Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

2010

OMB Nos. 1210-0110

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	rdance witl	n the instructions to the Form 550	0-SF.			
		lentification Information						
For	calendar plan year 2010 or fisca	al plan year beginning 01/01/201	10	and ending 1	2/31/2	2010		
Α -	This return/report is for:	single-employer plan	multiple-e	mployer plan (not multiemployer)		one-participa	ant plan	
В -	This return/report is for:	first return/report	n/report		_			
		an amended return/report	short plar	year return/report (less than 12 mo	nths)			
C Check box if filing under: Form 5558 auto				extension		DFVC progra	am	
		special extension (enter descripti	on)					
Pa	rt II Basic Plan Inforr	nation—enter all requested inform	nation					
1a	Name of plan				1b	Three-digit		
MILT	ON O.C. HAYNES, MD, PC, PF	ROFIT SHARING PLAN				plan number	001	
					4.0	(PN) •		
					10	Effective date of 08/01/2		
	•	ess (employer, if for single-employe	r plan)		2b	Employer Ident	ification Number	
MILT	ON O.C. HAYNES, MD, PC				(EIN) 11-2568034			
	EAST 76TH ST.				2c Plan sponsor's telephone numl 212-744-7727			
NYC,	NY 10021				2d		(see instructions)	
32	Plan administrator's name and	address (if same as Plan sponsor, e	anter "Same	2")	3h	621111 Administrator's		
MILT	ON O.C. HAYNES, MD, PC	231 EAST 7 NYC, NY 10	6TH ST.	7)	35	11-256		
		1410, 141 10	1021		3с		telephone number 4-7727	
4 1	f the name and/or EIN of the pla	in sponsor has changed since the la	ast return/re	port filed for this plan, enter the	4b	EIN		
name, EIN, and the plan number from the last return/report. Sponsor's name					4c PN			
5a	Total number of participants at	the beginning of the plan year			5a	FIN	22	
_	a Total number of participants at the beginning of the plan year b Total number of participants at the end of the plan year			5b	<u>а</u>			
					35			
	complete this item)				5c		7	
	•	. , ,		(See instructions.)			Yes N	
a				ndent qualified public accountant (IQ ons.)			X Yes N	
				SF and must instead use Form 55				
Pa	rt III Financial Informa							
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End	l of Year	
а	Total plan assets		7a	334864			322012	
b	Total plan liabilities		7b	(0 0			
С	Net plan assets (subtract line 7	'b from line 7a)	7с	334864	1	322012		
8	Income, Expenses, and Transf	ers for this Plan Year		(a) Amount		(b) Total		
а	Contributions received or received		2 (1)	5357	7			
	• • • • • • • • • • • • • • • • • • • •		` '		_			
			1		_			
	, ,)	` '	33576	=			
	` ,			33376	,		38933	
C C		8a(2), 8a(3), and 8b)	8c				3033.	
d		rollovers and insurance premiums	8d	51785	5			
е	Certain deemed and/or correct	ive distributions (see instructions)	8e					
f	Administrative service provider	rs (salaries, fees, commissions)	<u>8f</u>					
g	Other expenses		8g					
h	Total expenses (add lines 8d, 8	Be, 8f, and 8g)	8h				5178	
i	Net income (loss) (subtract line	e 8h from line 8c)	<u>8i</u>				-12852	
j	Transfers to (from) the plan (se	ee instructions)	8i					

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Part IV	Dian	('harac	tarietice
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SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 3D

b	If the	e plan provides welfare benefits, enter the applicable welfare featur	re codes from the l	ist of Plan Charac	cteris	tic Cod	des in	the instruct	ions:	
Part	٧	Compliance Questions								
10	Dui	ing the plan year:				Yes	No		Amount	
а		Was there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b					10b		X			
С	Was the plan covered by a fidelity bond?				10c	X			100000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?						X			
	ins	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	Has	s the plan failed to provide any benefit when due under the plan?			10f		X			
g	Did	the plan have any participant loans? (If "Yes," enter amount as of y	year end.)		10g		X			
h		is is an individual account plan, was there a blackout period? (See 0.101-3.)) CFR	10h		X			
i		Oh was answered "Yes," check the box if you either provided the receptions to providing the notice applied under 29 CFR 2520.101-3			10i		X			
Part '	VI	Pension Funding Compliance								
		nis a defined benefit plan subject to minimum funding requirements?							☐ Yes X No	
12		his a defined contribution plan subject to the minimum funding requ							Yes X No	
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.								
		waiver of the minimum funding standard for a prior year is being an		year, see instruct	tions,	and e	nter th	e date of th	ne letter ruling	
	-	nting the waiver.			h		Day		Year	
If y	ou (completed line 12a, complete lines 3, 9, and 10 of Schedule MB	3 (Form 5500), and	l skip to line 13.		г				
		er the minimum required contribution for this plan year				⊢	12b			
							12c			
		tract the amount in line 12c from the amount in line 12b. Enter the rative amount)	,	-			12d	<u> </u>		
	Will the minimum funding amount reported on line 12d be met by the funding deadline?							Yes	No N/A	
Part '	VII	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted during the plan year	ar or any prior yea	r?				Т	Yes X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						13a			
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?									
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
13c(1) Name of plan(s):						13c(2) EIN(s) 13c(3) PN(s			13c(3) PN(s)	
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN	F	Filed with authorized/valid electronic signature. 06/27/2011 MILTON HAYNE			S					
HERE						al signing as plan administrator				

Date

Enter name of individual signing as employer or plan sponsor