### Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2009

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

	art I Annual Report Identification Information			00/00/		
For	calendar plan year 2009 or fiscal plan year beginning 07/01/200	09 _	and ending	06/30/2	2010 	
A	This return/report is for:	multiple-e	employer plan (not multiemployer)		one-participa	int plan
В	This return/report is for: first return/report	final retur	n/report			
	an amended return/report	short plar	year return/report (less than 12 m	onths)		
C	Check box if filing under: Form 5558	automatio	extension		X DFVC progra	am
	special extension (enter descripti	ion)				
Pa	irt II Basic Plan Information—enter all requested inform	nation				
	Name of plan			1b	Three-digit	
LOUI	SVILLE-JEFFERSON COUNTY PUBLIC DEFENDER MONEY PU	RCHASE P	ENSION PLAN		plan number	001
				10	(PN) Effective date o	f plan
				'	07/01/1	
2a	Plan sponsor's name and address (employer, if for single-employe	r plan)		2b	Employer Identi	fication Number
LOUI	SVILLE-JEFFERSON COUNTY PUBLIC DEFENDER CORP				(EIN) 23-712	
7401	VEST JEFFERSON ST			2c	Plan sponsor's t	telephone number
	SVILLE, KY 40202-0000			2d		(see instructions)
					541190	<u>, , , , , , , , , , , , , , , , , , , </u>
	Plan administrator's name and address (if same as Plan sponsor, sville-Jefferson County Public Defender 719 WEST,			3b	Administrator's	
COR				30	23-712	telephone number
					502-57	
	f the name and/or EIN of the plan sponsor has changed since the la		port filed for this plan, enter the	4b	EIN	
ı	name, EIN, and the plan number from the last return/report. Spons	or's name		4c	PN	
5a	Total number of participants at the beginning of the plan year					101
	Total number of participants at the end of the plan year			. 5b		112
С	Total number of participants with account balances as of the end of			0.0		
	complete this item)		•	. 5c		109
	Were all of the plan's assets during the plan year invested in eligi		'			Yes X No
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility					☐ Yes X No
	If you answered "No" to either 6a or 6b, the plan cannot use F		•			
Pa	rt III Financial Information					
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year
а	Total plan assets	7a	497408	30		5559669
b	Total plan liabilities	7b				
C	Net plan assets (subtract line 7b from line 7a)	7с	497408	30		5559669
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) 1	Total
а	Contributions received or receivable from:	92/1)	2659	56		
	(1) Employers	` ` `	10656	_		
	(2) Participants	` '	10030	39		
b	Other income (loss)		52048	30		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		02040			893005
d	Benefits paid (including direct rollovers and insurance premiums	00				33333
	to provide benefits)	8d	3070	56		
е	Certain deemed and/or corrective distributions (see instructions) $\ldots$	8e				
f	$\label{providers} \mbox{Administrative service providers (salaries, fees, commissions)}$	8f				
g	Other expenses	8g	36	60		
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				307416
į	Net income (loss) (subtract line 8h from line 8c)					585589
i	Transfers to (from) the plan (see instructions)	gi				

D ( IV/	DI	<b>O</b> L	
Part IV	Plan	Characi	reristics

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2C 2F 2G 2T
If the plan provides

D I	rtn	e pian provides weirare benefits, enter the applicable weirare featur	re codes from the L	list of Pian Charac	cterisi	iic Coo	ies in	ine instruct	uons:	
Part '	٧	Compliance Questions								
10	Dui	ing the plan year:		_		Yes	No		Amount	:
а		s there a failure to transmit to the plan any participant contributions CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary			10a		X			
b		re there any nonexempt transactions with any party-in-interest? (Doine 10a.)		•	10b		X			
С	Wa	as the plan covered by a fidelity bond?			10c	X				500000
		the plan have a loss, whether or not reimbursed by the plan's fideli			10d		X			
	ins	re any fees or commissions paid to any brokers, agents, or other per per ance service or other organization that provides some or all of the ructions.)	e benefits under the	plan? (See	10e		X			
f	Has	s the plan failed to provide any benefit when due under the plan?			10f		X			
g	Did	the plan have any participant loans? (If "Yes," enter amount as of y	year end.)		10g		X			
		is is an individual account plan, was there a blackout period? (See 20.101-3.)		) CFR	10h		X			
		Oh was answered "Yes," check the box if you either provided the receptions to providing the notice applied under 29 CFR 2520.101-3			10i					
Part \	۷I	Pension Funding Compliance								
		nis a defined benefit plan subject to minimum funding requirements?							Пуе	s X No
		0))his a defined contribution plan subject to the minimum funding requ							☐ Ye	
		Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.		1412 01 1116 0006	01 36	Clion	JUZ UI	LINIOA:	□	о 🗀
а	lf a	waiver of the minimum funding standard for a prior year is being am	nortized in this plan							-
	-	nting the waivercomplete lines 3, 9, and 10 of Schedule MB			n		Day		Year	
		er the minimum required contribution for this plan year		•		[	12b			
		er the amount contributed by the employer to the plan for this plan y					12c			
d	Sub	tract the amount in line 12c from the amount in line 12b. Enter the rative amount)	result (enter a minu	us sign to the left o	of a		12d			
е	Will	the minimum funding amount reported on line 12d be met by the fu	unding deadline?					Yes	No	N/A
Part \	/II	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted during the plan year	ear or any prior yea	r?					Ye	s X No
	lf "Y	es," enter the amount of any plan assets that reverted to the emplo	oyer this year				13a			
		re all the plan assets distributed to participants or beneficiaries, tranne PBGC?	nsferred to another	plan, or brought u	ınder	the co	ntrol		Ye	s X No
		uring this plan year, any assets or liabilities were transferred from th ch assets or liabilities were transferred. (See instructions.)	nis plan to another	plan(s), identify the	e plaı	n(s) to			-	
13	3c(1	) Name of plan(s):				13	c(2) El	N(s)	13c(	(3) PN(s)
Cautio	on:	A penalty for the late or incomplete filing of this return/report v	will be assessed u	ınless reasonable	e cau	se is	establ	ished.		
SB or	Sch	nalties of perjury and other penalties set forth in the instructions, I dedule MB completed and signed by an enrolled actuary, as well as true, correct, and complete.								
SIGN	F	iled with authorized/valid electronic signature.	08/24/2011	DANIEL T. GOYE	TTE					
HERE	- Г	Signature of plan administrator	Date	Enter name of inc	dividu	ıal sig	ning as	s plan adm	inistrator	

Date

Enter name of individual signing as employer or plan sponsor

### Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2009

This Form is Open to Public Inspection

	art I Annual Report Identification Information					
For	F-9	7/01/2	009 and	ending	06/30/20	10
Α	This return/report is for: X single-employer plan	multiple-e	mployer plan (not multi	employer)	one-participa	ant plan
В	This return/report is for: first return/report	final retur	n/report			
	an amended return/report	short plar	year return/report (less	than 12 month	s)	
С	Check box if filing under: Form 5558	automatic	extension		X DFVC progr	am
	special extension (enter description	ı				
Pa	art II Basic Plan Information—enter all requested inform				·	
	Name of plan			11	<b>b</b> Three-digit	
	LOUISVILLE-JEFFERSON COUNTY PUBLIC				plan number	
	DEFENDER MONEY PURCHASE PENSION PLAN			<u> </u>	(PN)	001
				1	<b>c</b> Effective date of 07/01/197	or pian '9
2a	Plan sponsor's name and address (employer, if for single-employer LOUISVILLE-JEFFERSON COUNTY PUBLIC	plan)		2	2b Employer Ident	
	LOUISVILLE-JEFFERSON COUNTY PUBLIC ' ' DEFENDER CORP	, ,			(EIN) 23-712	29726
	DAL AND AND CORE			2	c Plan sponsor's (502) 574-	telephone number
	719 WEST JEFFERSON ST			<u> </u>	2d Business code	
	LOUISVILLE		KY 40202		541190	(coo mon aonono)
3a	Plan administrator's name and address (if same as Plan sponsor, e $_{\mathrm{SAME}}$	nter "Sam	<b>)</b> ")	3	<b>3b</b> Administrator's	EIN
				<u> </u>	& Administrator's	telephone number
				'	76 Administrator s	telephone number
	f the name and/or EIN of the plan sponsor has changed since the la		port filed for this plan, e	nter the	b EIN	
	name, EIN, and the plan number from the last return/report. Sponso	or's name		2	ic PN	
5a	Total number of participants at the beginning of the plan year	**********		<del>-</del>	5a	101
b				<u> </u>	5b	112
С	Total number of participants with account balances as of the end o			ns do not		
	complete this item)				5c	109
	Were all of the plan's assets during the plan year invested in eligib					Yes 🛛 No
D	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-467 (See instructions on waiver eligibility	an indepe	ndent qualified public a ions.)	countant (IQP)	٩)	∏ Yes ☒ No
	If you answered "No" to either 6a or 6b, the plan cannot use F					
Pa	rt III Financial Information	The same market and a market				
7	Plan Assets and Liabilities	2000	(a) Beginning	-	(b) End	d of Year
a	Total plan assets			4,974,080		5,559,669
þ	Total plan liabilities					
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	. 7c		4,974,080		5,559,669
8	Income, Expenses, and Transfers for this Plan Year		(a) Amou	nt	(b)	Total
а	Contributions received or receivable from: (1) Employers	. 8a(1)		265,956		
	(2) Participants			106,569		
	(3) Others (including rollovers)	<del> </del>	4			
b	Other income (loss)	. 8b	-	520,480		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)					893,005
d	Benefits paid (including direct rollovers and insurance premiums			207 056		
_	to provide benefits)	. 8d		307,056		
e e	Certain deemed and/or corrective distributions (see instructions)					
f	Administrative service providers (salaries, fees, commissions)			3.00		
g	Other expenses		Ngajang promonenting Si	360		
n	- rotar expenses (and inles ou, de, di, and 8g)	. 8h	ة الأراف أن المركز و المركز و المركز المراجع المركز المركز المركز والمركز والمركز المركز المركز المركز المركز و			
i						307,416
i	Net income (loss) (subtract line 8h from line 8c)	. 8i				585,589

		Form 5500-SF 2009	F	age <b>2-</b>		_			
Pa	rt IV	Plan Characteristics		10 ± 10 ±					
		plan provides pension benefits, enter the applicable pension fea	ature codes from the	e List of Plan Char	acteris	stic Co	des in	the instructi	ons:
		2C 2F 2G 2T							
b	If the p	olan provides welfare benefits, enter the applicable welfare fea	iture codes from the	List of Plan Chara	acteris	tic Co	des in t	he instruction	ons:
Par	t V	Compliance Questions							
10		g the plan year:				Yes	No	A	ımount
а		here a failure to transmit to the plan any participant contributio FR 2510.3-102? (See instructions and DOL's Voluntary Fiduci			10a		Х		
b	Were on line	there any nonexempt transactions with any party-in-interest? (e 10a.)	(Do not include trans	sactions reported	10b		Х		
С	Was	the plan covered by a fidelity bond?	*************************	**************	10c	Х			500,000
d		ne plan have a loss, whether or not reimbursed by the plan's fid			10d		Х		•
е	Were insura	any fees or commissions paid to any brokers, agents, or other ance service or other organization that provides some or all of totions.)	r persons by an insu the benefits under t	rance carrier, ne plan? (See	10e		Х		
f		he plan failed to provide any benefit when due under the plan?			10f		Х		
g		ne plan have any participant loans? (If "Yes," enter amount as o				<b></b>	Х		
h	If this	is an individual account plan, was there a blackout period? (Si 101-3.)	ee instructions and	29 CFR	10g		X		
i	If 10h	was answered "Yes," check the box if you either provided the otions to providing the notice applied under 29 CFR 2520.101-	required notice or o	one of the	10i		23		
Dar	7 4 4 4 5 5 4 4 5 5	Pension Funding Compliance	J	*****************	101	1	<u> </u>	No. 10 to 10	
11	Is this	a defined benefit plan subject to minimum funding requiremer	nts? (If "Yes," see in	structions and con	nplete	Sched	iule SB	3 (Form	Yes X No
12		)							Yes X No
12		s a defined contribution plan subject to the minimum funding re es," complete 12a or 12b, 12c, 12d, and 12e below, as applicat		on 412 of the God	e or se	ection	302 01	ERISA?	☐ 163 전 140
а	lfaw:	aiver of the minimum funding standard for a prior year is being ng the waiver.	amortized in this pl	an year, see înstru	ictions oth	, and e	enter th Day	e date of th	e letter ruling Year
If		mpleted line 12a, complete lines 3, 9, and 10 of Schedule I							
b	Enter	the minimum required contribution for this plan year	***************************************				12b		
С	Enter	the amount contributed by the employer to the plan for this pla	an year			L	12c		
d		act the amount in line 12c from the amount in line 12b. Enter tr ive amount)					12d		
е	Will th	ne minimum funding amount reported on line 12d be met by the	funding deadline?.					Yes	No N/A
Par	t VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted during the plan	year or any prior ye	ar?			******		Yes X No
	If "Ye:	s," enter the amount of any plan assets that reverted to the em	ployer this year			[	13a		
b		all the plan assets distributed to participants or beneficiaries, t PBGC?			under	the c	ontrol		Yes X No
С		ing this plan year, any assets or liabilities were transferred fron assets or liabilities were transferred. (See instructions.)	n this plan to anothe	er plan(s), identify t	the pla	in(s) to	<b>)</b>		
	13c(1) l	Name of plan(s):				13	c(2) El	N(s)	13c(3) PN(s)
					-				
Cau	tion: A	penalty for the late or incomplete filing of this return/repo	rt will be assessed	l unless reasonal	ole ca	use is	establ	ished.	
Und SB d	er pena or Sched	Ities of perjury and other penalties set forth in the instructions, dule MB completed and signed by an enrolled actuary, as well ue, correct, and complete.	I declare that I have	examined this ret	turn/re	port. ji	ncludin	q, if applical	ole, a Schedule nowledge and
SIG	N L	wanel) Forthe	8/18/11	DANIEL T.	GOY	ETTE			
HEI		ignature of plan administrator	Date	Enter name of i	individ	ual sic	ıning as	s plan admir	nistrator

Date

Enter name of individual signing as employer or plan sponsor

SIGN HERE

Signature of employer/plan sponsor

# Form **5558**(Rev. January 2008) Department of the Treasury Internal Revenue Service

## Application for Extension of Time To File Certain Employee Plan Returns

► For Privacy Act and Paperwork Reduction Act Notice, see Instructions on page 3.

OMB No. 1545-0212

File With IRS Only

Pa	rt I Identification				
Α	Name of filer, plan administrator, or plan sponsor (see instructions)  LOUISVILLE-JEFFERSON COUNTY PUBLIC DEFENDER CORP  Number, street, and room or suite no. (If a P.O. box, see instructions)	X Employer iden	ying number ( tification numb	see instruction er (EIN).	s).
	719 WEST JEFFERSON ST	23-7129726			
	City or town, state, and ZIP code	Social security	/ number (SSN	)	
	LOUISVILLE KY 40202-0000				
С	Plan name	Plan	Plar	year endin	g—
_		number	MM	QQ	YYYY
1	LOUISVILLE-JEFFERSON COUNTY PUBLIC DEFENDER MONEY PURCHASE P	ENBIONO 1	6	30	2010
2	2				
3		1			
Pai	t II Extension of Time to File Form 5500 or Form 5500-EZ (se	e instructions)			
1	I request an extension of time until4 /15 /2011 to file Form	5500 or Form 55	00-EZ.		
	The application is automatically approved to the date shown on line 1 (normal due date of Form 5500 or 5500-EZ for which this extension is requiments after the normal due date.	above) if: (a) the lested, and (b) the	Form 5558 e date on lin	is filed on o e 1 is no mo	r before the ore than 2½
	You must attach a copy of this Form 5558 to each Form 5500 and 5500-EZ fi	iled after the due	date for the	plans listed i	n C above.
	. A signature is not required if you are requesting an extension to file Form 5500 o		date for the	plans listed i	n C above.
Pai		or Form 5500-EZ.			n C above.
Pai	A signature is not required if you are requesting an extension to file Form 5500 of till Extension of Time to File Form 5330 (see instructions)  I request an extension of time until/	or Form 5500-EZ.			n C above.
Par 2 a	A signature is not required if you are requesting an extension to file Form 5500 or till Extension of Time to File Form 5330 (see instructions)  I request an extension of time until to file Form You may be approved for up to a six (6) month extension to file Form 5330, after	5330. the normal due da			n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until/	5330. the normal due da		330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until / to file Form You may be approved for up to a six (6) month extension to file Form 5330, after Enter the Code section(s) imposing the tax	5330. the normal due da		330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until to file Form You may be approved for up to a six (6) month extension to file Form 5330, after Enter the Code section(s) imposing the tax	5330.  the normal due da  [a]  amendment date	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until / to file Form You may be approved for up to a six (6) month extension to file Form 5330, after Enter the Code section(s) imposing the tax	5330. the normal due da	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until / to file Form You may be approved for up to a six (6) month extension to file Form 5330, after Enter the Code section(s) imposing the tax	5330. the normal due da  [a ]	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until / to file Form You may be approved for up to a six (6) month extension to file Form 5330, after Enter the Code section(s) imposing the tax	5330. the normal due da  [a ]	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until / to file Form You may be approved for up to a six (6) month extension to file Form 5330, after Enter the Code section(s) imposing the tax	5330. the normal due da  [a ]	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until / to file Form You may be approved for up to a six (6) month extension to file Form 5330, after Enter the Code section(s) imposing the tax	5330. the normal due da	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until/	5330. the normal due da	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until/	5330. the normal due da	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until/	5330. the normal due da	ate of Form 5	330.	n C above.
Par 2 a b	Extension of Time to File Form 5330 (see instructions)  I request an extension of time until/	5330. the normal due da  [a ]	ate of Form 5	330.	n C above.