Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

Pa	art I	Annual Report I	lde	ntification Information	n				
For	calendar	r plan year 2010 or fis			1/201	0	and ending 1	2/31/	2010
Α	This retu	ırn/report is for:	X	single-employer plan		multiple-e	mployer plan (not multiemployer)		one-participant plan
					final retur	n/report			
			X	an amended return/report		short plan	year return/report (less than 12 mo	nths)	
C	Check ho	ox if filing under:	Ħ	Form 5558	Ė	1	extension	,	DFVC program
	OHOOK BO	ox ii iiiiig anaor.	Ħ	special extension (enter des	crinti	1			
D:	art II	Rasic Plan Infor	rm:	ation—enter all requested i		,			
	Name o		11116	ation—enter all requested i	IIIOIII	iation		1b	Three-digit
		AL ESTATE PROFIT	SHA	ARING PLAN					plan number 001
									(PN) ▶
								1c	Effective date of plan 06/01/1976
		onsor's name and add	dres	s (employer, if for single-emp	oloyei	r plan)		2b	Employer Identification Number
ARE	, INC							20	(EIN) 61-0900420 Plan sponsor's telephone number
		OND ROAD						20	859-266-3181
	E 100 NGTON,	, KY 40509						2d	Business code (see instructions)
22	Dlanad	miniatrataria nama an	4 0 0	ddress (if same as Plan spon	201 0	ntor "Come	."\	2 h	531210 Administrator's EIN
ARE	, INC	ministrator s name and	u ac	2549 R	ICHN	MOND ROA		30	61-0900420
				SUITE LEXING		N, KY 40509)	3с	Administrator's telephone number 859-266-3181
4	If the nan	me and/or EIN of the p	olan	sponsor has changed since	the la	st return/re	port filed for this plan, enter the	4b	EIN
	name, El	IN, and the plan numb	oer f	rom the last return/report. S	pons	or's name		40	PN
5a	Total nu	umber of participants	at th	ne heginning of the plan year					9
b	Total number of participants at the beginning of the plan year Total number of participants at the end of the plan year						5a 5b	11	
C							ear (defined benefit plans do not	30	
								5c	9
6a	Were a	all of the plan's assets	dur	ing the plan year invested in	eligib	ole assets?	(See instructions.)		Yes No
b							dent qualified public accountant (IQ		X Yes ☐ No
			•	~	-		ons.)SF and must instead use Form 55		
Pa	rt III	Financial Inform				0	or and made moread add I dim do		
7	Plan As	ssets and Liabilities					(a) Beginning of Year		(b) End of Year
а	Total pl	lan assets				7a	412653	3	470244
b	Total pl	lan liabilities				7b			
С	Net pla	n assets (subtract line	7b	from line 7a)		. 7с	412653	3	470244
8	Income	e, Expenses, and Trans	sfer	s for this Plan Year			(a) Amount		(b) Total
а		utions received or rec				0-(4)	617′		
						8a(1)	1218		
	` ,	•				, ,	1210	4	
h	. ,	` •	,			` '	52452	,	
b		` ,		a(2), 8a(3), and 8b)			52.15.		59841
c d				lovers and insurance premiu		. 60			
-						8d		_	
е	Certain	deemed and/or corre	ctive	e distributions (see instructio	ns)	8e		_	
f	Adminis	strative service provide	ers	(salaries, fees, commissions)	8f	2250)	
g	Other e	expenses				8g			
h	Total ex	xpenses (add lines 8d	l, 8e	, 8f, and 8g)		. 8h			2250
- 1	Not inco	omo (loca) (quibtract lir							
•	iver inco	orne (ioss) (subtract iii	ne 8	Sh from line 8c)		. 8i			57591

	F	orm 5500-SF 2010 Page 2-								
Dar	t IV	Plan Characteristics								
		plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara	acteris	stic Co	des in	the instru	ctions:		—	
-		F 2G 2J 3D 2T								
b	If the	plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	cteris	tic Coc	des in t	he instruc	ctions:			
art	: V	Compliance Questions								
0		g the plan year:		Yes	No		Amount			
а		there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X					
b		there any nonexempt transactions with any party-in-interest? (Do not include transactions reported to 10a.)	10b		X					
С	Was	the plan covered by a fidelity bond?	10c	X				1000	00	
d		ne plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud	10d		X					
е	insur	any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, ance service or other organization that provides some or all of the benefits under the plan? (See actions.)	10e		X					
f	Has t	he plan failed to provide any benefit when due under the plan?	10f		X					
g	Did tl	ne plan have any participant loans? (If "Yes," enter amount as of year end.)		X			-			
h		is an individual account plan, was there a blackout period? (See instructions and 29 CFR .101-3.)		X						
i		n was answered "Yes," check the box if you either provided the required notice or one of the otions to providing the notice applied under 29 CFR 2520.101-3								
art		Pension Funding Compliance	10i	l l	l				_	
11	Is this	s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com					☐ Ye	s X N	No	
2		s a defined contribution plan subject to the minimum funding requirements of section 412 of the Code						s X	No	
	(If "Ye	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If		empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day _		rear			
b	Enter	the minimum required contribution for this plan year			12b					
		the amount contributed by the employer to the plan for this plan year	1	12c						
	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)									
е	•	ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N//	A	
	VII	Plan Terminations and Transfers of Assets			-	_			_	
	- 1									

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? If "Yes," enter the amount of any plan assets that reverted to the employer this year.....

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

Yes X No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/20/2011	RICHARD MOEGLING				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	07/20/2011	CARITA W ARNOLD				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				