Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2010

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

	art I Annual Report Identification Information						
For	calendar plan year 2010 or fiscal plan year beginning 01/01/201	0	and ending	12/31/2	2010		
A	This return/report is for: single-employer plan	multiple-e	employer plan (not multiemployer)	one-participant plan			
В	This return/report is for: first return/report	final retur	n/report				
	an amended return/report	short plar	n year return/report (less than 12 m	onths)			
C	Check box if filing under: Form 5558	automatic	extension		DFVC progra	m	
	special extension (enter description	on)			_		
Pa	art II Basic Plan Information—enter all requested inform	ation					
1a	Name of plan			1b	Three-digit		
THE	HERBFARM 401(K) RETIREMENT SAVINGS PLAN & TRU				plan number	001	
				10	(PN) Feffective date of	nlon	
				10	01/01/2		
2a	Plan sponsor's name and address (employer, if for single-employer	plan)		2b	Employer Identif	ication Number	
	HERBFARM, LLC				(EIN) 91-1856		
1459	0 NE 145TH STREET			2c	Plan sponsor's to 425-424	elephone number I-2925	
	DDINVILLE, WA 98072			2d	Business code (see instructions)	
					722110		
3a	Plan administrator's name and address (if same as Plan sponsor, e HERBFARM, LLC 14590 NE 14	nter "Same	e") = = T	3b	Administrator's E	EIN 8544	
	WOODINVIL	LE, WA 98	3072	30		elephone number	
					425-424	I-2925	
	f the name and/or EIN of the plan sponsor has changed since the la		port filed for this plan, enter the	4b	EIN		
	name, EIN, and the plan number from the last return/report. Sponso	r's name		4c	PN		
5a	Total number of participants at the beginning of the plan year			+ -		16	
b	Total number of participants at the end of the plan year			5b		16	
С	Total number of participants with account balances as of the end o		35				
	complete this item)			. 5c		10	
6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)			X Yes No	
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						
	If you answered "No" to either 6a or 6b, the plan cannot use F		•			Yes No	
Pa	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year	
а	Total plan assets	. 7a	4616	57		74859	
b	Total plan liabilities	. 7b					
С	Net plan assets (subtract line 7b from line 7a)	- 7c	4616	57	74		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) T	otal	
а	Contributions received or receivable from:	90/4)	958	37			
	(1) Employers	8a(1)	1279)2			
	(2) Participants	8a(2) 8a(3)		_			
b	Other income (loss)	. 8b	703	36			
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				29415	
d	Benefits paid (including direct rollovers and insurance premiums	. 00					
	to provide benefits)	. 8d	5	96			
е	Certain deemed and/or corrective distributions (see instructions) \dots	. 8e					
f	Administrative service providers (salaries, fees, commissions)	. 8f	62	27			
g	Other expenses	. 8g					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				723	
į	Net income (loss) (subtract line 8h from line 8c)					28692	
i	Transfers to (from) the plan (see instructions)	Ωi					

F	orm 5500-SF 2010	Page 2-	
IV	Plan Characteristics		

Part **9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2K 3D

b	If the	e plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	cteris	tic Cod	des in t	he instr	uctions	5 :	
art	٧	Compliance Questions							
0	Dur	ing the plan year:		Yes	No		Am	ount	
а	Was	s there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	7			
b	Wei	re there any nonexempt transactions with any party-in-interest? (Do not include transactions reported ine 10a.)	10b		X				
С	Wa	s the plan covered by a fidelity bond?	10c	X					10000
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud lishonesty?	10d		X				
е	insu	re any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, urance service or other organization that provides some or all of the benefits under the plan? (See ructions.)	10e	X					25
f	Has	the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h		is is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h		X				
i		Oh was answered "Yes," check the box if you either provided the required notice or one of the eptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI	Pension Funding Compliance							
1		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com						Yes	No
2								X No	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
lf y	ou c	completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_					
b	Ente	er the minimum required contribution for this plan year			12b				
С	Ente	er the amount contributed by the employer to the plan for this plan year			12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
е	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
art	VII	Plan Terminations and Transfers of Assets							
3a	Has	a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Wer	re all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought ne PBGC?			ntrol			Yes	X No
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the chassets or liabilities were transferred. (See instructions.)	ne pla	n(s) to					
1	3c(1)	Name of plan(s):		13	c(2) EI	N(s)		13c(3)	PN(s)
aut	ion:	A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	establ	ished.			
Jnde SB o	r per r Sch	nalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retued to the MB completed and signed by an enrolled actuary, as well as the electronic version of this return/true, correct, and complete.	ırn/rep	oort, in	cluding	g, if app			
, Cile		iled with authorized/valid electronic signature. 06/30/2011 CARRIE VAN DY	′CK						

SIGN	Filed with authorized/valid electronic signature.	06/30/2011	CARRIE VAN DYCK					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					