Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

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OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	art I Annual Report Identification Information			10/01/		
For	calendar plan year 2010 or fiscal plan year beginning 01/01/20		and ending	12/31/	2010 	
A	This return/report is for: single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	int plan
В	This return/report is for: first return/report	final retur	n/report			
	an amended return/report	short plar	year return/report (less than 12 m	onths)		
C	Check box if filing under: Form 5558	automatio	extension		DFVC progra	am
	special extension (enter descrip	tion)				
Pa	irt II Basic Plan Information—enter all requested infor	mation				
	Name of plan			1b	Three-digit	
HUD	DLESTON & VAN ZANT, PSC PROFIT SHARING PLAN				plan number	001
				10	(PN) Effective date o	f plan
				10	01/03/1	
2a	Plan sponsor's name and address (employer, if for single-employer	er plan)		2b	Employer Identi	fication Number
HUD	DLESTON & VAN ZANT, PSC				(EIN) 61-072	
2819	RING ROAD			2c	Plan sponsor's t	telephone number 7-9088
	ABETHTOWN, KY 42701			2d	Business code ((see instructions)
					541110)
3a	Plan administrator's name and address (if same as Plan sponsor, DLESTON & VAN ZANT, PSC 2819 RING	enter "Same	9")	3b	Administrator's 61-072	
1100		HTOWN, KY	42701	30		telephone number
					270-73	7-9088
	f the name and/or EIN of the plan sponsor has changed since the		port filed for this plan, enter the	4b	EIN	
I	name, EIN, and the plan number from the last return/report. Spon	sor's name		40	PN	
5a	Total number of participants at the beginning of the plan year					9
	Total number of participants at the end of the plan year					6
С	Total number of participants with account balances as of the end			30		
	complete this item)		` .	5c		6
6a	Were all of the plan's assets during the plan year invested in elig	ible assets?	(See instructions.)			X Yes No
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility)					X Yes ☐ No
	If you answered "No" to either 6a or 6b, the plan cannot use	•	,			
Pa	rt III Financial Information					
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year
а	Total plan assets	7a	3934	39		282775
b	Total plan liabilities	7b				
C	Net plan assets (subtract line 7b from line 7a)	7с	3934	39		282775
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) 1	Total
а	Contributions received or receivable from:	0-(4)				
	(1) Employers					
	(2) Participants	- ` '				
b	(3) Others (including rollovers) Other income (loss)		-927	60		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)					-92760
d	Benefits paid (including direct rollovers and insurance premiums	OC				
_	to provide benefits)	8d	149	17		
е	Certain deemed and/or corrective distributions (see instructions)	8e				
f	Administrative service providers (salaries, fees, commissions)	8f	29	87		
g	Other expenses	8g				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				17904
i	Net income (loss) (subtract line 8h from line 8c)	8i				-110664
i	Transfers to (from) the plan (see instructions)	gi				

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Part IV	l Dian	('harac	eteristics
гант	- ган	Oliai at	iteliants

HERE

SIGN HERE Signature of plan administrator

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 3D

b	If the	e plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Cha	ıracteris	tic Co	des in	the instru	uctions	:	
art	٧	Compliance Questions							
0	Dui	ring the plan year:		Yes	No		Am	ount	
а		as there a failure to transmit to the plan any participant contributions within the time period described in CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	n 10a		X				
b		ere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported line 10a.)	10b		X				
С	Wa	as the plan covered by a fidelity bond?	10c		X				
d		the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraudishonesty?	10d		X				
е	ins	ere any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, urance service or other organization that provides some or all of the benefits under the plan? (See tructions.)	10e		X				
f	Has	s the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did	the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h		nis is an individual account plan, was there a blackout period? (See instructions and 29 CFR 20.101-3.)	10h		X				
i		Oh was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3	10i		X				
art	VI	Pension Funding Compliance							
11		his a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and co					[Yes	X No
2	ls t	this a defined contribution plan subject to the minimum funding requirements of section 412 of the Co	de or se	ection (302 of	ERISA?		Yes	X No
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)					_		
а	lf a	waiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst nting the waiver.							
lf y	-	completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1							
b	Ent	er the minimum required contribution for this plan year		L	12b				
С	Ent	er the amount contributed by the employer to the plan for this plan year		L	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
е	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
art	VII	Plan Terminations and Transfers of Assets							
3а	Has	s a resolution to terminate the plan been adopted during the plan year or any prior year?		·····				Yes	X No
	If "Y	Yes," enter the amount of any plan assets that reverted to the employer this year			13a				
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?								
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify ich assets or liabilities were transferred. (See instructions.)	the pla	n(s) to	1				
1	3c(1) Name of plan(s):		13	c(2) El	N(s)		13c(3)	PN(s)
Caut	ion:	A penalty for the late or incomplete filing of this return/report will be assessed unless reasonate	able car	use is	establ	ished.			
Jnde SB o	r pei r Sch	nalties of perjury and other penalties set forth in the instructions, I declare that I have examined this r nedule MB completed and signed by an enrolled actuary, as well as the electronic version of this retu	eturn/re	port, ir	cludin	g, if appl			
elle		s true, correct, and complete. Filed with authorized/valid electronic signature. 07/20/2011 STEPHEN VAI	I ZANIT						
SIG	N	The with definition and discontinuous signature.	N ZAN I						

Date

Date

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

Pa	Annual Report Identification Information					
For	calendar plan year 2010 or fiscal plan year beginning 01/01/2010		and er	nding 1	2/31/2010	
\overline{A}	This return/report is for: X single-employer plan multiple-em	ployer p	olan (not multier	nployer)	one-participant pl	an
В	This return/report is for: first return/report final return/	report				
_	an amended return/report short plan y	ear retu	urn/report (less t	than 12 month	s)	
С	Check box if filing under: Form 5558 automatic e	xtensic	'n	L	DFVC program	
	special extension (enter description)					
	Basic Plan Information - enter all requested information					
	Name of plan	מ דרד	,	Three-digit plan number	(PN)	001
ΗU	DDLESTON & VAN ZANT, PSC PROFIT SHARING	РЬА		<u> </u>		001
			10	Effective date	of plan 13/1972	
			O.L.			
	Plan sponsor's name and address (employer, if for single-employer plan)		2D		ntification Number () 7 2 3 3 6 0	(EIN)
пυ	DDLESTON & VAN ZANT, PSC		20			
20	19 RING ROAD		20		's telephone numbe -737 – 9088	er •
20	19 KING KOAD		24		le (see instructions)	
FT.	IZABETHTOWN KY 42701		Zu	5411		
	Plan administrator's name and address (If same as Plan sponsor, enter "Same	. 11\	3h	Administrator		
SA		,	J OB	Administrator	3 LIIV	
	•••		3c	Administrator	's telephone numbe	
				, tarrillation at a		
4 1	the name and/or EIN of the plan sponsor has changed since the last return/rep	ort file	d for this 4b	EIN		
			's name			
,			4c	PN		
5a	Total number of participants at the beginning of the plan year		5a		9	
b	Total number of participants at the end of the plan year		5b		6	
С	Total number of participants with account balances as of the end of the plan y	ear (de	fined		_	
	benefit plans do not complete this item)		5c	<u></u>	6	
6a	Were all of the plan's assets during the plan year invested in eligible assets? (5	See inst	ructions.)	,	X Yes	No
b	Are you claiming a waiver of the annual examination and report of an independ					
	(IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and of				X Yes	∐ No
-	If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-Si	F and n	nust instead us	e Form 5500.		
	rt III Financial Information	j: +0,	(a) Basinsi		(b) End of	V
7	Plan Assets and Liabilities	-	(a) Beginni	393,439		82 , 775
a b	Total plan assets	7a		33,439		02,113
C	Total plan liabilities Net plan assets (subtract line 7b from line 7a)	7b 7c	-	393,439	2	82,775
8	Income, Expenses, and Transfers for this Plan Year	1,0	(a) An		(b) Tota	<u>-</u>
а	Contributions received or receivable from:	<u> </u>	(0)		(W. 1) (W. 1)	
u	(1) Employers	8a(1)				
	(2) Participants	8a(2)				
	(3) Others (including rollovers)	8a(3)			1	
b	Other income (loss) SEE STATEMENT 1	8b		-92,760		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			_	92,760
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		14,917	STATEMEN	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
е	Certain deemed and/or corrective distributions (see instructions)	8e				
f	Administrative service providers (salaries, fees, commissions)	8f		2,987	STATEMEN'	т 3
g	Other expenses	8g				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				17,904
i	Net income (loss) (subtract line 8h from line 8c)	8i			-1	10,664
i	Transfers to (from) the plan (see instructions)	, ai				

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, on a second (2010)		-	L		
Part IV Plan Characteristics					
9a If the plan provides pension benefits, enter the applicable pension feature of	codes from the List of Plan C	haracte	ristic C	odes in the inst	ructions:
PE 3D					
b If the plan provides welfare benefits, enter the applicable welfare feature co	des from the List of Plan Ch	aracteri	stic Co	des in the instru	ictions:
Part V Compliance Questions					
O During the plan year:		Yes	No	Amoun	t
a Was there a failure to transmit to the plan any participant contributions within the time	e period described				
in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Corre	ction Program.) 10a		X		
b Were there any nonexempt transactions with any party-in-interest? (Do not	include				
transactions reported on line 10a.)	10b		X		
C Was the plan covered by a fidelity bond?	10c		X		
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bo	ond, that				
was caused by fraud or dishonesty?	10d		X		
e Were any fees or commissions paid to any brokers, agents, or other person	s by an insurance				
carrier, insurance service or other organization that provides some or all of t	the benefits under				
the plan? (See instructions.)	10e		X		
f Has the plan failed to provide any benefit when due under the plan?			X		
g Did the plan have any participant loans? (If "Yes," enter amount as of year			X		
h If this is an individual account plan, was there a blackout period? (See instru	· · · · · · · · · · · · · · · · · · ·		73		
and 29 CFR 2520.101-3.)	10h		X 🕍		
i If 10h was answered "Yes," check the box if you either provided the require					
of the exceptions to providing the notice applied under 29 CFR 2520.101-3			X 🗀		
Part VI Pension Funding Compliance					
1 Is this a defined benefit plan subject to minimum funding requirements? (If	"Yes," see instructions and o	complet	e		
Schedule SB (Form 5500))		•		Yes	X
2 Is this a defined contribution plan subject to the minimum funding requirem	ents of section 412 of the Co	ode or			
section 302 of ERISA? (If "Yes," complete 12a or 12b, 12c, 12d, and 12e be				Yes	X
a If a waiver of the minimum funding standard for a prior year is being amortiz					the lette
ruling granting the waiver.		_	,		
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For					
			2b		
C Enter the amount contributed by the employer to the plan for this plan year		_	2c		
d Subtract the amount in line 12c from the amount in line 12b. Enter the resul		·····			
the left of a negative amount)		1	2d		
e Will the minimum funding amount reported on line 12d be met by the funding			Yes	No	N/A
Part VII Plan Terminations and Transfers of Assets	<u> </u>				
3a Has a resolution to terminate the plan been adopted during the plan year or	any prior year?			Yes	X No
If "Yes," enter the amount of any plan assets that reverted to the employer			3a		

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?

C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)
		1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

	7		
SIGN HERE	then Vizing	07/18/2011	STEPHEN VAN ZANT
UX	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor