Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

	Complete all entries	in accorda	nce with	the instructions to the Form 5500	0-SF.			
	art I Annual Report Identification Informa							
For	calendar plan year 2010 or fiscal plan year beginning	01/01/2010		and ending 1	2/31/2	2010		
Α.	This return/report is for: Single-employer plan	m	nultiple-e	mployer plan (not multiemployer)		one-participant plan		
	This return/report is for: first return/report	fi	nal retur	n/report				
	an amended return/repo	ort sl	hort plan	year return/report (less than 12 mor	nths)			
C	Check box if filing under: Form 5558	a	utomatic	extension		DFVC program		
	special extension (enter	description))					
Pa	rt II Basic Plan Information—enter all reques	ted information	on					
	Name of plan				1b	Three-digit		
	ONA PEDIATRICS PC 401 K PROFIT SHARING PLAN T	RUST				plan number 001		
					4 -	(PN) •		
					1C	Effective date of plan 10/01/1999		
2a	Plan sponsor's name and address (employer, if for single-	-employer pla	an)		2b	Employer Identification Number		
	ONA PEDIATRICS PC		,			(EIN) 13-4057139		
4C M	EDICAL PARK DR				2c Plan sponsor's telephone nu 845-362-0259			
	ONA, NY 10970				2d	Business code (see instructions)		
					-	621111		
	Plan administrator's name and address (if same as Plan s	sponsor, ente			3b	Administrator's EIN 13-4057139		
1 Olvi		MONA, NY		`	30	Administrator's telephone number		
						845-362-0259		
	the name and/or EIN of the plan sponsor has changed si			port filed for this plan, enter the	4b	EIN		
	name, EIN, and the plan number from the last return/repor ONA PEDIATRICS P C	t. Sponsor's	name		4c	PN		
	Total number of participants at the beginning of the plan	year			5a	28		
b						27		
С				ear (defined benefit plans do not	5b	0.4		
	complete this item)				5c	24		
	Were all of the plan's assets during the plan year invested	ū		'		Yes No		
р	Are you claiming a waiver of the annual examination and under 29 CFR 2520.104-46? (See instructions on waiver					X Yes ☐ No		
	If you answered "No" to either 6a or 6b, the plan can			,				
Pa	rt III Financial Information							
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year		
а	Total plan assets		7a	1956948	3	2330326		
b	Total plan liabilities		7b	C)	0		
С	Net plan assets (subtract line 7b from line 7a)		7c	1956948	3	2330326		
8	Income, Expenses, and Transfers for this Plan Year			(a) Amount		(b) Total		
а	Contributions received or receivable from:		0-(4)	64985	5			
	(1) Employers		8a(1)	125742				
	(3) Others (including rollovers)		8a(2) 8a(3)	0	_			
b	Other income (loss)		8b	402384				
_	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		8c			593111		
c d	Benefits paid (including direct rollovers and insurance pre	-	OC					
-	to provide benefits)		8d	219653	3			
е	Certain deemed and/or corrective distributions (see instru	uctions)	8e	С	!			
f	Administrative service providers (salaries, fees, commiss	ions)	8f	80				
g	Other expenses		8g	C)			
h	Total expenses (add lines 8d, 8e, 8f, and 8g)		8h			219733		
i	Net income (loss) (subtract line 8h from line 8c)		8i			373378		
i	Transfers to (from) the plan (see instructions)		8i	O)			

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ar	t IV Plan Characteristics					
•	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara 2E 2G 2J 2K 2T 3D	acteris	tic Co	des in	the instructions:	
)	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:					
rt	V Compliance Questions					
	During the plan year:		Yes	No	Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X		
С	Was the plan covered by a fidelity bond?	10c		X		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X		

10e

10f

10g

52519

Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See

g Did the plan have any participant loans? (If "Yes," enter amount as of year end.).....

h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR Χ 10h 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3..... 10i Part VI **Pension Funding Compliance** 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form Yes 5500))......______ 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?.. (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver......Month _ Dav If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. 12b b Enter the minimum required contribution for this plan year..... 12c C Enter the amount contributed by the employer to the plan for this plan year..... d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a 12d negative amount) Yes No N/A e Will the minimum funding amount reported on line 12d be met by the funding deadline?..... Part VII **Plan Terminations and Transfers of Assets** 13a Has a resolution to terminate the plan been adopted during the plan year or any prior year?

	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?					
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)					
1	3c(1) Name of plan(s):	13c(2) EIN	(s)	13c(3) PN(s)		

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	06/08/2011	POMONA PEDIATRICS PC
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor