#### Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2010

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

**Annual Report Identification Information** For calendar plan year 2010 or fiscal plan year beginning 12/31/2010 and ending single-employer plan multiple-employer plan (not multiemployer) one-participant plan **A** This return/report is for: first return/report final return/report **B** This return/report is for: an amended return/report short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Three-digit 1a Name of plan plan number EAR. NOSE & THROAT ASSOCIATES OF LAKE COUNTY, PA 401(K) PROFIT SHARING PLAN 001 (PN) ▶ 1c Effective date of plan 01/01/2006 2a Plan sponsor's name and address (employer, if for single-employer plan) 2b Employer Identification Number 59-1872806 EAR. NOSE & THROAT ASSOCIATES OF LAKE COUNTY. PA (EIN) 2c Plan sponsor's telephone number 1140 SOUTH GROVE ST. **EUSTIS, FL 32726** 2d Business code (see instructions) 3a Plan administrator's name and address (if same as Plan sponsor, enter "Same") **3b** Administrator's EIN EAR, NOSE & THROAT ASSOCIATES OF LAKE 1140 SOUTH GROVE ST. 59-1872806 COUNTY, PA EUSTIS, FL 32726 3c Administrator's telephone number 352-357-1155 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year...... 5a **b** Total number of participants at the end of the plan year..... 5b C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) X Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III | Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 176788 201199 a Total plan assets..... 7a **b** Total plan liabilities..... 7b 176788 201199 Net plan assets (subtract line 7b from line 7a)..... 7с 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: (1) Employers ..... 8a(1) (2) Participants ..... 8a(2) (3) Others (including rollovers)..... 8a(3) 24411 Other income (loss)..... 8b 24411 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) ..... 8с Benefits paid (including direct rollovers and insurance premiums to provide benefits)..... 8d Certain deemed and/or corrective distributions (see instructions) ... 8e Administrative service providers (salaries, fees, commissions)...... 8f Other expenses..... 8g Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h 24411 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions).....

	Fo	orm 5500-SF 2010 Page <b>2-</b>							
Par	t IV	Plan Characteristics							
)a	If the p	plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Cler 2G 2J 2K 3D  plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Ch							
	11 1110 p	shart provided world be benefits, errier the approache world be leaded from the clot of Figure 6.	araotorio						
art		Compliance Questions		ı	1				
0		g the plan year:		Yes	No		Amo	unt	
а		there a failure to transmit to the plan any participant contributions within the time period described FR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	in <b>10a</b>		X				
b		there any nonexempt transactions with any party-in-interest? (Do not include transactions reported to 10a.)	d 10b		X				
С	Was	the plan covered by a fidelity bond?	10c		X				
d		ne plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by frau shonesty?	d 10d		X				
е	insura	any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, ance service or other organization that provides some or all of the benefits under the plan? (See actions.)	10e	X					771
f	Has t	he plan failed to provide any benefit when due under the plan?	10f		X				
g	Did th	ne plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h		is an individual account plan, was there a blackout period? (See instructions and 29 CFR .101-3.)	10h		X				
i	If 10h	n was answered "Yes," check the box if you either provided the required notice or one of the otions to providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI I	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c					. П	Yes	X No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?   Yes No						X No		
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)  If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
lf '	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	3.	г	401	1			
		the minimum required contribution for this plan year		1	12b				
_		the amount contributed by the employer to the plan for this plan year		-	12c				
	negati	act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the live amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	N	0	N/A
art	VII	Plan Terminations and Transfers of Assets							
3а	Has a	resolution to terminate the plan been adopted during the plan year or any prior year?				1		Yes	X No
	If "Yes	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Were	all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug	ht under	the co	ontrol			V00 [	X No

# C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

which assets or liabilities were transferred. (See instructions.)				
13c(1) Name of plan(s):	<b>13c(2)</b> EIN(s)	<b>13c(3)</b> PN(s)		

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## Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/20/2011	DON BURGOS
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

#### SUMMARY ANNUAL REPORT

for

## Ear, Nose & Throat Associates of Lake County, PA 401(k) Profit Sharing Plan

This is a summary of the annual report for Ear, Nose & Throat Associates of Lake County, PA 401(k) Profit Sharing Plan, 59-1872806/001 for 01/01/2010 through 12/31/2010. The annual report has been filed with the Employee Benefits Security Administration, formerly known as the Pension and Welfare Benefits Administration, as required under the Employee Retirement Income Security Act of 1974 (ERISA).

#### **Basic Financial Statement**

Plan expenses were \$0. These expenses included \$0 in administrative expenses, \$0 in benefits paid to participants and beneficiaries, and \$0 in other expenses. A total of 4 persons were participants in or beneficiaries of the plan at the end of the plan year, although not all of these persons had yet earned the right to receive benefits.

The value of plan assets, after subtracting liabilities of the plan was \$201,199 as of 12/31/2010 compared to \$176,788 as of 01/01/2010. During the plan year the plan experienced an increase in its net assets of \$24,411. This increase includes unrealized appreciation or depreciation in the value of plan assets; that is, the difference between the value of the plan's assets at the end of the year and the value of the assets at the beginning of the year or the cost of assets acquired during the year. The plan had total income of \$24,411, including employer contributions of \$0, employee contributions of \$0 and earnings from investments of \$24,411.

### Your Rights to Additional Information

You have the right to receive a copy of the full annual report, or any part thereof, on request. The items listed below are included in that report:

1. insurance information including sales commissions paid by insurance carriers;

To obtain a copy of the full annual report, or any part thereof, write or call the office of Ear, Nose & Throat Associates, who is Plan Administrator at 1140 South Grove St., Eustis, FL, 32726, (352) 357-1155. The charge to cover copying cost will be \$1.00 for the full annual report, or \$0.10 per page for any part thereof.

You also have the right to receive from the plan administrator, on request and at no charge, a statement of the assets and liabilities of the plan and accompanying notes, if any, or a statement of income and expenses of the plan and accompanying notes, if any, or both. If you request a copy of the full annual report from the plan administrator, these two statements and accompanying notes, if any, will be included as part of that report. The charge to cover copying costs given above does not include a charge for copying of these portions of the report because these portions are furnished without charge.

You also have the legally protected right to examine the annual report at the main office of the plan at 1140 South Grove St., Eustis, FL, 32726 and at the US Department of Labor in Washington DC, or obtain a copy from the US Department of Labor upon payment of copying costs. Requests to the Department should be addressed to: Public Disclosure Room, Room N-1513, Employee Benefits Security Administration, US Department of Labor, 200 Constitution Avenue, NW, Washington DC 20210.