## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2009

This Form is Open to Public Inspection

	ension Benefit Guaranty Corporation Comp	lete all entries in acco	rdance wit	h the instructions to the Form 550	0-SF.				
	Part I Annual Report Identification Information								
For	calendar plan year 2009 or fiscal plan year	beginning 11/01/20	09	and ending 1	0/31/2	2010			
Α.	This return/report is for:	nployer plan	multiple-e	employer plan (not multiemployer)		one-participa	nt plan		
В	This return/report is for: first return	n/report	final retur	inal return/report					
	an amen	ded return/report	short plar	n year return/report (less than 12 mo	nths)				
C	Check box if filing under: Form 555	58	automatio	extension		DFVC progra	m		
	special e	xtension (enter descript	ion)						
Pa	rt II Basic Plan Information—e	nter all requested inforr	nation						
	Name of plan				1b	Three-digit			
	-WAY EQUIPMENT CO., INC. PROFIT SH	ARING PLAN				plan number	001		
						(PN) <b>▶</b>			
					1c	Effective date of 11/01/1	•		
22	Plan sponsor's name and address (employ	vor if for single employe	vr plop)		2h	nhor			
	-WAY EQUIPMENT CO., INC.	er, ir for sirigle-employe	i pian)		<b>2b</b> Employer Identification Number (EIN) 61-1088611				
	•				2c Plan sponsor's telephone number				
	ROBARDS LN					502-458			
LOUI	SVILLE, KY 40218				2d	Business code ( 532400	see instruc	tions)	
3a	Plan administrator's name and address (if	same as Plan sponsor	enter "Same		3b	Administrator's E	=IN		
	-WAY EQUIPMENT CO., INC.	4705 ROBA	ARDS LN			61-1088			
		LOUISVILL	E, KY 40218	3	3c Administrator's telephone nun				
<b>1</b> 1	4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					502-458-3261 <b>4b</b> EIN			
	name, EIN, and the plan number from the la			port med for this plan, enter the					
					4c	4c PN			
5a	Total number of participants at the beginni	ng of the plan year			5a	<b>a</b> 18			
b	Total number of participants at the end of the	the plan year			5b			17	
С	Total number of participants with account I				5c			16	
62	complete this item)  Were all of the plan's assets during the plan's				•		X Yes	□ No	
							No		
	If you answered "No" to either 6a or 6b	, the plan cannot use	Form 5500-	SF and must instead use Form 55	00.				
Pa	rt III Financial Information			T					
7	Plan Assets and Liabilities			(a) Beginning of Year	(b) End of Year				
а	Total plan assets		7a	271127	7			289428	
b	'	al plan liabilities							
C	Net plan assets (subtract line 7b from line	7a)	7с	271127	7 289428			289428	
8	Income, Expenses, and Transfers for this	Plan Year		(a) Amount	(b) Total				
а	Contributions received or receivable from:  (1) Employers		8a(1)		)				
	(2) Participants				<del>-</del>				
		8a(3)							
b	, , , , , , , , , , , , , , , , , , , ,			-					
C	Total income (add lines 8a(1), 8a(2), 8a(3)			22102				24062	
d	Benefits paid (including direct rollovers and								
	to provide benefits)	•	8d	5761	Ц				
е	Certain deemed and/or corrective distribut	ions (see instructions)	8e						
f	Administrative service providers (salaries,	fees, commissions)	8f						
g	Other expenses		8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8	3g)	8h					5761	
i	Net income (loss) (subtract line 8h from lin	e 8c)	8i					18301	
j	Transfers to (from) the plan (see instructio	ns)	8i						

Part IV	Plan	Charact	teristics

Signature of plan administrator

Signature of employer/plan sponsor

SIGN HERE

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2J 3D

D '	11 1110	plan provides wellare beliefits, effer the applicable wellare feat	ure codes from the	List of Flair Chara	CICIIS	lic Cot	ues III	uic iiisuut	Juoris.		
Part	٧	Compliance Questions									
10	Dur	ng the plan year:				Yes	No		Amoun	t	
а		Was there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				10b		X				
С	Was the plan covered by a fidelity bond?				10c	X				35000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						X				
	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)				10e		X				
f	Has the plan failed to provide any benefit when due under the plan?				10f		X			_	
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				10h		X				
i		If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part '	VI	Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500)) Yes								es X No		
12	ls t	is a defined contribution plan subject to the minimum funding rec	quirements of sectio	n 412 of the Code	or se	ction 3	302 of	ERISA?	Ye	es 🔀 No	
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicabl	,								
		vaiver of the minimum funding standard for a prior year is being a ting the waiver.									
	-	ompleted line 12a, complete lines 3, 9, and 10 of Schedule M					Day		rear_		
							12b				
						1	12c				
d	Sub	ract the amount in line 12c from the amount in line 12b. Enter the	e result (enter a min	us sign to the left	of a		12d				
е	Will	the minimum funding amount reported on line 12d be met by the	funding deadline?					Yes	No	N/A	
Part \	VII	Plan Terminations and Transfers of Assets								_	
13a	Has	a resolution to terminate the plan been adopted during the plan y	ear or any prior yea	ır?					Ye	es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						13a		1		
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control						es X No				
	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
13	13c(1) Name of plan(s):					<b>13c(2)</b> EIN(s)			13c	(3) PN(s)	
Cauti	on:	A penalty for the late or incomplete filing of this return/report	will be assessed	unless reasonab	le cau	ıse is	establ	ished.	1		
Under SB or	per Sch	alties of perjury and other penalties set forth in the instructions, I edule MB completed and signed by an enrolled actuary, as well a true, correct, and complete.	declare that I have	examined this retu	ırn/rep	oort, in	cludin	g, if applic			
SIGN	F	Filed with authorized/valid electronic signature.  05/10/2011 CAROL MILLER									
HERE	- Г	Signature of plan administrator	Date	Enter name of ir	name of individual signing as plan administrator						

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor