## Form 5500-SF

Department of the Treasury
Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2010

Inspection

This Form is Open to Public

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

**Annual Report Identification Information** For calendar plan year 2010 or fiscal plan year beginning 12/31/2010 and ending single-employer plan multiple-employer plan (not multiemployer) one-participant plan A This return/report is for: first return/report final return/report **B** This return/report is for: an amended return/report short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Three-digit 1a Name of plan plan number ALLEN J PINTOFF, DDS, PC EMPLOYEES' PROFIT SHARING PLAN AND TRUST 002 (PN) ▶ 1c Effective date of plan 10/13/1979 2a Plan sponsor's name and address (employer, if for single-employer plan) 2b Employer Identification Number 11-2514096 ALLEN J PINTOFF, DDS, PC (EIN) 2c Plan sponsor's telephone number 268 EAST MAIN STREET EAST ISLIP, NY 11730 2d Business code (see instructions) 621210 3a Plan administrator's name and address (if same as Plan sponsor, enter "Same") **3b** Administrator's EIN ALLEN J PINTOFF, DDS, PC 268 EAST MAIN STREET 11-2514096 EAST ISLIP, NY 11730 3c Administrator's telephone number 631-581-2288 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year..... 5a 8 **b** Total number of participants at the end of the plan year..... 5b C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) X Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III | Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 2171148 2528364 a Total plan assets..... 7a 0 **b** Total plan liabilities..... 7b 2171148 2528364 Net plan assets (subtract line 7b from line 7a)..... 7с 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 43075 8a(1) (1) Employers ..... 39400 8a(2) (2) Participants ..... 0 (3) Others (including rollovers)..... 8a(3) 274741 Other income (loss)..... 8b 357216 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) ..... 8с Benefits paid (including direct rollovers and insurance premiums 0 to provide benefits)..... 8d 0 Certain deemed and/or corrective distributions (see instructions) ... 8e 0 Administrative service providers (salaries, fees, commissions)...... 8f 0 Other expenses..... 8g Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h 357216 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions) ..... 0

	F	Form 5500-SF 2010 Page <b>2-</b>								
ar	t IV	Plan Characteristics								-
		plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Char 2E 2G 2J 2R 3D	acteris	tic Co	des in	the instruc	ctions	3:		_
		plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	acteris	tic Cod	des in t	he instruc	tions	:		
_										
art	t V	Compliance Questions								
)	Durii	ng the plan year:		Yes	No		Am	ount		_
	29 (	s there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		_			
b		e there any nonexempt transactions with any party-in-interest? (Do not include transactions reported ne 10a.)	10b		X					_
С	Was	s the plan covered by a fidelity bond?	10c	X		İ			240000	
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud ishonesty?	10d		X					_
е	insu	e any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, rance service or other organization that provides some or all of the benefits under the plan? (See ructions.)	10e		X					
f	Has	the plan failed to provide any benefit when due under the plan?	10f		X	1				
g	Did t	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h		is is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h		X					Ī
i		th was answered "Yes," check the box if you either provided the required notice or one of the eptions to providing the notice applied under 29 CFR 2520.101-3	10i							
ırt	VI	Pension Funding Compliance								_
1		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com						Yes	☐ No	_
2	Is th	nis a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of E	ERISA?		Yes	X No	
	•	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year									
lf :	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			-					_
b	Ente	nter the minimum required contribution for this plan year			12b					
С	Ente	nter the amount contributed by the employer to the plan for this plan year								
d		Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								_
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?					Yes	1	No	N/A	

**Plan Terminations and Transfers of Assets** 

**Part VII** 

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? ..... If "Yes," enter the amount of any plan assets that reverted to the employer this year.....

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

Yes X No

## Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	06/24/2011	ALLEN J. PINTOFF, PRESIDENT
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

## Form 5500-SF

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Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	► Complete all entries in accord	dance wit	n the instructions to the Form 550	0-SF.	_			
	art I Annual Report Identification Information							
For	For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010							
Α	This return/report is for: X single-employer plan	multiple-e	employer plan (not multiemployer)		one-participat	nt plan		
В	This return/report is for:	final retur	n/report					
	an amended return/report	short plan	year return/report (less than 12 mo.	nths)				
С	Check box if filing under:	automatic	extension		DFVC progra	m		
	special extension (enter description							
P	art II   Basic Plan Information—enter all requested informa	•						
	Name of plan	311011		1b	Three-digit			
	ALLEN J PINTOFF, DDS, PC EMPLOYEES' PROF	IT SHA	RING PLAN AND TRUST		plan number			
				(PN) ▶	002			
				1c	Effective date of	•		
22	Plan anapage name and address (ampleyer if for single ampleyer	nlos)		26	10/13/1979			
Za	Plan sponsor's name and address (employer, if for single-employer ALLEN J PINTOFF, DDS, PC $$	r pian)			2b Employer Identification Number (EIN) 11 - 2514096 2c Plan sponsor's telephone number			
	OCO TACH MATH CHAPPE							
	268 EAST MAIN STREET				631-581-22			
	EAST ISLIP NY 11730			2d	Business code (s 621210	see instructions)		
3a	Plan administrator's name and address (if same as Plan sponsor, er	nter "Same	9")	3h	Administrator's B			
	Plan administrator's name and address (if same as Plan sponsor, earlier J PINTOFF, DDS, PC $$		,		11-2514096			
	268 EAST MAIN STREET			3с		elephone number		
4	EAST ISLIP NY 11730			41	631-581-22	288		
	f the name and/or EIN of the plan sponsor has changed since the las name, EIN, and the plan number from the last return/report. Sponsoi		port filed for this plan, enter the	4b	EIN			
				4c	PN			
<b>5</b> a	Total number of participants at the beginning of the plan year			5a		8		
b	Total number of participants at the end of the plan year					8		
С	C Total number of participants with account balances as of the end of the plan year (defined benefit p							
	complete this item)		10000	5c	<u> </u>	8		
	Were all of the plan's assets during the plan year invested in eligible					X Yes No		
b	Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a					X Yes No		
	If you answered "No" to either 6a or 6b, the plan cannot use Fo							
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year		
а	Total plan assets	7a	217114	8	2528364			
b	Total plan liabilities	7b		0				
С	Net plan assets (subtract line 7b from line 7a)	7c	217114	.8 252836				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) T	otal		
а	Contributions received or receivable from:			_				
	(1) Employers	8a(1)	4307	$\neg$				
	(2) Participants	8a(2)	3940	$\neg$				
	(3) Others (including rollovers)	8a(3)		<u>0</u>				
b	Other income (loss)	8b	27474					
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				357216		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0				
е	Certain deemed and/or corrective distributions (see instructions)	8e		0				
f	Administrative service providers (salaries, fees, commissions)	8f		0				
g	Other expenses	8g	<del></del>	0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				0		
i	Net income (loss) (subtract line 8h from line 8c)	8i		35				
i	Transfers to (from) the plan (see instructions)	01		0		<del>-</del>		

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Signature of employer/plan sponsor

Form 5500-SF 2010 Page 2-Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions During the plan year: Yes No a Was there a failure to transmit to the plan any participant contributions within the time period described in Amount 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) ...... Х **b** Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported 10a on line 10a.) Х 10b C Was the plan covered by a fidelity bond?..... 10c 240000 d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?.... Χ 10d Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See Х 10e Has the plan failed to provide any benefit when due under the plan? ..... х 10f Did the plan have any participant loans? (If "Yes," enter amount as of year end.)..... Х 10g If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR Х 10h If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3..... Pension Funding Compliance is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))..... Yes Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?.. 12 (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. ......Month \_\_\_\_\_ If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. b Enter the minimum required contribution for this plan year..... 12b Enter the amount contributed by the employer to the plan for this plan year..... 12c Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) 12d e Will the minimum funding amount reported on line 12d be met by the funding deadline?..... N/A Part VII Plan Terminations and Transfers of Assets 13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? ..... If "Yes," enter the amount of any plan assets that reverted to the employer this year..... Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control Yes X No If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) 13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s) Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. ALLEN J. PINTOFF, PRESIDENT SIGN HERE Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN HERE

Date

Enter name of individual signing as employer or plan sponsor