## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	art I Annual Report Identification Information								
For	calendar plan year 2010 or fiscal plan year beginning 07/01/2010	0	and ending	06/30/2	2011				
Α .	This return/report is for: Single-employer plan	multiple-employer plan (not multiemployer) one-participant plan							
В	This return/report is for: first return/report	final return/report							
	an amended return/report	short plar	year return/report (less than 12 mo	nths)					
C	Check box if filing under: Form 5558	automatio	extension		DFVC program				
	special extension (enter description								
Pa	Irt II Basic Plan Information—enter all requested informa	,							
	Name of plan	ation		1b	Three-digit				
	ATER OWENSBORO ECONOMIC DEVELOPMENT 401(K) PSP				plan number 001				
					(PN) ▶				
				1c	Effective date of plan 07/01/2006				
22	Plan sponsor's name and address (employer, if for single-employer	nlan)		2h	Employer Identification Number				
	ATER OWENSBORO ECONOMIC DEVELOPMENT CORP.	piaii)		20	(EIN) 61-1254984				
				2c	Plan sponsor's telephone number				
	EAST THIRD STREET NSBORO, KY 42303			24	270-926-4339				
				Zu	Business code (see instructions) 921000				
3a	Plan administrator's name and address (if same as Plan sponsor, el	nter "Same	<del>)</del>	3b	Administrator's EIN				
GRE.	ATER OWENSBORO ECONOMIC DEVELOPMENT 200 EAST TH P. OWENSBOR				61-1254984				
		•		3c	Administrator's telephone number 270-926-4339				
4 1	f the name and/or EIN of the plan sponsor has changed since the las	st return/re	port filed for this plan, enter the	4b					
	name, EIN, and the plan number from the last return/report. Sponso								
				4c					
	Total number of participants at the beginning of the plan year			5a	7				
b	Total number of participants at the end of the plan year			5b	7				
С	Total number of participants with account balances as of the end of complete this item)			5c	7				
6a	Were all of the plan's assets during the plan year invested in eligible				X Yes No				
b	Are you claiming a waiver of the annual examination and report of a		'						
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a		•		Yes   No				
Da	If you answered "No" to either 6a or 6b, the plan cannot use Fort III Financial Information	orm 5500-	SF and must instead use Form 55	00.					
7	Plan Assets and Liabilities		(a) Denimain a of Veen		(h) Fod of Voca				
-		7-	(a) Beginning of Year 8617	5	(b) End of Year				
	Total plan assets  Total plan liabilities	7a 7b		0	0				
C	Net plan assets (subtract line 7b from line 7a)	7c	8617	5	109529				
8	Income, Expenses, and Transfers for this Plan Year	10	(a) Amount		(b) Total				
а	Contributions received or receivable from:		, ,		(b) Total				
	(1) Employers	8a(1)	182						
	(2) Participants	8a(2)	194						
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	1958	3					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			23354				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		0					
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0					
f	Administrative service providers (salaries, fees, commissions)	. 8f		0					
g	Other expenses	. 8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			0				
i	Net income (loss) (subtract line 8h from line 8c)	. 8i			23354				
i	Transfers to (from) the plan (see instructions)	Qί							

	F	orm 5500-SF 2010 Page <b>2-</b>								
Par	t IV	Plan Characteristics								_
a	If the	plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Ch	aracteri	stic Co	des in	the instru	ctions	3:		
		2F 2G 2J 2K 2T 3D plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Ch	ractorio	tic Co	dee in 1	the instruc	rtione			
D	ii tiile	plan provides wellare benefits, effect the applicable wellare realtire codes from the List of Flan On	aracteris	stic Cot	ues III I	ine mstruc	,110113.	•		
art	: <b>V</b>	Compliance Questions								
0	Durir	ng the plan year:		Yes	No		Amo	ount		
а		there a failure to transmit to the plan any participant contributions within the time period described CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	n <b>10a</b>		X					
b		e there any nonexempt transactions with any party-in-interest? (Do not include transactions reporte ne 10a.)	10b		X					
С	Was	the plan covered by a fidelity bond?	10c	X					500	0
d	Did t	he plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraushonesty?	10d		X					
е	Were	e any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier,	100							
		ance service or other organization that provides some or all of the benefits under the plan? (See uctions.)	10e		X					
f	Has	the plan failed to provide any benefit when due under the plan?	10f		X					
g	Did t	he plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h		s is an individual account plan, was there a blackout period? (See instructions and 29 CFR 0.101-3.)	10h		X					
i		h was answered "Yes," check the box if you either provided the required notice or one of the ptions to providing the notice applied under 29 CFR 2520.101-3	10i		X					
art	VI	Pension Funding Compliance								
1		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c						Yes	X No	<u></u>
2	Is thi	is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co	de or se	ection 3	302 of	ERISA?		Yes	X No	2
		es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а		raiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst ing the waiver		-				etter ruli ar	_	
lf y	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	3.	_		1				
b	Enter	the minimum required contribution for this plan year			12b	ļ				
		the amount contributed by the employer to the plan for this plan year			12c	ļ				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the le tive amount)			12d					
е	Will t	he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	1	No X	N/A	
art	VII	Plan Terminations and Transfers of Assets								
3a	Has a	a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No	<u>۔</u> د
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year			13a				-	
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug e PBGC?						Yes	X No	о Э

## Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

c If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

13c(2) EIN(s)

**13c(3)** PN(s)

SIGN	Filed with authorized/valid electronic signature.	07/19/2011	NICHOLAS BRAKE
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor