## Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2010

2010

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

**Annual Report Identification Information** For calendar plan year 2010 or fiscal plan year beginning and ending 12/31/2010 single-employer plan multiple-employer plan (not multiemployer) one-participant plan A This return/report is for: first return/report final return/report **B** This return/report is for: an amended return/report short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Three-digit 1a Name of plan plan number SUTOR MANAGEMENT GROUP, LLC PROFIT SHARING 401(K) PLAN 001 (PN) ▶ 1c Effective date of plan 01/01/2001 2a Plan sponsor's name and address (employer, if for single-employer plan) 2b Employer Identification Number 91-1743037 SUTOR MANAGEMENT GROUP, LLC (EIN) 2c Plan sponsor's telephone number 355 118TH AVE SE, STE 200 BELLEVUE, WA 98005 2d Business code (see instructions) 3a Plan administrator's name and address (if same as Plan sponsor, enter "Same") **3b** Administrator's EIN SUTOR MANAGEMENT GROUP, LLC 91-1743037 118TH AVE BELLEVUE, WA 98005 3c Administrator's telephone number 425-990-1600 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year..... 5a **b** Total number of participants at the end of the plan year..... 5b C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) X Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III | Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 1243603 534616 a Total plan assets..... 7a **b** Total plan liabilities..... 7b 1243603 534616 Net plan assets (subtract line 7b from line 7a)..... 7с 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 19711 8a(1) (1) Employers ..... 19613 8a(2) (2) Participants ..... (3) Others (including rollovers)..... 8a(3) 152176 Other income (loss)..... 8b 191500 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) ..... 8с Benefits paid (including direct rollovers and insurance premiums 894698 to provide benefits)..... 8d Certain deemed and/or corrective distributions (see instructions) ... 8e 5789 Administrative service providers (salaries, fees, commissions)...... 8f Other expenses..... 8g 900487 Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h -708987 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions).....

	F	orm 550	0-SF 2	2010 Page <b>2-</b>					
ar	t IV	Plar	n Cha	aracteristics					
1	If the		vides 2R	pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 3D					
)	If the	plan pro	vides	welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:					

Part	t V	Compliance Questions								
10	Dι	uring the plan year:		Yes	No	Δ.	mount			
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X					
С	W	as the plan covered by a fidelity bond?	10c	X			1000	0000		
d										
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)				X					
f	На	as the plan failed to provide any benefit when due under the plan?	10f		X					
g	Di	d the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h		his is an individual account plan, was there a blackout period? (See instructions and 29 CFR 20.101-3.)	10h		X					
i		10h was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3	10i							
Part	٧I	Pension Funding Compliance								
11		this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com	•			`	Yes X	No		
12	ls	this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of	ERISA?	Yes X	No		
	(If	"Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
	gra	a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru- anting the waiverMon	ıth							
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b	En	ter the minimum required contribution for this plan year			12b					
C		ter the amount contributed by the employer to the plan for this plan year			12c					
d		btract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left gative amount)			12d					
е	Wi	Il the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No 1	N/A		
Part	VII	Plan Terminations and Transfers of Assets								
13a	На	s a resolution to terminate the plan been adopted during the plan year or any prior year?					X Yes	No		
		Yes," enter the amount of any plan assets that reverted to the employer this year		Γ	13a			0		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							No		
С										
<b>13c(1)</b> Name of plan(s):						<b>13c(2)</b> EIN(s) <b>13c(3)</b> PN				
Caut	lion	A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	וף כאו	ISA İS	estah	lished	1			
		enalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retr					le, a Schedu	le		
	SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and									

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/18/2011	GARY MOORHEAD				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	07/18/2011	GARY MOORHEAD				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

P	art I Annual Report Identification Info	ormation									
For	the calendar plan year 2010 or fiscal plan year begin	nning	01/01	/2010	and ending	12	/31/2010				
Α .	This return/report is for: x single-employer plan	۱ 🗍	multiple-en	nployer plan (r	ot multiemployer)		one-participant	plan			
В	This return/report is for:	П	final return	report/							
	an amended return/i	report $\Box$	short plan	vear return/rec	ort (less than 12 mont	ns)					
<u> </u>	Check box if filing under: Form 5558	H	automatic		,	Γ	DFVC program	1			
	special extension (e	L.I nter description				Ŀ	] ve pregram				
		•	·								
	art II Basic Plan Information enter all Name of plan	requested into	mation.			1h -	Three-digit				
	·		ŀ	olan number							
	SUTOR MANAGEMENT GROUP, LLC PROFIT S	HARING 401	(K) PLAN				PN) ▶	001			
							Effective date of p	oian			
<del>2a</del>	Plan sponsor's name and address (employer, if for sin	gle-employer p	lan)				Employer Identific	ation Number			
	SUTOR MANAGEMENT GROUP, LLC						EIN) 91-1743				
	355 118TH AVE SE, STE 200					2C Plan sponsor's telephone number (425) 990-1600					
							Business code (se				
-	BELLEVUE WA 98005					!	541211				
3a	Plan administrator's name and address (If same as pla	an employer, er	nter "Same")			30 /	Administrator's El	N			
						3C Administrator's telephone number					
						36 /	epnone number				
4	If the name and/or EIN of the plan sponsor has changename, EIN and the plan number from the last return/re			ort filed for this	plan, enter the	4b EIN					
		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				4c PN					
						5a		7			
b	Total number of participants at the end of the plan year Total number of participants with account balances as	5b		5							
С	complete this item)			•	•	5c		5			
6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)										
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)										
	under 29 CFR 2520.104-46? (See instructions on waiv If you answered "No" to either 6a or 6b, the plan ca	• .		•			• • • •	XYes No			
Pa	art III Financial Information										
7	Plan Assets and Liabilities	····		(a) B	eginning of Year	(b) End of Year					
а	Total plan assets		. 7a	, ,	1,243,603			534,616			
b			. 7b								
С	Net plan assets (subtract line 7b from line 7a)		. 7c		1,243,603			534,616			
8	Income, Expenses, and Transfers for this Plan Year				a) Amount		(b) To	otal			
а	Contributions received or receivable from:						ζ-,				
	(1) Employers		. 8a(1)		19,711	4	1				
	(2) Participants		. 8a(2)		19,613	+					
h	(3) Others (including rollovers)		. 8a(3)		450 450	-					
b	Other income (loss)		. 8b		152,176		5782-807-3575				
c d	Total income(add lines 8a(1), 8a(2), 8a(3), and 8b) . Benefits paid (including direct rollovers and insurance		. 8c					191,500			
	to provide benefits)	894,698									
е	Certain deemed and/or corrective distributions (see ins	structions) .	- 8d . 8e								
f	Administrative service providers (salaries, fees, commi	issions)	. 8f		5,789						
g	Other expenses		. 8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)		. 8h					900,487			
i	Net income (loss) (subtract line 8h from line 8c)		. 8i					(708,987)			
j	Transfers to (from) the plan (see instructions)		. 8j				10 No. 10 10 September 1				

9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:										
b	2E 2F 2J 2R 3D  If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:										
	This plan provides from the bottome, offer the applicable from the feature sec		ot of Figure Official Control				otraotiono.				
Pai	Part V Compliance Questions										
10	During the plan year:				Yes	No	Am	ount			
a	Was there a failure to transmit to the plan any participant contribution with	10a		ж							
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)										
	on line 10a.)										
C	Was the plan covered by a fidelity bond?	10c	x			1,00	00,000				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity b or dishonesty?		х								
е	Were any fees or commisions paid to any brokers, agents, or other persor insurance services or other organization that provides some or all of the brinstructions.)	ne plan? (See	10e		ж						
f	Has the plan failed to provide any benefit when due under the plan?	10f		ж							
g	Did the plan have any participant loans? (If "Yes," enter amount as of year	r end.)		10g		x					
h				405		х					
i	2520.101-3.)			10h	_						
		If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3									
_	t VI Pension Funding Compliance										
11	Is this a defined benefit plan subject to minimum funding requirements? (If 5500))		•					☐Yes [	x No		
12	Is this a defined contribution plan subject to the minimum funding requiren						<u> </u>				
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		0, 2,					
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Fo	rm 5500), and	skip to line 13.		_						
b	Enter the minimum required contribution for this plan year			•	. ⊢	12b					
C	Enter the amount contributed by the employer to the plan for this plan year		·  _	12c							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the resunegative amount)		. L	12d							
119975330000	Will the minimum funding amount reported on line 12d be met by the fund	ling deadline?					Yes	No [	□N/A		
Par	VII Plan Terminations and Transfers of Assets										
13a	Has a resolution to terminate the plan been adopted during the plan year of	or any prior yea	ır?		٠ ـ			x Yes	No		
	If "Yes," enter the amount of any plan assets that reverted to the employer	r this year .			·	13a			0		
b	of the PBGC?					ol • •		☐Yes [	<b>x</b> No		
	If during this plan year, any assets or liabilities were transferred from this p which assets or liabilities were transferred. (See instructions.)	olan to another	plan(s), identify the plant	an(s)	to						
	13c(1) Name of plan(s):				13	c(2) El	N(s)	13c(3) P	N(s)		
Caut	on: A penalty for the late or incomplete filing of this return/report will b	e assessed ui	nless reasonable cau	ıse is	esta	blishe	l d.				
	r penalties of perjury and other penalties set forth in the instructions, I declar							Schedule	-		
SB o	Schedule MB completed and signed by an enrolled actuary, as well as the experience, correct, and complete.	electronic versi	on of this return/report	t, and	to the	e best	of my knowled	dge and			
SIC	in the X-W/N /	(a) c1	GARY MOORHEAD	)							
	RE Signature of plan administrator Dat	te	Enter name of indiv	vidua	l signi	ng as p	olan administr	ator			
SIC	SIGN / / / / GARY MOORI					EAD					
HE		e	Enter name of indiv	vidua	l signi	ng as	employer or pl	an sponso	r		

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Part IV Plan Characteristics