Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

P	art I Annual Report	Identification Information							
For	calendar plan year 2010 or fis	cal plan year beginning 01/01/20	10	and ending	2/31/2	2010			
Α	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)	employer) one-participant plan				
	This return/report is for:	first return/report	final retur	n/report		_			
_		an amended return/report	short plar	year return/report (less than 12 mo	nths)				
_	Observit filing and an	☐ Form 5558 ☐	╡ :	extension		DFVC program			
C	Check box if filing under:			, exterision		U Drve program			
_		special extension (enter description)							
		rmation—enter all requested inform	nation		41-				
	Name of plan TER STEWART & ASSOCIAT	ES, INC. 401(K) PROFIT SHARING	PLAN		10	Three-digit plan number (PN) • 001			
					1c	Effective date of plan 01/01/1989			
2a POT	Plan sponsor's name and add TER STEWART & ASSOCIAT	dress (employer, if for single-employers, INC.	r plan)			Employer Identification Number (EIN) 91-1194545			
	11 NORTHUP WAY - SUITE 2 [,] LEVUE, WA 98005-1969	10				Plan sponsor's telephone number 425-867-5800			
	·		. "0	10		Business code (see instructions) 541400			
POT	Plan administrator's name an TER STEWART & ASSOCIAT	d address (if same as Plan sponsor, 'ES, INC. 12611 NOR BELLEVUE	THUP WAY	′ - SUITE 210		Administrator's EIN 91-1194545 Administrator's telephone number			
4	If the name and/or FIN of the r	olan sponsor has changed since the la	ast return/re	port filed for this plan, enter the	4b	425-867-5800			
		per from the last return/report. Spons		port mod for time plant, enter the					
					4c	PN			
5a	Total number of participants	at the beginning of the plan year			5a	5			
b	Total number of participants at the end of the plan year					3			
С		with account balances as of the end		•	5c	3			
6a	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
b		the annual examination and report of (See instructions on waiver eligibility				X Yes ☐ No			
		ther 6a or 6b, the plan cannot use I							
Pa	art III Financial Inforn								
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year			
а	Total plan assets		7a	43046	5	367560			
b	Total plan liabilities		7b)	0			
С	Net plan assets (subtract line	e 7b from line 7a)		43046	5	367560			
8	Income, Expenses, and Tran			(a) Amount		(b) Total			
а				,	1				
	(1) Employers		8a(1))				
	(2) Participants		8a(2))				
	(3) Others (including rollover	rs)	8a(3))				
b	.	·							
_	Other income (loss)	····	8b	37180	6				
С	` ,), 8a(2), 8a(3), and 8b)		3718	6	37186			
d	Total income (add lines 8a(1) Benefits paid (including direct		8c	9372	3	37186			
	Total income (add lines 8a(1) Benefits paid (including directo provide benefits)), 8a(2), 8a(3), and 8b)t rollovers and insurance premiums	8c	93720	3	37186			
d	Total income (add lines 8a(1) Benefits paid (including directo provide benefits)), 8a(2), 8a(3), and 8b) trollovers and insurance premiums	8c 8d 8e	93721	B 0 5	37186			
d e	Total income (add lines 8a(1) Benefits paid (including directo provide benefits) Certain deemed and/or corre Administrative service provid	trollovers and insurance premiums	8c 8d 8e 8f	93720	B 0 5				
d e f	Total income (add lines 8a(1) Benefits paid (including directo provide benefits) Certain deemed and/or corre Administrative service provid Other expenses	otive distributions (see instructions)	8c 8d 8e 8f 8g	93721	B 0 5	37186			
d e f g	Total income (add lines 8a(1) Benefits paid (including directo provide benefits) Certain deemed and/or corre Administrative service provid Other expenses Total expenses (add lines 8d	trollovers and insurance premiums ctive distributions (see instructions)ers (salaries, fees, commissions)	8c 8d 8d 8e 8f 8g 8h	93721	B 0 5				

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Part IV	Plan	(`harac	teristics
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9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2K

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V	Compliance Questions						
0	Dur	ing the plan year:		Yes	No		Amount	
а		Vas there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						
b		re there any nonexempt transactions with any party-in-interest? (Do not include transactions reported ine 10a.)	10b		X			
С	Wa	s the plan covered by a fidelity bond?	10c	X				50000
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud ishonesty?	10d		X			
е	insu	re any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, irance service or other organization that provides some or all of the benefits under the plan? (See ructions.)	10e		X			
f	Has	the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X				44924
h		is is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h		X			
i		Oh was answered "Yes," check the box if you either provided the required notice or one of the eptions to providing the notice applied under 29 CFR 2520.101-3	10i					
art	VI	Pension Funding Compliance						
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))							
2								
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						
	gran	waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructing the waiver						
		completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			12b			
		er the minimum required contribution for this plan year			12c			
		er the amount contributed by the employer to the plan for this plan yeartract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left			120			
u		tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left ative amount)			12d			
е	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
art	VII	Plan Terminations and Transfers of Assets						
3a	Has	a resolution to terminate the plan been adopted during the plan year or any prior year?		<u>.</u>			Yes	s X No
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b	Wer	e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought be PBGC?	under	the co			Yes	s X No
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the hassets or liabilities were transferred. (See instructions.)	ne pla	n(s) to				
1	3c(1)	Name of plan(s):		13	c(2) E	IN(s)	13c(3) PN(s)
auti	on:	A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	estab	lished.		
Inde	r pen	nalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/	ırn/re _l	port, ir	cludin	g, if appl		

SIGN	Filed with authorized/valid electronic signature.	06/09/2011	GARY POTTER			
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN	Filed with authorized/valid electronic signature.	06/09/2011	GARY POTTER			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			