Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance wit	h the instructions to the Form 550	0-SF.	1		
		lentification Information						
For	calendar plan year 2010 or fisc	al plan year beginning 01/01/201	0	and ending 1	2/31/2	2010		
Α.	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan		
В .	Γhis return/report is for:	first return/report	final retur	n/report		_		
		an amended return/report	short plar	n year return/report (less than 12 mor	nths)			
C	C Check box if filing under: Form 5558 automatic extension					DFVC program		
		special extension (enter description	on)					
Pa	rt II Basic Plan Inforr	nation—enter all requested inform	nation					
1a	Name of plan	·			1b	Three-digit		
ITHA	CA ORTHOPAEDIC GROUP P	C 401(K) PLAN				plan number 001		
						(PN) ▶		
					1C	Effective date of plan 09/01/1996		
2a	Plan sponsor's name and addr	ess (employer, if for single-employer	r plan)		2b	Employer Identification Number		
	CA ORTHOPAEDIC GROUP P		F,		(EIN) 16-1464929			
10 BI	RENTWOOD DRIVE				2c	Plan sponsor's telephone number 607-266-0073		
	CA, NY 14850				2d	Business code (see instructions)		
					_~	621111		
3a	Plan administrator's name and CA ORTHOPAEDIC GROUP P	address (if same as Plan sponsor, e	enter "Same	e") /⊑	3b	Administrator's EIN 16-1464929		
ША	CA OKTITOT ALDIC GROOT T	ITHACA, NY		<i>,</i> _	30	Administrator's telephone number		
						607-266-0073		
		an sponsor has changed since the la		port filed for this plan, enter the	4b	EIN		
	name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN							
5a	Total number of participants at	the beginning of the plan year			5a	<u> </u>		
b		t the end of the plan year			5b	29		
С	• • •	ith account balances as of the end o			0.0			
				•	5c	29		
	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							
				SF and must instead use Form 55				
Pa	Part III Financial Information							
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year		
а	Total plan assets		7a	2248876	` '			
b	Total plan liabilities		. 7b					
С	Net plan assets (subtract line 7	7b from line 7a)	. 7с	2248876	6	2634524		
8	Income, Expenses, and Transi	fers for this Plan Year		(a) Amount		(b) Total		
а	Contributions received or rece		- 40	105722				
				89445	_			
	• •	······		05440	_			
h	, ,)	1	257531				
b	` ,	0-(0) 0-(0)		201001		452698		
c d		8a(2), 8a(3), and 8b)rollovers and insurance premiums	8c			402000		
u		Tollovers and insurance premiums	. 8d	67050				
е		tive distributions (see instructions)	8e					
f	Administrative service provide	rs (salaries, fees, commissions)	. 8f					
g	Other expenses		. 8g					
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	. 8h			67050		
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i			385648		
j	Transfers to (from) the plan (se	ee instructions)	. 8i					

	Form 5500-SF 2010 Page 2-				
ar	t IV Plan Characteristics				
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara 2E 2G 2J 2K 3D 2A	cteris	tic Co	des in tl	the instructions:
	2E 2G 2J 2K 3D 2A If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics.	cterist	ic Cod	les in th	ne instructions:
art	Compliance Questions				
)	During the plan year:		Yes	No	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X	
С	Was the plan covered by a fidelity bond?	10c	X		300000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		Х	
f	Has the plan failed to provide any benefit when due under the plan?	10f		X	
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		Х	
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i			
art	VI Pension Funding Compliance				
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))				Yes No
			_		

12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section	302 of	ERISA?	Yes	X No	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver			e letter rul ⁄ear		
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A	

Part	VII Plan Terminations and Transfers of Assets					
13a Has a resolution to terminate the plan been adopted during the plan year or any prior year?						
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?					
С						
1	3c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)			

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/18/2011	J. KHRISTINE ERCUMS, ERPA, QPA, QKA
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor