Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	 Complete all entries in accor 	dance wit	h the instructions to the Form 550	0-SF.	1
		ntification Information				
For	calendar plan year 2010 or fiscal p	lan year beginning 01/01/201	0	and ending 1	2/17/2	2010
Α.	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan
В	This return/report is for:	irst return/report	final retur	n/report		
		an amended return/report	short plar	year return/report (less than 12 mor	nths)	
С	Check box if filing under:	Form 5558	automatio	extension		DFVC program
	The second secon	special extension (enter description	ı			
Da		tion—enter all requested inform	,			
	Name of plan	tion—enter all requested inform	alion		1h	Three-digit
	Name of Pian FIN BENEFITS CONSULTING LLC	C 401(K)/PROFIT SHARING PLA	N		10	plan number
	52.121 110 001100211110 220	5 101(10)/1 1001 11 01 11 11 11 11 10 1 L 1				(PN) ▶ 001
					1c	Effective date of plan
						12/31/2003
	Plan sponsor's name and address		plan)		2b	Employer Identification Number 26-3631082
WAR	TIN BENEFITS CONSULTING LLC				20	(EIN) 26-3631082 Plan sponsor's telephone number
	43RD ST FL 18				20	212-818-9570
NEW	YORK, NY 10017-4707				2d	Business code (see instructions)
						524210
3a MAR	Plan administrator's name and add	dress (if same as Plan sponsor, e 211 E 43RD	enter "Same ST FL 18) ")	3b	Administrator's EIN 26-3631082
		NEW YORK,		7-4707	3c	Administrator's telephone number
					•	212-818-9570
	the name and/or EIN of the plan s			port filed for this plan, enter the	4b	EIN
-	name, EIN, and the plan number fro	om the last return/report. Sponso	or's name		4c	PN
5a	Total number of participants at the	heginning of the plan year			5a	6
b						0
	• •	5b	· ·			
С	Total number of participants with a complete this item)			ear (defined benefit plans do not	5c	0
6a	Were all of the plan's assets during	ng the plan year invested in eligib	le assets?	(See instructions.)		Yes No
b	Are you claiming a waiver of the a	annual examination and report of	an indeper	ndent qualified public accountant (IQI	PA)	
	•			ons.)		Yes No
D-			orm 5500-	SF and must instead use Form 55	00.	
	rt III Financial Information	on			1	
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year
	Total plan assets		. 7a	300030	_	0
b	Total plan liabilities			368836		0
<u>c</u>	Net plan assets (subtract line 7b f		. 7с		<u>'</u>	
8	Income, Expenses, and Transfers			(a) Amount		(b) Total
а	Contributions received or receivable (1) Employers	oie from:	. 8a(1)	115664		
	(2) Participants		, ,	26896	5	
	(3) Others (including rollovers)		` '	8563	3	
b	Other income (loss)		, ,	53423	3	
C	Total income (add lines 8a(1), 8a(204546
d	Benefits paid (including direct rollo					
	to provide benefits)		. 8d	573116	_	
е	Certain deemed and/or corrective	distributions (see instructions)	. 8e	(_	
f	Administrative service providers (s	salaries, fees, commissions)	ons) 8f		_	
g	Other expenses		. 8g	()	
h	Total expenses (add lines 8d, 8e,	8f, and 8g)	. 8h			573382
i	Net income (loss) (subtract line 8h	n from line 8c)	. 8i			-368836
i	Transfers to (from) the plan (see in	fers to (from) the plan (see instructions)		0) [

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٥r	t IV Plan Characteristics					_
а	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Character 2A 2E 2F 2G 2J 2T 3D If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Character 1. The plan provides welfare benefits and the plan provides we					_
art	V Compliance Questions					_
)	During the plan year:		Yes	No	Amount	_
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		_
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X		
С	Was the plan covered by a fidelity bond?	10c	X		500000)
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X		_
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e	X		3942)
f	Has the plan failed to provide any benefit when due under the plan?	10f		X		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		Χ		_
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				
rt	VI Pension Funding Compliance					
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))				` \ \/ \ \ \I	
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or sec	ction 3	302 of I	ERISA? Yes No	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)					
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver					
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_	1	T	_
b	Enter the minimum required contribution for this plan year			12b		
С	Enter the amount contributed by the employer to the plan for this plan year			12c		

Part VII Plan Terminations and Transfers of Assets

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PRGC2

e Will the minimum funding amount reported on line 12d be met by the funding deadline?.....

of the PBGC?......

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a

which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):

13c(2) E

 13c(1) Name of plan(s):
 13c(2) EIN(s)
 13c(3) PN(s)

12d

Yes

No

Yes

X Yes No

N/A

No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	06/03/2011	GERRY LESKE
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	06/03/2011	GERRY LESKE
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor