Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

P	Complete all entries in	accordance wit	h the instructions to the Form 5500	0-SF.	•			
	art I Annual Report Identification Information							
For	calendar plan year 2010 or fiscal plan year beginning 01/	01/2010	and ending 1	2/31/2	2010			
Α -	This return/report is for:	multiple-	employer plan (not multiemployer)		one-participant plan			
В	This return/report is for: first return/report	final retu	final return/report					
	an amended return/report	short pla	n year return/report (less than 12 mor	nths)				
C	Check box if filing under:	automati	c extension		DFVC program			
	special extension (enter de	escription)						
Da	rt II Basic Plan Information—enter all requested	' '						
	Name of plan	IIIIOIIIIalioii		1h	Three-digit			
	ECA VETERINARY CLINIC, P.L.L.C. 401(K) PLAN			10	plan number			
I LOL	107(VE VE VII VII (V OEI VII O, V .E.E.O. 101(N) V E VII				(PN) • 001			
				1c	Effective date of plan			
					01/01/2005			
	Plan sponsor's name and address (employer, if for single-en	nployer plan)		2b	Employer Identification Number			
KESE	ECA VETERINARY CLINIC, P.L.L.C.	(EIN) 20-1463346						
1441	ROUTES 5 AND 20 WEST		20	Plan sponsor's telephone number 315-781-1378				
GENI	EVA, NY 14456			2d	Business code (see instructions)			
					541940			
3a	Plan administrator's name and address (if same as Plan spo	nsor, enter "Sam	e")	3b	Administrator's EIN			
KESE		ROUTES 5 AND EVA, NY 14456	20 WEST	0 -	20-1463346			
				3C	Administrator's telephone number 315-781-1378			
4 1	the name and/or EIN of the plan sponsor has changed since	e the last return/r	eport filed for this plan, enter the	4h	EIN			
	name, EIN, and the plan number from the last return/report.							
				4c	PN			
5a	Total number of participants at the beginning of the plan year	ar		5a	16			
b	Total number of participants at the end of the plan year		5b	14				
С	Total number of participants with account balances as of the	e end of the plan	year (defined benefit plans do not		14			
	complete this item)			5c	14			
	Were all of the plan's assets during the plan year invested i	J	'		Yes No			
b	Are you claiming a waiver of the annual examination and reunder 29 CFR 2520.104-46? (See instructions on waiver eli				X Yes ☐ No			
	If you answered "No" to either 6a or 6b, the plan cannot	•	•					
Pa	rt III Financial Information	. 400 1 01111 0000	or and made motidae add r drin do					
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year			
-	Total plan assets	7a	246318	3	300550			
b	Total plan liabilities		0)	0			
C	Net plan assets (subtract line 7b from line 7a)		246318	3	300550			
8	Income, Expenses, and Transfers for this Plan Year	70	(a) Amount		(b) Total			
а	Contributions received or receivable from:		(a) Amount		(b) Total			
ű	(1) Employers	8a(1)	22387	7				
	(2) Participants	8a(2)	17061					
	(3) Others (including rollovers)	8a(3)	0)				
b	Other income (loss)	8b	30203					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			69651			
d	Benefits paid (including direct rollovers and insurance premi		45440					
	to provide benefits)	8d	15419	_				
е	Certain deemed and/or corrective distributions (see instructi	ons) 8e	С					
f	Administrative service providers (salaries, fees, commission	s) 8f	C	0				
g	Other expenses	8g	С)				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			15419			
i	Net income (loss) (subtract line 8h from line 8c)	8i			54232			
i	Transfers to (from) the plan (see instructions)		C)				

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a	IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics	acteris	tic Co	des in	the instru	ctions:		
	2E 2F 2G 2J 2K 3D 3H		ia Caa	ا ما دما	iha inatrus	ution o		
)	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	acterist	ic Coc	ies in	ne instruc	uons.		
art	V Compliance Questions							
)	During the plan year:		Yes	No		Amou	ınt	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a	X					1554
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
С	Was the plan covered by a fidelity bond?	10c	X					60000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		Χ				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		Х				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
ırt	VI Pension Funding Compliance							
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))	•			•		Yes	X No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of	ERISA?		Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction granting the waiver							ng
lf y	rou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day		ı eai		
-	Enter the minimum required contribution for this plan year		12b					
	nter the amount contributed by the employer to the plan for this plan year							
_	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	□ No	, T	N/A

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13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? If "Yes," enter the amount of any plan assets that reverted to the employer this year.....

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s)

Yes X

Yes No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/05/2011	BRENDA CARTER
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor