Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

2010

OMB Nos. 1210-0110

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in acco	rdance wit	h the instructions to the Form 550	0-SF.	•		
		dentification Information						
For	calendar plan year 2010 or fisc	cal plan year beginning 01/01/20	10	and ending 1	2/31/2	2010		
Α.	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan		
В	This return/report is for:	first return/report	final retur	n/report		_		
		an amended return/report	short plar	n year return/report (less than 12 mor	nths)			
C	Check box if filing under:	X Form 5558	automatic	extension		DFVC program		
	3 · · ·							
Da	rt II Basic Plan Infor	special extension (enter descripti mation—enter all requested inforn						
		mation—enter all requested inform	nation		1h	Three-digit		
	Name of plan IER INVESTMENT MANAGEN	MENT 401(K) PROFIT SHARING PL	AN		10	plan number 001		
						(PN) ▶		
					1c	Effective date of plan 01/01/1992		
22	Dlan ananger's name and add	ress (employer, if for single-employe	r plan)		2h	Employer Identification Number		
	IER INVESTMENT MANAGEN		i piari)		20	(EIN) 91-1457076		
					2c	Plan sponsor's telephone number		
	INION STREET E 2801					206-518-6620		
	TLE, WA 98101-2327				2d	Business code (see instructions) 523900		
3a	Plan administrator's name and	d address (if same as Plan sponsor,	enter "Same	e")	3b	Administrator's EIN		
RAIN	IER INVESTMENT MANAGEN	MENT 601 UNION SUITE 2801	STREET	,		91-1457076		
		3с	Administrator's telephone number 206-518-6620					
4 1	the name and/or EIN of the pl	eport filed for this plan, enter the	4b	EIN				
1	name, EIN, and the plan number							
52	Total number of participants of	at the beginning of the plan year			4c	PN 81		
b		at the beginning of the plan year			5a	84		
C		vear (defined benefit plans do not	5b	04				
	• •	with account balances as of the end t		•	5c	80		
6a	Were all of the plan's assets	during the plan year invested in eligil	ble assets?	(See instructions.)		Yes No		
b				ndent qualified public accountant (IQI		XI v D v.		
				ions.)		Yes No		
Do	If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III Financial Information							
		lation		I				
7	Plan Assets and Liabilities			(a) Beginning of Year	1	(b) End of Year 17257194		
	Total plan assets		7a	13400031	_	17237194		
b	·			13466051		47057404		
<u>C</u>		7b from line 7a)	7с	13466051		17257194		
8	Income, Expenses, and Trans			(a) Amount		(b) Total		
а	Contributions received or received (1) Employers	eivable from: 	8a(1)	2092385	5			
	• • • •			320994	ļ.			
	• •	s)		36951				
b	Other income (loss)		3					
C	,	, 8a(2), 8a(3), and 8b)				4962443		
d		rollovers and insurance premiums	00					
_			<u>8d</u>	1173708	3			
е	Certain deemed and/or correct	ctive distributions (see instructions)	8e					
f	Administrative service provide	ers (salaries, fees, commissions)	8f					
g	Other expenses		8g	7784				
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	8h			1181492		
i	Net income (loss) (subtract lin	ne 8h from line 8c)	8i			3780951		
i	Transfers to (from) the plan (s	see instructions)	8i	10192				

	F	form 5500-SF 2010 Page 2-						
Par	t IV	Plan Characteristics						
)a	If the	plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara	acteris	tic Co	des in	the instructions:		
L		2F 2G 2J 2T 3D		:- O-	المدادة	h a lineton etlana.		
D	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:							
art	t V	Compliance Questions						
0	Duri	ng the plan year:		Yes	No	Amount		
а		there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
b		e there any nonexempt transactions with any party-in-interest? (Do not include transactions reported ne 10a.)	10b		X			
С	Was	s the plan covered by a fidelity bond?	10c	X		500000		
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud shonesty?	10d		X			
е	Wer	e any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, rance service or other organization that provides some or all of the benefits under the plan? (See uctions.)	10e		X			
f	Has	the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did t	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10q		X			
h		s is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h	X				
i		h was answered "Yes," check the box if you either provided the required notice or one of the eptions to providing the notice applied under 29 CFR 2520.101-3	10i	Χ				
art	VI	Pension Funding Compliance						
11								
2								
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_				
b	Ente	r the minimum required contribution for this plan year			12b			
		r the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left tive amount)			12d			
е	Will t	he minimum funding amount reported on line 12d be met by the funding deadline?				Yes No N/A		
art	VII	Plan Terminations and Transfers of Assets						
3а	Has	a resolution to terminate the plan been adopted during the plan year or any prior year?		<u>.</u>		Yes X No		
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought e PBGC?				Yes X No		
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the hassets or liabilities were transferred. (See instructions.)	he pla	n(s) to				

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

13c(1) Name of plan(s):

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

13c(2) EIN(s)

13c(3) PN(s)

SIGN	Filed with authorized/valid electronic signature.	07/12/2011	KRISTEN HOWELL
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor