## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2040

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance wit	h the instructions to the Form 550	0-SF.	1			
		entification Information							
For	calendar plan year 2010 or fiscal	plan year beginning 01/01/201	0	and ending 1	2/31/2	2010			
A	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
	This return/report is for:	first return/report	final retur	n/report					
		an amended return/report	short plar	n year return/report (less than 12 mor	nths)				
С	Check box if filing under:	Form 5558	automatio	extension		DFVC program			
	Ī								
Pa	rt II Basic Plan Inform	ation—enter all requested inform	,						
	Name of plan	Chief all requested inform	lation		1b	Three-digit			
	RK GLEASON DDS PC 401(K) F	PROFIT SHARING PLAN & TRU				plan number 001			
					_	(PN) ▶			
					1c	Effective date of plan 01/01/2003			
2a	Plan enoneor's name and address	ss (employer, if for single-employer	· nlan)		2h	Employer Identification Number			
	RK GLEASON DDS	33 (employer, ii for single employer	piarij		20	(EIN) 14-1615433			
004					2c	Plan sponsor's telephone number			
	ROUTE 146 TON PARK, NY 12065				24	518-371-0224			
					<b>2</b> a	Business code (see instructions) 621210			
3a	Plan administrator's name and a	ddress (if same as Plan sponsor, e	enter "Same	e")	3b	Administrator's EIN			
G. KI	RK GLEASON DDS	981 ROUTE CLIFTON PA		2065		14-1615433			
		3с	Administrator's telephone number 518-371-0224						
4	f the name and/or EIN of the plan	port filed for this plan, enter the	4b EIN						
	name, EIN, and the plan number	,	_						
					4c				
					5a	15			
b	·	he end of the plan year			5b	15			
С	• • •	n account balances as of the end o		rear (defined benefit plans do not	5c	14			
6a	Were all of the plan's assets du	ring the plan year invested in eligib	ole assets?	(See instructions.)		Yes No			
b	Are you claiming a waiver of the	annual examination and report of	an indeper	ndent qualified public accountant (IQI	PA)				
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
Do	rt III Financial Informat		orm 5500-	SF and must instead use Form 55	00.				
		tion		T					
7		n Assets and Liabilities (a) Beginning of Year				(b) End of Year 893635			
	Total plan assets		. <u>7a</u>	743612	-	893033			
b	•			743612	)	893635			
<u>c</u>		from line 7a)	. 7с		-				
8	Income, Expenses, and Transfe			(a) Amount		(b) Total			
а	Contributions received or received (1) Employers	able from:	. 8a(1)	34462	2				
	2) Participants				7				
	• •								
b	, ,			114871					
С	Total income (add lines 8a(1), 8	a(2), 8a(3), and 8b)				245360			
d	Benefits paid (including direct ro			0522	,				
	to provide benefits)		. 8d	95337	4				
е		ve distributions (see instructions)			4				
f	Administrative service providers	(salaries, fees, commissions)	8f		4				
g	Other expenses		. 8g			0-00-			
h		e, 8f, and 8g)				95337			
į		8h from line 8c)				150023			
j	Transfers to (from) the plan (see	e instructions)	. 8i						

	F	orm 5500-SF 2010 Page <b>2-</b>								
Par	t IV	Plan Characteristics								
Эа		plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Char $_{ m F}$ 2G 2J 2K 3D	acteris	stic Co	des in	the instru	ıctior	ns:		
b		olan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	acteris	tic Coc	les in	the instru	ction	s:		
<b>)</b> 4	W	Oamulianaa Ouastiana								
art		Compliance Questions		V	Na					
0		annig and plant year.		Yes	No		An	nount		
а		as there a failure to transmit to the plan any participant contributions within the time period described in 2 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			Х					
b		ere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported line 10a.)			X					
С	Was	the plan covered by a fidelity bond?	10c	X					75	000
d		ne plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud shonesty?	10d		X					
е	insur	any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, ance service or other organization that provides some or all of the benefits under the plan? (See ictions.)	10e		Х					
f	Has t	he plan failed to provide any benefit when due under the plan?	10f		X					
g	Did tl	ne plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X					6	000
h		is an individual account plan, was there a blackout period? (See instructions and 29 CFR .101-3.)	10h		X					
i		was answered "Yes," check the box if you either provided the required notice or one of the otions to providing the notice applied under 29 CFR 2520.101-3	10i							
art	VI	Pension Funding Compliance								
11	Is this	a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con					. [	Yes	 ; []	No
2		s a defined contribution plan subject to the minimum funding requirements of section 412 of the Code						Yes	, X	No
	(If "Ye	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)					_			
а	<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If ·		empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day		16	aı		-
	Enter the minimum required contribution for this plan year									
	Enter the amount contributed by the employer to the plan for this plan year				12c					
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)				12d					
е	Will th	ne minimum funding amount reported on line 12d be met by the funding deadline?		<u> </u>		Yes		No	N	I/A
	VII	Plan Terminations and Transfers of Assets								

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? ..... If "Yes," enter the amount of any plan assets that reverted to the employer this year.....

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control

of the PBGC?..... If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

Yes X No

Yes X No

## Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	06/20/2011	DALE GLEASON				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN							
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				