Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Short Form Annual Return/Report of Small Employee

Benefit Plan

2010

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	1	▶ Complete all entries in accord	rdance wit	h the instructions to the Form 550	0-SF.	-
			entification Information				
For	For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010						
Α.	This return/report is for:	X	single-employer plan	multiple-	employer plan (not multiemployer)		one-participant plan
В	This return/report is for:		first return/report	final retu	n/report		
			an amended return/report	short plar	n year return/report (less than 12 mo	nths)	
C	Check box if filing under:		Form 5558	automatio	extension		DFVC program
	special extension (enter description)						
Pa	art II Basic Plan Inf	form	ation—enter all requested inform	nation			
1a	Name of plan					1b	Three-digit
WEIN	NSTEIN, JONES AND ASSO	OCIA	TES SIMPLE 401(K) PLAN				plan number 001
						10	(PN) F
						10	Effective date of plan 01/01/2004
2a	Plan sponsor's name and a	addre	ss (employer, if for single-employe	r plan)		2b	Employer Identification Number
	MANAGEMENT CORP.						(EIN) 65-0085258
	NSTEIN, JONES AND ASSO PONCE DE LEON BLVD.	OCIA	IES			2c	Plan sponsor's telephone number 305-665-2622
SUIT	E 29 AL GABLES, FL 33146					2d	Business code (see instructions)
	,						561110
3a WUJ	Plan administrator's name MANAGEMENT CORP.	and a	ddress (if same as Plan sponsor, 6 5915 PONC	enter "Same E DE LEOI	e") N BLVD.	3b	Administrator's EIN 65-0085258
			SUITE 29 CORAL GAI	BLES FL3	3146	3c	Administrator's telephone number
							305-665-2622
			n sponsor has changed since the la from the last return/report. Spons		eport filed for this plan, enter the	4b	EIN
	name, Liiv, and the plan nu	mbei	nom the last return/report. Spons	oi s name		4c	PN
5a	Total number of participan	ts at t	he beginning of the plan year			5a	16
b	Total number of participan	ts at t	he end of the plan year			5b	14
C	Total number of participan	ts wit	h account balances as of the end of	of the plan	vear (defined benefit plans do not		6
	•					5c	
	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						
	If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.						
Pa	rt III Financial Info	rma	tion				
7	Plan Assets and Liabilities	;			(a) Beginning of Year		(b) End of Year
а	Total plan assets			7a	5641	5	65360
b	Total plan liabilities			7b			
С	Net plan assets (subtract I	ine 7b	from line 7a)	7с	56418	5	65360
8	Income, Expenses, and Tr				(a) Amount		(b) Total
а	Contributions received or r (1) Employers		able from:	8a(1)	568 ⁻	1	
	, , , ,				568°	1	
	` ,					_	
b	.,	,		` '	4649	9	
С	,		a(2), 8a(3), and 8b)				16011
d			ollovers and insurance premiums		604/		
				<u>8d</u>	6816	J	
e			ve distributions (see instructions)		250	-	
f			(salaries, fees, commissions)		250	_	
g	·						7000
h			e, 8f, and 8g)				7066
į			8h from line 8c)				8945
J	ransters to (from) the pla	n (see	e instructions)	8i			

	F	Form 5500-SF 2010 Page 2-				
Par	t IV	Plan Characteristics				
9a		plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Char 2F 2G 2J 2K 3D 2T	acteris	stic Co	des in	the instructions:
b		plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	acteris	tic Cor	tes in t	he instructions:
		plan provided wonard borronts, criter the applicable wonard reading deads from the blot of 1 fair origin	dotorio	000	200 111 11	no mondono.
Part	: V	Compliance Questions				
10	Dur	ng the plan year:		Yes	No	Amount
а		s there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	
b		re there any nonexempt transactions with any party-in-interest? (Do not include transactions reported ine 10a.)	10b		X	
С	Wa	s the plan covered by a fidelity bond?	10c	X		10000
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud ishonesty?	10d		X	
е	insu	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)		X		594
f	Has	Has the plan failed to provide any benefit when due under the plan?			X	
g	Did	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X		4360
h		is is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h		X	
i		The was answered "Yes," check the box if you either provided the required notice or one of the eptions to providing the notice applied under 29 CFR 2520.101-3	10i			
Part	VI	Pension Funding Compliance				
11		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con				
12	ls th	nis a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	e or se	ction 3	302 of E	ERISA? Yes 🖺 No
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)				
	gran	waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru ting the waiver	nth			

	·				_
Part	VII Plan Terminations and Transfers of Assets				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)				
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
b	b Enter the minimum required contribution for this plan year				

If "Yes," enter the amount of any plan assets that reverted to the employer this year..... Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control Yes X No of the PBGC?..... If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year?

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	04/15/2011	JAY A. WEINSTEIN				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN							
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				