Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2040

OMB Nos. 1210-0110

1210-0089

2010

This Form is Open to Public Inspection

	art I Annual Report Identification Information				
For	calendar plan year 2010 or fiscal plan year beginning 01/01/201	10	and ending	12/31/	2010
A	This return/report is for: Single-employer plan	multiple-e	mployer plan (not multiemployer)		one-participant plan
В -	This return/report is for: first return/report	final retur	n/report		
	an amended return/report	short plan	year return/report (less than 12 m	onths)	
C	Check box if filing under: Form 5558	automatic	extension		DFVC program
	special extension (enter descripti	on)			_
Pa	Int II Basic Plan Information—enter all requested inform	nation			
	Name of plan			1b	Three-digit
HIGR	OUP, LLC 401(K) PLAN				plan number 001
				10	(PN)
				10	Effective date of plan 01/01/1998
	Plan sponsor's name and address (employer, if for single-employer	r plan)		2b	Employer Identification Number
HIGR	OUP, LLC				(EIN) 36-4027353
875 N	NORTH MICHIGAN AVENUE			2c	Plan sponsor's telephone number 312-794-8400
	COCK BUILDING SUITE 37 AGO, IL 60611			2d	Business code (see instructions)
	7,700, 12 00011				531390
	Plan administrator's name and address (if same as Plan sponsor, 6 OUP, LLC 875 NORTH			3b	Administrator's EIN 36-4027353
	HANCOCK CHICAGO, I	BUILDING		3c	Administrator's telephone number
	CHICAGO, I	L 00011			312-794-8400
	f the name and/or EIN of the plan sponsor has changed since the la		port filed for this plan, enter the	4b	EIN
,	name, EIN, and the plan number from the last return/report. Sponse	or s name		4c	PN
5a	Total number of participants at the beginning of the plan year			. 5a	9
b	b Total number of participants at the end of the plan year				4
C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not					
С	Total number of participants with account balances as of the end of	of the plan y	ear (defined benefit plans do not	5b	
С	Total number of participants with account balances as of the end complete this item)		•		4
6a	complete this item)	ole assets?	(See instructions.)	. 5c	
6a	Complete this item)	ole assets? an indeper	(See instructions.)dent qualified public accountant (I	. 5c	Yes No
6a	complete this item)	ole assets? an indeper and conditi	(See instructions.)dent qualified public accountant (Ioons.)	. 5c	Yes No
6a b	complete this item)	ole assets? an indeper and conditi	(See instructions.)dent qualified public accountant (Ioons.)	. 5c	Yes No
6a b	complete this item)	ole assets? an indeper and conditi	(See instructions.)	2PA)	Yes No
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6a b Pa 7 a	complete this item)	ole assets? an indeper and conditi form 5500-	(See instructions.)	DPA) 500.	(b) End of Year 650965
6a b Pa 7 a b	complete this item)	ole assets? an indeper and conditi form 5500-	(See instructions.)	DPA) 500.	Yes No No Yes No No
6a b Pa 7 a b c 8	Complete this item)	ole assets? an indeper and conditi form 5500-	(See instructions.)	DPA) 500.	(b) End of Year 650965
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6a b Pa 7 a b c 8	complete this item)	pole assets? an indeper and conditi form 5500- 7a 7b 7c 8a(1)	(See instructions.)	DPA) 500.	(b) End of Year 650965
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6a b Pa 7 a b c 8 a	complete this item)	7a 7b 7c 8a(1) 8a(2) 8a(3)	(See instructions.)	5c SPA) 500.	(b) End of Year 650965
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Pa 7 a b c 8 a	complete this item)	nindeper and conditi form 5500- 7a 7b 7c 8a(1) 8a(2) 8a(3) 8c 8c 8d	(See instructions.)	5c SPA) 500.	(b) End of Year 650965 0 650965 (b) Total
Fa b c 8 a b c d	Complete this item)	7a 7b 7c 8a(1) 8a(2) 8b 8c 8d 8e	(See instructions.)	. 5c	(b) End of Year 650965 0 650965 (b) Total
Pa 7 a b c 8 a b c d e f	Complete this item)	an indeper and condition 5500- 7a	(See instructions.)	. 5c	(b) End of Year 650965 0 650965 (b) Total
Pa 7 a b c 8 a b c d e f g	Complete this item)	nole assets? an indeper and conditi form 5500- 7a 7b 7c 8a(1) 8a(2) 8a(3) 8b 8c 8d 8e 8f	(See instructions.)	. 5c	(b) End of Year 650965 (b) Total
Pa 7 a b c 8 a b c d e f	Complete this item)	oble assets? an indeper and conditi form 5500- 7a 7b 7c 8a(1) 8a(2) 8a(3) 8b 8c 8d 8e 8f 8g 8h	(See instructions.)	. 5c	(b) End of Year 650965 (b) Total 73962
Pa 7 a b c 8 a b c d e f g	Complete this item)	oble assets? an indeper and conditi form 5500- 7a 7b 7c 8a(1) 8a(2) 8a(3) 8b 8c 8d 8e 8f 8g 8h 8i	(See instructions.)	. 5c	(b) End of Year 650965 (b) Total

	Form 5500-SF 2010 Page 2-		_							
Par	t IV Plan Characteristics									
Эа	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara	cteris	tic Co	des in t	the instructions:					
	2E 2F 2G 2J 2T 3D									
D	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
art	V Compliance Questions									
0	During the plan year:		Yes	No	Amount					
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		Χ						
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X						
С	Was the plan covered by a fidelity bond?	10c	X		500000					
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X						
е		100								
	insurance service or other organization that provides some or all of the benefits under the plan? (See	10e		X						
f	Has the plan failed to provide any benefit when due under the plan?	10f		X						
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10q		X						
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X						
İ	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i								
art	VI Pension Funding Compliance	•	•	•						
11										
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No									
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)									
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver									
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day _						
b	Enter the minimum required contribution for this plan year			12b						
С	Enter the amount contributed by the employer to the plan for this plan year			12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount)			12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes No N/A					
art	VII Plan Terminations and Transfers of Assets									
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?				Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought u of the PBGC?	ınder	the co		Yes X No					
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)									

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

13c(1) Name of plan(s):

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

13c(2) EIN(s)

13c(3) PN(s)

SIGN	Filed with authorized/valid electronic signature.	05/26/2011	DOUGLAS CAMERON
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor