Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2040

2010

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

	ension Benefit Guaranty Corporation	Complete all entries in acco	rdance wit	h the instructions to the Form 550	0-SF.			
		tification Information						
For	calendar plan year 2010 or fiscal pla	an year beginning 01/01/20	10	and ending 1	2/31/2	2010		
Α.	This return/report is for:	ingle-employer plan	multiple-e	employer plan (not multiemployer)		one-participant pla	an	
В .	This return/report is for:	rst return/report	final retur	n/report				
	X a	n amended return/report	short plar	n year return/report (less than 12 mor	nths)			
C	Check box if filing under:	orm 5558	automatio	extension		X DFVC program		
	□ si		_					
Pa	rt II Basic Plan Informat	ion—enter all requested inform	nation					
	Name of plan	iner: cinci ai requestea illeri	idiloii		1b	Three-digit		
SHORTYS SPORTS BAR AND GRILL 401 K PROFIT SHARING PLAN TRUST						plan number	01	
						(PN) ▶		
					1c	Effective date of plan 01/01/2010		
22	Plan sponsor's name and address	(ampleyer if for single ampleye	r plan)		2h	Employer Identification	n Number	
	RTY S SPORTS BAR AND GRILL	(employer, ir for single-employe	i piaii)		20	(EIN) 26-4386347	ni ivuilibei	
4000	OFNIFOEE OF				2c	2c Plan sponsor's telephone number		
	GENESEE ST A, NY 13501				24	315-735-515		
					2a	Business code (see in 812990	nstructions)	
3a	Plan administrator's name and add	ress (if same as Plan sponsor,	enter "Same	e")	3b	Administrator's EIN		
SHO	RTY S SPORTS BAR AND GRILL	1899 GENE UTICA, NY	SEE ST			26-4386347		
			3с	3c Administrator's telephone number 315-735-5153				
4 1	the name and/or EIN of the plan sp	ponsor has changed since the la	ast return/re	port filed for this plan, enter the	4b			
	name, EIN, and the plan number fro							
			4c	PN T	•			
			5a		2			
b	Total number of participants at the				5b		3	
С	Total number of participants with a complete this item)			/ear (defined benefit plans do not	5с		2	
6a	,			(See instructions.)		X	Yes No	
b	Are you claiming a waiver of the ar	nnual examination and report of	an indeper	ndent qualified public accountant (IQI	PA)	<u> </u>		
				ions.)		······	Yes No	
Do	If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III Financial Information							
		ווע		T				
7	Plan Assets and Liabilities		_	(a) Beginning of Year		(b) End of Ye	ear 3539	
	Total plan assets		7a				0	
D C	Total plan liabilities Net plan assets (subtract line 7b fro						3539	
8	Income, Expenses, and Transfers		7с	(a) Amount		/h) Total		
а	Contributions received or receivable			(a) Amount		(b) Total		
_			8a(1)	372	2			
	(2) Participants		8a(2)	3089				
	(3) Others (including rollovers)	overs))				
b	Other income (loss)		8b 7		3			
С	Total income (add lines 8a(1), 8a(2	2), 8a(3), and 8b)	8c				3539	
d	Benefits paid (including direct rollor to provide benefits)	•	8d	C				
е		eemed and/or corrective distributions (see instructions) 8e)				
f	Administrative service providers (se	alaries, fees, commissions)	8f	()			
g	Other expenses		8g	()			
h	Total expenses (add lines 8d, 8e, 8	8f, and 8g)					0	
i	Net income (loss) (subtract line 8h	from line 8c)	8i				3539	
j	Transfers to (from) the plan (see in	nstructions)	8i	(

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٥.	t IV Plan Characteristics					
а	t IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics 2E 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics.					
4	V Compliance Overtions					
art \	Compliance Questions		Yes	No	A	
a	During the plan year: Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a	res	X	Amount	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X		
С	Was the plan covered by a fidelity bond?	10c		X		
d	the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud dishonesty?			X		
е	e any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, rance service or other organization that provides some or all of the benefits under the plan? (See uctions.)			X		
f	Has the plan failed to provide any benefit when due under the plan?	10f		X		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				
rt	VI Pension Funding Compliance					
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))	plete	Sched	lule SB	G (Form Yes X No	
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of	ERISA? Yes 🔼 No	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)					
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver					
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year			12b		
С	Enter the amount contributed by the employer to the plan for this plan year			12c		

Part VII Plan Terminations and Transfers of Assets

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? If "Yes," enter the amount of any plan assets that reverted to the employer this year......

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control

of the PBGC?..... If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a

negative amount)

e Will the minimum funding amount reported on line 12d be met by the funding deadline?.....

which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

12d

Yes

N/A

No

No

Yes

Yes X No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	09/07/2011	SHORTY S SPORTS BAR AND GRILL
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor