Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	ension Benefit Guaranty Corporation Complete all	entries in acco	rdance wit	h the instructions to the Form 550	0-SF.	-				
	art I Annual Report Identification Inf									
For	For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010									
Α.	This return/report is for:	olan	multiple-e	employer plan (not multiemployer)		one-participant plan				
В .	This return/report is for: first return/report		final retur	n/report						
	an amended retu	rn/report	short plar	n year return/report (less than 12 mo	nths)					
C	Check box if filing under:				DFVC program					
	special extension	ے enter descript) ا	ion)							
Pa	Irt II Basic Plan Information—enter all	•	,							
	Name of plan	requested inion	паноп		1h	Three-digit				
	N W. WOLF, DDS, PC 401K PLAN					plan number				
						(PN) • 001				
					1c	Effective date of plan				
					-	01/01/1997				
	Plan sponsor's name and address (employer, if for N.W. WOLF, DDS, PC	single-employe	er plan)		2b	Employer Identification Number (EIN) 13-3456297				
00111	VV. VVOLI , BBO, I O				2c	Plan sponsor's telephone number				
	WEST 15TH STREET STE 1					212-366-5900				
INEVV	YORK, NY 10011-6501				2d	Business code (see instructions)				
20	Diagram desiriate de la companya de	Dian annual		- "\	2 h	621210				
JOH	Plan administrator's name and address (if same as N.W. WOLF, DDS, PC	212 WEST	15TH STRE	ET STE 1	30	Administrator's EIN 13-3456297				
		NEW YORK	K, NY 10011	-6501	3с	Administrator's telephone number				
				212-366-5900						
	f the name and/or EIN of the plan sponsor has char			eport filed for this plan, enter the	4b	EIN				
	name, EIN, and the plan number from the last return		4c PN							
5a	Total number of participants at the beginning of the		5a	17						
b	Total number of participants at the end of the plan		5b	16						
С	Total number of participants with account balance				0.0					
	complete this item)			•	5c	10				
6a	Were all of the plan's assets during the plan year	invested in eligi	ble assets?	(See instructions.)		Yes No				
b	Are you claiming a waiver of the annual examinati					X Yes ☐ No				
	under 29 CFR 2520.104-46? (See instructions on			•		I res I No				
Pa	If you answered "No" to either 6a or 6b, the plant III Financial Information	in cannot use i	-01111 5500-	SF and must instead use Form 55	00.					
7	Plan Assets and Liabilities			(a) Paginning of Year		(b) End of Year				
-	Fotal plan assets		(a) Beginning of Year	7	431729					
b	Total plan liabilities			a						
C	Net plan assets (subtract line 7b from line 7a)			429957	7	431729				
8	Income, Expenses, and Transfers for this Plan Ye		70	(a) Amount	(b) Total					
а	Contributions received or receivable from:	ui		,		(b) Total				
	(1) Employers		8a(1)	()					
) Participants			9						
	Others (including followers))	1				
b	Other income (loss)		8b 2163			3				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b	o)	8c			32332				
d	Benefits paid (including direct rollovers and insura		0.1	28109	9					
^	to provide benefits)			245						
e f	Certain deemed and/or corrective distributions (se		0e							
t	Administrative service providers (salaries, fees, co	,			5					
g	Other expenses (add lines od 02 04 and 02)					30560				
n :	Total expenses (add lines 8d, 8e, 8f, and 8g)					1772				
1;	Net income (loss) (subtract line 8h from line 8c)					1112				
J	Transfers to (from) the plan (see instructions)		8i	i						

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	Fo	rm 5500-SF 2010 Page 2-								
ar	t IV	Plan Characteristics								
a		lan provides pension benefits, enter the applicable pension feature codes from the List of Plan Cha $= 2G - 2J - 2K - 3D$	racteri	stic Co	des in	the instru	ctions	3:		
b		lan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Cha	racteris	tic Cod	des in t	he instruc	tions	i.		
art	v C	Compliance Questions								
0	Durinç	g the plan year:		Yes	No		Am	ount		
а		here a failure to transmit to the plan any participant contributions within the time period described in FR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X					
b		Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			X					
С	Was	the plan covered by a fidelity bond?	10c		X					
d		e plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud	10d		Χ					
е	insura	any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, ince service or other organization that provides some or all of the benefits under the plan? (See ctions.)	10e	X					1	554
f	Has th	ne plan failed to provide any benefit when due under the plan?	10f		X					
g	Did th	e plan have any participant loans? (If "Yes," enter amount as of year end.)	10g	X					48	796
h		is an individual account plan, was there a blackout period? (See instructions and 29 CFR 101-3.)	10h		Х					
i		was answered "Yes," check the box if you either provided the required notice or one of the tions to providing the notice applied under 29 CFR 2520.101-3	10i							
art	: VI P	Pension Funding Compliance								
1		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and co						Yes		No
2	Is this	s a defined contribution plan subject to the minimum funding requirements of section 412 of the Coo	de or se	ection 3	802 of I	ERISA?		Yes	X	No
	(If "Ye	s," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
lf	you cor	mpleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13	3.	г						
b	Enter the minimum required contribution for this plan year				12b					
С	Enter t	inter the amount contributed by the employer to the plan for this plan year								
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)				12d	<u> </u>				
е	Will th	e minimum funding amount reported on line 12d be met by the funding deadline?				Yes	۱	No	N	N/A
ort	VII	Plan Tarminations and Transfore of Assots								

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? If "Yes," enter the amount of any plan assets that reverted to the employer this year.....

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

Yes X

Yes X No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/20/2011	JOHN WOLF
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor