## Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2010

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

**Annual Report Identification Information** For calendar plan year 2010 or fiscal plan year beginning 12/31/2010 and ending single-employer plan multiple-employer plan (not multiemployer) one-participant plan A This return/report is for: first return/report final return/report **B** This return/report is for: an amended return/report short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Basic Plan Information—enter all requested information Three-digit 1a Name of plan plan number DENNIS W. GRAHAM, D.D.S., P.S. PROFIT SHARING PLAN 001 (PN) ▶ 1c Effective date of plan 01/01/2002 2a Plan sponsor's name and address (employer, if for single-employer plan) 2b Employer Identification Number 91-1361272 DENNIS W. GRAHAM, D.D.S., P.S. (EIN) 2c Plan sponsor's telephone number 1812 SOUTHEAST 97TH AVENUE VANCOUVER, WA 98664 2d Business code (see instructions) 3a Plan administrator's name and address (if same as Plan sponsor, enter "Same") **3b** Administrator's EIN DENNIS W. GRAHAM, D.D.S., P.S. 1812 SOUTHEAST 97TH 91-1361272 VANCOUVER, WA 98664 3c Administrator's telephone number 360-699-2458 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year..... 5a **b** Total number of participants at the end of the plan year..... 5b C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)..... **6a** Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) **b** Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) X Yes under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)..... If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III | Financial Information Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 388901 456354 a Total plan assets..... 7a 4093 4093 **b** Total plan liabilities..... 7b 384808 452261 Net plan assets (subtract line 7b from line 7a)..... 7с 8 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: 0 (1) Employers ..... 8a(1) 22000 8a(2) (2) Participants ..... 0 (3) Others (including rollovers)..... 8a(3) 45453 Other income (loss)..... 8b 67453 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) ..... 8с Benefits paid (including direct rollovers and insurance premiums 0 to provide benefits)..... 8d 0 Certain deemed and/or corrective distributions (see instructions) ... 8e 0 Administrative service providers (salaries, fees, commissions)...... 8f 0 Other expenses..... 8g Total expenses (add lines 8d, 8e, 8f, and 8g)..... 8h 67453 Net income (loss) (subtract line 8h from line 8c)..... 8i Transfers to (from) the plan (see instructions) ..... 0

Form 5500-SF 2010		Page <b>2-</b>
Part IV	Plan Characteristics	

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	V Compliance Questions						
10	During the plan year:		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c		X			
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))					Yes	s X No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	e or se	ction 3	302 of I	ERISA?	Yes	s <sup>X</sup> No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)					_	_
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver.						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			- 7 .			
b	Enter the minimum required contribution for this plan year			12b			
С	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)			12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		<u>.</u>			Yes	s <sup>X</sup> No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						
<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control							
С	of the PBGC?						, 🖺 140
13c(1) Name of plan(s):					13c(2) EIN(s)		
•	oo(1) Hamo of plan(o).			<u> </u>	14(0)	100(	<b>3)</b> PN(s)
0		la			inhad		
	ion: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab or penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this ret					able a Sal	hadula
SB o	er penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return, r Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return, f, it is true, correct, and complete.						

SIGN	Filed with authorized/valid electronic signature.	08/26/2011	DENNIS W. GRAHAM
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	08/26/2011	DENNIS W. GRAHAM
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

## Form 5500-SF

Department of the Treesury Internal Revenue Service

Department of Labor Employee Benefite Socurity Administration Pension Benefit Guaranty Corporation

nternal Revenue Service

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2010

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OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Rant Annual Report Identification Information									
Fo	For the calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010								
A	This return/report is for:  x single-employer plan  multiple-employer plan (not multiemployer)	one-participant plan							
В	This return/report is for:								
	an amended return/report short plan year return/report (less than 12 months)								
~		☐ DFVC program							
L		U bi vo piogram							
-	special extension (enter description)								
-	art II Basic Plan Information enter all requested information.								
16	Name of plan	1b Three-digit plan number							
	DENNIS W. GRAHAM, D.D.S., P.S. PROFIT SHARING PLAN	(PN) ▶ 001							
		1c Effective date of plan							
9-		01/01/2002							
28	Plan sponsor's name and address (employer, if for single-employer plan)  DENNIS W. GRAHAM, D.D.S., P.S.	2b Employer Identification Number (EIN) 91-1361272							
	DEMNIS W. GRADAN, D.D.S., F.S.	2c Plan sponsor's telephone number							
	1812 SOUTHEAST 97TH AVENUE	(360) 699-2458							
US	VANCOUVER WA 98664	2d Business code (see instructions) 621210							
3a		3b Administrator's EIN							
-	Same	- Apparent A							
		3c Administrator's telephone number							
		, tallimorate, a lateprofic fishing:							
A		Ab Fin							
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report. Sponsor's Name	4b EIN							
		4c PN							
5a	Total number of participants at the beginning of the plan year								
b	Total number of participants at the end of the plan year	5b 1							
C	Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)								
6a									
b	toward and the second								
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								
	ftill Financial Information								
7	Plan Assets and Liabilities (a) Beginning of Year	(b) End of Year							
a	Total plan assets	456,354							
Ċ	Total plan liabilities	4,093							
c	Net plan assets (subtract line 7b from line 7a)	452,261							
8	Income, Expenses, and Transfers for this Plan Tear (a) Amount	(b) Total							
3	Contributions received or receivable from: (1) Employers								
	(i) Employers.								
	(2) Participants       8a(2)       22,000         (3) Others (including rollovers)       8a(3)       0								
b	Other income (loss)								
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c	67,453							
d	Benefits paid (including direct rollovers and insurance premiums	57,455							
	to provide benefits) 8d 0								
e	Certain deemed and/or corrective distributions (see instructions) , . 8e 0								
f	Administrative service providers (salaries, fees, commissions) 8f 0								
9	Other expenses								
-		Line in the same of the control of t							
,	The same of the sa	0							
h	West of the state	0 67,453							

nu	ALEE FAIT AE'ATT LIVOLI-DELALTS ANGULLI DOS 13000335 100 .c.						
	Form 5500-SF 2010 Page 2-		Programe				
Par	tily Plan Characteristics						
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characte	ristic	Codes	in the	instructio	ns:	
	2A 2E 2F 2K 3E 2J						
D	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteri	stic C	odes i	n the II	nstruction	S:	
Par	Compliance Questions					************	-
10	During the plan year:		Yes	No	T	Amount	
а	Was there a failure to transmit to the plan any participant contribution within the time period described in			х			***************************************
Į,	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		^			
D	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		х			
		10c		х			
d	Was the plan covered by a fidelity bond?	-					
6.0	or dishonesty?	10d		х			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier,						
	insurance services or other organization that provides some or all of the benefits under the plan? (See	100		х			
f	Instructions.)			х			
-	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		х			
g h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR	109				FREE TON	Strange of
••	2520.101-3.)	10h		Х			C And Asset
editor.	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	101	decent and the second				i.
Part	Vi Pension Funding Compliance		-				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comple 5500))					. 🔲 Yes	XNo
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or	sectio	n 302	of ER	ISA? .	. Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction						
ΙŤV	granting the waiver	U1		Day		real	
b	Enter the minimum required contribution for this plan year		. [	12b			-
C	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a			12d		***************************************	
	negative amount)	• •			Tyes	ΠNο	- Inva
	Will the minimum funding amount reported on line 12d be met by the funding deadline?		•	•			□N/A
Part,					**************************************	Yes	[V]No
	Has a resolution to terminate the plan been adopted during the plan year or any prior year?			13a		. Птез	[X]NO
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought und	er the	contr	ol		,	
С	of the PBGC?	lan(s)	to .			· Yes	X No
	which assets or liabilities were transferred. (See instructions.)	1011(3)	10				
13	c(1) Name of plan(s):		13	c(2) El	N(s)	13c(3)	PN(s)
		~~~	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
Caution	n: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable ca	use is	esta	blishe	d,		
	penalties of perjury and other penaltles set forth in the instructions, I declare that I have examined this return/re					, a Schedule	

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	a Li W. Law P)		DENNIS W. GRAHAM
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	(JW & 1 75 75		DENNIS W. GRAHAM
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor