Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	dance witl	h the instructions to the Form 5500)-SF.			
Pa	art I Annual Report Id	lentification Information						
For	calendar plan year 2010 or fisca	al plan year beginning 01/01/201	0	and ending 12	2/31/2	2010		
A	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant p	olan	
В	This return/report is for:	first return/report	final retur	n/report		_		
		an amended return/report	short plan	year return/report (less than 12 mor	nths)			
C	C Check box if filing under: Form 5558 automatic extension					DFVC program		
		special extension (enter description	on)					
Pa	art II Basic Plan Inforr	nation—enter all requested inform	ation					
1a	Name of plan				1b	Three-digit		
SUR	GICAL SPECIALISTS FIELD &	NEWBOLD, PLLC 401(K) PROFIT S	SHARING F	PLAN & TRUST		plan number	001	
					4 -	(PN) •		
					10	Effective date of pla 01/01/2008		
2a	Plan sponsor's name and addre	ess (employer, if for single-employer	plan)		2b	Employer Identifica		
SUR	GICAL SPECIALISTS FIELD &	NEWBOLD, PLLC	. ,		(EIN) 20-5900391 2c Plan sponsor's telephone number 509-525-1800			
1017	S 2ND AVE #3							
	LA WALLA, WA 99362				2d Business code (see instructions)			
						621111		
3a SUR	Plan administrator's name and GICAL SPECIALISTS FIELD &	address (if same as Plan sponsor, e NEWBOLD, PLLC 1017 S 2ND	nter "Same	e")	3b	b Administrator's EIN 20-5900391		
		WALLA WAL		9362	3c	3c Administrator's telephone number		
						509-525-1	800	
	•	an sponsor has changed since the las		port filed for this plan, enter the	4b EIN			
	name, EIN, and the plan numbe	er from the last return/report. Sponso	r's name		4c	PN		
5a	Total number of participants at the beginning of the plan year				5a			
					5b		8	
С	Total number of participants w	ith account balances as of the end of	f the plan y	rear (defined benefit plans do not				
	complete this item)				5c		8	
	•	0 , ,		(See instructions.)			Yes No	
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes ☐ No	
	,			SF and must instead use Form 550				
Pa	rt III Financial Informa							
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of	Year	
а	Total plan assets		. 7a	567594			750813	
b	Total plan liabilities		7b					
С	Net plan assets (subtract line 7	7b from line 7a)	7c	567594			750813	
8	Income, Expenses, and Transf	fers for this Plan Year		(a) Amount		(b) Tota	al	
а	Contributions received or received	ivable from:	8a(1)	71000				
	• • • •		8a(2)	40387	•			
	• •)						
b	, ,	,	, ,	71832				
С	,	8a(2), 8a(3), and 8b)					183219	
d	Benefits paid (including direct	rollovers and insurance premiums						
			. 8d					
e		tive distributions (see instructions)	. 8e					
f		rs (salaries, fees, commissions)			4			
g	•		. 8g				0	
n :	·	8e, 8f, and 8g)					183219	
 		e 8h from line 8c)ee instructions)					130210	
j	Transiers to (Holli) the plaff (St		8i	İ				

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-	t IV Plan Characteristics					
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara 2A 2E 2F 2G 2J 2K 2T 3B 3D	acteris	tic Co	des in	the instructions:	
	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara-	cterist	ic Cod	des in t	the instructions:	
_						
art	V Compliance Questions					
)	During the plan year:		Yes	No	Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X		
С	Was the plan covered by a fidelity bond?	10c	X		45000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X		
f	Has the plan failed to provide any benefit when due under the plan?	10f		X		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				
ırt	VI Pension Funding Compliance					
I	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))					
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No					
а	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver					
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
h	Enter the minimum required contribution for this plan year			12b		

Part	art VII Plan Terminations and Transfers of Assets		
е	e Will the minimum funding amount reported on line 12d be met by the funding deadlin	e?	Yes No N/A
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a negative amount)	j 120	
С	c Enter the amount contributed by the employer to the plan for this plan year	12c	
b	b Enter the minimum required contribution for this plan year	12b	

Part VII | Plan Terminations and Transfers of Assets

Has a resolution to terminate the plan been adopted during the plan year or any prior year?

If "Yes," enter the amount of any plan assets that reverted to the employer this year.

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If "Yes," enter t

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):	13c(2) EIN(s)	13c(3) PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/27/2011	SCOTT G. NEWBOLD		
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator		
SIGN	Filed with authorized/valid electronic signature.	07/27/2011	SCOTT G. NEWBOLD		
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor		