## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

0040

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

	art I Annual Report Identification Information					
For	calendar plan year 2010 or fiscal plan year beginning 01/01/2010	0	and ending	12/31/2	2010	
Α .	This return/report is for: Single-employer plan	multiple-employer plan (not multiemployer) one-participant plan				
В	This return/report is for: first return/report				_	
	an amended return/report	short plar	year return/report (less than 12 m	onths)		
C	Check box if filing under: Form 5558		extension	,	DFVC program	
	special extension (enter description		, exteriorer		_ 5. vo program	
Do		,				
	Irt II   Basic Plan Information—enter all requested information Name of plan	ation		1h	Three-digit	
	EN & THOMPSON MD'S PC 401(K) PROFIT SHARING PLAN			1.5	nlan number	
					(PN) • 002	
				1c	Effective date of plan	
					01/01/2004	
	Plan sponsor's name and address (employer, if for single-employer EN & THOMPSON MDS PC	plan)		2b	Employer Identification Number (EIN) 06-1638829	
OBK	EN & THOMPSON MIDS FC			20	Plan sponsor's telephone number	
	AKE AVENUE				631-862-7062	
51. J	AMES, NY 11780			2d	Business code (see instructions)	
		. "0		26	621111	
OBR	Plan administrator's name and address (if same as Plan sponsor, et EN THOMPSON, MDS, P.C. 403 LAKE AV		<del>)</del> ")	30	Administrator's EIN 06-1638829	
	ST. JAMES,	NY 11780		3c	Administrator's telephone number	
					631-862-7062	
	the name and/or EIN of the plan sponsor has changed since the las		port filed for this plan, enter the	4b	EIN	
l	name, EIN, and the plan number from the last return/report. Sponso	r's name		4c	PN	
5a	Total number of participants at the beginning of the plan year				16	
b	Total number of participants at the end of the plan year			5b	17	
C	Total number of participants with account balances as of the end of			30		
	complete this item)		•	. 5c	17	
6a	Were all of the plan's assets during the plan year invested in eligible	le assets?	(See instructions.)		Yes No	
b	Are you claiming a waiver of the annual examination and report of a					
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a		•		Yes   No	
Pa	If you answered "No" to either 6a or 6b, the plan cannot use Fort III Financial Information	orm 5500-	SF and must instead use Form 5	500.		
7	Plan Assets and Liabilities		(a) Paginning of Year		(b) End of Year	
-		70	(a) Beginning of Year 65611	0	846817	
	Total plan assets  Total plan liabilities	7a 7b		0	0	
C	Net plan assets (subtract line 7b from line 7a)	7c	65611	0	846817	
8	Income, Expenses, and Transfers for this Plan Year	70	(a) Amount		(b) Total	
а	Contributions received or receivable from:		` ,		(b) Total	
_	(1) Employers	8a(1)	4780	00		
	(2) Participants	8a(2)	4763	80		
	(3) Others (including rollovers)	8a(3)	(			
b	Other income (loss)	8b	98742			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			194172	
d	Benefits paid (including direct rollovers and insurance premiums		32	η.		
	to provide benefits)	. 8d	32	0		
e	Certain deemed and/or corrective distributions (see instructions)	. 8e	24/			
f	Administrative service providers (salaries, fees, commissions)	. 8f	314	_		
g	Other expenses	. 8g		0	0.405	
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			3465	
į	Net income (loss) (subtract line 8h from line 8c)	. 8i			190707	
- 1	Transfers to (from) the plan (see instructions)	Ωi		0		

	Form 5500-SF 2010 Page <b>2-</b>		_		
ar	t IV Plan Characteristics				
а	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara 2A 2E 2G 2J 3D  If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics				
art	V Compliance Questions				
)	During the plan year:		Yes	No	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	0
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X	0
С	Was the plan covered by a fidelity bond?	10c	X		300000
d	,,,,,,,	10d		X	0
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e	X		2320
f	Has the plan failed to provide any benefit when due under the plan?	10f		X	0
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10a	X		10939
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X	
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i			
rt	VI Pension Funding Compliance				
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))				
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or sec	ction 3	302 of I	ERISA? Yes 🛚 No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)				
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction granting the waiver				
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year			12b	
	Enter the amount contributed by the employer to the plan for this plan year			12c	

## 

12d

Yes

No

N/A

**C** If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

e Will the minimum funding amount reported on line 12d be met by the funding deadline?.....

d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a

**Plan Terminations and Transfers of Assets** 

**Part VII** 

13c(1) Name of plan(s):	<b>13c(2)</b> EIN(s)	<b>13c(3)</b> PN(s)
		İ
		I
		<u> </u>
	1	I
	1	1
	i l	i

## Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	07/29/2011	DENNIS O'BRIEN
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor