Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2010

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	rdance wit	h the instructions to the Form 550	0-SF.				
		lentification Information							
For	For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010								
Α.	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
В	This return/report is for:	first return/report	final retur	n/report					
		an amended return/report	short plar	n year return/report (less than 12 mor	nths)				
С	Check box if filing under:	Form 5558	automatio	extension		DFVC program			
_		special extension (enter descripti	on)						
Do	rt II Pacia Blan Inform	nation—enter all requested inform	,						
		mation—enter all requested inform	nation		1h	Three-digit			
	Name of plan	RVICES, PSC 401 (K) PROFI SHAF	DING DI AN		טו	plan number			
DLO		1020,100 401 (10)11011011	(III C I L) III			(PN) • 001			
					1c	Effective date of plan			
						01/01/2006			
		ess (employer, if for single-employer	r plan)		2b	Employer Identification Number			
BLUE	EGRASS NEUROLOGICAL SEI	RVICES, PSC				(EIN) 16-1673385			
990 (COUNT FLEET CIRCLE				2C	Plan sponsor's telephone number 859-936-0094			
	VILLE, KY 40422				2d	Business code (see instructions)			
					_~	621111			
3a	Plan administrator's name and	address (if same as Plan sponsor, e	enter "Same	e")	3b	Administrator's EIN			
BLUE	EGRASS NEUROLOGICAL SÉI	RVICES, PS 990 COUNT DANVILLE,		RCLE		16-1673385			
	DAINVILLE, N. 40422					Administrator's telephone number 859-936-0094			
4 1	f the name and/or FIN of the nis	an sponsor has changed since the la	et return/re	port filed for this plan, enter the	4b EIN				
		er from the last return/report. Sponse		port med for this plant, effect the	4b EIN				
			4c PN						
5a	Total number of participants at	t the beginning of the plan year			5a	3			
b	Total number of participants at	the end of the plan year			5b	3			
С	Total number of participants w	ith account balances as of the end o	of the plan y	vear (defined benefit plans do not					
	complete this item)				5c	3			
6a	Were all of the plan's assets of	during the plan year invested in eligib	ole assets?	(See instructions.)		Yes No			
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	· ·			•		Yes No			
Pa	rt III Financial Informa		OHH 3300-	SF and must instead use Form 55	00.				
7	Plan Assets and Liabilities			(a) Beginning of Veer		(h) End of Voor			
-	Total plan assets		7-	(a) Beginning of Year	3	(b) End of Year 89824			
	rotal plan docoto		7a		+				
b		7h from line 7a)		73056	3	89824			
<u>C</u>		7b from line 7a)	7с						
8	Income, Expenses, and Transf			(a) Amount		(b) Total			
а	Contributions received or rece (1) Employers	ivable from:	8a(1)	4341					
	• • • •			4341					
	• •)							
b	, ,			10274	-				
C	,	8a(2), 8a(3), and 8b)				18956			
d		rollovers and insurance premiums	60						
u			8d	()				
е		tive distributions (see instructions)	8e						
f	Administrative service provide	rs (salaries, fees, commissions)	8f	2188	3				
g	Other expenses		8g						
h	Total expenses (add lines 8d,	8e, 8f, and 8g)				2188			
i		e 8h from line 8c)				16768			
j		ee instructions)							

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Par	t IV	Plan Characteristics							
Эа	If the	plan provides pension benefits, enter the applicable pension feature codes from the List of Plan 0 2F 2G 2J 2K 3D	Characte	eristic C	Codes in	the instruc	ctions	s:	
b	If the	plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan C	haracte	ristic C	odes in	the instruc	tions:		
art	V	Compliance Questions		_					
0	Durir	ng the plan year:		Yes	S No		Amo	ount	
а		there a failure to transmit to the plan any participant contributions within the time period describe CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		а	X				
b		e there any nonexempt transactions with any party-in-interest? (Do not include transactions repor ne 10a.)		b	X				
С	Was	s the plan covered by a fidelity bond?	10	c X					65000
d		he plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fra	nud 10	d	Х				
е	insur	e any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, rance service or other organization that provides some or all of the benefits under the plan? (See uctions.)	10	е	X				
f	Has	the plan failed to provide any benefit when due under the plan?	10	ıf .	X				
g	Did t	he plan have any participant loans? (If "Yes," enter amount as of year end.)			X				
_	If this	s is an individual account plan, was there a blackout period? (See instructions and 29 CFR).101-3.)			X				
i	If 10I	h was answered "Yes," check the box if you either provided the required notice or one of the options to providing the notice applied under 29 CFR 2520.101-3							
art	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and				•		Yes	X No
12	Is th	is a defined contribution plan subject to the minimum funding requirements of section 412 of the	Code or	section	302 of	ERISA?		Yes	X No
	(If "Y	es," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
lf :	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.						
b	Enter	r the minimum required contribution for this plan year			12b				
_		r the amount contributed by the employer to the plan for this plan year			12c				
a		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the tive amount)			12d			_	
е	Will t	he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	1	No	N/A
art	VII	Plan Terminations and Transfers of Assets							
3а	Has a	a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou e PBGC?						Yes	X No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

c If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

13c(2) EIN(s)

13c(3) PN(s)

SIGN	Filed with authorized/valid electronic signature.	07/29/2011	NADINE JOHNSON
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor