SLDRX	0.50	0.35	0.85
RiverSource LaSalle Global Rel Est R5	SLDIX	0.00	0.10	0.10
RiverSource LaSalle Mthly Div Rel Est A	SREAX	0.25	0.10	0.35
RiverSource LaSalle Mthly Div Rel Est R2	SRERX	0.50	0.35	0.85
RiverSource LaSalle Mthly Div Rel Est R5	SREIX	0.00	0.10	0.10
RiverSource Limited Duration Bond A	ALDAX	0.25	0.10	0.35
RiverSource Mid Cap Growth A	INVPX	0.25	0.10	0.35
RiverSource Mid Cap Growth R4	IESYX	0.00	0.35	0.35
RiverSource Mid Cap Value A	AMVAX	0.25	0.10	0.35
RiverSource Mid Cap Value R2	RMVTX	0.50	0.35	0.85

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
RiverSource Mid Cap Value R3	RMCRX	0.25	0.35	0.60
RiverSource Mid Cap Value R4	RMCVX	0.00	0.35	0.35
RiverSource Mid Cap Value R5	RSCMX	0.00	0.10	0.10
RiverSource Partners Aggressive Gr A	ASGFX	0.25	0.10	0.35
RiverSource Partners Aggressive Gr R4	RSASX	0.00	0.35	0.35
RiverSource Partners Fundamental Val A	AFVAX	0.25	0.10	0.35
RiverSource Partners Intl Select Gr A	AXGAX	0.25	0.10	0.35
RiverSource Partners Intl Select Val A	APIAX	0.25	0.10	0.35
RiverSource Partners Intl Small Cap A	AISCX	0.25	0.10	0.35
RiverSource Partners Select Value A	AXVAX	0.25	0.10	0.35
RiverSource Partners Small Cap Equity A	AXSAX	0.25	0.10	0.35
RiverSource Partners Small Cap Growth A	AXSCX	0.25	0.10	0.35
RiverSource Partners Small Cap Growth R4	RSLGX	0.00	0.35	0.35
RiverSource Partners Small Cap Value A	ASVAX	0.25	0.10	0.35
RiverSource Partners Small Cap Value R2	RSVTX	0.50	0.35	0.85
RiverSource Partners Small Cap Value R3	RSVRX	0.25	0.35	0.60
RiverSource Partners Small Cap Value R4	RSGLX	0.00	0.35	0.35
RiverSource Partners Small Cap Value R5	RSCVX	0.00	0.10	0.10
RiverSource Port Builder Aggressive A	AXBAX	0.25	0.10	0.35
RiverSource Port Builder Conservative A	ABDAX	0.25	0.10	0.35
RiverSource Port Builder Mod Cnsrv A	AUCAX	0.25	0.10	0.35
RiverSource Port Builder Moderate A	ABUAX	0.25	0.10	0.35
RiverSource Port Builder Total Equity A	AXTAX	0.25	0.10	0.35
RiverSource Portfolio Bldr Mod Agrsv A	AXMAX	0.25	0.10	0.35
RiverSource Precious Metals & Mining A	INPMX	0.25	0.10	0.35
RiverSource Real Estate A	ARLAX	0.25	0.10	0.35
RiverSource Recovery and Infra A	RRIAX	0.25	0.10	0.35
RiverSource Recovery and Infra R2	RRIRX	0.50	0.35	0.85
RiverSource Recovery and Infra R3	RRISX	0.25	0.35	0.60
RiverSource Recovery and Infra R4	RRIYX	0.00	0.35	0.35
RiverSource Recovery and Infra R5	RRIZX	0.00	0.10	0.10
RiverSource Retirement Plus 2010 R3	RSRPX	0.25	0.35	0.60
RiverSource Retirement Plus 2010 R4	RSERX	0.00	0.35	0.35
RiverSource Retirement Plus 2010 R5	RSPRX	0.00	0.10	0.10
RiverSource Retirement Plus 2010 Y	RSSPX	0.00	0.25	0.25
RiverSource Retirement Plus 2015 R3	RSRTX	0.25	0.35	0.60
RiverSource Retirement Plus 2015 R4	RSEMX	0.00	0.35	0.35
RiverSource Retirement Plus 2015 R5	RSCUX	0.00	0.10	0.10
RiverSource Retirement Plus 2015 Y	RSFNX	0.00	0.25	0.25
RiverSource Retirement Plus 2020 R3	RSEPX	0.25	0.35	0.60
RiverSource Retirement Plus 2020 R4	RSMTX	0.00	0.35	0.35
RiverSource Retirement Plus 2020 R5	RSUCX	0.00	0.10	0.10
RiverSource Retirement Plus 2020 Y	RSNFX	0.00	0.25	0.25
RiverSource Retirement Plus 2025 R3	RSMPX	0.25	0.35	0.60
RiverSource Retirement Plus 2025 R4	RSPLX	0.00	0.35	0.35
RiverSource Retirement Plus 2025 R5	RSURX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
RiverSource Retirement Plus 2025 Y	RSMEX	0.00	0.25	0.25
RiverSource Retirement Plus 2030 R3	RSRNX	0.25	0.35	0.60
RiverSource Retirement Plus 2030 R4	RSELX	0.00	0.35	0.35
RiverSource Retirement Plus 2030 R5	RSEEX	0.00	0.10	0.10
RiverSource Retirement Plus 2030 Y	RPTYX	0.00	0.25	0.25
RiverSource Retirement Plus 2035 R3	RSRRX	0.25	0.35	0.60
RiverSource Retirement Plus 2035 R4	RSMNX	0.00	0.35	0.35
RiverSource Retirement Plus 2035 R5	RSUSX	0.00	0.10	0.10
RiverSource Retirement Plus 2035 Y	RPOYX	0.00	0.25	0.25
RiverSource Retirement Plus 2040 R3	RSRCX	0.25	0.35	0.60
RiverSource Retirement Plus 2040 R4	RSMMX	0.00	0.35	0.35
RiverSource Retirement Plus 2040 R5	RSPUX	0.00	0.10	0.10
RiverSource Retirement Plus 2040 Y	RPFYX	0.00	0.25	0.25
RiverSource Retirement Plus 2045 R3	RSRUX	0.25	0.35	0.60
RiverSource Retirement Plus 2045 R4	RSNNX	0.00	0.35	0.35
RiverSource Retirement Plus 2045 R5	RSUPX	0.00	0.10	0.10
RiverSource Retirement Plus 2045 Y	RRPYX	0.00	0.25	0.25
RiverSource Sh Dur US Govt A	IFINX	0.25	0.10	0.35
RiverSource Sh Dur US Govt R4	IDFYX	0.00	0.35	0.35
RiverSource Small Company Index A	ISIAX	0.25	0.10	0.35
RiverSource Small Company Index R4	ISCYX	0.00	0.35	0.35
RiverSource Strategic Allocation A	IMRFX	0.25	0.10	0.35
RiverSource Strategic Allocation R3	RSACX	0.25	0.35	0.60
RiverSource Strategic Allocation R4	IDRYX	0.00	0.35	0.35
RiverSource Strategic Allocation R5	RSALX	0.00	0.10	0.10
RiverSource Strategic Inc Allocation A	RSGAX	0.25	0.10	0.35
RiverSource Strategic Inc Allocation R2	RSDOX	0.50	0.35	0.85
RiverSource Strategic Inc Allocation R3	RSARX	0.25	0.35	0.60
RiverSource Strategic Inc Allocation R4	RSTRX	0.00	0.35	0.35
RiverSource Strategic Inc Allocation R5	RSFRX	0.00	0.10	0.10
RiverSource US Govt Mortgage A	AUGAX	0.25	0.10	0.35
RiverSource US Govt Mortgage R4	RSGYX	0.00	0.35	0.35
Royce 100 Invmt	ROHHX	0.00	0.10	0.10
Royce 100 K	ROHKX	0.00	0.50	0.50
Royce 100 R	ROHRX	0.50	0.25	0.75
Royce 100 Svc	RYOHX	0.25	0.10	0.35
Royce Discovery Svc	RYDFX	0.25	0.10	0.35
Royce Dividend Value Invmt	RDVIX	0.00	0.10	0.10
Royce Dividend Value Svc	RYDVX	0.25	0.10	0.35
Royce European Smaller Companies Svc	RISCX	0.25	0.10	0.35
Royce Financial Services Svc	RYFSX	0.25	0.10	0.35
Royce Global Value Svc	RIVFX	0.25	0.10	0.35
Royce Heritage Invmt	RHFHX	0.00	0.10	0.10
Royce Heritage K	RHFKX	0.00	0.50	0.50
Royce Heritage R	RHFRX	0.50	0.25	0.75
Royce Heritage Svc	RGFAX	0.25	0.10	0.35

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Royce Low Priced Stock Instl	RLPIX	0.00	0.00	0.00
Royce Low Priced Stock Invmt	RLPHX	0.00	0.10	0.10
Royce Low Priced Stock K	RLPKX	0.00	0.50	0.50
Royce Low Priced Stock R	RLPRX	0.50	0.25	0.75
Royce Low Priced Stock Svc	RYLPX	0.25	0.10	0.35
Royce Micro-Cap Invmt	RYOTX	0.00	0.10	0.10
Royce Micro-Cap Svc	RMCFX	0.25	0.10	0.35
Royce Opportunity Instl	ROFIX	0.00	0.00	0.00
Royce Opportunity Invmt	RYPNX	0.00	0.10	0.10
Royce Opportunity K	ROFKX	0.00	0.50	0.50
Royce Opportunity R	ROFRX	0.50	0.25	0.75
Royce Opportunity Svc	RYOFX	0.25	0.10	0.35
Royce Pennsylvania Mutual Invmt	PENNX	0.00	0.10	0.10
Royce Pennsylvania Mutual K	RPMKX	0.00	0.50	0.50
Royce Pennsylvania Mutual R	RPMRX	0.50	0.25	0.75
Royce Pennsylvania Mutual Svc	RYPFX	0.25	0.10	0.35
Royce Premier Instl	RPFIX	0.00	0.00	0.00
Royce Premier Invmt	RYPRX	0.00	0.10	0.10
Royce Premier K	RPRKX	0.00	0.50	0.50
Royce Premier R	RPRRX	0.50	0.25	0.75
Royce Premier Service	RPFFX	0.25	0.10	0.35
Royce Select I Invmt	RYSFX	0.00	0.10	0.10
Royce SMid-Cap Value Svc	RMVSX	0.25	0.10	0.35
Royce Special Equity Instl	RSEIX	0.00	0.00	0.00
Royce Special Equity Invmt	RYSEX	0.00	0.10	0.10
Royce Special Equity Svc	RSEFX	0.25	0.10	0.35
Royce Total Return Instl	RTRIX	0.00	0.00	0.00
Royce Total Return Invmt	RYTRX	0.00	0.10	0.10
Royce Total Return K	RTRKX	0.00	0.50	0.50
Royce Total Return R	RTRRX	0.50	0.25	0.75
Royce Total Return Svc	RYTFX	0.25	0.10	0.35
Royce Value Instl	RVFIX	0.00	0.00	0.00
Royce Value Invmt	RVVHX	0.00	0.10	0.10
Royce Value K	RVFKX	0.00	0.50	0.50
Royce Value Plus Instl	RVPIX	0.00	0.00	0.00
Royce Value Plus K	RVPKX	0.00	0.50	0.50
Royce Value Plus R	RVPRX	0.50	0.25	0.75
Royce Value Plus Svc	RYVPX	0.25	0.10	0.35
Royce Value R	RVVRX	0.50	0.25	0.75
Royce Value Svc	RYVFX	0.25	0.10	0.35
RS Emerging Markets A	GBEMX	0.25	0.15	0.40
RS Emerging Markets K	REMKX	0.65	0.15	0.80
RS Global Natural Resources A	RSNRX	0.25	0.15	0.40
RS Global Natural Resources K	RSNKX	0.65	0.15	0.80
RS Global Natural Resources Y	RSNYX	0.00	0.10	0.10
RS Growth A	RSGRX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
RS Growth K	RSGKX	0.65	0.15	0.80
RS Growth Y	RGRYX	0.00	0.10	0.10
RS High Yield Bond A	GUHYX	0.25	0.15	0.40
RS High Yield Bond K	RHYKX	0.65	0.15	0.80
RS International Growth A	GUBGX	0.25	0.15	0.40
RS International Growth K	RIGKX	0.65	0.15	0.80
RS Investment Quality Bond A	GUIQX	0.25	0.15	0.40
RS Investment Quality Bond K	RIQKX	0.65	0.15	0.80
RS Investors A	RSINX	0.25	0.15	0.40
RS Investors K	RSIKX	0.65	0.15	0.80
RS Investors Y	RSIYX	0.00	0.10	0.10
RS Large Cap Alpha A	GPAFX	0.25	0.15	0.40
RS Large Cap Alpha K	RCEKX	0.65	0.15	0.80
RS Large Cap Alpha Y	RCEYX	0.00	0.10	0.10
RS Low Duration Bond A	RLDAX	0.25	0.15	0.40
RS Low Duration Bond K	RLDKX	0.65	0.15	0.80
RS Mid Cap Growth A	RSMOX	0.25	0.15	0.40
RS Mid Cap Growth K	RSMKX	0.65	0.15	0.80
RS Mid Cap Growth Y	RMOYX	0.00	0.10	0.10
RS Partners A	RSPFX	0.25	0.15	0.40
RS Partners K	RSPKX	0.65	0.15	0.80
RS Partners Y	RSPYX	0.00	0.10	0.10
RS S&P 500 Index A	GUSPX	0.25	0.15	0.40
RS S&P 500 Index K	RSPIX	0.65	0.15	0.80
RS Select Growth A	RSDGX	0.25	0.15	0.40
RS Select Growth K	RSDKX	0.65	0.15	0.80
RS Small Cap Equity A	GPSCX	0.25	0.15	0.40
RS Small Cap Equity K	RSCKX	0.65	0.15	0.80
RS Small Cap Equity Y	RSCYX	0.00	0.10	0.10
RS Small Cap Growth A	RSEGX	0.25	0.15	0.40
RS Small Cap Growth A	RSEGX	0.25	0.15	0.40
RS Small Cap Growth K	RSEKX	0.65	0.15	0.80
RS Small Cap Growth Y	RSYEX	0.00	0.10	0.10
RS Tax-Exempt A	GUTEX	0.25	0.15	0.40
RS Technology A	RSIFX	0.25	0.15	0.40
RS Technology K	RIFKX	0.65	0.15	0.80
RS Technology Y	RIFYX	0.00	0.10	0.10
RS Value A	RSVAX	0.25	0.15	0.40
RS Value K	RSVKX	0.65	0.15	0.80
RS Value Y	RSVYX	0.00	0.10	0.10
Schroder Emerging Market Equity Adv	SEMVX	0.25	0.10	0.35
Schroder Emerging Market Equity Inv	SEMNX	0.00	0.00	0.00
Schroder International Alpha Adv	SCVEX	0.25	0.10	0.35
Schroder International Alpha Inv	SCIEX	0.00	0.00	0.00
Schroder Intl Diversified Value Adv	SIDVX	0.25	0.10	0.35
Schroder Intl Diversified Value Inv	SIDNX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Schroder Multi Asset Growth R	SALRX	0.50	0.00	0.50
Schroder North American Equity Adv	SNAVX	0.35	0.00	0.35
Schroder North American Equity Inv	SNAEX	0.00	0.00	0.00
Schroder Total Return Fixed Inc Adv	SBBVX	0.25	0.10	0.35
Schroder Total Return Fixed Income Inv	SBBIX	0.00	0.00	0.00
Schroder US Opportunities Adv	SCUVX	0.25	0.10	0.35
Schroder US Opportunities Inv	SCUIX	0.00	0.00	0.00
Schroder US Small & Mid Cap Opp Adv	SMDVX	0.25	0.10	0.35
Schroder US Small & Mid Cap Opp Inv	SMDIX	0.00	0.00	0.00
Schwab 1000 Index	SNXFX	0.00	0.00	0.00
Schwab Balanced Inv	SWOBX	0.00	0.00	0.00
Schwab Core Equity Inv	SWANX	0.00	0.25	0.25
Schwab Dividend Equity	SWDSX	0.00	0.25	0.25
Schwab Fdmtl Intl Lg Co Idx TM	SFNNX	0.00	0.00	0.00
Schwab Fdmtl Intl Sm Mid Co Idx	SFILX	0.00	0.00	0.00
Schwab Fdmtl US Lg Co Idx TM	SFLNX	0.00	0.00	0.00
Schwab Fdmtl US Sm Mid Co Idx TM	SFSNX	0.00	0.00	0.00
Schwab Financial Services	SWFFX	0.00	0.25	0.25
Schwab Fundamental Emerg Mkts Idx	SFENX	0.00	0.00	0.00
Schwab Global Real Estate	SWASX	0.00	0.25	0.25
Schwab GNMA	SWGSX	0.00	0.00	0.00
Schwab Health Care	SWHFX	0.00	0.25	0.25
Schwab Hedged Equity Select	SWHEX	0.00	0.25	0.25
Schwab Inflation Protected	SWRSX	0.00	0.00	0.00
Schwab International Core Equity	SICNX	0.00	0.25	0.25
Schwab International Index	SWISX	0.00	0.00	0.00
Schwab Large-Cap Growth	SWLSX	0.00	0.25	0.25
Schwab MarketTrack All Equity	SWEGX	0.00	0.00	0.00
Schwab MarketTrack Balanced	SWBGX	0.00	0.00	0.00
Schwab MarketTrack Conservative	SWCGX	0.00	0.00	0.00
Schwab MarketTrack Growth	SWHGX	0.00	0.00	0.00
Schwab Monthly Income Enh Payout	SWKRX	0.00	0.00	0.00
Schwab Monthly Income Max Payout	SWLRX	0.00	0.00	0.00
Schwab Monthly Income Mod Payout	SWJRX	0.00	0.00	0.00
Schwab Premier Equity	SWPSX	0.00	0.00	0.00
Schwab Premier Income	SWIIX	0.00	0.00	0.00
Schwab S&P 500 Index	SWPPX	0.00	0.00	0.00
Schwab Short-Term Bond Market	SWBDX	0.00	0.00	0.00
Schwab Small Cap Index	SWSSX	0.00	0.00	0.00
Schwab Small-Cap Equity	SWSCX	0.00	0.25	0.25
Schwab Target 2010	SWBRX	0.00	0.00	0.00
Schwab Target 2015	SWGRX	0.00	0.00	0.00
Schwab Target 2020	SWCRX	0.00	0.00	0.00
Schwab Target 2025	SWHRX	0.00	0.00	0.00
Schwab Target 2030	SWDRX	0.00	0.00	0.00
Schwab Target 2035	SWIRX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Schwab Target 2040	SWERX	0.00	0.00	0.00
Schwab Total Bond Market	SWLBX	0.00	0.00	0.00
Schwab Total Stock Market Index	SWTSX	0.00	0.00	0.00
Schwab YieldPlus	SWYSX	0.00	0.00	0.00
Schwartz Value	RCMFX	0.00	0.25	0.25
Selected American Shares D	SLADX	0.00	0.25	0.25
Selected American Shares S	SLASX	0.25	0.25	0.50
Selected Special Shares D	SLSDX	0.00	0.25	0.25
Selected Special Shares S	SLSSX	0.25	0.25	0.50
Seligman Capital A	SCFIX	0.25	0.10	0.35
Seligman Capital R2	SCFRX	0.50	0.35	0.85
Seligman Capital R5	SCLIX	0.00	0.10	0.10
Seligman Communications & Information A	SLMCX	0.25	0.10	0.35
Seligman Communications & Information R2	SCIRX	0.50	0.35	0.85
Seligman Communications & Information R5	SCMIX	0.00	0.10	0.10
Seligman Frontier A	SLFRX	0.25	0.10	0.35
Seligman Frontier R2	SFFRX	0.50	0.35	0.85
Seligman Frontier R5	SFFIX	0.00	0.10	0.10
Seligman Global Smaller Companies A	SHGAX	0.25	0.10	0.35
Seligman Global Smaller Companies R2	SGSRX	0.50	0.35	0.85
Seligman Global Smaller Companies R5	SGSIX	0.00	0.10	0.10
Seligman Global Technology A	SHGTX	0.25	0.10	0.35
Seligman Global Technology R2	SGTRX	0.50	0.35	0.85
Seligman Growth A	SGRFX	0.25	0.10	0.35
Seligman Growth R2	SGFRX	0.50	0.35	0.85
Seligman Growth R5	SGFIX	0.00	0.10	0.10
Seligman Large Cap Value A	SLVAX	0.25	0.10	0.35
Seligman Large Cap Value R2	SLVRX	0.50	0.35	0.85
Seligman Large Cap Value R5	SLVIX	0.00	0.10	0.10
Seligman Smaller Cap Value A	SSCVX	0.25	0.10	0.35
Seligman Smaller Cap Value R2	SSVRX	0.50	0.35	0.85
Seligman Smaller Cap Value R5	SSVIX	0.00	0.10	0.10
Seligman TargETFund 2015 A	STJAX	0.25	0.10	0.35
Seligman TargETFund 2015 R2	STJRX	0.50	0.35	0.85
Seligman TargETFund 2015 R5	STJIX	0.00	0.10	0.10
Seligman TargETFund 2025 A	STKAX	0.25	0.10	0.35
Seligman TargETFund 2025 R2	STKRX	0.50	0.35	0.85
Seligman TargETFund 2025 R5	STKIX	0.00	0.10	0.10
Seligman TargETFund 2035 A	STZAX	0.25	0.10	0.35
Seligman TargETFund 2035 R2	STZRX	0.50	0.35	0.85
Seligman TargETFund 2035 R5	STZIX	0.00	0.10	0.10
Seligman TargETFund 2045 A	STQAX	0.25	0.10	0.35
Seligman TargETFund 2045 R2	STQRX	0.50	0.35	0.85
Seligman TargETFund 2045 R5	STQIX	0.00	0.10	0.10
Seligman TargETFund Core A	SHVAX	0.25	0.10	0.35
Seligman TargETFund Core R2	SHVRX	0.50	0.35	0.85

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Seligman TargETFund Core R5	SHVIX	0.00	0.10	0.10
Sentinel Balanced A	SEBLX	0.30	0.00	0.30
Sentinel Balanced I	SIBLX	0.00	0.00	0.00
Sentinel Capital Growth A	BRGRX	0.30	0.00	0.30
Sentinel Capital Growth I	SICGX	0.00	0.00	0.00
Sentinel Common Stock A	SENCX	0.30	0.00	0.30
Sentinel Common Stock I	SICWX	0.00	0.00	0.00
Sentinel Conservative Allocation A	SECMX	0.30	0.00	0.30
Sentinel Government Securities A	SEGSX	0.20	0.00	0.20
Sentinel Government Securities I	SIBWX	0.00	0.00	0.00
Sentinel Growth Leaders A	BRFOX	0.30	0.00	0.30
Sentinel Growth Leaders I	SIGLX	0.00	0.00	0.00
Sentinel International Equity A	SWRLX	0.30	0.00	0.30
Sentinel International Equity I	SIEX	0.00	0.00	0.00
Sentinel Mid Cap Growth A	SNTNX	0.30	0.00	0.30
Sentinel Mid Cap Growth I	SIMGX	0.00	0.00	0.00
Sentinel Mid Cap Value A	SYVAX	0.30	0.00	0.30
Sentinel Mid Cap Value Instl	SYVIX	0.00	0.00	0.00
Sentinel Short Maturity Govt A	SSIGX	0.25	0.00	0.25
Sentinel Short Maturity Govt S	SSSGX	0.75	0.00	0.75
Sentinel Small Company A	SAGWX	0.30	0.00	0.30
Sentinel Small Company I	SIGWX	0.00	0.00	0.00
Sentinel Small/Mid Cap A	SMSMX	0.30	0.00	0.30
Sentinel Small/Mid Cap I	SESMX	0.00	0.00	0.00
Sentinel Sustainable Growth Opp A	WAEGX	0.30	0.00	0.30
Sit Balanced	SIBAX	0.00	0.35	0.35
Sit Developing Markets Growth	SDMGX	0.00	0.35	0.35
Sit Dividend Growth	SDVGX	0.00	0.35	0.35
Sit International Growth	SNGRX	0.00	0.35	0.35
Sit Large Cap Growth	SNIGX	0.00	0.35	0.35
Sit Mid Cap Growth	NBNGX	0.00	0.35	0.35
Sit MN Tax-Free Income	SMTFX	0.00	0.35	0.35
Sit Small Cap Growth	SSMGX	0.00	0.35	0.35
Sit Tax-Free Income	SNTIX	0.00	0.35	0.35
Sit US Government Securities	SNGVX	0.00	0.35	0.35
SSgA Bond Market	SSBMX	0.05	0.00	0.05
SSgA Bond Market R	SBMRX	0.65	0.00	0.65
SSgA Disciplined Equity Instl	SSMTX	0.25	0.00	0.25
SSgA Emerging Markets Instl	SSEMXX	0.25	0.00	0.25
SSgA High Yield Bond Instl	SSHYX	0.25	0.00	0.25
SSgA IAM Shares Instl	SIAMX	0.25	0.00	0.25
SSgA Intermediate	SSINX	0.25	0.00	0.25
SSgA International Stock Selection	SSAIX	0.25	0.00	0.25
SSgA International Stock Selection R	SSARX	0.65	0.00	0.65
SSgA Life Solutions Balanced Instl	SSLBX	0.13	0.00	0.13
SSgA Life Solutions Balanced R	SLBRX	0.65	0.00	0.65

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
SSgA Life Solutions Growth	SSLGX	0.13	0.00	0.13
SSgA Life Solutions Income and Gr Instl	SSLIX	0.13	0.00	0.13
SSgA S&P 500 Index Instl	SVSPX	0.05	0.00	0.05
SSgA Small Cap Instl	SVSCX	0.25	0.00	0.25
SSgA Small Cap R	SSCRX	0.65	0.00	0.65
SSgA Tuckerman Active REIT	SSREX	0.25	0.00	0.25
SunAmerica 2015 High Watermark A	HWFAX	0.35	0.10	0.45
SunAmerica 2020 High Watermark A	HWKAX	0.35	0.10	0.45
SunAmerica Balanced Assets A	SBAAX	0.35	0.10	0.45
SunAmerica Balanced Assets I	NAAIX	0.00	0.25	0.25
SunAmerica Focused Balanced Strategy A	FBAAX	0.35	0.10	0.45
SunAmerica Focused Dividend Strategy A	FDSAX	0.35	0.10	0.45
SunAmerica Focused Equity Strategy A	FESAX	0.35	0.10	0.45
SunAmerica Focused Fxd Inc Eq Strat A	FFEAX	0.35	0.10	0.45
SunAmerica Focused Growth & Inc A	FOGAX	0.35	0.10	0.45
SunAmerica Focused Growth A	SSAAX	0.35	0.10	0.45
SunAmerica Focused Large Cap Gr A	SSFAX	0.35	0.10	0.45
SunAmerica Focused Large Cap Gr Z	SSFZX	0.00	0.00	0.00
SunAmerica Focused Multi-Asset Strat A	FASAX	0.35	0.10	0.45
SunAmerica Focused Small-Cap Growth A	NSKAX	0.35	0.10	0.45
SunAmerica Focused Small-Cap Growth I	NSKIX	0.00	0.25	0.25
SunAmerica Focused Small-Cap Value A	SSSAX	0.35	0.10	0.45
SunAmerica Focused Technology A	STNAX	0.35	0.10	0.45
SunAmerica Focused Value A	SFVAX	0.35	0.10	0.45
SunAmerica GNMA A	GNMAX	0.35	0.10	0.45
SunAmerica Growth & Income A	SEIAX	0.35	0.10	0.45
SunAmerica Growth & Income I	NARIX	0.00	0.25	0.25
SunAmerica High Yield Bond A	SHNAX	0.35	0.10	0.45
SunAmerica International Equity A	SIEAX	0.35	0.10	0.45
SunAmerica International Equity I	NAOIX	0.00	0.25	0.25
SunAmerica Strategic Bond A	SDIAX	0.35	0.10	0.45
SunAmerica US Govt Securities A	SGTAX	0.35	0.10	0.45
SunAmerica Value A	SSVAX	0.35	0.10	0.45
T. Rowe Price Balanced	RPBAX	0.00	0.15	0.15
T. Rowe Price Blue Chip Gr R	RRBGX	0.50	0.15	0.65
T. Rowe Price Blue Chip Growth	TRBCX	0.00	0.15	0.15
T. Rowe Price Blue Chip Growth Adv	PABGX	0.25	0.15	0.40
T. Rowe Price Capital Appreciation	PRWCX	0.00	0.15	0.15
T. Rowe Price Capital Opportunity	PRCOX	0.00	0.15	0.15
T. Rowe Price Corporate Income	PRPIX	0.00	0.15	0.15
T. Rowe Price Diversified Mid Cap Growth	PRDMX	0.00	0.15	0.15
T. Rowe Price Diversified Sm Cap Growth	PRDSX	0.00	0.15	0.15
T. Rowe Price Dividend Growth	PRDGX	0.00	0.15	0.15
T. Rowe Price Em Eur & Mediterranean	TREMX	0.00	0.15	0.15
T. Rowe Price Emerging Markets Bond	PREMX	0.00	0.15	0.15
T. Rowe Price Emerging Markets Stock	PRMSX	0.00	0.15	0.15

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
T. Rowe Price Equity Income	PRFDX	0.00	0.15	0.15
T. Rowe Price Equity Income Adv	PAFDX	0.25	0.15	0.40
T. Rowe Price Equity Income R	RRFDX	0.50	0.15	0.65
T. Rowe Price Equity Index 500	PREIX	0.00	0.15	0.15
T. Rowe Price European Stock	PRESX	0.00	0.15	0.15
T. Rowe Price Extended Equity Market Idx	PEMX	0.00	0.15	0.15
T. Rowe Price Financial Services	PRISX	0.00	0.15	0.15
T. Rowe Price Global Stock	PRGSX	0.00	0.15	0.15
T. Rowe Price Global Technology	PRGTX	0.00	0.15	0.15
T. Rowe Price GNMA	PRGMX	0.00	0.15	0.15
T. Rowe Price Growth & Income	PRGIX	0.00	0.15	0.15
T. Rowe Price Growth Stock	PRGFX	0.00	0.15	0.15
T. Rowe Price Growth Stock Adv	TRSAX	0.25	0.15	0.40
T. Rowe Price Growth Stock R	RRGSX	0.50	0.15	0.65
T. Rowe Price Health Sciences	PRHSX	0.00	0.15	0.15
T. Rowe Price High-Yield	PRHYX	0.00	0.15	0.15
T. Rowe Price High-Yield Adv	PAHIX	0.25	0.15	0.40
T. Rowe Price Inflation Protected Bd	PRIPX	0.00	0.15	0.15
T. Rowe Price Instl Emerging Mkts Bond	TREBX	0.00	0.00	0.00
T. Rowe Price Instl Emerging Mkts Eq	IEMFX	0.00	0.15	0.15
T. Rowe Price Instl Foreign Equity	PRFEX	0.00	0.00	0.00
T. Rowe Price Instl Global Equity	TRGSX	0.00	0.15	0.15
T. Rowe Price Instl High Yield	TRHYX	0.00	0.15	0.15
T. Rowe Price Instl Large Cap Core	TPLGX	0.00	0.00	0.00
T. Rowe Price Instl Large Cap Growth	TRLGX	0.00	0.15	0.15
T. Rowe Price Instl Large Cap Value	TILCX	0.00	0.15	0.15
T. Rowe Price Instl Mid-Cap Equity Gr	PMEGX	0.00	0.15	0.15
T. Rowe Price Instl Small-Cap Stock	TRSSX	0.00	0.15	0.15
T. Rowe Price International Bond	RPIBX	0.00	0.15	0.15
T. Rowe Price International Bond Adv	PAIBX	0.25	0.15	0.40
T. Rowe Price International Discovery	PRIDX	0.00	0.15	0.15
T. Rowe Price International Eq Index	PIEQX	0.00	0.15	0.15
T. Rowe Price International Stock	PRITX	0.00	0.15	0.15
T. Rowe Price International Stock Adv	PAITX	0.25	0.15	0.40
T. Rowe Price International Stock R	RRITX	0.50	0.15	0.65
T. Rowe Price Intl Gr & Inc	TRIGX	0.00	0.15	0.15
T. Rowe Price Intl Gr & Inc Adv	PAIGX	0.25	0.15	0.40
T. Rowe Price Intl Gr & Inc R	RRIGX	0.50	0.15	0.65
T. Rowe Price Japan	PRJPX	0.00	0.15	0.15
T. Rowe Price Latin America	PRLAX	0.00	0.15	0.15
T. Rowe Price Media & Telecommunications	PRMTX	0.00	0.15	0.15
T. Rowe Price Mid-Cap Growth	RPMGX	0.00	0.15	0.15
T. Rowe Price Mid-Cap Growth Adv	PAMCX	0.25	0.15	0.40
T. Rowe Price Mid-Cap Growth R	RRMGX	0.50	0.15	0.65
T. Rowe Price Mid-Cap Value	TRMCX	0.00	0.15	0.15
T. Rowe Price Mid-Cap Value Adv	TAMVX	0.25	0.15	0.40

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
T. Rowe Price Mid-Cap Value R	RRMVX	0.50	0.15	0.65
T. Rowe Price New America Growth	PRWAX	0.00	0.15	0.15
T. Rowe Price New America Growth Adv	PAWAX	0.25	0.15	0.40
T. Rowe Price New Asia	PRASX	0.00	0.15	0.15
T. Rowe Price New Era	PRNEX	0.00	0.15	0.15
T. Rowe Price New Horizons	PRNHX	0.00	0.15	0.15
T. Rowe Price New Income	PRCIX	0.00	0.15	0.15
T. Rowe Price New Income Adv	PANIX	0.25	0.15	0.40
T. Rowe Price New Income R	RRNIX	0.50	0.15	0.65
T. Rowe Price Overseas Stock	TROX	0.00	0.15	0.15
T. Rowe Price Personal Strat Balanced	TRPBX	0.00	0.15	0.15
T. Rowe Price Personal Strat Growth	TRSGX	0.00	0.15	0.15
T. Rowe Price Personal Strat Income	PRSIX	0.00	0.15	0.15
T. Rowe Price Real Estate	TRREX	0.00	0.15	0.15
T. Rowe Price Real Estate Advisor	PAREX	0.25	0.15	0.40
T. Rowe Price Retirement 2005	TRRFEX	0.00	0.15	0.15
T. Rowe Price Retirement 2005 Advisor	PARGX	0.25	0.15	0.40
T. Rowe Price Retirement 2010	TRRAX	0.00	0.15	0.15
T. Rowe Price Retirement 2010 Adv	PARAX	0.25	0.15	0.40
T. Rowe Price Retirement 2010 R	RRTAX	0.50	0.15	0.65
T. Rowe Price Retirement 2015	TRRGX	0.00	0.15	0.15
T. Rowe Price Retirement 2015 Adv	PARHX	0.25	0.15	0.40
T. Rowe Price Retirement 2020	TRRBX	0.00	0.15	0.15
T. Rowe Price Retirement 2020 Adv	PARBX	0.25	0.15	0.40
T. Rowe Price Retirement 2020 R	RRTBX	0.50	0.15	0.65
T. Rowe Price Retirement 2025	TRRHX	0.00	0.15	0.15
T. Rowe Price Retirement 2025 Adv	PARJX	0.25	0.15	0.40
T. Rowe Price Retirement 2030	TRRCX	0.00	0.15	0.15
T. Rowe Price Retirement 2030 Adv	PARCX	0.25	0.15	0.40
T. Rowe Price Retirement 2030 R	RRTCX	0.50	0.15	0.65
T. Rowe Price Retirement 2035	TRRJX	0.00	0.15	0.15
T. Rowe Price Retirement 2035 Adv	PARKX	0.25	0.15	0.40
T. Rowe Price Retirement 2040	TRRDY	0.00	0.15	0.15
T. Rowe Price Retirement 2040 Adv	PARDX	0.25	0.15	0.40
T. Rowe Price Retirement 2040 R	RRTDY	0.50	0.15	0.65
T. Rowe Price Retirement 2045	TRRKX	0.00	0.15	0.15
T. Rowe Price Retirement 2045 Adv	PARLY	0.25	0.15	0.40
T. Rowe Price Retirement 2050	TRRMX	0.00	0.15	0.15
T. Rowe Price Retirement 2050 Adv	PARFX	0.25	0.15	0.40
T. Rowe Price Retirement 2050 R	RRTFX	0.50	0.15	0.65
T. Rowe Price Retirement 2055	TRRNX	0.00	0.15	0.15
T. Rowe Price Retirement 2055 Adv	PAROX	0.25	0.15	0.40
T. Rowe Price Retirement Income	TRRIX	0.00	0.15	0.15
T. Rowe Price Retirement Income Adv	PARIX	0.25	0.15	0.40
T. Rowe Price Retirement Income R	RRTIX	0.50	0.15	0.65
T. Rowe Price Science & Tech	PRSCX	0.00	0.15	0.15

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
T. Rowe Price Science & Tech Adv	PASTX	0.25	0.15	0.40
T. Rowe Price Short-Term Bond	PRWBX	0.00	0.15	0.15
T. Rowe Price Small-Cap Stock	OTCFX	0.00	0.15	0.15
T. Rowe Price Small-Cap Stock Adv	PASSX	0.25	0.15	0.40
T. Rowe Price Small-Cap Value	PRSVX	0.00	0.15	0.15
T. Rowe Price Small-Cap Value Adv	PASVX	0.25	0.15	0.40
T. Rowe Price Spectrum Growth	PRSGX	0.00	0.15	0.15
T. Rowe Price Spectrum Income	RPSIX	0.00	0.15	0.15
T. Rowe Price Spectrum International	PSILX	0.00	0.15	0.15
T. Rowe Price Summit GNMA	PRSUX	0.00	0.15	0.15
T. Rowe Price Tax-Efficient Equity Fund	PREFX	0.00	0.15	0.15
T. Rowe Price Total Equity Market Idx	POMIX	0.00	0.15	0.15
T. Rowe Price US Bond Index	PBDIX	0.00	0.15	0.15
T. Rowe Price US Treasury Interm	PRTIX	0.00	0.15	0.15
T. Rowe Price US Treasury Long-Term	PRULX	0.00	0.15	0.15
T. Rowe Price Value	TRVLX	0.00	0.15	0.15
T. Rowe Price Value Adv	PAVLX	0.25	0.15	0.40
TCW Aggressive Allocation I	TGPBX	0.00	0.10	0.10
TCW Aggressive Allocation N	TGPLX	0.00	0.10	0.10
TCW Balanced I	TGBIX	0.00	0.10	0.10
TCW Balanced N	TGBNX	0.25	0.10	0.35
TCW Conservative Allocation I	TGPCX	0.00	0.10	0.10
TCW Conservative Allocation N	TGPNX	0.00	0.10	0.10
TCW Core Fixed-Income I	TGCFX	0.00	0.10	0.10
TCW Core Fixed-Income N	TGFNX	0.25	0.10	0.35
TCW Dividend Focused I	TGDFX	0.00	0.10	0.10
TCW Dividend Focused N	TGIGX	0.25	0.10	0.35
TCW Emerging Markets Income I	TGEIX	0.00	0.10	0.10
TCW Emerging Markets Income N	TGINX	0.25	0.10	0.35
TCW Focused Equities I	TGFFX	0.00	0.10	0.10
TCW Focused Equities N	TGFVX	0.25	0.10	0.35
TCW Growth Equities I	TGGEX	0.00	0.10	0.10
TCW Growth Equities N	TGDNX	0.25	0.10	0.35
TCW Growth I	TGGIX	0.00	0.10	0.10
TCW Growth N	TGGYX	0.25	0.10	0.35
TCW High-Yield Bond I	TGHYX	0.00	0.10	0.10
TCW High-Yield Bond N	TGHNX	0.25	0.10	0.35
TCW Large Cap Growth I	TGLCX	0.00	0.10	0.10
TCW Large Cap Growth N	TGLFX	0.25	0.10	0.35
TCW Moderate Allocation I	TGPMX	0.00	0.10	0.10
TCW Moderate Allocation N	TGPOX	0.00	0.10	0.10
TCW Relative Value Large Cap I	TGDIX	0.00	0.10	0.10
TCW Relative Value Large Cap N	TGDVX	0.25	0.10	0.35
TCW Relative Value Small Cap I	TGOIX	0.00	0.10	0.10
TCW Relative Value Small Cap N	TGONX	0.25	0.10	0.35
TCW Select Equities I	TGCEX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
TCW Select Equities N	TGCNX	0.25	0.10	0.35
TCW Short Term Bond I	TGSMX	0.00	0.10	0.10
TCW Small Cap Growth I	TGSCX	0.00	0.10	0.10
TCW Small Cap Growth N	TGSNX	0.25	0.10	0.35
TCW Total Return Bond I	TGLMX	0.00	0.10	0.10
TCW Total Return Bond N	TGMNX	0.25	0.10	0.35
TCW Value Opportunities I	TGVOX	0.00	0.10	0.10
TCW Value Opportunities N	TGVNX	0.25	0.10	0.35
Templeton BRIC A	TABRX	0.25	0.15	0.40
Templeton China World A	TCWAX	0.25	0.15	0.40
Templeton Developing Markets A	TEDMX	0.25	0.15	0.40
Templeton Developing Markets Adv	TDADX	0.00	0.15	0.15
Templeton Developing Markets R	TDMRX	0.50	0.15	0.65
Templeton Emerging Markets Small Cap A	TEMMX	0.25	0.15	0.40
Templeton Foreign A	TEMTX	0.25	0.15	0.40
Templeton Foreign Adv	TFFAX	0.00	0.15	0.15
Templeton Foreign R	TEFRX	0.50	0.15	0.65
Templeton Foreign Sm Companies A	FINEX	0.25	0.15	0.40
Templeton Foreign Sm Companies Adv	FTFAX	0.00	0.15	0.15
Templeton Global Bond A	TPINX	0.25	0.15	0.40
Templeton Global Bond Adv	TGBAX	0.00	0.15	0.15
Templeton Global Long-Short A	TLSAX	0.25	0.15	0.40
Templeton Global Opportunities A	TEGOX	0.25	0.15	0.40
Templeton Global Smaller Comp A	TEMGX	0.25	0.15	0.40
Templeton Global Smaller Comp Ad	TGSAX	0.00	0.15	0.15
Templeton Growth A	TEPLX	0.25	0.15	0.40
Templeton Growth Adv	TGADX	0.00	0.15	0.15
Templeton Growth R	TEGRX	0.50	0.15	0.65
Templeton Income A	TINCX	0.25	0.15	0.40
Templeton International Bond A	TBOAX	0.25	0.15	0.40
Templeton World A	TEMWX	0.25	0.15	0.40
Templeton World Adv	TWDAX	0.00	0.15	0.15
The Delafield Fund	DEFIX	0.25	0.10	0.35
Threadneedle Emerging Markets A	IDEAX	0.25	0.10	0.35
Threadneedle Emerging Markets R5	REMTX	0.00	0.10	0.10
Threadneedle European Equity A	AXEAX	0.25	0.10	0.35
Threadneedle Global Equity A	IGLGX	0.25	0.10	0.35
Threadneedle Global Equity Income A	RTNAX	0.25	0.10	0.35
Threadneedle Global Equity Income R2	RGEOX	0.50	0.35	0.85
Threadneedle Global Equity Income R3	RGETX	0.25	0.35	0.60
Threadneedle Global Equity Income R4	RGEYX	0.00	0.35	0.35
Threadneedle Global Equity Income R5	RGEFX	0.00	0.10	0.10
Threadneedle Global Equity R5	RGERX	0.00	0.10	0.10
Threadneedle Global Extended Alpha A	RTAAX	0.25	0.10	0.35
Threadneedle Global Extended Alpha R2	REAOX	0.50	0.35	0.85
Threadneedle Global Extended Alpha R3	RTNRX	0.25	0.35	0.60

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Threadneedle Global Extended Alpha R4	REYRX	0.00	0.35	0.35
Threadneedle Global Extended Alpha R5	REAFX	0.00	0.10	0.10
Threadneedle Intl Opportunity A	INIFX	0.25	0.10	0.35
Threadneedle Intl Opportunity R4	IDIYX	0.00	0.35	0.35
Thrivent Large Cap Growth A	AAAGX	0.25	0.00	0.25
TimesSquare Mid Cap Growth Instl	TMDIX	0.00	0.00	0.00
TimesSquare Mid Cap Growth Prem	TMDPX	0.00	0.35	0.35
Touchstone Core Bond A	TOBAX	0.25	0.15	0.40
Touchstone Diversified Small Cap Gr A	TDSAX	0.25	0.15	0.40
Touchstone Diversified Small Cap Gr Y	TDSIX	0.00	0.15	0.15
Touchstone Growth Opportunities A	TGVFX	0.25	0.15	0.40
Touchstone Healthcare & Biotechnology A	THBCX	0.25	0.15	0.40
Touchstone High Yield Bond A	THYAX	0.25	0.15	0.40
Touchstone High Yield Bond Y	THYYX	0.00	0.15	0.15
Touchstone Intermediate Fixed Inc Instl	TCFIX	0.00	0.10	0.10
Touchstone Large Cap Core Equity A	TENAX	0.25	0.15	0.40
Touchstone Large Cap Growth A	TEQAX	0.25	0.15	0.40
Touchstone Large Cap Growth Y	TIQIX	0.00	0.15	0.15
Touchstone Large Cap Value A	TLCAX	0.25	0.15	0.40
Touchstone Mazama Institutional Growth	TMIGX	0.00	0.00	0.00
Touchstone Mid Cap A	TMAPX	0.25	0.10	0.35
Touchstone Mid Cap Growth A	TEGAX	0.25	0.15	0.40
Touchstone Mid Cap Instl	TMCPX	0.00	0.10	0.10
Touchstone Mid Cap Z	TMCTX	0.00	0.10	0.10
Touchstone Ohio Tax-Free Bond A	TOHAX	0.25	0.15	0.40
Touchstone Premium Yield Equity A	TPYAX	0.25	0.15	0.40
Touchstone Sands Capital Select Growth Y	CFSIX	0.00	0.15	0.15
Touchstone Sands Capital Select Growth Z	PTSGX	0.25	0.15	0.40
Touchstone Short Duration Fixed Income Z	TSDGX	0.25	0.10	0.35
Touchstone Small Cap Val Opportunities Z	TSVOX	0.25	0.15	0.40
Touchstone Ultra Short Dur Fixed Inc Z	TSDOX	0.25	0.10	0.35
Transamerica AEGON High Yield Bond P	THYPX	0.25	0.10	0.35
Transamerica Balanced P	TABPX	0.25	0.10	0.35
Transamerica Diversified Equity P	TADPX	0.25	0.10	0.35
Transamerica Equity P	TAEPX	0.25	0.10	0.35
Transamerica Focus P	TAFPX	0.25	0.10	0.35
Transamerica Growth Opportunities P	TGOPX	0.25	0.10	0.35
Turner Concentrated Gr Investor	TTOPX	0.00	0.40	0.40
Turner Core Growth Institutional	TTMEX	0.00	0.05	0.05
Turner Core Growth Investor	TCGFX	0.00	0.40	0.40
Turner Emerging Growth Investor	TMCGX	0.00	0.35	0.35
Turner International Core Growth Instl	TICGX	0.00	0.05	0.05
Turner Large Cap Growth Institutional	TSGEX	0.00	0.05	0.05
Turner Large Cap Growth Inv	TSGFX	0.00	0.35	0.35
Turner Midcap Equity Instl	TMCEX	0.00	0.05	0.05
Turner Midcap Equity Investor	TMCFX	0.00	0.35	0.35

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Turner Midcap Growth Investor	TMGFX	0.00	0.35	0.35
Turner Midcap Growth Retirement	TMIIX	0.25	0.35	0.60
Turner New Enterprise	TBTBX	0.00	0.40	0.40
Turner Quantitative Large Cap Val I	TLVFX	0.00	0.05	0.05
Turner Small Cap Equity Investor	TSEIX	0.00	0.35	0.35
Turner Small Cap Growth	TSCEX	0.00	0.35	0.35
Tweedy, Browne Global Value	TBGVX	0.00	0.35	0.35
Tweedy, Browne Value	TWEBX	0.00	0.35	0.35
Undiscovered Mgrs Behavioral Growth Inst	UBRLX	0.00	0.00	0.00
Undiscovered Mgrs Behavioral Value A	UBVAX	0.25	0.20	0.45
Undiscovered Mgrs Behavioral Value Inst	UBVLX	0.00	0.00	0.00
USAA Emerging Markets	USEMX	0.00	0.00	0.00
USAA GNMA	USGNX	0.00	0.00	0.00
USAA Growth	USAAX	0.00	0.00	0.00
USAA Income	USAIX	0.00	0.00	0.00
USAA Income Stock	USISX	0.00	0.00	0.00
VALIC Company I Asset Allocation	VCAAX	-	-	0.25
VALIC Company I Blue Chip Growth	VCBCX	-	-	0.35
VALIC Company I Broad Cap Value Income	VBCVX	-	-	0.02
VALIC Company I Capital Conservation	VCCCX	-	-	0.25
VALIC Company I Core Equity	VCCEX	-	-	0.45
VALIC Company I Core Value	VCIGX	-	-	0.32
VALIC Company I Foreign Value	VCFVX	-	-	0.28
VALIC Company I Global Equity	VCGEX	-	-	0.32
VALIC Company I Global Real Estate	VGREX	-	-	0.25
VALIC Company I Global Social Awareness	VCSEX	-	-	0.25
VALIC Company I Global Strategy	VGLSX	-	-	0.10
VALIC Company I Government Securities	VCGSX	-	-	0.25
VALIC Company I Growth	VCULX	-	-	0.29
VALIC Company I Growth & Income	VCGAX	-	-	0.50
VALIC Company I Health Sciences	VCHSX	-	-	0.40
VALIC Company I Inflation Protected	VCTPX	-	-	0.25
VALIC Company I International Equities	VCIEX	-	-	0.23
VALIC Company I International Growth I	VCINX	-	-	0.34
VALIC Company I Intl Govt Bond	VCIFX	-	-	0.25
VALIC Company I Large Cap Core	VLCCX	-	-	0.30
VALIC Company I Large Capital Growth	VLCGX	-	-	0.22
VALIC Company I Mid Cap Index	VMIDX	-	-	0.26
VALIC Company I Mid Cap Strategic Gr	VMSGX	-	-	0.25
VALIC Company I NASDAQ-100 Index	VCNIX	-	-	0.25
VALIC Company I Science & Technology	VCSTX	-	-	0.31
VALIC Company I Small Cap	VCSMX	-	-	0.33
VALIC Company I Small Cap Agrsv Gr	VSAGX	-	-	0.30
VALIC Company I Small Cap Index	VCSLX	-	-	0.30
VALIC Company I Small Cap Special Val	VSSVX	-	-	0.13
VALIC Company I Small-Mid Growth	VSSGX	-	-	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
VALIC Company I Stock Index	VSTIX	-	-	0.25
VALIC Company I Value	VAVAX	-	-	0.33
VALIC Company II Agrsv Growth Lifestyle	VAGLX	-	-	0.27
VALIC Company II Capital Appreciation	VCCAX	-	-	0.25
VALIC Company II Cnsrv Gr Lifestyle	VCGLX	-	-	0.25
VALIC Company II Core Bond	VCCBX	-	-	0.25
VALIC Company II High Yield Bond	VCHYX	-	-	0.25
VALIC Company II Intl Small Cap Eq	VISEX	-	-	0.32
VALIC Company II Large Cap Value	VACVX	-	-	0.25
VALIC Company II Mid Cap Growth	VAMGX	-	-	0.25
VALIC Company II Mid Cap Value	VMCVX	-	-	0.11
VALIC Company II Moderate Gr Lifestyle	VMGLX	-	-	0.26
VALIC Company II Small Cap Growth	VASMXX	-	-	0.30
VALIC Company II Small Cap Value	VCSVX	-	-	0.25
VALIC Company II Socially Responsible	VCSRXX	-	-	0.13
VALIC Company II Strategic Bond	VCSBX	-	-	0.25
Value Line	VLIFX	0.25	0.00	0.25
Value Line Aggressive Income	VAGIX	0.25	0.00	0.25
Value Line Asset Allocation	VLAAX	0.25	0.00	0.25
Value Line Convertible	VALCX	0.25	0.00	0.25
Value Line Emerging Opportunities	VLEOX	0.25	0.00	0.25
Value Line Income & Growth	VALIX	0.25	0.00	0.25
Value Line Larger Companies	VALLX	0.25	0.00	0.25
Value Line NY Tax-Exempt	VLNYX	0.25	0.00	0.25
Value Line Premier Growth	VALSX	0.25	0.00	0.25
Value Line Tax-Exempt	VLHYX	0.25	0.00	0.25
Value Line US Government Securities	VALBX	0.25	0.00	0.25
Van Kampen American Franchise A	VAFAX	0.25	0.15	0.40
Van Kampen American Franchise I	VAFIX	0.00	0.15	0.15
Van Kampen American Value A	MSAVX	0.25	0.15	0.40
Van Kampen American Value I	MSAIX	0.00	0.15	0.15
Van Kampen American Value R	MSARX	0.50	0.15	0.65
Van Kampen Asset Allocation Cnsrv A	VACAX	0.25	0.15	0.40
Van Kampen Asset Allocation Cnsrv I	VACIX	0.00	0.15	0.15
Van Kampen Asset Allocation Growth A	VKAAX	0.25	0.15	0.40
Van Kampen Asset Allocation Growth I	VKAIX	0.00	0.15	0.15
Van Kampen Asset Allocation Moderate A	VAMAX	0.25	0.15	0.40
Van Kampen Asset Allocation Moderate I	VAMIX	0.00	0.15	0.15
Van Kampen CA Insured Tax-Free A	VKCIX	0.25	0.15	0.40
Van Kampen CA Insured Tax-Free I	VCIIX	0.00	0.15	0.15
Van Kampen Capital Growth A	ACPAX	0.25	0.15	0.40
Van Kampen Capital Growth I	ACPDXX	0.00	0.15	0.15
Van Kampen Capital Growth R	ACPRX	0.50	0.15	0.65
Van Kampen Comstock A	ACSTX	0.25	0.15	0.40
Van Kampen Comstock I	ACSDX	0.00	0.15	0.15
Van Kampen Comstock R	ACSRX	0.50	0.15	0.65

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Van Kampen Core Equity A	VCEAX	0.25	0.15	0.40
Van Kampen Core Equity I	VCEIX	0.00	0.15	0.15
Van Kampen Core Equity R	VCERX	0.50	0.15	0.65
Van Kampen Core Plus Fixed Income A	VCPAX	0.25	0.15	0.40
Van Kampen Core Plus Fixed Income I	VCPIX	0.00	0.15	0.15
Van Kampen Corporate Bond A	ACCBX	0.25	0.15	0.40
Van Kampen Corporate Bond I	ACCHX	0.00	0.15	0.15
Van Kampen Emerging Markets A	MSRAX	0.25	0.15	0.40
Van Kampen Emerging Markets I	MSRIX	0.00	0.15	0.15
Van Kampen Enterprise A	ACENX	0.25	0.15	0.40
Van Kampen Enterprise I	ACEUX	0.00	0.15	0.15
Van Kampen Equity and Income A	ACEIX	0.25	0.15	0.40
Van Kampen Equity and Income I	ACETX	0.00	0.15	0.15
Van Kampen Equity and Income R	ACESX	0.50	0.15	0.65
Van Kampen Equity Growth A	VEGAX	0.25	0.15	0.40
Van Kampen Equity Growth I	VEGIX	0.00	0.15	0.15
Van Kampen Equity Premium Income A	VEPAX	0.25	0.15	0.40
Van Kampen Equity Premium Income I	VEPIX	0.00	0.15	0.15
Van Kampen Exchange	ACEHX	0.00	0.00	0.00
Van Kampen Global Equity Allocation A	MSGAX	0.25	0.15	0.40
Van Kampen Global Franchise A	VGFAV	0.25	0.15	0.40
Van Kampen Global Franchise I	VGFIX	0.00	0.15	0.15
Van Kampen Government Securities A	ACGVX	0.25	0.15	0.40
Van Kampen Government Securities I	ACGUX	0.00	0.15	0.15
Van Kampen Growth and Income A	ACGIX	0.25	0.15	0.40
Van Kampen Growth and Income I	ACGMX	0.00	0.15	0.15
Van Kampen Growth and Income R	ACGLX	0.50	0.15	0.65
Van Kampen Harbor A	ACHBX	0.25	0.15	0.40
Van Kampen Harbor I	ACHIX	0.00	0.15	0.15
Van Kampen High Yield A	ACHYX	0.25	0.15	0.40
Van Kampen High Yield I	ACHVX	0.00	0.15	0.15
Van Kampen Insured Tax-Free Inc A	VKMTX	0.25	0.15	0.40
Van Kampen Insured Tax-Free Inc I	VMTIX	0.00	0.15	0.15
Van Kampen International Advantage A	VKIAV	0.25	0.15	0.40
Van Kampen International Advantage I	VKIIX	0.00	0.15	0.15
Van Kampen International Growth A	VIFAX	0.25	0.15	0.40
Van Kampen International Growth I	VIFIX	0.00	0.15	0.15
Van Kampen International Growth R	VIFRX	0.50	0.15	0.65
Van Kampen Leaders A	VLFAX	0.25	0.15	0.40
Van Kampen Leaders I	VLFIX	0.00	0.15	0.15
Van Kampen Limited Duration A	ACFMX	0.25	0.15	0.40
Van Kampen Limited Duration I	ACFYX	0.00	0.15	0.15
Van Kampen Mid Cap Growth A	VGRAX	0.25	0.15	0.40
Van Kampen Mid Cap Growth I	VGRDX	0.00	0.15	0.15
Van Kampen Real Estate Secs A	ACREX	0.25	0.15	0.40
Van Kampen Senior Loan A	VSLAX	0.25	0.15	0.40

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Van Kampen Small Cap Growth A	VASCX	0.25	0.15	0.40
Van Kampen Small Cap Growth I	VISCX	0.00	0.15	0.15
Van Kampen Small Cap Value A	VSCAX	0.25	0.15	0.40
Van Kampen Small Cap Value I	VSMIX	0.00	0.15	0.15
Van Kampen Technology A	VTFAX	0.25	0.15	0.40
Van Kampen US Mortgage A	VKMGX	0.25	0.15	0.40
Van Kampen US Mortgage I	VUSIX	0.00	0.15	0.15
Van Kampen Utility A	VKUAX	0.25	0.15	0.40
Van Kampen Value Opportunities A	VVOAX	0.25	0.15	0.40
Van Kampen Value Opportunities I	VVOIX	0.00	0.15	0.15
Vanguard 500 Index Admiral	VFIAX	0.00	0.00	0.00
Vanguard 500 Index Investor	VFINX	0.00	0.00	0.00
Vanguard 500 Index Signal	VIFSX	0.00	0.00	0.00
Vanguard Asset Allocation	VAAPX	0.00	0.00	0.00
Vanguard Asset Allocation Adm	VAARX	0.00	0.00	0.00
Vanguard Balanced Index	VBINX	0.00	0.00	0.00
Vanguard Balanced Index Adm	VBIAX	0.00	0.00	0.00
Vanguard Balanced Index Instl	VBAIX	0.00	0.00	0.00
Vanguard Balanced Index Signal	VBASX	0.00	0.00	0.00
Vanguard Capital Opportunity	VHCOX	0.00	0.00	0.00
Vanguard Capital Opportunity Adm	VHCAX	0.00	0.00	0.00
Vanguard Capital Value	VCVLX	0.00	0.00	0.00
Vanguard Consumer Discretionary Idx Adm	VCDAX	0.00	0.00	0.00
Vanguard Consumer Staples Index Adm	VCSAX	0.00	0.00	0.00
Vanguard Convertible Securities	VCVSX	0.00	0.00	0.00
Vanguard Developed Markets Index	VDMIX	0.00	0.00	0.00
Vanguard Dividend Growth	VDIGX	0.00	0.00	0.00
Vanguard Emerging Mkts Stock Idx Signal	VERSX	0.00	0.00	0.00
Vanguard Energy	VGENX	0.00	0.00	0.00
Vanguard Energy Adm	VGELX	0.00	0.00	0.00
Vanguard Equity-Income	VEIPX	0.00	0.00	0.00
Vanguard Equity-Income Adm	VEIRX	0.00	0.00	0.00
Vanguard European Stock Index	VEURX	0.00	0.00	0.00
Vanguard European Stock Index Adm	VEUSX	0.00	0.00	0.00
Vanguard European Stock Index Instl	VESIX	0.00	0.00	0.00
Vanguard European Stock Index Signal	VESSX	0.00	0.00	0.00
Vanguard Explorer	VEXPX	0.00	0.00	0.00
Vanguard Explorer Adm	VEXRX	0.00	0.00	0.00
Vanguard Extended Market Idx	VEXMX	0.00	0.00	0.00
Vanguard Extended Market Idx Adm	VEXAX	0.00	0.00	0.00
Vanguard Extended Market Idx Instl	VIEIX	0.00	0.00	0.00
Vanguard Extended Market Idx Signal	VEMSX	0.00	0.00	0.00
Vanguard Financials Index Adm	VFAIX	0.00	0.00	0.00
Vanguard FTSE Social Index Instl	VFTNX	0.00	0.00	0.00
Vanguard FTSE Social Index Inv	VFTSX	0.00	0.00	0.00
Vanguard Global Equity	VHGEX	0.00	0.00	0.00

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	Ticker			
Vanguard GNMA	VFIIX	0.00	0.00	0.00
Vanguard GNMA Adm	VFIJX	0.00	0.00	0.00
Vanguard Growth & Income	VQNPX	0.00	0.00	0.00
Vanguard Growth & Income Adm	VGIAX	0.00	0.00	0.00
Vanguard Growth Equity	VGEQX	0.00	0.00	0.00
Vanguard Growth Index	VIGRX	0.00	0.00	0.00
Vanguard Growth Index Adm	VIGAX	0.00	0.00	0.00
Vanguard Growth Index Instl	VIGIX	0.00	0.00	0.00
Vanguard Growth Index Signal	VIGSX	0.00	0.00	0.00
Vanguard Health Care	VGHCX	0.00	0.00	0.00
Vanguard Health Care Adm	VGHAX	0.00	0.00	0.00
Vanguard Health Care Index Adm	VHCIX	0.00	0.00	0.00
Vanguard High-Yield Corporate	VWEHX	0.00	0.00	0.00
Vanguard High-Yield Corporate Adm	VWEAX	0.00	0.00	0.00
Vanguard Inflation-Protected Secs	VIPSX	0.00	0.00	0.00
Vanguard Inflation-Protected Secs Adm	VAIPX	0.00	0.00	0.00
Vanguard Inflation-Protected Secs Instl	VIPIX	0.00	0.00	0.00
Vanguard Information Technology Idx Adm	VITAX	0.00	0.00	0.00
Vanguard Inst Developed Markets Index	VIDMX	0.00	0.00	0.00
Vanguard Inst Total Bond Market Index	VITBX	0.00	0.00	0.00
Vanguard Inst Total Stock Mkt Idx Ins	VITNX	0.00	0.00	0.00
Vanguard Institutional Index	VINIX	0.00	0.00	0.00
Vanguard Institutional Index Instl PI	VIIIX	0.00	0.00	0.00
Vanguard Instl Ttl Stk Mkt Idx InstlPlus	VITPX	0.00	0.00	0.00
Vanguard Inter-Term Bond Index	VBIIIX	0.00	0.00	0.00
Vanguard Inter-Term Bond Index Adm	VBILX	0.00	0.00	0.00
Vanguard Inter-Term Bond Index Signal	VIBSX	0.00	0.00	0.00
Vanguard Inter-Term Investment-Grade	VFICX	0.00	0.00	0.00
Vanguard Inter-Term Investment-Grade Ad	VFIDX	0.00	0.00	0.00
Vanguard Inter-Term Treasury	VFITX	0.00	0.00	0.00
Vanguard Inter-Term Treasury Adm	VFIUX	0.00	0.00	0.00
Vanguard International Explorer	VINEX	0.00	0.00	0.00
Vanguard International Growth Adm	VWILX	0.00	0.00	0.00
Vanguard International Growth Inv	VWIGX	0.00	0.00	0.00
Vanguard International Value	VTRIX	0.00	0.00	0.00
Vanguard Large Cap Index	VLACX	0.00	0.00	0.00
Vanguard Large Cap Index Adm	VLCAx	0.00	0.00	0.00
Vanguard Large Cap Index Instl	VLISX	0.00	0.00	0.00
Vanguard Large Cap Index Signal	VLCSX	0.00	0.00	0.00
Vanguard LifeStrategy Conservative Gr	VSCGX	0.00	0.00	0.00
Vanguard LifeStrategy Growth	VASGX	0.00	0.00	0.00
Vanguard LifeStrategy Income	VASIX	0.00	0.00	0.00
Vanguard LifeStrategy Moderate Growth	VSMGX	0.00	0.00	0.00
Vanguard Long-Term Bond Index	VB�TX	0.00	0.00	0.00
Vanguard Long-Term Investment-Grade	VWESX	0.00	0.00	0.00
Vanguard Long-Term Investment-Grade Adm	VWETX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Vanguard Long-Term US Treasury	VUSTX	0.00	0.00	0.00
Vanguard Long-Term US Treasury Adm	VUSUX	0.00	0.00	0.00
Vanguard Mid Cap Growth	VMGRX	0.00	0.00	0.00
Vanguard Mid Capitalization Index	VIMSX	0.00	0.00	0.00
Vanguard Mid Capitalization Index Adm	VIMAX	0.00	0.00	0.00
Vanguard Mid Capitalization Index Ins	VMCIX	0.00	0.00	0.00
Vanguard Mid Capitalization Index Signal	VMISX	0.00	0.00	0.00
Vanguard Mid-Cap Growth Index Inv	VMGIX	0.00	0.00	0.00
Vanguard Mid-Cap Value Index Inv	VMVIX	0.00	0.00	0.00
Vanguard Morgan Growth	VMRGX	0.00	0.00	0.00
Vanguard Morgan Growth Adm	VMRAX	0.00	0.00	0.00
Vanguard Pacific Stock Index	VPACX	0.00	0.00	0.00
Vanguard Pacific Stock Index Adm	VPADX	0.00	0.00	0.00
Vanguard Pacific Stock Index Instl	VPKIX	0.00	0.00	0.00
Vanguard Pacific Stock Index Signal	VPASX	0.00	0.00	0.00
Vanguard Precious Metals and Mining	VGPMX	0.00	0.00	0.00
Vanguard PRIMECAP	VPMCX	0.00	0.00	0.00
Vanguard PRIMECAP Adm	VPMAX	0.00	0.00	0.00
Vanguard REIT Index	VGSIX	0.00	0.00	0.00
Vanguard REIT Index Adm	VGSLX	0.00	0.00	0.00
Vanguard REIT Index Inst	VGSNX	0.00	0.00	0.00
Vanguard REIT Index Signal	VGRSX	0.00	0.00	0.00
Vanguard Selected Value	VASVX	0.00	0.00	0.00
Vanguard Short-Term Bond Index	VBISX	0.00	0.00	0.00
Vanguard Short-Term Bond Index Adm	VBIRX	0.00	0.00	0.00
Vanguard Short-Term Bond Index Signal	VBSSX	0.00	0.00	0.00
Vanguard Short-Term Federal	VSGBX	0.00	0.00	0.00
Vanguard Short-Term Federal Adm	VSGDX	0.00	0.00	0.00
Vanguard Short-Term Investment-Grade	VFSTX	0.00	0.00	0.00
Vanguard Short-Term Investment-Grade Adm	VFSUX	0.00	0.00	0.00
Vanguard Short-Term Investment-Grade Ins	VFSIX	0.00	0.00	0.00
Vanguard Short-Term Treasury	VFISX	0.00	0.00	0.00
Vanguard Short-Term Treasury Adm	VFIRX	0.00	0.00	0.00
Vanguard Small Cap Growth Index	VISGX	0.00	0.00	0.00
Vanguard Small Cap Growth Index Instl	VSGIX	0.00	0.00	0.00
Vanguard Small Cap Index	NAESX	0.00	0.00	0.00
Vanguard Small Cap Index Adm	VSMAX	0.00	0.00	0.00
Vanguard Small Cap Index Instl	VSCIX	0.00	0.00	0.00
Vanguard Small Cap Index Signal	VSISX	0.00	0.00	0.00
Vanguard Small Cap Value Index	VISVX	0.00	0.00	0.00
Vanguard Small Cap Value Index Instl	VSIIX	0.00	0.00	0.00
Vanguard STAR	VGSTX	0.00	0.00	0.00
Vanguard Strategic Equity	VSEQX	0.00	0.00	0.00
Vanguard Target Retirement 2005	VTOVX	0.00	0.00	0.00
Vanguard Target Retirement 2010	VTENX	0.00	0.00	0.00
Vanguard Target Retirement 2015	VTXVX	0.00	0.00	0.00

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
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Vanguard Target Retirement 2020	VTWNX	0.00	0.00	0.00
Vanguard Target Retirement 2025	VTTVX	0.00	0.00	0.00
Vanguard Target Retirement 2030	VTHRX	0.00	0.00	0.00
Vanguard Target Retirement 2035	VTTHX	0.00	0.00	0.00
Vanguard Target Retirement 2040	VFORX	0.00	0.00	0.00
Vanguard Target Retirement 2045	VTIVX	0.00	0.00	0.00
Vanguard Target Retirement 2050	VFIFX	0.00	0.00	0.00
Vanguard Target Retirement Income	VTINX	0.00	0.00	0.00
Vanguard Tax-Managed Balanced	VTMFX	0.00	0.00	0.00
Vanguard Tax-Managed Capital App	VMCAX	0.00	0.00	0.00
Vanguard Tax-Managed Capital App Adm	VTCLX	0.00	0.00	0.00
Vanguard Tax-Managed Capital App Inst	VTCIX	0.00	0.00	0.00
Vanguard Tax-Managed Growth & Inc	VTGIX	0.00	0.00	0.00
Vanguard Tax-Managed Growth & Inc Adm	VTGLX	0.00	0.00	0.00
Vanguard Tax-Managed Growth & Inc Instl	VTMIX	0.00	0.00	0.00
Vanguard Tax-Managed Intl	VTMGX	0.00	0.00	0.00
Vanguard Tax-Managed Intl Instl	VTMNX	0.00	0.00	0.00
Vanguard Tax-Managed Small Cap Instl	VTSIX	0.00	0.00	0.00
Vanguard Tax-Managed Small Cap Inv	VTMSX	0.00	0.00	0.00
Vanguard Total Bond Market Index	VBMFX	0.00	0.00	0.00
Vanguard Total Bond Market Index Adm	VBTLX	0.00	0.00	0.00
Vanguard Total Bond Market Index Inst	VBTIX	0.00	0.00	0.00
Vanguard Total Bond Market Index Signal	VBTSX	0.00	0.00	0.00
Vanguard Total Intl Stock Index	VGTSX	0.00	0.00	0.00
Vanguard Total Stock Mkt Idx	VTSMX	0.00	0.00	0.00
Vanguard Total Stock Mkt Idx Adm	VTSAX	0.00	0.00	0.00
Vanguard Total Stock Mkt Idx Instl	VITSX	0.00	0.00	0.00
Vanguard Total Stock Mkt Idx Signal	VTSSX	0.00	0.00	0.00
Vanguard US Growth Adm	VWUAX	0.00	0.00	0.00
Vanguard US Growth Inv	VWUSX	0.00	0.00	0.00
Vanguard US Value	VUVLX	0.00	0.00	0.00
Vanguard Utilities Index Adm	VUIAX	0.00	0.00	0.00
Vanguard Value Index	VIVAX	0.00	0.00	0.00
Vanguard Value Index Adm	VVIAX	0.00	0.00	0.00
Vanguard Value Index Instl	VIVIX	0.00	0.00	0.00
Vanguard Value Index Signal	VVISX	0.00	0.00	0.00
Vanguard Wellesley Income	VWINX	0.00	0.00	0.00
Vanguard Wellesley Income Adm	VWIAx	0.00	0.00	0.00
Vanguard Wellington	VWELX	0.00	0.00	0.00
Vanguard Wellington Adm	VWENX	0.00	0.00	0.00
Vanguard Windsor	VWNDX	0.00	0.00	0.00
Vanguard Windsor Adm	VWNEX	0.00	0.00	0.00
Vanguard Windsor II	VWNFX	0.00	0.00	0.00
Vanguard Windsor II Adm	VWNAX	0.00	0.00	0.00
Victory Balanced A	SBALX	0.25	0.20	0.45
Victory Balanced I	VBFIX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
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Victory Balanced R	VBFGX	0.50	0.20	0.70
Victory Core Bond	SIMIX	0.25	0.20	0.45
Victory Core Bond I	VCBIX	0.00	0.10	0.10
Victory Diversified Stock A	SRVEX	0.25	0.20	0.45
Victory Diversified Stock I	VDSIX	0.00	0.10	0.10
Victory Diversified Stock R	GRINX	0.50	0.20	0.70
Victory Established Value A	VETAX	0.25	0.20	0.45
Victory Established Value R	GETGX	0.50	0.20	0.70
Victory For Income A	IPFIX	0.25	0.20	0.45
Victory for Income R	GGIFX	0.25	0.20	0.45
Victory Investment Grade Convertible A	SBFCX	0.25	0.20	0.45
Victory Investment Grade Convertible I	VICIX	0.00	0.10	0.10
Victory Large Cap Growth A	VFGAX	0.25	0.20	0.45
Victory Large Cap Growth R	VFGRX	0.50	0.20	0.70
Victory Small Company Opportunity A	SSGSX	0.25	0.20	0.45
Victory Small Company Opportunity I	VSOIX	0.00	0.10	0.10
Victory Small Company Opportunity R	GOGFX	0.50	0.20	0.70
Victory Special Value A	SSVSX	0.25	0.20	0.45
Victory Special Value I	VSPIX	0.00	0.10	0.10
Victory Special Value R	VSVGX	0.50	0.20	0.70
Victory Stock Index A	SSTIX	0.15	0.20	0.35
Victory Stock Index R	VINGX	0.25	0.20	0.45
Victory Value A	SVLSX	0.25	0.20	0.45
Victory Value I	VVFIX	0.00	0.10	0.10
Victory Value R	VVFGX	0.50	0.20	0.70
Virtus AlphaSector Allocation A	PSWAX	0.25	0.35	0.60
Virtus AlphaSector Rotation A	PWBAX	0.25	0.35	0.60
Virtus Alternatives Diversifier A	PDPAX	0.25	0.30	0.55
Virtus Balanced A	PHBLX	0.25	0.30	0.55
Virtus Balanced Allocation A	HIBZX	0.25	0.10	0.35
Virtus Balanced Allocation I	HIBLX	0.00	0.10	0.10
Virtus Bond A	SAVAX	0.25	0.25	0.50
Virtus Bond I	SAVYX	0.00	0.25	0.25
Virtus Capital Growth A	PHGRX	0.25	0.35	0.60
Virtus Core Equity A	HGRZX	0.25	0.10	0.35
Virtus Core Equity I	HGRIX	0.00	0.10	0.10
Virtus Disciplined Small Cap Value A	HSVZX	0.25	0.10	0.35
Virtus Disciplined Small Cap Value I	HSCVX	0.00	0.10	0.10
Virtus Disciplined Small-Cap Opp A	HSCZX	0.25	0.10	0.35
Virtus Disciplined Small-Cap Opp I	HSCIX	0.00	0.10	0.10
Virtus Emerging Markets Opportunities A	HEMZX	0.25	0.10	0.35
Virtus Foreign Opportunities A	JVIAX	0.25	0.10	0.35
Virtus Global Infrastructure A	PGUAX	0.25	0.35	0.60
Virtus Global Opportunities A	NWWOX	0.25	0.10	0.35
Virtus Growth & Income A	PDIAX	0.25	0.35	0.60
Virtus High Yield Income A	HHYZX	0.25	0.00	0.25

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Virtus High Yield Income I	HHYIX	0.00	0.00	0.00
Virtus High-Yield A	PHCHX	0.25	0.25	0.50
Virtus Institutional Bond X	PXMBX	0.00	0.25	0.25
Virtus Institutional Bond Y	PYMBX	0.00	0.25	0.25
Virtus Interm T/E Bond A	HIXZX	0.25	0.00	0.25
Virtus Mid-Cap Growth A	PHSKX	0.25	0.35	0.60
Virtus Mid-Cap Value A	FMIVX	0.25	0.10	0.35
Virtus Multi-Sector F/I A	NAMFX	0.25	0.25	0.50
Virtus Multi-Sector S/T Bd A	NARAX	0.25	0.25	0.50
Virtus Quality Large-Cap Value A	PPTAX	0.25	0.10	0.35
Virtus Real Estate Securities A	PHRAX	0.25	0.35	0.60
Virtus Shrt/Interm Bond A	HIMZX	0.25	0.00	0.25
Virtus Small-Cap Core A	PKSAX	0.25	0.35	0.60
Virtus Small-Cap Core I	PKSFX	0.00	0.35	0.35
Virtus Small-Cap Growth A	PAMAX	0.25	0.35	0.60
Virtus Strategic Growth A	PSTAX	0.25	0.35	0.60
Virtus Tax-Exempt Bond A	HXBZX	0.25	0.00	0.25
Virtus Value Equity A	HIEZX	0.25	0.10	0.35
Virtus Value Equity I	HEQIX	0.00	0.10	0.10
Wells Fargo Advantage Agrsv Allc Admin	NWBEX	0.00	0.35	0.35
Wells Fargo Advantage Asia Pacific A	WFAAX	0.00	0.55	0.55
Wells Fargo Advantage Asia Pacific Inv	SASPX	0.00	0.35	0.35
Wells Fargo Advantage Asset Allc Admin	WFAIX	0.00	0.35	0.35
Wells Fargo Advantage Asset Allocation A	SFAAX	0.00	0.55	0.55
Wells Fargo Advantage C&B Lg Cap Val A	CBEAX	0.00	0.55	0.55
Wells Fargo Advantage C&B Lg Cap Val Adm	CBLLX	0.00	0.35	0.35
Wells Fargo Advantage C&B Lg Cp Val Inv	CBEQX	0.00	0.35	0.35
Wells Fargo Advantage C&B Mid Cap Val A	CBMAX	0.00	0.55	0.55
Wells Fargo Advantage C&B Mid Cap Val Ad	CBMIX	0.00	0.35	0.35
Wells Fargo Advantage C&B Mid Cp Val Inv	CBMDX	0.00	0.35	0.35
Wells Fargo Advantage Capital Growth A	WFCGX	0.00	0.55	0.55
Wells Fargo Advantage Capital Growth Adm	WFCDX	0.00	0.35	0.35
Wells Fargo Advantage Capital Growth I	WWCIX	0.00	0.10	0.10
Wells Fargo Advantage Capital Growth Inv	SLGIX	0.00	0.35	0.35
Wells Fargo Advantage Common Stock A	SCSAX	0.00	0.55	0.55
Wells Fargo Advantage Common Stock Inv	STCSX	0.00	0.35	0.35
Wells Fargo Advantage Cons Allocation Ad	NVCBX	0.00	0.35	0.35
Wells Fargo Advantage Discovery A	WFDAX	0.00	0.55	0.55
Wells Fargo Advantage Discovery Adm	WFDDX	0.00	0.35	0.35
Wells Fargo Advantage Discovery Instl	WFDSX	0.00	0.10	0.10
Wells Fargo Advantage Discovery Inv	STDIX	0.00	0.35	0.35
Wells Fargo Advantage Divers Bond Adm	NVMFX	0.00	0.25	0.25
Wells Fargo Advantage Diversified Eq A	NVDAX	0.00	0.55	0.55
Wells Fargo Advantage Diversified Eq Adm	NVDEX	0.00	0.35	0.35
Wells Fargo Advantage Diversified Sm Adm	NVDSX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2010 A	STNRX	0.00	0.55	0.55

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Wells Fargo Advantage DJ Target 2010 Adm	WFLGX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2010 I	WFOAX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2010 Inv	WFCTX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2015 Adm	WFFFX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2015 I	WFSCX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2015 Inv	WFQEX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2020 A	STTRX	0.00	0.55	0.55
Wells Fargo Advantage DJ Target 2020 Adm	WFLPX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2020 I	WFOBX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2020 Inv	WFDTX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2025 Adm	WFTRX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2025 I	WFTYX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2025 Inv	WFGYX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2030 A	STHRX	0.00	0.55	0.55
Wells Fargo Advantage DJ Target 2030 Adm	WFLIX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2030 I	WFOOX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2030 Inv	WFETX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2035 Adm	WFQWX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2035 I	WFQRX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2035 Inv	WFQTX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2040 A	STFRX	0.00	0.55	0.55
Wells Fargo Advantage DJ Target 2040 Adm	WFLWX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2040 I	WFOSX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2040 Inv	WFFTX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2045 Adm	WFQYX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2045 I	WFQPX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2045 Inv	WFQSX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2050 Adm	WFQDX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target 2050 I	WFQFX	0.00	0.10	0.10
Wells Fargo Advantage DJ Target 2050 Inv	WFQGX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target Tod Adm	WFLOX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target Tod Inv	WFBTX	0.00	0.35	0.35
Wells Fargo Advantage DJ Target Today A	STWRX	0.00	0.55	0.55
Wells Fargo Advantage DJ Target Today I	WOTDX	0.00	0.10	0.10
Wells Fargo Advantage Emerg Mkt Eq A	MFFAX	0.00	0.55	0.55
Wells Fargo Advantage Emerg Mkt Eq Ad	MNEFX	0.00	0.35	0.35
Wells Fargo Advantage Emerging Gr A	WEMAX	0.00	0.55	0.55
Wells Fargo Advantage Emerging Gr Adm	WFGDX	0.00	0.35	0.35
Wells Fargo Advantage Emerging Gr Inst	WEMIX	0.00	0.10	0.10
Wells Fargo Advantage Emerging Gr Inv	WFGTX	0.00	0.35	0.35
Wells Fargo Advantage Endeavor Select A	STAEX	0.00	0.55	0.55
Wells Fargo Advantage Endeavor Select Ad	WECDX	0.00	0.35	0.35
Wells Fargo Advantage Endeavor Select I	WFCIX	0.00	0.10	0.10
Wells Fargo Advantage Enterprise A	SENAX	0.00	0.55	0.55
Wells Fargo Advantage Enterprise Adm	SEPKX	0.00	0.35	0.35
Wells Fargo Advantage Enterprise I	WFEIX	0.00	0.10	0.10

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Wells Fargo Advantage Enterprise Inv	SENTX	0.00	0.35	0.35
Wells Fargo Advantage Equity Income A	NVAEX	0.00	0.55	0.55
Wells Fargo Advantage Equity Income Adm	NVIEX	0.00	0.35	0.35
Wells Fargo Advantage Equity Value A	WLVAX	0.00	0.55	0.55
Wells Fargo Advantage Equity Value Adm	WLVIX	0.00	0.35	0.35
Wells Fargo Advantage Equity Value Instl	WLVSX	0.00	0.10	0.10
Wells Fargo Advantage Government Sec A	SGVDX	0.00	0.45	0.45
Wells Fargo Advantage Government Sec Adm	WGSDX	0.00	0.25	0.25
Wells Fargo Advantage Government Sec I	SGVIX	0.00	0.05	0.05
Wells Fargo Advantage Government Sec Inv	STVSX	0.00	0.35	0.35
Wells Fargo Advantage Growth A	SGRAX	0.00	0.55	0.55
Wells Fargo Advantage Growth Adm	SGRKX	0.00	0.35	0.35
Wells Fargo Advantage Growth Balanced A	WFGBX	0.00	0.55	0.55
Wells Fargo Advantage Growth Balanced Ad	NVGBX	0.00	0.35	0.35
Wells Fargo Advantage Growth Equity A	NVEAX	0.00	0.55	0.55
Wells Fargo Advantage Growth Equity Adm	NVGEX	0.00	0.35	0.35
Wells Fargo Advantage Growth Equity I	WGEIX	0.00	0.10	0.10
Wells Fargo Advantage Growth I	SGRNX	0.00	0.10	0.10
Wells Fargo Advantage Growth Inv	SGROX	0.00	0.35	0.35
Wells Fargo Advantage High Income A	SHBAX	0.00	0.45	0.45
Wells Fargo Advantage High Income I	SHYYX	0.00	0.05	0.05
Wells Fargo Advantage High Income Inv	STHYX	0.00	0.35	0.35
Wells Fargo Advantage Income Plus A	STYAX	0.00	0.45	0.45
Wells Fargo Advantage Income Plus Inv	WIPNX	0.00	0.35	0.35
Wells Fargo Advantage Index Adm	NVINX	0.00	0.10	0.10
Wells Fargo Advantage Index Inv	WFVEX	0.00	0.25	0.25
Wells Fargo Advantage Infl Prot Bond A	IPBAX	0.00	0.45	0.45
Wells Fargo Advantage Infl Prot Bond Adm	IPBIX	0.00	0.25	0.25
Wells Fargo Advantage Intl Core A	WFIAX	0.25	0.30	0.55
Wells Fargo Advantage Intl Core Admin	WFIDX	0.00	0.35	0.35
Wells Fargo Advantage Intl Equity A	SILAX	0.00	0.55	0.55
Wells Fargo Advantage Intl Equity Adm	WFIEX	0.00	0.35	0.35
Wells Fargo Advantage Intl Equity I	WFISX	0.00	0.10	0.10
Wells Fargo Advantage Intl Value A	WFFAX	0.00	0.55	0.55
Wells Fargo Advantage Intl Value Adm	WFVDX	0.00	0.35	0.35
Wells Fargo Advantage Intl Value I	WLVIX	0.00	0.10	0.10
Wells Fargo Advantage Large Cap Gr Inv	STRFX	0.00	0.35	0.35
Wells Fargo Advantage Large Co Core A	SGNAX	0.00	0.55	0.55
Wells Fargo Advantage Large Co Core Adm	SGIKX	0.00	0.35	0.35
Wells Fargo Advantage Large Co Core I	SGNIX	0.00	0.10	0.10
Wells Fargo Advantage Large Co Core Inv	SGRIX	0.00	0.35	0.35
Wells Fargo Advantage Large Co Gr A	NVLAX	0.00	0.55	0.55
Wells Fargo Advantage Large Co Gr Adm	NVLCX	0.00	0.35	0.35
Wells Fargo Advantage Large Co Gr Inv	WFLZX	0.00	0.35	0.35
Wells Fargo Advantage Large Co Val Adm	WWIDX	0.00	0.35	0.35
Wells Fargo Advantage Large Co Val Inv	SDVIX	0.00	0.35	0.35

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Wells Fargo Advantage Lg Cp Apprec A	WFAPX	0.00	0.55	0.55
Wells Fargo Advantage Lg Cp Apprec Admin	WFAKX	0.00	0.35	0.35
Wells Fargo Advantage Lg Cp Apprec I	WFASX	0.00	0.10	0.10
Wells Fargo Advantage Mid Cap Dis Inv	SMCDX	0.00	0.35	0.35
Wells Fargo Advantage Mid Cap Discp A	WFPAX	0.00	0.55	0.55
Wells Fargo Advantage Mid Cap Discp Adm	WFMDX	0.00	0.35	0.35
Wells Fargo Advantage Mid Cap Discp I	WFMIX	0.00	0.10	0.10
Wells Fargo Advantage Mid Cap Growth A	WFCMX	0.00	0.55	0.55
Wells Fargo Advantage Mid Cap Growth Adm	WMCGX	0.00	0.35	0.35
Wells Fargo Advantage Mid Cap Growth I	WFMGX	0.00	0.10	0.10
Wells Fargo Advantage Mid Cap Growth Inv	WFMZX	0.00	0.35	0.35
Wells Fargo Advantage Mod Balanced A	WFMAX	0.00	0.55	0.55
Wells Fargo Advantage Mod Balanced Adm	NVMBX	0.00	0.35	0.35
Wells Fargo Advantage Opportunity A	SOPVX	0.00	0.55	0.55
Wells Fargo Advantage Opportunity Adm	WOFDX	0.00	0.35	0.35
Wells Fargo Advantage Opportunity Inv	SOPFX	0.00	0.35	0.35
Wells Fargo Advantage S/T Hi-Yld Bd A	SSTHX	0.00	0.45	0.45
Wells Fargo Advantage S/T Hi-Yld Bd Inv	STHBX	0.00	0.35	0.35
Wells Fargo Advantage Sh Dur Govt Bd A	MSDAX	0.00	0.45	0.45
Wells Fargo Advantage Sh Dur Govt Bd Adm	MNSGX	0.00	0.25	0.25
Wells Fargo Advantage Sh Dur Govt Bd I	WSGIX	0.00	0.05	0.05
Wells Fargo Advantage Short-Term Bd A	SSTVX	0.00	0.45	0.45
Wells Fargo Advantage Short-Term Bd I	SSHIX	0.00	0.05	0.05
Wells Fargo Advantage Short-Term Bd Inv	SSTBX	0.00	0.35	0.35
Wells Fargo Advantage Sm Cap Discp A	WFSMX	0.00	0.55	0.55
Wells Fargo Advantage Sm Cap Discp Adm	WFSDX	0.00	0.35	0.35
Wells Fargo Advantage Sm Cap Discp I	WFSSX	0.00	0.10	0.10
Wells Fargo Advantage Sm Cap Discp Inv	SCOVX	0.00	0.35	0.35
Wells Fargo Advantage Sm Cap Gr Inv	WFSZX	0.00	0.35	0.35
Wells Fargo Advantage Sm/Md Cap Val A	WVAX	0.00	0.55	0.55
Wells Fargo Advantage Sm/Md Cap Val Adm	WWMDX	0.00	0.35	0.35
Wells Fargo Advantage Sm/Md Cap Val Ins	WWMSX	0.00	0.10	0.10
Wells Fargo Advantage Sm/Md Cap Val Inv	SMMVX	0.00	0.35	0.35
Wells Fargo Advantage Small Cap Gr Admin	WMNIX	0.00	0.35	0.35
Wells Fargo Advantage Small Cap Growth A	MNSCX	0.00	0.55	0.55
Wells Fargo Advantage Small Cap Growth I	WFSIX	0.00	0.10	0.10
Wells Fargo Advantage Small Cap Opp Adm	NVSOX	0.00	0.35	0.35
Wells Fargo Advantage Small Cap Val Inv	SSMVX	0.00	0.35	0.35
Wells Fargo Advantage Small Cap Value A	SMVAX	0.00	0.55	0.55
Wells Fargo Advantage Small Co Growth A	WFSAX	0.00	0.55	0.55
Wells Fargo Advantage Small Co Growth Ad	NVSCX	0.00	0.35	0.35
Wells Fargo Advantage Small Co Growth I	WSCGX	0.00	0.10	0.10
Wells Fargo Advantage Small Co Value A	SCVAX	0.00	0.55	0.55
Wells Fargo Advantage Small Co Value Adm	SCVIX	0.00	0.35	0.35
Wells Fargo Advantage Small Cp Val Instl	WFSVX	0.00	0.10	0.10
Wells Fargo Advantage Spclzd Fin Svcs A	SIFEX	0.00	0.55	0.55

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Wells Fargo Advantage Spclzd Tech Inv	WFTZX	0.00	0.35	0.35
Wells Fargo Advantage Specialized Tech A	WFSTX	0.00	0.55	0.55
Wells Fargo Advantage Stable Income A	NVSAX	0.00	0.45	0.45
Wells Fargo Advantage Stable Income Adm	NVSIX	0.00	0.25	0.25
Wells Fargo Advantage Strategic Income A	SASAX	0.00	0.45	0.45
Wells Fargo Advantage Ttl Return Bd Inv	WTRZX	0.00	0.35	0.35
Wells Fargo Advantage Ttl Return Bond A	MBFAX	0.00	0.45	0.45
Wells Fargo Advantage Ttl Return Bond Ad	MNTRX	0.00	0.25	0.25
Wells Fargo Advantage Ttl Return Bond I	MBFIX	0.00	0.05	0.05
Wells Fargo Advantage Ultra S/T Inc A	SADAX	0.00	0.45	0.45
Wells Fargo Advantage Ultra S/T Inc Adm	WUSDY	0.00	0.25	0.25
Wells Fargo Advantage Ultra S/T Inc I	SADIX	0.00	0.05	0.05
Wells Fargo Advantage Ultra S/T Inc Inv	STADY	0.00	0.35	0.35
Wells Fargo Advantage US Value A	WFUAX	0.00	0.55	0.55
Wells Fargo Advantage US Value Adm	SEQKX	0.00	0.35	0.35
Wells Fargo Advantage US Value Inv	SEQIX	0.00	0.35	0.35
Westcore Blue Chip	WTMVX	0.00	0.35	0.35
Westcore Blue Chip Institutional	WIMVX	0.00	0.00	0.00
Westcore Flexible Income	WTLTX	0.00	0.15	0.15
Westcore Flexible Income Institutional	WILTX	0.00	0.00	0.00
Westcore Growth	WTEIX	0.00	0.35	0.35
Westcore Growth Institutional	WILGX	0.00	0.00	0.00
Westcore International Frontier	WTIFX	0.00	0.35	0.35
Westcore Micro Cap Opportunity	WTMIX	0.00	0.35	0.35
Westcore Mid-Cap Value	WTMCX	0.00	0.35	0.35
Westcore MIDCO Growth	WTMGX	0.00	0.35	0.35
Westcore MIDCO Growth Institutional	WIMGX	0.00	0.00	0.00
Westcore Plus Bond	WTIBX	0.00	0.15	0.15
Westcore Plus Bond Institutional	WIIBX	0.00	0.00	0.00
Westcore Select	WTSIX	0.00	0.35	0.35
Westcore Small-Cap Opportunity	WTSCX	0.00	0.35	0.35
Westcore Small-Cap Opportunity Instl	WISCX	0.00	0.00	0.00
Westcore Small-Cap Value	WTSVX	0.00	0.35	0.35
Westcore Small-Cap Value Institutional	WISVX	0.00	0.00	0.00
Western Asset Absolute Return FI	WARIX	0.25	0.05	0.30
Western Asset Absolute Return Instl	WAARX	0.00	0.05	0.05
Western Asset Core Bond FI	WAPIX	0.25	0.05	0.30
Western Asset Core Bond Institutional	WATFX	0.00	0.05	0.05
Western Asset Core Plus Bond FI	WACIX	0.25	0.05	0.30
Western Asset Core Plus Bond Instl	WACPX	0.00	0.05	0.05
Western Asset Emerging Markets Debt I	SEMDX	0.00	0.05	0.05
Western Asset Global High Yield Bond I	SIHYX	0.00	0.05	0.05
Western Asset High Yield I	WAHYX	0.00	0.05	0.05
Western Asset Inflation Idxd Plus Bd FI	WATPX	0.25	0.05	0.30
Western Asset Inflation Indexed Plus Bd	WAIIX	0.00	0.05	0.05
Western Asset Intermediate Bd Instl	WATIX	0.00	0.05	0.05

Fund Name 4Q 2009		12(b)(1) & Service fees paid to VALIC	STA Fees	Tot Reim
	Ticker			
Western Asset Intermediate Plus Bond I	WAIPX	0.00	0.05	0.05
Western Asset Limited Duration Bond I	WALDX	0.00	0.05	0.05
Western Asset Non-U.S. Opp Bond Instl	WAFIX	0.00	0.05	0.05
White Oak Select Growth	WOGSX	0.00	0.00	0.00
Winslow Green Growth Instl	WGGIX	0.00	0.25	0.25
Winslow Green Growth Inv	WGGFX	0.00	0.25	0.25
Winslow Green Solutions Inv	WGSIX	0.00	0.25	0.25

The percentage shown is the VALIC investment advisory fee net of the payment to the fund's sub-adviser(s). This percentage is available to offset plan recordkeeping, administrative and service fees.

To obtain a prospectus, visit www.valic.com or call your financial advisor. The prospectus contains the investment objectives, risks, charges and expenses, and other information about the investment company, which you should consider carefully before investing. Please read the prospectus carefully before investing or sending money.

Securities and investment advisory services offered through VALIC Financial Advisors Inc., member FINRA, SIPC and an SEC-registered investment advisor.

VALIC is the marketing name for the group of companies comprising VALIC Financial Advisors, Inc.; VALIC Services Company; and The Variable Annuity Life Insurance Company, each of which is a subsidiary of American International Group, Inc.

Schedule H, Line 4(i) - Schedule of Assets (Held at End of Year)

EIN: 25-1400999 Plan Number: 003

June 30, 2010

(a)	Identity of Issue (b)	Description of Investment (c)	Cost (d)	Current Value (e)	
*	Common collective trust	Mass Mutual Capital Preservation Fund	N/R	\$ 22,801,259	Stable value
	Mutual fund	Manning & Napier Pro-Blend Conservative Term Series Fund	N/R	16,464,940	Balanced
	Mutual fund	American Funds Capital Income Builder Fund	N/R	8,748,353	International
	Mutual fund	Invesco Small Companies Fund	N/R	8,282,218	Growth
	Mutual fund	Northern Trust Select Indexed Equity Fund	N/R	6,739,552	Growth
	Mutual fund	American Funds EuroPacific Growth Fund	N/R	5,784,122	International
	Mutual fund	J.P. Morgan Mid Cap Value Fund	N/R	5,420,201	Growth
	Mutual fund	PIMCO Total Return Fund	N/R	4,865,649	Fixed income
	Mutual fund	Northern International Equity Index Fund	N/R	2,090,836	International
	Mutual fund	Babson Premier High Yield Fund	N/R	1,875,496	Fixed income
	Mutual fund	American Century Real Estate Fund	N/R	1,874,654	Real estate
	Mutual fund	American Funds Capital World Growth and Income Fund	N/R	1,811,415	International
*	Guaranteed annuity contract	MetLife T-Flex Annuity Contract	N/R	1,667,255	Other
	Mutual fund	Northern Bond Index Fund	N/R	1,549,281	Fixed income
	Mutual fund	American Century Strategic Allocation: Moderate Fund	N/R	1,157,516	Balanced
*	Guaranteed annuity contract	ING Fixed Account	N/R	1,121,358	Other
	Mutual fund	American Century Strategic Allocation: Aggressive Fund	N/R	814,270	Balanced
	Mutual fund	American Funds Growth Fund of America	N/R	685,603	Growth
	Mutual fund	BlackRock Diversified	N/R	567,440	Balanced
	Mutual fund	Janus Forty Portfolio	N/R	515,376	Balanced
	Mutual fund	Oppen Global Equity Port	N/R	457,237	International
	Mutual fund	Wellington Select Fundamental Value Fund	N/R	427,940	Growth
*	Mutual fund	ING Growth and Income Portfolio - Class I	N/R	410,283	Growth
	Mutual fund	Frontier Select Mid Cap Growth Equity II Fund	N/R	376,393	Growth
	Mutual fund	Battmarch Gro and Inc Por	N/R	310,232	Growth
	Separately managed account	SSGA Money Market Fund	N/R	268,856	SMA Money market
	Mutual fund	Opp Capital Appreciation	N/R	255,710	Growth
	Mutual fund	Fidelity VIP Contrafund Portfolio - Initial Class	N/R	233,220	Equity
	Mutual fund	Fidelity VIP Eqty Income	N/R	216,889	Equity
*	Mutual fund	MetLife Stock Index Port	N/R	168,356	Index
	Mutual fund	Fid VIP Mid Cap Port SC 2	N/R	146,214	Equity
	Mutual fund	Templeton Global Bond Fund - Class A	N/R	142,223	Fixed income
*	Mutual fund	ING Intermediate Bond Portfolio - Class I	N/R	139,729	Fixed income
*	Mutual fund	ING Oppenheimer Global Portfolio - Initial Class	N/R	110,287	International
*	Mutual fund	ING Templeton Foreign Equity Portfolio - Initial Class	N/R	108,765	International
	Mutual fund	Loomis Sayles Global Mkts	N/R	99,613	International
*	Mutual fund	ING Legg Mason ClearBridge Aggressive Growth Port - Initial	N/R	94,578	Growth
	Separately managed account	Parnassus Equity Income Portfolio	N/R	94,307	SMA Growth
	Mutual fund	PIMCO Total Return Port	N/R	90,618	Balanced
	Mutual fund	T. Rowe Price Small CapGr	N/R	90,618	Growth
	Mutual fund	Fixed Rider Fund	N/R	83,485	Fixed income

	Mutual fund	American Funds The Growth Fund of America® - Class R-4	N/R	75,963	Growth
	Mutual fund	LggMsn Inv Cns Var Sc Awr	N/R	75,168	Balanced
	Money market fund	Babson Premier Money Market Fund	N/R	74,779	Money market
	Separately managed account	Sprtn Total Market Index Investor Class	N/R	63,295	SMA Balanced
	Mutual fund	American Century Strategic Allocation: Conservative Fund	N/R	56,167	Balanced
*	Mutual fund	ING T. Rowe Price Diversified Mid Cap Growth Port - Initial	N/R	55,582	Growth
	Separately managed account	Vanguard Intermed Trm Invst Gr Invest	N/R	53,074	SMA Growth
*	Mutual fund	ING Artio Foreign Portfolio - Service Class	N/R	49,920	International
*	Mutual fund	ING Oppenheimer Global Strategic Income Port - Initial Class	N/R	46,641	International
	Mutual fund	American Funds EuroPacific Growth Fund® - Class R-4	N/R	44,247	Growth
	Separately managed account	Vanguard Bond Index Total Market	N/R	41,406	SMA Fixed Income
	Mutual fund	Invesco Sm Cap Growth	N/R	38,174	Growth
*	Mutual fund	ING Balanced Portfolio - Class I	N/R	37,768	Balanced
	Separately managed account	Citigroup Inc	N/R	35,720	Common stock
	Mutual fund	MFS Total Return Por-CI F	N/R	33,530	Balanced
	Mutual fund	LggMsn ClrBr Var Sm Cp Gr	N/R	33,283	Growth
	Mutual fund	T. Ro Pri Lg Cap Gro Port	N/R	29,785	Growth
	Separately managed account	Vanguard Fixed Income High Yield Corp	N/R	29,010	SMA Fixed Income
	Separately managed account	Oakmark Equity and Income Fund A	N/R	27,945	SMA Growth
	Mutual fund	Fidelity VIP Growth Portfolio - Initial Class	N/R	27,211	Growth
	Mutual fund	Fidelity High Inc Port IC	N/R	26,866	Growth
	Separately managed account	Linn Energy LLC Unit Repstg Ltd	N/R	26,786	Common stock
				Current	
(a)	Identity of Issue (b)	Description of Investment (c)	Cost (d)	Value (e)	
*	Mutual fund	ING T. Rowe Price Growth Equity Portfolio - Initial Class	N/R	26,460	Growth
*	Mutual fund	ING Thornburg Value Portfolio - Initial Class	N/R	25,753	Growth
	Mutual fund	Lazard Mid Cap Portfolio	N/R	25,414	Balanced
*	Guaranteed annuity contract	ING Fixed Plus Account	N/R	25,360	Other
	Mutual fund	Oppenheimer Developing Markets Fund - Class A	N/R	25,106	Growth
	Mutual fund	Third Ave Sm Cap Val Port	N/R	23,669	Balanced
	Mutual fund	American Funds Washington Mutual Investors FundSM - R-4	N/R	23,031	Growth
	Mutual fund	Russell 2000(R) Index	N/R	22,208	Growth
	Mutual fund	Pioneer Fund Portfolio	N/R	21,899	Balanced
*	Money market fund	ING Money Market Portfolio - Class I	N/R	21,553	Money market
	Mutual fund	Fidelity VIP Equity-Income Portfolio - Initial Class	N/R	20,336	Equity
	Separately managed account	General Electric Co	N/R	19,467	Common stock
	Separately managed account	Lazard Emerging Markets Open Class	N/R	19,130	SMA Growth
	Separately managed account	Lloyds Banking Group	N/R	18,960	Common stock
	Separately managed account	Barrick Gold Corp Com Npv	N/R	18,248	Common stock
	Mutual fund	BlackRock Legacy LgCapGr	N/R	17,243	Growth
*	Mutual fund	ING Pioneer Equity Income Portfolio - Institutional Class	N/R	17,166	Equity
	Separately managed account	Baidu Inc Spon Adr Restg Ord Shs CI A	N/R	17,020	Common stock
	Mutual fund	Fidelity ContraFund - SC2	N/R	16,755	Growth
	Mutual fund	LggMsnClrBr Var LgCap Val	N/R	15,520	Growth
	Mutual fund	MFS Value Portfolio	N/R	15,285	Growth
	Separately managed account	Ing Intl High Divid Equity Income Fund	N/R	14,832	Common stock
	Mutual fund	West As Mgt U.S. Govt Por	N/R	14,501	Fixed income

*	Mutual fund	ING Global Resources Portfolio - Service Class	N/R	13,688	International
	Mutual fund	JanusAspenSeries Overseas	N/R	13,271	International
	Mutual fund	Lgg Msn ClrBrg Var Agg Gr	N/R	12,899	Growth
	Separately managed account	Boeing Co	N/R	12,714	Common stock
*	Mutual fund	ING Index Plus SmallCap Portfolio - Class I	N/R	12,643	Index
*	Mutual fund	ING Index Plus LargeCap Portfolio - Class I	N/R	12,614	Index
	Separately managed account	Apple Inc	N/R	12,577	Common stock
	Mutual fund	Wanger Select	N/R	12,180	Growth
	Mutual fund	Wells Fargo Advantage Special Small Cap Value Fund - Class A	N/R	11,691	Balanced
	Separately managed account	Verizon Communications	N/R	11,208	Common stock
	Separately managed account	Matthews Pacific Tiger Fund	N/R	10,763	SMA Balanced
	Mutual fund	Wanger International	N/R	10,431	International
	Separately managed account	Vanguard Inflation Protected Secs	N/R	10,378	SMA Balanced
	Separately managed account	Fairholme Fund	N/R	9,880	SMA Balanced
*	Mutual fund	ING UBS U.S. Large Cap Equity Portfolio - Initial Class	N/R	9,674	Growth
	Separately managed account	Driehaus Emerging Markets	N/R	9,191	SMA Growth
	Mutual fund	BlackRock High Yield	N/R	9,123	Fixed income
	Separately managed account	Siemens A G Spon	N/R	8,953	Common stock
	Separately managed account	Kraft Foods Inc Cl A	N/R	8,400	Common stock
	Separately managed account	PIMCO Short-term Class D	N/R	7,563	SMA Growth
	Separately managed account	Du Pont El De Nemours & Co	N/R	6,959	Common stock
*	Mutual fund	ING Small Company Portfolio - Class I	N/R	6,911	Growth
	Separately managed account	Lilly Eli & Co	N/R	6,800	Common stock
	Mutual fund	Barclays CapAggBond Index	N/R	6,522	Fixed income
	Separately managed account	Van Eck International Investors Gold Cl A	N/R	6,515	SMA International
	Separately managed account	Bristol Myers Squibb	N/R	6,235	Common stock
	Mutual fund	American Funds New Perspective Fund® - Class R-4	N/R	6,231	Growth
	Mutual fund	Lord Abbett Series Fund - Mid-Cap Value Port - Cl VC Shares	N/R	6,057	Growth
	Separately managed account	Alcoa Inc	N/R	6,041	Common stock
	Separately managed account	Columbia Marsico 21st Century Cl Z	N/R	5,984	SMA Growth
*	Mutual fund	ING Artio Foreign Portfolio - Service Class	N/R	5,739	International
	Separately managed account	United Parcel Service Inc	N/R	5,731	Common stock
*	Mutual fund	ING International Value Portfolio - Class I	N/R	5,479	International
	Mutual fund	Wanger USA	N/R	5,356	Growth
*	Mutual fund	ING T. Rowe Price Capital Appreciation Portfolio - Service	N/R	5,287	Growth
	Separately managed account	Amgen Inc	N/R	5,260	Common stock
	Separately managed account	Harbor Bond Inst	N/R	5,205	SMA Fixed Income
	Separately managed account	Markel Corp Holding Co	N/R	5,100	Common stock
	Separately managed account	Altria Group Inc	N/R	5,060	Common stock
	Separately managed account	Royce Value Fund Service Cl	N/R	5,015	SMA Balanced

(a)	Identity of Issue (b)	Description of Investment (c)	Cost (d)	Current Value (e)	
	Separately managed account	Conocophillips	N/R	5,014	Common stock
	Separately managed account	Roosevelt Multi-cap	N/R	5,003	SMA Growth
	Mutual fund	Lord Abb Gro and Inc Port	N/R	4,970	Growth
	Separately managed account	Oakmark International I	N/R	4,966	SMA International
	Mutual fund	LggMsnClrBr Var Fun Val	N/R	4,547	Balanced

	Separately managed account	Janus Contrarian Fund Class T	N/R	4,299	SMA Balanced
	Separately managed account	Morningstar Inc	N/R	4,252	Common stock
	Separately managed account	T Rowe Price Health Sciences	N/R	4,168	SMA Balanced
	Separately managed account	BlackRock Energy & Resources A	N/R	4,158	SMA Growth
*	Mutual fund	ING Pioneer Mid Cap Value Portfolio - Institutional Class	N/R	4,149	Growth
	Mutual fund	Frank Sm-Mid Cap Gro Sec	N/R	4,123	Growth
*	Mutual fund	ING Clarion Global Real Estate Portfolio - Institutional	N/R	4,036	Real estate
	Separately managed account	Berkshire Hathaway Inc Del Cl B	N/R	3,985	Common stock
	Separately managed account	Oppenheimer Dev Markets Fd Class A	N/R	3,958	SMA Balanced
*	Mutual fund	ING BlackRock Large Cap Growth Portfolio - Institutional	N/R	3,871	Growth
	Separately managed account	Valero Energy Corp	N/R	3,607	Common stock
	Mutual fund	Pioneer Emerging Markets VCT Portfolio - Class I	N/R	3,576	Growth
	Separately managed account	BlackRock Inflation Pro Bond Port Inv A	N/R	3,226	SMA Fixed Income
	Mutual fund	Invesco V.I. Capital Appreciation Fund - Series I Shares	N/R	2,893	Growth
	Mutual fund	Clarion Gbl Real Estate	N/R	2,886	Real estate
	Separately managed account	Ivy Global Natural Resources Class A	N/R	2,629	SMA International
	Separately managed account	China Mobile	N/R	2,471	Common stock
	Mutual fund	Fidelity VIP Overseas Portfolio - Initial Class	N/R	2,447	International
	Separately managed account	Capitalsource Inc	N/R	2,380	Common stock
	Separately managed account	Exelixis Inc	N/R	2,082	Common stock
	Separately managed account	Infinera Corp	N/R	1,929	Common stock
	Mutual fund	MFS ResearchInternational	N/R	1,798	International
*	Mutual fund	ING Wells Fargo Health Care Portfolio - Service Class	N/R	1,728	Balanced
	Separately managed account	Activision Blizzard Inc Com	N/R	1,593	Common stock
*	Mutual fund	Met Moder-Aggr Alloc Port	N/R	1,496	Growth
	Separately managed account	Denbury Res Inc	N/R	1,464	Common stock
	Mutual fund	Oppenheimer Main Street Small Cap/VA	N/R	1,411	Growth
	Separately managed account	PT Telekomunikasi Indonesia Adr	N/R	1,372	Common stock
*	Mutual fund	ING Opportunistic LargeCap Portfolio - Class I	N/R	1,355	Growth
	Separately managed account	PIMCO All Asset All Authority Fund Cl A	N/R	1,334	SMA Balanced
	Separately managed account	Banco Latino - Americano De Comercio Exterior	N/R	1,263	Common stock
	Mutual fund	Templeton Developing Mkts	N/R	1,257	Growth
	Mutual fund	Morgan Stnly EAFE(R) Ind	N/R	1,233	Growth
	Separately managed account	PIMCO Global Multi-Asset Fund Cl A	N/R	1,219	SMA International
*	Mutual fund	ING Clarion Real Estate Portfolio - Service Class	N/R	1,215	Real estate
	Separately managed account	First Eagle Global Class A	N/R	1,215	SMA International
	Separately managed account	Calamos Convertible Fund Class A	N/R	1,214	SMA Balanced
	Mutual fund	PIMCO Inflation Prot Bond	N/R	1,189	Fixed income
	Separately managed account	Biondo Growth Fund Investor Class	N/R	1,177	SMA Growth
	Separately managed account	Oppenheimer Port Ser Active Alloc Inv A	N/R	1,175	SMA Balanced
*	Mutual fund	ING Baron Small Cap Growth Portfolio - Service Class	N/R	1,102	Growth
	Separately managed account	BlackRock All Cap Energy & Resources A	N/R	1,100	SMA Growth
	Separately managed account	American Capital World Growth and Income A	N/R	1,077	SMA International
	Mutual fund	Franklin Small Cap Value Securities Fund - Class 2	N/R	1,027	Growth
	Mutual fund	BlackRock Bnd Inc-Cl A	N/R	955	Fixed income
	Separately managed account	China Green Agriculture Inc Com	N/R	898	Common stock
	Separately managed account	American Century Small Company Inv	N/R	894	SMA Growth

	Separately managed account	Terra Nova Royalty	N/R	833	Common stock
	Mutual fund	BlackRock Aggressive Gro	N/R	458	Growth
	Mutual fund	Calvert VP SRI Balanced Portfolio	N/R	347	Balanced
	Separately managed account	KHD Humboldt Wedag Intl	N/R	145	Common stock
*	Participant loans	Interest rates: 4.25% - 9.25% at various maturity dates through 2017			
				<u>1,279,300</u>	
				<u>\$ 102,356,383</u>	

* A party-in-interest as defined by ERISA

N/R Participant directed investments, cost not required to be reported