Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Signature of DFE

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2010

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Part I	Annual Report Iden	tification Information			•				
For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010									
A This	return/report is for:	a multiemployer plan;	a multip	le-employer plan; or					
		X a single-employer plan;	a DFE (specify)					
		_	-						
B This	return/report is:	the first return/report;	the final	return/report;					
		X an amended return/repo	ort; a short	plan year return/report (les	ss than 12 months).				
C If the	plan is a collectively-bargaine	ed plan, check here							
D Chec	k box if filing under:	Form 5558;	automa	tic extension;	the DFVC program;				
2 000	M DOX II IIIIII G GIIGOI.	special extension (enter		,					
Part	II Rasic Plan Inform	nation—enter all requested inf	. ,						
	ne of plan	iation—enter an requested in	Unnadun		1b Three-digit plan	002			
	T D ROE MD PC PROFIT SH.	ARING PLAN			number (PN) ▶	002			
					1c Effective date of pla 01/01/1996	1c Effective date of plan 01/01/1996			
2a Plar	n sponsor's name and address	s (employer, if for a single-emplo	oyer plan)		2b Employer Identifica	ation			
`	ress should include room or s	uite no.)			Number (EIN)	` ,			
ROBER	T D ROE MD PC				13-2939379 2c Sponsor's telephor				
					number				
22 SAG	AMORE RD	22.67	ACAMORE DD		914-793-2503				
	VILLE, NY 10708		22 SAGAMORE RD BRONXVILLE, NY 10708			е			
					instructions) 621111				
Caution	: A penalty for the late or in	complete filing of this return/r	eport will be assessed	l unless reasonable caus	se is established.				
					ort, including accompanying sche				
stateme	nts and attachments, as well a	as the electronic version of this r	eturn/report, and to the	best of my knowledge and	belief, it is true, correct, and com	nplete.			
SIGN HERE	Filed with authorized/valid ele	ectronic signature.	07/12/2011	ROBERT ROE					
Signature of plan administrator			Date	Enter name of individua	al signing as plan administrator				
SIGN HERE									
HEKE	Signature of employer/pla	n sponsor	Date	Enter name of individua	dual signing as employer or plan sponsor				
SIGN HERE									
HENE									

Date

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2010) v.092307.1

Enter name of individual signing as DFE

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	Plan administrator's name and address (if same as plan sponsor, enter "San	ne")		dministrator's EIN -2939379		
	SAGAMORE RD ONXVILLE, NY 10708		ทเ	dministrator's telephone umber 4-793-2503		
4	If the name and/or EIN of the plan sponsor has changed since the last return the plan number from the last return/report:	n/report filed for this plan, enter the name, EIN	l and	4b EIN		
а	Sponsor's name		4c PN			
5	Total number of participants at the beginning of the plan year		5	2		
6	Number of participants as of the end of the plan year (welfare plans complete	e only lines 6a, 6b, 6c, and 6d).	3			
а	Active participants		. 6a	2		
b	Retired or separated participants receiving benefits		. 6b	0		
С	Other retired or separated participants entitled to future benefits		. 6c	0		
d	Subtotal. Add lines 6a , 6b , and 6c		. 6d	2		
е	Deceased participants whose beneficiaries are receiving or are entitled to re	ceive benefits	. 6e	0		
f	Total. Add lines 6d and 6e		. 6f	2		
g	Number of participants with account balances as of the end of the plan year complete this item)		. 6g	2		
h	Number of participants that terminated employment during the plan year with less than 100% vested		6h	0		
7	Enter the total number of employers obligated to contribute to the plan (only		. 7			
_	If the plan provides pension benefits, enter the applicable pension feature co 2E If the plan provides welfare benefits, enter the applicable welfare feature code	s from the List of Plan Characteristic Codes in	n the ins	tructions:		
9a	Plan funding arrangement (check all that apply)	9b Plan benefit arrangement (check all the	at apply)		
	(1) Insurance (2) Code section 412(e)(3) insurance contracts	(1) Insurance Code section 412(e)(3)	insuran	ce contracts		
	(3) X Trust	(3) X Trust				
	(4) General assets of the sponsor	(4) General assets of the s	ponsor			
10	Check all applicable boxes in 10a and 10b to indicate which schedules are a	attached, and, where indicated, enter the num	ber atta	ched. (See instructions)		
а	Pension Schedules	b General Schedules				
	(1) R (Retirement Plan Information)	(1) H (Financial Inform	mation)			
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2) I (Financial Inform		•		
	Purchase Plan Actuarial Information) - signed by the plan actuary	(3) A (Insurance Info	,			
		C (Service Provide		,		
				ing Plan Information)		
	Information) - signed by the plan actuary	(6) G (Financial Trans	saction (ocriedules)		

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2010

This Form is Open to Public Inspection

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010								
A Name of plan ROBERT D ROE MD PC PROFIT SHARING PLAN		B Three-digit plan number (PN)	002					
C Plan sponsor's name as shown on line 2a of Form 5500		D Employer Identification N	Number (EIN)					
ROBERT D ROE MD PC		13-2939379	13-2939379					
Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.								
Part I Small Plan Financial Information								
Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of pla assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.								
1 Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year					
a Total plan assets	1a	103084	61702					
h Total plan liabilities	1h	0	0					

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	103084	61702
b	Total plan liabilities	. 1b	0	0
С	Net plan assets (subtract line 1b from line 1a)	. 1c	103084	61702
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)		
	(2) Participants	2a(2)		
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	2419	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		2419
е	Benefits paid (including direct rollovers)	. 2e	43751	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions).	. 2h		
i	Other expenses	. 2i	50	
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		43801
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		-41382
	Transfers to (from) the plan (see instructions)	. 2I		
_				

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a			
b	Employer real property	3b			
С	Real estate (other than employer real property)	3с			
d	Employer securities	3d			
	Participant loans		X		47072

	Schedule I (Form 5500) 2010 Page 2-			_			
		ſ	Yes	No		Amount	
3f	Loans (other than to participants)	3f	103	110		Amount	
g	Tangible personal property	3g					
		-3					
Pa	art II Compliance Questions						
4	During the plan year:		Yes	No		Amoun	t
а	Was there a failure to transmit to the plan any participant contributions within the time period						
	described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		Х			
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan						
	year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance	4b		Х			
С	Were any leases to which the plan was a party in default or classified during the year as	40					
Ū	uncollectible?	4c		X			
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			V			
	reported on line 4a.)	4d		X			
e	Was the plan covered by a fidelity bond?	4e		^			
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X			
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X			
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X			
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i	X				47072
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X			
k	Are you claiming a waiver of the annual examination and report of an independent qualified public						
	accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
ı	Has the plan failed to provide any benefit when due under the plan?	41		X			
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m					
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n					
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?			•			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	∐ Ye	es 🔀 N	lo A	Amount:		
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	he plan	(s) to w	hich assets	or liabilitie	es were
	5b(1) Name of plan(s)			5b(2)	EIN(s)		5b(3) PN(s)