## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Short Form Annual Return/Report of Small Employee

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	art I Annual Report Identification Information							
For	calendar plan year 2010 or fiscal plan year beginning 01/01/2010	)	and ending	12/31/	2010			
Α .	This return/report is for:    X   single-employer plan	multiple-e	employer plan (not multiemployer)	oyer) one-participant plan				
В	This return/report is for:	final retur	n/report					
	an amended return/report	short plan	year return/report (less than 12 m	onths)				
С	Check box if filing under: Form 5558	automatic	extension		DFVC progra	ım		
	special extension (enter description	n)						
Pa	art II Basic Plan Information—enter all requested informa	ation						
_	Name of plan	20011		1b	Three-digit			
	N H. BROADBENT, D.M.D., LTD. PROFIT SHARING PLAN				plan number	001		
					(PN) <b>•</b>			
				1C	Effective date of 04/01/1			
2a	Plan sponsor's name and address (employer, if for single-employer p	plan)		2b	Employer Identif			
	N H. BROADBENT, D.M.D., LTD.	J-1/			(EIN) 37-1194			
202 [	BURWASH DRIVE			2c	Plan sponsor's t	elephone number		
	OY, IL 61874-9512			24	Business code (			
				Zu	621210	see instructions)		
3a	Plan administrator's name and address (if same as Plan sponsor, en			3b	Administrator's I	ΞIN		
ALAI	N H. BROADBENT, D.M.D., LTD. 303 BURWAS SAVOY, IL 61			2-	37-1194			
				30	217-356	elephone number 6-9855		
4	f the name and/or EIN of the plan sponsor has changed since the las	st return/re	port filed for this plan, enter the	4b	EIN			
I	name, EIN, and the plan number from the last return/report. Sponsor	r's name		40	DN			
52	Total number of participants at the baginning of the plan year				PN	8		
	Total number of participants at the beginning of the plan year					0		
b	Total number of participants at the end of the plan year			5b		0		
С	Total number of participants with account balances as of the end of complete this item)			. 5c		0		
6a	Sa Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							
b	<b>b</b> Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a		•			^ Yes ∐ No		
Pa	If you answered "No" to either 6a or 6b, the plan cannot use Fourt III Financial Information	orm 5500-	or and must instead use Form o	500.				
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year		
	Total plan assets	7a	237397	79	(5) 2.10	0		
b	Total plan liabilities	7b						
С	Net plan assets (subtract line 7b from line 7a)	7c	237397	79		0		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total		otal		
а	Contributions received or receivable from:		4032	22				
	(1) Employers	8a(1)	4032					
	(2) Participants	8a(2)						
	(3) Others (including rollovers)	8a(3)	2022	14				
b	Other income (loss)	8b	28364	14		323966		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				323900		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	267899	91				
е	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)	8f	1895	54				
g	Other expenses	8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				2697945		
i	Net income (loss) (subtract line 8h from line 8c)	8i				-2373979		
i	Transfers to (from) the plan (see instructions)	Ωi						

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9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V	Compliance Questions							
0	Duri	During the plan year:			No		Amount		
а		Was there a failure to transmit to the plan any participant contributions within the time period described i 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			X				
b		re there any nonexempt transactions with any party-in-interest? (Do not include transactions reported ine 10a.)	10b		X				
С	Wa	s the plan covered by a fidelity bond?	10c	X			2	250000	
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud ishonesty?	10d		X				
е	insu	re any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, irance service or other organization that provides some or all of the benefits under the plan? (See ructions.)	10e		X				
f	Has	the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h		is is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h		X				
i		th was answered "Yes," check the box if you either provided the required notice or one of the eptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI	Pension Funding Compliance							
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))								
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
	gran	waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructing the waiver							
		completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		Г	12b				
		er the minimum required contribution for this plan year							
	Enter the amount contributed by the employer to the plan for this plan year								
		tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left ative amount)			12d				
е	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
art '	VII	Plan Terminations and Transfers of Assets							
3a	Has	a resolution to terminate the plan been adopted during the plan year or any prior year?					X Yes	No	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Wer	e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought to PBGC?	under	the co		•	X Yes	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the assets or liabilities were transferred. (See instructions.)	ne pla	n(s) to	ı				
1;	3c(1)	Name of plan(s):		13	c(2) E	IN(s)	13c(3)	PN(s)	
auti	on: /	A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	estab	lished.			
Inde	pen	nalties of perjury and other penalties set forth in the instructions, I declare that I have examined this returnled like the Bound of the penalties set for the instructions, I declare that I have examined this returnled like the set of the penalties of the pena	ırn/re <sub>l</sub>	oort, in	cludin	g, if appli			

SIGN	Filed with authorized/valid electronic signature.	05/12/2011	ALAN H. BROADBENT, D.M.D.		
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator		
SIGN	Filed with authorized/valid electronic signature.	05/12/2011	ALAN H. BROADBENT, D.M.D.		
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor		