Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

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2010

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

	art I Annual Report Identification Information					
For	calendar plan year 2010 or fiscal plan year beginning 01/01/20	_	and ending	12/31/	2010 	
A	This return/report is for: single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	int plan
В	This return/report is for: first return/report	X final retur	n/report			
	an amended return/report	short plar	year return/report (less than 12 m	onths)		
C	Check box if filing under: Form 5558	automatio	extension		DFVC progra	am
	special extension (enter descrip	otion)				
Pa	Irt II Basic Plan Information—enter all requested infor	rmation				
1a	Name of plan			1b	Three-digit	
E.J. \	WILLMAN & SONS PLUMBING CO. 401(K) PROFIT SHARING P	LAN			plan number (PN) ▶	001
				10	Effective date o	f plan
				''	01/01/1	
	Plan sponsor's name and address (employer, if for single-employ	er plan)		2b	Employer Identi	
E.J. \	WILLMAN & SONS PLUMBING CO.				(EIN) 61-135	
1636	W. MARKET ST			2C	Plan sponsor's t	telephone number 5-4428
LOUI	SVILLE, KY 40203-1336			2d	Business code ((see instructions)
					238220)
3a	Plan administrator's name and address (if same as Plan sponsor, WILLMAN & SONS PLUMBING CO. 1636 W. M	, enter "Same	; ")	3b	Administrator's 61-135	
	LOUISVILI	LE, KY 4020	3-1336	3c		telephone number
					502-58	5-4428
	f the name and/or EIN of the plan sponsor has changed since the		port filed for this plan, enter the	4b	EIN	
I	name, EIN, and the plan number from the last return/report. Spon	sor's name		4c	PN	
5a	Total number of participants at the beginning of the plan year					9
	Total number of participants at the end of the plan year					0
С	Total number of participants with account balances as of the end			0.0		
	complete this item)			5c		0
_	Were all of the plan's assets during the plan year invested in elig	•	,			Yes No
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility)					X Yes ☐ No
	If you answered "No" to either 6a or 6b, the plan cannot use	•	,			
Pa	rt III Financial Information					
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year
а	Total plan assets	<u>7a</u>	929	13		0
b	Total plan liabilities	7b		0		0
C	Net plan assets (subtract line 7b from line 7a)	7с	929	13		0
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) 1	Γotal
а	Contributions received or receivable from: (1) Employers	8a(1)		0		
	(2) Participants			0		
	(3) Others (including rollovers)			0		
b	Other income (loss)		53	30		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)					5330
d	Benefits paid (including direct rollovers and insurance premiums		000	40		
	to provide benefits)	8d	982			
е	Certain deemed and/or corrective distributions (see instructions)	8e		0		
f	$\label{providers} \mbox{Administrative service providers (salaries, fees, commissions)}$	8f		0		
g	Other expenses	8g		0		
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				98243
į	Net income (loss) (subtract line 8h from line 8c)					-92913
ĺ	Transfers to (from) the plan (see instructions)	Qi		0		

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Part IV	Plan Characteristics	

. ~				•	a. a		•	_
9a	If th	ne plan	prov	ides	pensio	n benefi	s, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:	_
	2F	2F	2G	2.1	2K	2T 3	n	

	During the plan year:		Yes	No		A				
	Was there a failure to transmit to the plan any participant contributions within the time period described in		163		,	Amount				
а	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X						
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported			X						
	on line 10a.)	10b		^						
С	Was the plan covered by a fidelity bond?	10c	X			5000				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X						
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X						
f	Has the plan failed to provide any benefit when due under the plan?	10f		X						
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X						
	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR	iug								
	2520.101-3.)	10h		X						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i								
art '	/I Pension Funding Compliance									
	s this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))					Yes X				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of	ERISA?	Yes X				
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)									
	f a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver									
•	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				ı					
b	Enter the minimum required contribution for this plan year			12b						
	Enter the amount contributed by the employer to the plan for this plan year			12c						
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of t			12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No N/				
art \	/II Plan Terminations and Transfers of Assets									
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?					X Yes N				
	f "Yes," enter the amount of any plan assets that reverted to the employer this year		Г	13a						
	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought to the PBGC?			ntrol	L	X Yes N				
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	ne pla	n(s) to							
1:	c(1) Name of plan(s):		13	c(2) EI	N(s)	13c(3) PN(s				
				- ()	(-)					

SIGN	Filed with authorized/valid electronic signature.	07/20/2011	JAMES M. WILLMAN			
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN	Filed with authorized/valid electronic signature.	07/20/2011	JAMES M. WILLMAN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			