Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance wit	h the instructions to the Form 550	0-SF.				
	Part I Annual Report Identification Information								
For	calendar plan year 2010 or fisc	cal plan year beginning 01/01/201	0	and ending 1	2/31/2	2010			
Α.	his return/report is for: single-employer plan multiple-employer plan (not multiemployer)					one-participant plan			
В	This return/report is for:					_			
		an amended return/report	short plar	n year return/report (less than 12 mor	nths)				
С	Check box if filing under:	Form 5558	automatio	extension		DFVC program			
_		special extension (enter description	on)						
Do	ert II Pacia Plan Infor	<u> </u>	,						
		mation—enter all requested inform	ation		1h	Throp digit			
	Name of plan ARIS RESEARCH INSTITUTE	403/P) DI ANI			ID	Three-digit plan number			
IALA	INIS RESEARCITINSTITUTE	403(B) FLAN				(PN) • 001			
					1c	Effective date of plan			
						10/01/2000			
	Plan sponsor's name and address (employer, if for single-employer plan) LARIS RESEARCH INSTITUTE				2b	Employer Identification Number			
TALA						(EIN) 91-2011024			
4100	NE 41ST STREET BLDG G				2c Plan sponsor's telephone nur 206-859-5600				
	EATTLE, WA 98105-5428				2d	Business code (see instructions)			
					24	611000			
3a	3a Plan administrator's name and address (if same as Plan sponsor, enter "Same"			e")	3b	Administrator's EIN			
TALA	ARIS RESEARCH INSTITUTE	4100 NE 418 SEATTLE, V				91-2011024			
		, :			3с	Administrator's telephone number 206-859-5600			
4 1	f the name and/or FIN of the ni	an sponsor has changed since the la	et return/re	port filed for this plan, enter the	4b EIN				
		er from the last return/report. Sponso		port med for this plant, effect the	40	EIIN			
					4c PN				
5a	Total number of participants at the beginning of the plan year				5a	a 25			
b	Total number of participants at the end of the plan year				5b	25			
С	Total number of participants v	vith account balances as of the end o	f the plan y	vear (defined benefit plans do not		25			
	complete this item)		<u></u>		5c	25			
	· ·	during the plan year invested in eligib		,		Yes No			
b	Are you claiming a waiver of t	he annual examination and report of (See instructions on waiver eligibility	an indeper	ndent qualified public accountant (IQI	PA)	X Yes No			
Pa	If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III Financial Information								
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year			
=	Total plan assets		. 7a	(a) Beginning of Teal 879007	7	1045147			
b	. ota. pian accete iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii								
C	•	7b from line 7a)		879007	104514				
			. 7с						
8	Income, Expenses, and Trans Contributions received or received			(a) Amount	(b) Total				
а		ervable from.	. 8a(1)	29970	0				
	., .,		1	50579	9				
	• • • • • • • • • • • • • • • • • • • •	3)	1						
b	, ,		1	104811	1				
C	, ,	, 8a(2), 8a(3), and 8b)				185360			
d		rollovers and insurance premiums							
~			. 8d	19220)				
е	Certain deemed and/or correct	ctive distributions (see instructions)	. 8e						
f	Administrative service provide	ers (salaries, fees, commissions)	. 8f		_				
g	Other expenses		. 8g						
h	Total expenses (add lines 8d,	8e, 8f, and 8g)				19220			
i	Net income (loss) (subtract lin	ie 8h from line 8c)	8i			166140			
i		see instructions)							

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Part IV	Plan	Chara	ctarie	tics
railiv	riaii	Gilaia	Cleris	LICS

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2G 2M 3D

D		e plan provides welfare benefits, enter the applicable welfare teatu			010110		200 111				
Part	٧	Compliance Questions									
10	During the plan year:					Yes	No	A	Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				10b		X				
С	Was the plan covered by a fidelity bond?				10c	X				104515	
d							X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)				10e		X				
f	Has	Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	Did	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X				
•		is is an individual account plan, was there a blackout period? (See			10g		V				
		0.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3				10i						
Part '	VI	Pension Funding Compliance									
	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))								No		
12	ls t	his a defined contribution plan subject to the minimum funding requ	uirements of section	n 412 of the Code	or se	ction 3	302 of I	ERISA?	Yes	X No	
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable									
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
	Enter the minimum required contribution for this plan year					T	12b				
							12c				
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)					_	12d		1 F	1	
	Will the minimum funding amount reported on line 12d be met by the funding deadline?							Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets									
13a	Has	a resolution to terminate the plan been adopted during the plan ye	ear or any prior yea	r?					Yes	X No	
		es," enter the amount of any plan assets that reverted to the emplo					13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)										
1:	13c(1) Name of plan(s):					13c(2) EIN(s) 13c(3) P			PN(s)		
Cauti	on:	A penalty for the late or incomplete filing of this return/report	will be assessed ι	ınless reasonabl	le cau	se is	establ	ished.	1		
Under SB or	per Sch	nalties of perjury and other penalties set forth in the instructions, I dedule MB completed and signed by an enrolled actuary, as well as true, correct, and complete.	declare that I have e	examined this retu	ırn/rep	ort, in	cludin	g, if applicat			
SIGN	F	Filed with authorized/valid electronic signature. 07/25/2011 CAROLYN BARDO				ON					
HERE	Ξ.	Signature of plan administrator Date Enter name of inc				ndividual signing as plan administrator					

Date

Enter name of individual signing as employer or plan sponsor