Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2010

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [X] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

1b Three-digit plan number (PN) ▶ 003

1c Effective date of plan 01/01/1981

2a Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.)
SARGENT AND LUNDY, L.L.C.

55 EAST MONROE STREET
CHICAGO, IL 60603

55 EAST MONROE STREET
CHICAGO, IL 60603

2b Employer Identification Number (EIN)
36-1729848

2c Sponsor's telephone number
312-269-2130

2d Business code (see instructions)
541330

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/07/2011	KATHLEEN DAVIS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/07/2011	MICHAEL E HELMINSKI
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address (if same as plan sponsor, enter "Same")
SARGENT AND LUNDY, L.L.C.

55 EAST MONROE STREET
CHICAGO, IL 60603

3b Administrator's EIN
36-1729848

3c Administrator's telephone number
312-269-2130

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:
a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	3953
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	2411
b	Retired or separated participants receiving benefits	**6b**	68
c	Other retired or separated participants entitled to future benefits	**6c**	1332
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	3811
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	5
f	Total. Add lines **6d** and **6e**	**6f**	3816
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	3683
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	1
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
2E 2F 2G 2J 2K 2R 2S 2T

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)		Insurance
(2)		Code section 412(e)(3) insurance contracts
(3)	X	Trust
(4)		General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)		Insurance
(2)		Code section 412(e)(3) insurance contracts
(3)	X	Trust
(4)		General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	X	**R** (Retirement Plan Information)
(2)		**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)		**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	X	**H** (Financial Information)
(2)		**I** (Financial Information – Small Plan)
(3)		**A** (Insurance Information)
(4)	X	**C** (Service Provider Information)
(5)	X	**D** (DFE/Participating Plan Information)
(6)		**G** (Financial Transaction Schedules)

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2010** **This Form is Open to Public Inspection.**

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan	**B** Three-digit plan number (PN) ▶	
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN		003
C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)	
SARGENT AND LUNDY, L.L.C.	36-1729848	

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FINANCIAL ENGINES

77-0473565

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	ADVISOR	171001	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64 37 65 71 60	RECORDKEEPER	108946	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABDN GLBL FX INC IS - CITI FUND SER 31-1249295	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF BALANCED INV - BOSTON FINANCIAL 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF INTL EQUITY INV - BOSTON FINANC 04-2526037	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF LG CAP VAL INV - BOSTON FINANCI 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABF SM CAP VAL INV - BOSTON FINANCI 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF WASH MUTUAL R5 - AMERICAN FUNDS 95-2566717	0.05%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AF WASHINGTON MUTL A - AMERICAN FUN 95-2566717	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALGER CAP APPREC I - BOSTON FINANCI 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALGER MIDCAP GRTH I - BOSTON FINANC 04-2526037	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALGER SM CAP GR IS I - BOSTON FINAN 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLNZ NFJ SMCAPVAL I - BOSTON FINAN 04-2526037	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLNZ NFJ SMCPVAL AD - BOSTON FINAN 04-2526037	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AM CEN LG CO VAL INV - AMERICAN CEN 44-0619208	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AM CENT LG CO VAL IS - AMERICAN CEN 44-0619208	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AM CENT SM COMP INST - AMERICAN CEN 44-0619208	0.15%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AM CENT SM COMP INV - AMERICAN CENT 44-0619208	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AM CENT ULTRA INV - AMERICAN CENTUR 44-0619208	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AM CENTURY ULTRA IS - AMERICAN CENT 44-0619208	0.15%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARIEL APPRECIATION - US BANCORP FUN 39-0281260	0.38%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARIEL FUND - US BANCORP FUND SERVIC 39-0281260	0.38%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN INTL - BOSTON FINANCIAL DAT 04-2526037	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN MID CAP INV - BOSTON FINANC 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ASTON/M&C GROWTH N - PNC GLOBAL INV 04-2871943	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON ASSET FUND - DST SYSTEMS, INC 43-1581814	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON GROWTH - DST SYSTEMS, INC. 43-1581814	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON GROWTH INST - DST SYSTEMS, IN 43-1581814	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
C&S INST REALTY SHS - BOSTON FINANC 04-2526037	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT BALANCED A - BOSTON FINANCI 04-2526037	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT CAP ACC A - BOSTON FINANCIA 04-2526037	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT EQUITY A - BOSTON FINANCIAL 04-2526037	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT INTL EQ A - BOSTON FINANCIA 04-2526037	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COHEN & STEERS RLTY - BOSTON FINANC 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CS LG CAP BLD II COM - BOSTON FINAN 04-2526037	0.45%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOMINI SOCIAL EQ INV - BNY MELLON A 101 SABIN ST PAWTUCKET, RI 02860	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOMINI SOCIAL EQTY R - BNY MELLON A 101 SABIN ST PAWTUCKET, RI 02860	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY EQUITY GROWTH A - DREYFUS TRAN 13-5673135	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY EQUITY GROWTH F - DREYFUS TRAN 13-5673135	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY EQUITY GROWTH I - DREYFUS TRAN 13-5673135	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY LT GR & INC INV - DREYFUS TRAN 13-5673135	0.25%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY LT GRTH & INC R - DREYFUS TRAN 13-5673135	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY MID-CAP GRTH F - DREYFUS TRANS 13-5673135	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY MID-CAP GRTH I - DREYFUS TRANS 13-5673135	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY TECHN GRTH A - DREYFUS TRANSFE 13-5673135	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREY WW GROWTH I - DREYFUS TRANSFER 13-5673135	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS GLB SM CAP GR S - DWS INVESTMEN 02-0432775	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS GRTH & INCOME S - DWS INVESTMEN 02-0432775	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS INTERNATIONAL IS - DWS INVESTME 02-0432775	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS INTERNATIONAL S - DWS INVESTMEN 02-0432775	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS SMALL CAP GRTH S - DWS INVESTME 02-0432775	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DWS STRATEGIC VAL A - DWS INVESTMEN 02-0432775	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FKLN SMMIDCAP GRTH A - FRANKLIN TEM 94-3167260	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FKLN SMMIDCAP GTH AD - FRANKLIN TEM 94-3167260	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FPA CRESCENT - BOSTON FINANCIAL DAT 04-2526037	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS BASIC BAL A - INVESCO INVESTME 98-0557567	0.50%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS BASIC BAL INST - INVESCO INVES 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS BASIC VAL INST - INVESCO INVES 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS BASIC VALUE A - INVESCO INVEST 98-0557567	0.50%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS CHARTER A - INVESCO AIM INVEST 98-0557567	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS CHARTER INST - INVESCO AIM INV 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS CONSTELLATION A - INVESCO AIM 98-0557567	0.50%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS CONSTELLTN IS - INVESCO AIM IN 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS DIVRS DIVD INST - INVESCO AIM 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS DIVRS DIVD INV - INVESCO AIM I 98-0557567	0.50%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS DYNAMICS INST - INVESCO CANADA 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS DYNAMICS INV - INVESCO CANADA 98-0557567	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS GBL SMMDCPGR IS - INVESCO CANA 98-0557567	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS GLB SMMDCP GR A - INVESCO CANA 98-0557567	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS LG CAP GRTH A - INVESCO AIM IN 98-0557567	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS LGCP GRTH INST - INVESCO AIM I 98-0557567	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS LGCP REL VAL A - INVESCO TRIMA 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS MDCP CORE EQ A - INVESCO INVES 98-0557567	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS MDCP CORE EQ IS - INVESCO INVE 98-0557567	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS SMCP GRTH INST - INVESCO INVES 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS SMCP GRTH INV - INVESCO INVEST 98-0557567	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS VALUE II A - INVESCO TRIMARK L 98-0557567	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS VALUE II Y - INVESCO TRIMARK L 98-0557567	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
IVK GRTH & INC A - INVESCO TRIMARK 98-0557567	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
IVK GRTH & INC Y - INVESCO TRIMARK 98-0557567	0.15%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS BALANCED I - JANUS SERVICES L 43-1804048	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS BALANCED T - JANUS SERVICES L 43-1804048	0.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS ENTERPRISE I - JANUS SERVICES 43-1804048	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS ENTERPRISE T - JANUS SERVICES 43-1804048	0.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS FLEXIBLE BD T - JANUS SERVICE 43-1804048	0.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS FORTY I - JANUS SERVICES LLC 43-1804048	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS FORTY S - JANUS SERVICES LLC 43-1804048	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS FUND T - JANUS SERVICES LLC 43-1804048	0.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS OVERSEAS I - JANUS SERVICES L 43-1804048	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS RESEARCH T - JANUS SERVICES L 43-1804048	0.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS TWENTY T - JANUS SERVICES LLC 43-1804048	0.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS WORLDWIDE T - JANUS SERVICES 43-1804048	0.34%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JH SMALL COMPANY A - DST SYSTEMS, I 43-1581814	0.55%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JH SMALL COMPANY I - DST SYSTEMS, I 43-1581814	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPM INV GRTH & INC A - BOSTON FINAN 04-2526037	0.50%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LD ABBETT MIDCPVAL A - DST SYSTEMS, 43-1581814	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LD ABBETT MIDCPVAL I - DST SYSTEMS, 43-1581814	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LM CM VALUE FI - BOSTON FINANCIAL D 04-2526037	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SM CAP VAL I - BOSTON FINANC 04-2526037	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SM CAP VAL R - BOSTON FINANC 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MANAGERS BOND FUND - PNC GLOBAL INV 04-2871943	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MANAGERS SPECIAL EQ - PNC GLOBAL IN 04-2871943	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MGRS SPECIAL EQ INST - PNC GLOBAL I 04-2871943	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MGRS/C CAP APPR ADM - BNY MELLON IN ONE WALL ST NEW YORK, NY 10286	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MGRS/C CAP APPR INST - BNY MELLON I ONE WALL ST NEW YORK, NY 10286	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MGRS/C MID CAP ADM - BNY MELLON INV ONE WALL ST NEW YORK, NY 10286	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MGRS/C MID CAP INST - BNY MELLON IN ONE WALL ST NEW YORK, NY 10286	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF ACTIVE INTL P - MORGAN STANLEY 13-3799749	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF BALANCED I - JPMORGAN INVESTOR 22-2382028	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF BALANCED P - JPMORGAN INVESTOR 22-2382028	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF CAPITAL GRTH I - MORGAN STANLE 13-3799749	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF CAPITAL GRTH P - MORGAN STANLE 13-3799749	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF CP FX INC I - JPMORGAN INVESTO 22-2382028	0.05%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF CP FX INC P - JPMORGAN INVESTO 22-2382028	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF EMERGING MKTS I - MORGAN STANL 13-3799749	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF EMERGING MKTS P - MORGAN STANL 13-3799749	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF INTL EQUITY I - MORGAN STANLEY 13-3799749	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF INTL EQUITY P - MORGAN STANLEY 13-3799749	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF MID CAP GRTH I - JPMORGAN INVE 22-2382028	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF MID CAP GRTH P - JPMORGAN INVE 22-2382028	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF SM CO GRTH P - MORGAN STANLEY 13-3799749	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF SMALL CO GRTH I - MORGAN STANL 13-3799749	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MUTUAL GBL DISCVRY A - FRANKLIN TEM 94-3167260	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MUTUAL GLB DISCVRY Z - FRANKLIN TEM 94-3167260	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MUTUAL SHARES Z - FRANKLIN TEMPLETO 94-3167260	0.15%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MUTUAL SHARES A - FRANKLIN TEMPLETO 94-3167260	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB CORE BOND INV - STATE STREET BAN 04-1867445	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB FOCUS TRUST - STATE STREET BANK 04-1867445	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB GENESIS - TR CL - STATE STREET B 04-1867445	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB GUARDIAN TRUST - STATE STREET BA 04-1867445	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB MDCP GRTH TRUST - STATE STREET B 04-1867445	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB PARTNERS TRUST - STATE STREET BA 04-1867445	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NB SOCIALLY RESP TR - STATE STREET 04-1867445	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK EQ & INC I - BOSTON FINANCI 04-2526037	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK FUND I - BOSTON FINANCIAL D 04-2526037	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK SELECT I - BOSTON FINANCIAL 04-2526037	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OLD MUTUAL FOCUSED Z - DST SYSTEMS, 43-1581814	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OLDMUT STRAT SM CO Z - DST SYSTEMS, 43-1581814	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OLDMUT TSW MDCPVL Z - DST SYSTEMS, 43-1581814	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERKINS MID CP VAL I - JANUS SERVIC 43-1804048	0.10%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERKINS MID CP VAL T - JANUS SERVIC 43-1804048	0.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIM GLBBND AD UNHDGD - BOSTON FINAN 04-2526037	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO HIGH YIELD ADM - BOSTON FINAN 04-2526037	0.25%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO LT US GOVT ADM - BOSTON FINAN 04-2526037	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO TOT RETURN ADM - BOSTON FINAN 04-2526037	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RHJ MICRO CAP - DST SYSTEMS, INC. 43-1581814	0.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE LOW PR STK IS - BOSTON FINANC 04-2526037	0.05%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE LOW PR STK SER - BOSTON FINAN 04-2526037	0.45%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RS SMALL CAP GRTH A - BOSTON FINANC 04-2526037	0.55%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW SELECT EQUITY I - US BANCORP FU 39-0281260	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW SELECT EQUITY N - US BANCORP FU 39-0281260	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW SM CAP GRTH I - US BANCORP FUND 39-0281260	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW SM CAP GRTH N - US BANCORP FUND 39-0281260	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TEMPLETON DEV MKTS A - FRANKLIN TEM 94-3167260	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TEMPLETON FOREIGN A - FRANKLIN TEMP 94-3167260	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TEMPLETON FOREIGN AD - FRANKLIN TEM 94-3167260	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TEMPLETON GROWTH A - FRANKLIN TEMPL 94-3167260	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TEMPLETON GROWTH ADV - FRANKLIN TEM 94-3167260	0.15%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TEMPLETON WORLD A - FRANKLIN TEMPLE 94-3167260	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TMPL DEV MKTS ADV - FRANKLIN TEMPLE 94-3167260	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TMPL FRGN SM CO A - FRANKLIN TEMPLE 94-3167260	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TMPL FRGN SM CO ADV - FRANKLIN TEMP 94-3167260	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TMPL GLOBAL BOND A - FRANKLIN TEMPL 94-3167260	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TMPL GLOBAL BOND ADV - FRANKLIN TEM 94-3167260	0.15%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TMPL WORLD FUND ADV - FRANKLIN TEMP 94-3167260	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TRP GROWTH STOCK - T ROWE PRICE INV 52-1184650	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA CORNERSTONE - USAA SHAREHOLDER 74-1664189	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA EMERGING MKTS - USAA SHAREHOLD 74-1664189	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA GNMA TRUST - USAA SHAREHOLDER 74-1664189	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA GROWTH FUND - USAA SHAREHOLDER 74-1664189	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA INCOME FUND - USAA SHAREHOLDER 74-1664189	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA INCOME STOCK - USAA SHAREHOLDE 74-1664189	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA INTERNATIONAL - USAA SHAREHOLD 74-1664189	0.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WA CORE BOND FI - BOSTON FINANCIAL 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WA CORE BOND I - BOSTON FINANCIAL D 04-2526037	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA COMMON STOCK INV - BOSTON FINAN 04-2526037	0.55%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA DISCOVERY INST - BOSTON FINANCI 04-2526037	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA DISCOVERY INV - BOSTON FINANCIA 04-2526037	0.55%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA GOVT SECS INST - BOSTON FINANCI 04-2526037	0.05%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA GOVT SECURTY INV - BOSTON FINAN 04-2526037	0.45%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA GROWTH INST - BOSTON FINANCIAL 04-2526037	0.15%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA GROWTH INV - BOSTON FINANCIAL D 04-2526037	0.55%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA LG CAP GRTH INV - BOSTON FINANC 04-2526037	0.55%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA OPPORTUNITY ADM - BOSTON FINANC 04-2526037	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA OPPORTUNITY INV - BOSTON FINANC 04-2526037	0.55%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA SM CAP VAL INST - BOSTON FINANC 04-2526037	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WFA SM CAP VAL INV - BOSTON FINANCI 04-2526037	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS ENTERPRISE FUND CLASS T SHARE 151 DETROIT ST. DENVER, CO 80206	.34%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HIGH YIELD FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS GLOBAL LIFE SCIENCES FUND CL 151 DETROIT ST. DENVER, CO 80206	.34%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS RESEARCH FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	.34%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI ASSET FUND AAA 3003 SUMMER STREET STAMFORD, CT 06904	.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO TOTAL RETURN CLASS D 1345 AVENUE OF THE AMERICAS, 3RD FL NEW YORK, NY 10105	.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR INTERNATIONAL INVESTOR SHARE 111 S. WACKER DR, 34TH FLOOR CHICAGO, IL 60606	.35%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES BOND RETAIL SHARES 399 BOYLSTON STREET, 8TH FL BOSTON, MA 02116	.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CLIPPER 2949 EAST ELVIRA ROAD, SUITE 101 TUCSON, AZ 85706	.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN MID CAP VALUE 875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	.38%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN INTERNATL INVESTOR CLASS	875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CROFT VALUE FUND	300 WATER STREET BALTIMORE, MD 21202	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARDING LOEVNER EMERGING MRKTS PORT	200 CLAREDON ST. BOSTON, MA 02116	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FMI LARGE CAP FUND 777 E. WISCONSIN AVE MILWAUKEE, WI 53202	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOCQUEVILLE GOLD FUND 40 W 57TH STREET 19TH FL NEW YORK, NY 10019	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FBR FOCUS FUND 1001 NINETEENTH STREET NORTH ARLINGTON, VA 22209	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WEITZ VALUE 1125 SOUTH 103RD STREET OMAHA, NE 68124	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERRITT MICROCAP OPPORTUNITIES P.O. BOX 2369 ST. LEO, FL 33574-2369	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERMANENT PORTFOLIO 600 MONTGOMERY STREET 27TH FLOOR SAN FRANCISCO, CA 94111-2702	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THIRD AVENUE VALUE FUND INVESTOR CL 622 THIRD AVENUE 32 FLOOR NEW YORK, NY 10017	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON SMALL CAP FD 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
KINETICS PARADIGM FUND 777 E. WISCONSIN AVE. 4TH FLOOR MILWAUKEE, WI 53202	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AKRE FOCUS FUND RETAIL 777 E. WISCONSIN AVE. 4TH FL MILWAUKEE, WI 53202	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH 1ST SOURCE INCOME EQUITY FU 150 SOCIAL HALL AVE SUITE 400 SALT LAKE CITY, UT 84111	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ICON ENERGY 5299 DTC BLVD. SUITE 1200 GREENWOOD VILLAGE, CO 80111	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GLOBAL RESOURCES FUND 350 PARK AVE. 9TH FLOOR NEW YORK, NY 10022	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW EMERGING MARKETS INCOME CL N 865 SOUTH FIGUERA STREET, 22ND FLOO LOS ANGELES, CA 90017	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
METROPOLITAN WEST HIGH YIELD BOND C 865 SOUTH FIGUEROA ST., SUITE 2100 LOS ANGELES, CA 90017	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN INTL VALUE FUND INV CL	875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WORLD PRECIOUS MINERALS FUND	350 PARK AVE. 9TH FLOOR NEW YORK, NY 10022	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EASTERN EUROPEAN FUND	350 PARK AVE. 9TH FLOOR NEW YORK, NY 10022	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX NASDAQ 100 INV CLASS 82 DEVONSHIRE ST BOSTON, MA 02210	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE VALUE PLUS SERVICE CLASS 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BUFFALO SMALL CAP GROWTH 615 E. MICHIGAN STREET MILWAUKEE, WI 53202	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH SMALL CAP GROWTH 150 SOCIAL HALL AVE SUITE 400 SALT LAKE CITY, UT 84111	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GUINNESS ATKINSON GLOBAL ENERGY 2401 CEDAR SPRINGS RD DALLAS, TX 75201	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SSGA TUCKERMAN ACTIVE REIT ONE LINCOLN ST.- STATE STREET FINAN BOSTON, MA 02111-2900	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS INDIA FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX INVERSE NASDAQ 1002X CL H 82 DEVONSHIRE ST BOSTON, MA 02210	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ASTON/RIVER RD DIVIDEND ALL CAP N 2800 N. CENTRAL AVENUE STE 900 PHOENIX, AZ 85004	.40%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS CHINA FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE LATIN AMERICA FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	3.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE NEW ASIA 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	3.00

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE CAP APPRECIATION 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	3.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COHEN & STEERS INSTL REALTY SHARES 280 PARK AVENUE, 10TH FLOOR NEW YORK, NY 10017	6.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HUSSMAN STRATEGIC TOTAL RETURN 225 PICTORIA DRIVE, SUITE 450 CINCINNATI, OH 45246	12.00

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SELECTED AMERICAN SHARES CL D 2949 E. ELVIRA RD. TUCSON, AZ 85706	16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
STRATTON SMALL CAP VALUE FUND 610 WEST GERMANTOWN PIKE, SUITE 300 PLYMOUTH MEETING, PA 19462-1050	16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WEITZ PARTNERS 111 OPPORTUNITY FD 1125 SOUTH 103RD STREET OMAHA, NE 68124	16.00

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE SPECIAL EQUITY INVESTMENT CL 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BRANDYWINE 777 E. WISCONSIN AVE MILWAUKEE, WI 53202	16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE GREATER INDIA FUND CLAS 2843 EXECUTIVE PARK DRIVE WESTON, FL 33331	0.10% + 10.00

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST EAGLE GLOBAL CLASS C 1345 AVENUE OF THE AMERICAS 43RD FL NEW YORK, NY 10105	0.10% + 12.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO EMERGING MARKETS BOND CLASS C 1345 AVENUE OF THE AMERICAS, 3RD FL NEW YORK, NY 10105	0.10% + 6.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MUNDER GROWTH OPPORTUNITES CL A 480 PIERCE STREET BIRMINGHAM, MI 48009	0.25% + 12.00

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUT	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GOLD AND PRECIOUS METALS FUND 350 PARK AVE. 9TH FLOOR NEW YORK, NY 10022	0.25% + 16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN;
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN;
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection.

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

B Three-digit plan number (PN) ▶ 003

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
SARGENT AND LUNDY, L.L.C.

D Employer Identification Number (EIN)
36-1729848

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: PYRAMIS INTERMEDIATE DURATION POOL

b Name of sponsor of entity listed in (a): FIDELITY INTERMEDIATE DURATION POOL

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
20-4659715-014	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: PYRAMIS SHORT DURATION POOL

b Name of sponsor of entity listed in (a): FIDELITY INTERMEDIATE DURATION POOL

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
20-4659715-016	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: FIDELITY INTERMEDIATE DURATION POOL

b Name of sponsor of entity listed in (a): FIDELITY MANAGEMENT TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
04-3022712-096	C	162420592

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
SARGENT AND LUNDY, L.L.C.

D Employer Identification Number (EIN)
36-1729848

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	7901873	7808818
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	0	7241
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	24235
(B) Common	1c(4)(B)	7406125	9560462
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	5303570	5989577
(9) Value of interest in common/collective trusts	1c(9)	159292780	162420592
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	275619746	330876632
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	80073	500180

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	455604167	517187737
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j		
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	455604167	517187737

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	11792875	
	(B) Participants	2a(1)(B)	24801229	
	(C) Others (including rollovers)	2a(1)(C)	3451532	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		40045636
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	37910	
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)	466	
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)	240714	
	(F) Other	2b(1)(F)		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		279090
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	2157	
	(B) Common stock	2b(2)(B)	109712	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	7624062	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		7735931
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	21222663	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	20987372	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		235291

			(a) Amount	(b) Total
2b	(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)	647722	
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		647722
	(6) Net investment gain (loss) from common/collective trusts	2b(6)		2886356
	(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
	(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
	(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
	(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		35099098
c	Other income	2c		3701844
d	Total income. Add all **income** amounts in column (b) and enter total	2d		90630968
	Expenses			
e	Benefit payment and payments to provide benefits:			
	(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	28420383	
	(2) To insurance carriers for the provision of benefits	2e(2)		
	(3) Other	2e(3)		
	(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		28420383
f	Corrective distributions (see instructions)	2f		
g	Certain deemed distributions of participant loans (see instructions)	2g		5775
h	Interest expense	2h		
i	Administrative expenses: (1) Professional fees	2i(1)		
	(2) Contract administrator fees	2i(2)		
	(3) Investment advisory and management fees	2i(3)	382268	
	(4) Other	2i(4)	238972	
	(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		621240
j	Total expenses. Add all **expense** amounts in column (b) and enter total	2j		29047398
	Net Income and Reconciliation			
k	Net income (loss). Subtract line 2j from line 2d	2k		61583570
l	Transfers of assets:			
	(1) To this plan	2l(1)		
	(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: CROWE HORWATH **(2)** EIN: 35-0921680

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

		Yes	No	Amount
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		20000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If yes, enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection.

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
SARGENT AND LUNDY LLC SAVINGS INVESTMENT PLAN

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
SARGENT AND LUNDY, L.L.C.

D Employer Identification Number (EIN)
36-1729848

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete items (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2010 and 2009

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2010 and 2009

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Crowe Horwath

Crowe Horwath LLP
Independent Member Crowe Horwath International

REPORT OF INDEPENDENT AUDITORS

To the Plan Administrator of the
Sargent & Lundy, L.L.C.
Savings Investment Plan
Chicago, Illinois

We were engaged to audit the financial statements and supplemental schedule of Sargent & Lundy, L.L.C. Savings Investment Plan as of December 31, 2010 and 2009 and for the year ended December 31, 2010, as listed in the accompanying contents page. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan sponsor instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certification from the trustee as of December 31, 2010 and 2009 and for the year ended December 31, 2010 that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Crowe Horwath LLP
Crowe Horwath LLP

Oak Brook, Illinois
October 5, 2011

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2010 and 2009

	2010	2009
ASSETS		
Investments, at fair value (Note 3)	$ 511,198,160	$ 450,300,598
Receivables		
Notes receivable from participants	5,989,577	5,303,570
Total assets	517,187,737	455,604,168
Net assets reflecting all investments at fair value	517,187,737	455,604,168
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	(3,106,882)	124,751
NET ASSETS AVAILABLE FOR BENEFITS	$ 514,080,855	$ 455,728,919

See accompanying notes to financial statements.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
Year ended December 31, 2010

Additions to net assets attributed to:	
Investment income (Note 3)	
Net appreciation in fair value of investments	$ 35,634,454
Interest and dividends	11,331,201
Total investment income	46,965,655
Contributions	
Employer	11,792,876
Employee	24,801,228
Rollovers	3,451,532
Total contributions	40,045,636
Total additions	87,011,291
Deductions from net assets attributed to:	
Benefits paid to participants	28,420,383
Administrative expenses	238,972
Total deductions	28,659,355
Net increase in net assets available for benefits	58,351,936
Net assets available for benefits	
Beginning of year	455,728,919
End of year	$ 514,080,855

See accompanying notes to financial statements.

NOTE 1 - PLAN DESCRIPTION

The Sargent & Lundy, L.L.C. Savings Investment Plan ("the Plan") is a defined contribution plan covering all eligible employees, as defined, of Sargent & Lundy, L.L.C.; S&L Engineers, Ltd.; Sargent & Lundy Illinois, Incorporated; Sargent & Lundy Enterprises, L.L.C.; Sargent & Lundy Texas, L.L.C.; Sargent & Lundy International, Incorporated; Sargent & Lundy Canada Company; S&L Powers Projects, L.L.C.; and Sargent & Lundy Pacific, Limited (collectively, "the Company"). The Plan is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended. Effective January 1, 2009, the Plan was amended and restated. A further description of the Plan can be obtained from the Plan document.

Contributions: Participants may make pretax and after-tax contributions subject to a combined maximum of the limits as defined in the Plan document. Pretax contributions are subject to the contribution limitations of the Internal Revenue Code ("IRC"). Absent an election, full-time employees who are hired on or after January 1, 2008 will be automatically enrolled in the Plan at a contribution rate of 6% of eligible compensation. The Plan has also adopted a contribution escalation clause beginning April 1, 2009 for full-time participants hired on or after January 1, 2008. This provision assumes that, unless declined, each impacted participant who is contributing less than 6% of compensation to the Plan shall be deemed to have elected to increase his or her deferral percentage by 1% on each April 1, until the rate reaches 6% of compensation.

The Company contributes 50% of the first 6% of base compensation that an eligible participant contributes to the Plan. Additionally, the Company may make a discretionary contribution (non-matching contributions) to active eligible employees participating in the Plan. During 2010, the Company made a discretionary contribution of 3% of base compensation.

Participant Accounts: Each participant's account is credited with the participant's contribution and allocation of (a) the Company's contribution (if eligible), and (b) investment earnings, and charged with his or her withdrawals and any applicable transaction fees. The benefit to which a participant is entitled is the participant's vested account balances.

Notes Receivable from Participants: Participants may obtain loans from plan assets based on individual account balances not to exceed the lesser of $50,000 or one half of their vested balance. Interest is charged at the prime rate quoted by the *Reuters News Service* as of the first day of the month in which the note is approved and is fixed at that rate for the term of the note. Principal and interest payments over a period from 12 to 60 months are due semi-monthly for active full-time employees and monthly for terminated, temporary and part-time employees.

Vesting: Participants are immediately fully vested in participant contributions and fully vested in all employer contributions after one year of service.

Forfeited Accounts: The non-vested portion of terminated participant accounts are forfeited and may be used to reduce future company contributions, reduce plan expenses, or pay for new services. At December 31, 2010 and 2009, forfeited accounts totaled $14,198 and $10,625, respectively. The forfeited accounts were used to reduce plan expenses and to pay for implementing an investment advisory service available to participants by $37,600 during 2010.

(Continued)

NOTE 1 - PLAN DESCRIPTION (Continued)

Investment Options: Employees can choose any investment fund option offered or individual security investments (through a brokerage account option) to allocate their account balances. The Stable Value Fund, arranged through Fidelity Management Trust Company ("Fidelity") specifically for the Company, is composed of fixed rate-type investments, such as individual and commingled guaranteed investment contracts, which are structured to yield a stable positive rate of return. The contributions made by each participant, as well as Company contributions, are allocated to investments based on elections made by the participant or to a default fund if no election is made.

Payment of Benefits: On termination of service, a participant may elect to remain in the Plan or choose from a number of distribution or loan options (some restrictions may apply). These options also apply to the beneficiary of a deceased participant.

Administration of the Plan and Trust: The Plan is administered by the Sargent & Lundy, L.L.C. Savings Investment Plan Committee. The trust created by the Plan is administered by the trustee, Fidelity. Trustee fees, legal expenses, and various other costs incurred in connection with the administration of the Plan may be paid by the Company or from the trust fund.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation: The accompanying financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures, and actual results could differ from those estimates.

Investment Valuation: The Plan's investments are stated at fair value. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Fair value is the price that would be received by the Plan for an asset or paid by the Plan to transfer a liability (an exit price) in an orderly transaction between market participants on the measurement date in the Plan's principal or most advantageous market for the asset or liability. Fair value measurements are determined by maximizing the use of observable inputs and minimizing the use of unobservable inputs. The hierarchy places the highest priority on unadjusted quoted market prices in active markets for identical assets or liabilities (Level 1 measurements) and gives the lowest priority to unobservable inputs (Level 3 measurements). The three levels of inputs within the fair value hierarchy are defined as follows:

> Level 1: Quoted prices (unadjusted) for identical assets or liabilities in active markets that the Plan has the ability to access as of the measurement date.
>
> Level 2: Significant other observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data.
>
> Level 3: Significant unobservable inputs that reflect the Plan's own assumptions about the assumptions that market participants would use in pricing an asset or liability.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

In some cases, a valuation technique used to measure fair value may include inputs from multiple levels of the fair value hierarchy. The lowest level of significant input determines the placement of the entire fair value measurement in the hierarchy.

The following descriptions of the valuation methods and assumptions used by the Plan to estimate the fair values of investments apply to investments held directly by the Plan.

Mutual funds and common stocks: The fair values of mutual fund and common stock investments are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs).

Money market accounts and savings deposit accounts: Fair values are estimated to approximate deposit account balances, payable on demand, as no discounts for credit quality or liquidity were determined to be applicable (Level 2 inputs).

Certificate of deposit accounts: Fair values of the certificates of deposit are tied to underlying market indices and marked-to-market on a quarterly basis (Level 2 inputs).

Wrapper contracts: Fair values of wrapper contracts associated with synthetic investment contracts are based upon a comparison of the cost of the wrappers and current re-bid prices for similar wrapper contracts as of the financial statement date (Level 2 inputs). The values of the wrapper contracts were determined to be $402,350 and $196,537 at December 31, 2010 and 2009, respectively.

Collective trusts: The fair values of participation units held in collective trusts are based on their net asset values, after adjustments to reflect all fund investments at fair value, including direct interests in fully benefit-responsive contracts, as reported by the managers of the collective trusts and as supported by the unit prices of actual purchase and sale transactions occurring as of or close to the financial statement date (Level 2 inputs). The investment objectives and underlying investments of the collective trusts vary, with some holding securities of U.S. government and government agency bonds, non-convertible bonds, mortgage securities, asset-backed securities, commercial mortgage securities, foreign government securities, preferred securities, short-term obligations, collateralized mortgage obligations, fixed income securities, and certificates of deposit. Each collective trust provides for daily redemptions by the Plan at reported net asset values per share, with no advance notice requirement.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2010 Using	
Investments	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds		
Large Cap Growth	$ 40,913,851	$ -
Large Cap Blend	63,495,626	-
Large Cap Value	4,397,786	-
Mid-Cap Growth	12,973,914	-
Mid-Cap Blend	17,969,690	-
Mid-Cap Value	4,972,548	-
Small Cap Growth	3,110,245	-
Small Cap Blend	8,678,693	-
Small Cap Value	1,979,704	-
World	1,849,294	-
Foreign	41,704,768	-
Diversified Emerging Markets	5,901,437	-
Real Estate	4,430,838	-
Various Sector Funds	16,499,513	-
Diversified Pacific/Asia	404,725	-
Europe	612,907	-
Japan	266,580	-
Latin America	5,286,998	-
Pacific/Asia ex-Japan	4,126,404	-
Balanced	7,325,844	-
Long Government Bonds	1,124,609	-
Intermediate Government Bonds	6,171,901	-
Intermediate-Term Bonds	25,024,069	-
High-Yield Bonds	3,975,717	-
International Bonds	5,061,439	-
Long-Term Bonds	470,266	-
Multi-sector Bonds	2,282,066	-
Convertibles	387,740	-
Target dates 2000-2020	13,925,592	-
Target dates 2025-2035	9,537,509	-
Target dates 2040-2050	13,451,801	-
Stable value fund		
Wrapper contracts	-	402,350
Collective trusts	-	162,420,592
Interest-bearing cash	-	4,144,579
Brokerage account		
Mutual funds	2,562,558	-
Common stock	9,560,462	-
Other	129,306	-
Certificate of deposit	-	108,724
Interest-bearing cash	-	3,555,515
	$ 340,566,400	$ 170,631,760

There were no significant transfers between Level 1 and Level 2 during 2010.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2009 Using	
Investments	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds		
Large Cap Growth	$ 38,279,430	$ -
Large Cap Blend	55,920,079	-
Large Cap Value	3,747,711	-
Mid-Cap Growth	10,306,423	-
Mid-Cap Blend	14,155,223	-
Mid-Cap Value	4,163,321	-
Small Cap Growth	1,311,096	-
Small Cap Blend	7,041,389	-
Small Cap Value	1,405,456	-
World	2,273,371	-
Foreign	35,946,043	-
Diversified Emerging Markets	3,548,675	-
Real Estate	3,187,722	-
Various Sector Funds	14,153,317	-
Diversified Pacific/Asia	355,914	-
Europe	682,943	-
Japan	137,545	-
Latin America	5,035,000	-
Pacific/Asia ex-Japan	3,747,335	-
Balanced	7,445,479	-
Long Government Bonds	374,490	-
Intermediate Government Bonds	5,488,961	-
Intermediate-Term Bonds	19,537,489	-
High-Yield Bonds	3,257,592	-
International Bonds	2,653,239	-
Long-Term Bonds	463,868	-
Multi-sector Bonds	1,860,792	-
Convertibles	353,597	-
Target dates 2000-2020	10,955,199	-
Target dates 2025-2035	6,773,675	-
Target dates 2040-2050	8,576,226	-
Stable value fund		
Wrapper contracts	-	196,537
Collective trusts	-	159,096,244
Interest-bearing cash	-	3,644,417
Brokerage account		
Mutual funds	2,481,146	-
Common stock	7,406,125	-
Other	80,073	-
Certificate of deposit	-	797,697
Interest-bearing cash	-	3,459,759
	$ 283,105,944	$ 167,194,654

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Fully Benefit-Responsive Investment Contracts: While Plan investments are presented at fair value in the statement of net assets available for benefits, any material difference between the fair value of the Plan's direct and indirect interests in fully benefit-responsive investment contracts and their contract value is presented as an adjustment line in the statement of net assets available for benefits, because contract value is the relevant measurement attribute for that portion of the Plan's net assets available for benefits. Contract value represents contributions made to a contract, plus earnings, less participant withdraws and administrative expenses. Participants in fully benefit-responsive contracts may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. The Plan holds a direct interest in fully benefit-responsive contracts.

Notes Receivable from Participants: Notes receivable from participants are reported at their unpaid principal balance plus any accrued but unpaid interest, with no allowance for credit losses, as repayments of principal and interest are received through payroll deductions and the notes are collateralized by the participants' account balances.

Payment of Benefits: Benefits are recorded when paid.

Risks and Uncertainties: The Plan provides for various investments that, in general, are exposed to various risks, such as interest rate, credit, liquidity and overall market volatility risks. Due to the level of risk and uncertainty associated with certain investments and the sensitivity of certain fair value estimates to changes in valuation assumptions, it is at least reasonably possible that changes in the fair values of investments will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits and the participants' individual account balances.

Adoption of New Accounting Standard: In September 2010, the FASB amended existing guidance with respect to the reporting of participant loans for defined contribution pension plans. The guidance requires that loans issued to participants be reported as notes receivable, segregated from plan investments, and be measured at their unpaid principal balances plus accrued but unpaid interest. This guidance is effective for reporting periods ending after December 15, 2010, and is to be applied retrospectively to all periods presented comparatively. Early application is permitted. The adoption of this guidance by the Plan resulted in a reclassification from investments to notes receivable from participants of $5,303,570 on the statement of net assets available for benefits as of December 31, 2009. Adoption had no effect on the Plan's net assets available for benefits.

NOTE 3 - UNAUDITED INFORMATION – CERTIFIED INVESTMENTS AND NOTES RECEIVABLE FROM PARTICIPANTS

Substantially all information pertaining to the Plan's investments and notes receivable from participants included in the financial statements, including the associated investment income (loss) and interest earned on notes receivable from participants, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan. This information has not been audited by independent accountants.

(Continued)

NOTE 4 - INVESTMENTS

The following presents the fair value of investments that represent 5% or more of the Plan's net assets available for benefits as of December 31:

	2010	2009
Mutual funds		
Fidelity Magellan Fund	$ 24,596,551*	$ 23,711,767
Vanguard Inst Index	52,395,768	44,760,785
Stable Value Fund ($163,860,639 and $163,091,857, respectively, after contract value adjustment for fully benefit-responsive contracts)		
Wrapper Contracts		
JP Morgan Chase Bank #ASARGENT-2-07	$ 200,381*	$ -
Monumental Life Insurance Company #MDA-00787TR	64,737*	26,711*
State Street Bank & Trust Company of Boston #107038	-	106,655*
AIG Financial Products Corp. #944750	137,232*	63,171*
Wrapped Common/Collective Trusts		
Pyramis Intermediate Duration Pool	-	31,972,832
Pyramis Short Duration Pool	-	54,640,208
Fidelity Intermediate Duration Pool	162,420,592	72,483,204
Interest-bearing cash		
Interest-bearing cash	4,144,579*	3,644,417*
Total Stable Value Fund	$ 166,967,521	$ 162,937,198

* Did not meet the 5% threshold on an individual basis and is presented for comparative and informational purposes only.

During 2010, the Plan's investments (including gains and losses on investments bought and sold, as well as held, during the year) appreciated in value by $35,634,454 as follows:

Mutual funds	$ 34,830,565
Common stocks	803,889
Total	$ 35,634,454

(Continued)

NOTE 5 - FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS WITH INSURANCE COMPANIES

The Stable Value Fund ("Fund") is offered exclusively to the Plan and is comprised of fully benefit-responsive investment contracts offered by insurance companies ("Issuers"). The Fund is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investments at contract value. Contract value represents contributions made under the contracts, plus earnings, less participant withdrawals and administrative expenses. There are no reserves against contract values for credit risk of the contract issuers or otherwise.

The contracts specify certain conditions under which distributions from the contracts would be payable at amounts below contract value. Such circumstances include, but are not limited to, plan termination, plan merger, premature contract termination initiated by the employer, and certain other employer-initiated events, such as a sale of a division, plant closure, or lay-off that results in distributions exceeding a set amount. The contracts limit the circumstances under which the Issuers may terminate the contracts.

Examples of circumstances which would allow the Issuer to terminate the contracts include: the Plan's loss of its qualified status, uncured material breaches of responsibilities or material and adverse changes to the provisions of the Plan. If one of these events were to occur, the Issuers could terminate the contracts at amounts less than contract value. Currently, management believes that the occurrence of an event that would cause the Plan to transact contract distributions at less than contract value is not probable.

The crediting interest rates of the contracts are based on agreed-upon formulas with the issuer, as defined in the contract agreements, but cannot be less than 0%. Such interest rates are reviewed on a quarterly basis for resetting. The key factors that influence future interest crediting rates could include the following: the level of market interest rates; the amount and timing of participant contributions, transfers and withdrawals into/out of the contracts; and the duration of the underlying investments backing the contracts. The resulting gains and losses in the fair value of the investment contracts relative to the contract value, if any, are reflected in the Statements of Net Assets Available for Benefits as Adjustment from fair value to contract value for fully benefit-responsive investment contracts.

	2010	2009
Average yields, in the aggregate for the contracts:		
Based on annualized earnings (1)	2.10%	3.05%
Based on interest rate credited to participants (2)	1.87%	2.24%

(1) Computed by dividing the annualized one-day actual earnings of the contracts on the last day of the plan year by the fair value of the contract investments on the same date.

(2) Computed by dividing the annualized one-day earnings credited to participants on the last day of the plan year by the fair value of the contract investments on the same date.

(Continued)

NOTE 6 - TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated September 11, 2002, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan is designed and currently being operated in compliance with requirement of the IRC. Additionally, the Company has requested a new ruling on the Plan's tax status from the Internal Revenue Service, but has not received a new determination letter.

NOTE 7 - PARTY-IN-INTEREST TRANSACTIONS

Parties-in-interest are defined under the Department of Labor Regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer and certain others.

Certain plan investments are managed by Fidelity Management Trust Company, the Plan's trustee, and are shares of mutual funds offered by Fidelity Investments, an affiliate of the Plan's trustee. Accordingly, these investments qualify as party-in-interest investments.

Participants in the Plan are permitted to borrow funds from their vested balance as described in Note 1. These assets qualify as party-in-interest transactions. Notes receivable from participants were $5,989,577 and $5,303,570 at December 31, 2010 and 2009, respectively.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants continue to be 100% vested in their accounts.

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following are reconciliation of net assets available for benefits and changes to net assets available for benefits per the financial statements to the Form 5500:

	December 31,	
	2010	2009
Net assets available for benefits per financial statements	$ 514,080,855	$ 455,728,919
Adjustment from contract value to fair value for fully benefit-responsive investment contracts (Note 2)	3,106,882	(124,751)
Rounding	-	(1)
	-	(124,752)
Net assets per the Form 5500	$ 517,187,737	$ 455,604,167

(Continued)

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500 (Continued)

	Year Ended December 31, 2010
Increase in net assets available for benefits per the the financial statements	$ 58,351,936
Prior year change in the difference between contract value and estimated fair value of investments in the Stable Value Fund	3,231,633
Rounding	1
Net income per the Form 5500	$ 61,583,570

NOTE 10 - SUBSEQUENT EVENTS

Plan management has evaluated subsequent events for recognition and disclosure through October 5, 2011, which is the date the financial statements were available to be issued.

SUPPLEMENTAL SCHEDULE

SARGENT & LUNDY, L.L.C. SAVINGS INVESTMENT PLAN
SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2010

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds		
*	Fidelity Investments	FID INTM Government Income Fund	**	$ 773,654
*	Fidelity Investments	FID Emerging Europe, Middle East, and Africa	**	70,916
*	Fidelity Investments	FID Trend Fund	**	67,875
*	Fidelity Investments	FID SEL Computers Fund	**	145,697
*	Fidelity Investments	FID SEL Electronics Fund	**	71,200
*	Fidelity Investments	FID SEL Cons Staples Fund	**	497,881
*	Fidelity Investments	FID Ginnie Mae Fund	**	1,327,965
*	Fidelity Investments	Fidelity Magellan Fund	**	24,596,551
*	Fidelity Investments	FID Contrafund Fund	**	5,475,188
*	Fidelity Investments	FID Equity Income Fund	**	203,442
*	Fidelity Investments	FID Growth Company Fund	**	2,146,465
*	Fidelity Investments	Fidelity INVST GR BD Fund	**	473,732
*	Fidelity Investments	FID Growth and Income Fund	**	195,028
*	Fidelity Investments	FID SEL Software Fund	**	273,940
*	Fidelity Investments	FID Intermed Bond Fund	**	341,798
*	Fidelity Investments	FID SEL Air Transprt Fund	**	265,690
*	Fidelity Investments	FID Capital and Income Fund	**	2,327,151
*	Fidelity Investments	FID Value Fund	**	1,546,286
*	Fidelity Investments	FID SEL Gold Fund	**	3,924,522
*	Fidelity Investments	FID SEL Biotech Fund	**	294,273
*	Fidelity Investments	FID SEL Energy Svcs Fund	**	1,776,811
*	Fidelity Investments	FID SEL Insurance Fund	**	34,112
*	Fidelity Investments	FID SEL Retailing Fund	**	66,932
*	Fidelity Investments	FID Govt. Income Fund	**	1,616,610
*	Fidelity Investments	FID SEL Energy Fund	**	2,350,225
*	Fidelity Investments	FID SEL Leisure Fund	**	264,212
*	Fidelity Investments	FID SEL Healthcare Fund	**	559,611
*	Fidelity Investments	FID SEL Technology Fund	**	684,745
*	Fidelity Investments	FID SEL Utilities GR Fund	**	433,907
*	Fidelity Investments	FID SEL Financial Fund	**	304,884
*	Fidelity Investments	FID SEL Defense Fund	**	782,303
*	Fidelity Investments	FID SEL Brokerage Fund	**	212,223
*	Fidelity Investments	FID SEL Chemical Fund	**	665,551
*	Fidelity Investments	FID Independence Fund	**	511,303
*	Fidelity Investments	FID OTC Portfolio Fund	**	292,155
*	Fidelity Investments	FID Overseas Fund	**	113,772
*	Fidelity Investments	FID SEL Telecomm Fund	**	60,199
*	Fidelity Investments	FID SEL Home Finance Fund	**	16,636
*	Fidelity Investments	FID Leveraged Co. Stk Fund	**	4,214,850
*	Fidelity Investments	FID Europe Fund	**	214,788
*	Fidelity Investments	FID Pacific Basin Fund	**	404,725
*	Fidelity Investments	FID Real Estate Invs Fund	**	1,419,102
*	Fidelity Investments	FID Balanced Fund	**	2,346,436
*	Fidelity Investments	FID Intl Discovery Fund	**	712,771
*	Fidelity Investments	FID Telecom & Utilities Fund	**	434,660

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Convertible Sec Fund	**	$ 387,740
*	Fidelity Investments	FID Canada Fund	**	4,172,527
*	Fidelity Investments	FID Puritan Fund K	**	399,587
*	Fidelity Investments	FID Blue Chip Growth Fund	**	1,134,585
*	Fidelity Investments	FID Asset Manager 50% Fund	**	134,701
*	Fidelity Investments	FID Disciplined Eqty Fund	**	6,449
*	Fidelity Investments.	FID Value Strategy Fund	**	64,671
*	Fidelity Investments.	FID Worldwide Fund	**	178,023
*	Fidelity Investments	FID Equity Income II Fund	**	131,111
*	Fidelity Investments	FID Stock Selector Fund	**	41,971
*	Fidelity Investments	FID Asset Mgr 70% Fund	**	116,875
*	Fidelity Investments	Fidelity Emerg Mkts Fund	**	2,124,648
*	Fidelity Investments	FID Diversified Intl Fund	**	15,834,410
*	Fidelity Investments	FID Asset Mgr 20% Fund	**	188,035
*	Fidelity Investments	FID Dividend Growth Fund	**	727,829
*	Fidelity Investments	FID New Markets Inc. Fund	**	1,907,357
*	Fidelity Investments	FID Focused Stock Fund	**	112,204
*	Fidelity Investments	FID Global Balanced Fund	**	102,444
*	Fidelity Investments	FID Intl Cap Appreciation Fund	**	371,454
*	Fidelity Investments	FID Sm Cap Independ Fund	**	159,017
*	Fidelity Investments	FID Mid Cap Stock Fund	**	1,232,598
*	Fidelity Investments	FID Large Cap Stock Fund	**	312,342
*	Fidelity Investments	FID Growth Discovery Fund	**	205,009
*	Fidelity Investments	FID Small Cap Stock Fund	**	1,068,724
*	Fidelity Investments	FID Europe Cap App Fund	**	143,573
*	Fidelity Investments	Fidelity Nordic Fund	**	254,546
*	Fidelity Investments	FID Asset Mgr 85% Fund	**	79,282
*	Fidelity Investments	FID Latin America Fund	**	5,286,998
*	Fidelity Investments	FID Japan Fund	**	67,474
*	Fidelity Investments	FID Southeast Asia Fund	**	1,354,017
*	Fidelity Investments	FID China Region Fund	**	2,772,387
*	Fidelity Investments	FID SEL IT Services Fund	**	15,759
*	Fidelity Investments	FID SEL Med Eq and Sys Fund	**	856,524
*	Fidelity Investments	FID Freedom 2035 Fund	**	8,485
*	Fidelity Investments	FID Japan Smaller Co Fund	**	199,106
*	Fidelity Investments	FID Mega Cap Stock Fund	**	9,863
*	Fidelity Investments	FID Strategic Income Fund	**	1,106,522
*	Fidelity Investments	FID Small Cap Discovery	**	266,515
*	Fidelity Investments	FID Freedom Income Fund	**	12,499
*	Fidelity Investments	FID Freedom 2000 Fund	**	22,392
*	Fidelity Investments	FID Freedom 2010 Fund	**	243,773
*	Fidelity Investments	FID Freedom 2015 Fund	**	122,255
*	Fidelity Investments.	FID Freedom 2020 Fund	**	422,652
*	Fidelity Investments	FID Freedom 2025 Fund	**	41,565
*	Fidelity Investments	FID Freedom 2030 Fund	**	299,869
*	Fidelity Investments	FID Freedom 2040 Fund	**	43,333

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Freedom 2050 Fund	**	$ 55,916
*	Fidelity Investments	FID High Income Fund	**	461,822
*	Fidelity Investments	FID Fifty Fund	**	513,310
*	Fidelity Investments	FID SEL Automotive Fund	**	348,191
*	Fidelity Investments	FID SEL Multimedia Fund	**	97,525
*	Fidelity Investments	FID SEL Medical Del Fund	**	309,316
*	Fidelity Investments	FID SEL Banking Fund	**	329,839
*	Fidelity Investments	FID Intl Value	**	4,430
*	Fidelity Investments	FID SEL Constr/House Fund	**	40,770
*	Fidelity Investments	FID SEL Transport Fund	**	629,959
*	Fidelity Investments	FID SEL Natural Gas Fund	**	636,401
*	Fidelity Investments	FID SEL Natural Res Fund	**	1,319,817
*	Fidelity Investments.	FID SEL Intl Sm Cap Opp	**	63,154
*	Fidelity Investments	FID SEL Environment Fund	**	48,752
*	Fidelity Investments	FID SEL Consumer Discr Fund	**	129,881
*	Fidelity Investments	FID SEL Comm Equip Fund	**	190,403
*	Fidelity Investments.	FID SEL Pharmaceutical Fund	**	161,505
*	Fidelity Investments	Fidelity Retire Mkt Fund	**	59,493
*	Fidelity Investments	Fidelity U.S. BD Index Fund	**	2,020,580
*	Fidelity Investments	FID Inflat Prot Bond Fund	**	1,565,405
*	Fidelity Investments	FID SEL Wireless Fund	**	183,471
*	Fidelity Investments	FID Freedom 2005 Fund	**	5,579
	The Vanguard Group	Vanguard Target Ret 2030 Fund	**	2,972,479
	The Vanguard Group	Vanguard Target Ret 2040 Fund	**	3,261,908
	The Vanguard Group	Vanguard Target Ret 2050 Fund	**	5,507,162
	Janus Funds	Janus Balanced Fund	**	412,480
	Janus Funds	Janus Enterprise Fund	**	345,514
*	Fidelity Investments	FID Freedom 2045 Fund	**	20,430
*	Fidelity Investments	FID Focused High Inc Fund	**	65,633
*	Fidelity Investments	FID Real Estate Inc Fund	**	170,695
*	Fidelity Investments	FID Value Discovery	**	203,790
*	Fidelity Investments	FID Blue Chip Value	**	221,222
*	Fidelity Investments	FID NASDAQ Comp Indx	**	108,053
*	Fidelity Investments	FID Strat Div & Inc	**	107,897
*	Fidelity Investments	FID Intl Real Estate	**	416,408
*	Fidelity Investments	FID Small Cap Growth	**	386,996
*	Fidelity Investments	FID Small Cap Value	**	250,470
*	Fidelity Investments	FID Large Cap Value	**	246,486
*	Fidelity Investments	FID Mid Cap Value	**	50,206
*	Fidelity Investments	FID Large Cap Growth	**	283,169
*	Fidelity Investments	FID Mid Cap Growth	**	50,519
*	Fidelity Investments	FID Float Rt Hi Inc	**	141,315
*	Fidelity Investments	FID Total Bond	**	137,285
*	Fidelity Investments	FID Growth Strategies	**	5,417,263
*	Fidelity Investments	FID Mortgage Sec	**	31,628
*	Fidelity Investments	FID Four in One Idx	**	77,849

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID SEL Materials	**	$ 804,951
*	Fidelity Investments	FID SEL Industrial Equip	**	85,043
*	Fidelity Investments	FID SEL Industrials	**	246,563
	The Vanguard Group	Vanguard LS Growth Fund	**	621,010
	The Vanguard Group	Vanguard LS Income Fund	**	410,893
	The Vanguard Group	Vanguard LS Conservative Growth Fund	**	676,904
	The Vanguard Group	Vanguard LS Moderate Growth Fund	**	1,302,879
	The Vanguard Group	Vanguard Explorer Adm Fund	**	1,111,725
	The Vanguard Group	Vanguard Target Ret Inc Fund	**	470,386
	The Vanguard Group	Vanguard Target Ret 2005 Fund	**	642,716
	The Vanguard Group	Vanguard Target Ret 2015 Fund	**	5,078,940
	The Vanguard Group	Vanguard Target Ret 2025 Fund	**	3,697,728
	The Vanguard Group	Vanguard Target Ret 2035 Fund	**	2,517,383
	The Vanguard Group	Vanguard Target Ret 2045 Fund	**	4,563,052
	The Vanguard Group	Vanguard Target Ret 2010 Fund	**	2,852,847
	The Vanguard Group	Vanguard Target Ret 2020 Fund	**	4,521,939
	The Vanguard Group	Vanguard Infl Prot Adm Fund	**	452,937
	Franklin Templeton Investments	Mutual Global Discovery Z Fund	**	635,422
	Franklin Templeton Investments	Mutual Shares CL Z Fund	**	306,204
	PIMCO Global Advisors	PIM Tot Return Inst Fund	**	21,683,487
	PIMCO Global Advisors	PIM Glbbnd Ad Unhdgd Fund	**	1,415,120
	PIMCO Global Advisors	PIMCO High Yield Adm Fund	**	979,796
	PIMCO Global Advisors	PIMCO Lt U.S. Govt Adm Fund	**	1,124,609
	Janus Funds	Janus Flexible Bond Fund	**	722,607
	Janus Funds	Janus Fund	**	275,876
	Janus Funds	Janus Twenty Fund	**	855,209
	Janus Funds	Janus Worldwide Fund	**	269,525
	Janus Funds	Janus Overseas I Fund	**	3,072,363
	Janus Funds	Janus Adv Forty S Fund	**	482,539
	Janus Funds	Janus Research Fund	**	229,263
	Janus Funds	Perkins Small Cap Vl Is	**	3,536,291
	AIM Investments	AIM Dynamics Inv Fund	**	65,761
	AIM Investments	AIM Sm Cap Grth Inv Fund	**	22,059
	AIM Investments	AIM Constellation A Fund	**	24,498
	AIM Investments	AIM Charter Inst Fund	**	42,039
	AIM Investments	AIM Basic Value A Fund	**	35,263
	AIM Investments	AIM Midcap Core Eq I Fund	**	279,441
	AIM Investments	AIM Divsf Divd Inv Fund	**	150,750
	AIM Investments	AIM Basic Balanced A	**	5,483
	AIM Investments	AIM Large Cap Grth A	**	19,399
	AIM Investments	AIM Gbl Sm&Mdcp Gr A	**	72,955
	Dreyfus	Drey Fndrs Eq Growth Fund	**	34,308
	Dreyfus	Drey Mid-Cap Growth I	**	131,126
	Dreyfus	Drey Lt Growth & Income Inv	**	17,739
	Franklin Templeton Investments	Templeton Foreign Sm Co A Fund	**	301,567
	Franklin Templeton Investments	Templeton Foreign A Fund	**	128,793

(Continued)

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		Mutual Funds (Continued)		
	Franklin Templeton Investments	Templeton Dev Mkts A Fund	**	$ 1,056,002
	Franklin Templeton Investments	Templeton Growth A Fund	**	436,037
	Franklin Templeton Investments	Templeton Global Bond A Fund	**	3,589,271
	Franklin Templeton Investments	Templeton World A Fund	**	173,621
	Morgan Stanley Investment Mgmt	MSI Sm Co Growth P Fund	**	47,501
	Morgan Stanley Investment Mgmt	MSIF Emerging Mkts P Fund	**	445,956
	Morgan Stanley Investment Mgmt	MSI Intl Equity P Fund	**	19,264
	Neuberger Berman	NB Focus Trust Fund	**	32,491
	Neuberger Berman	NB Genesis – INST CL	**	1,228,170
	Neuberger Berman	NB MDCP Grth Trust	**	90
	Spartan	Spartan Total Mkt Index Fund	**	2,316,189
	Spartan	Spartan Extnd Mkt Index Fund	**	7,705,106
	Spartan	Spartan Intl Index Fund	**	16,348,703
	American Century Investments	Am Cent Ultra Inv Fund	**	50,948
	American Century Investments	Am Cen Lg Co Val Inv Fund	**	127,524
	American Century Investments	Am Cent Sm Comp Inv Fund	**	189,672
	Archer Funds	ABF Balanced PA Fund	**	170,813
	Archer Funds	ABF Large Cap Val INST	**	870,504
	Archer Funds	ABF Intl Equity PA Fund	**	123,193
	Baron Funds	Baron Growth Fund	**	2,059,505
	Baron Funds	Baron Asset Fund	**	339,947
	Calvert	Calvert SIF Balanced A Fund	**	500
	Calvert	Calvert SIF Equity A	**	2,464
	Calvert	Calvert Cap Acc A	**	6,221
	Calvert	Calvert Intl Equity A	**	384
	First Pacific Advisors	FPA Crescent Fund	**	1,585,588
	Rice Hall James	RHJ Micro Cap Fund	**	127,350
	Ariel Mutual Funds	Ariel Fund	**	718,001
	Ariel Mutual Funds	Ariel Appreciation Fund	**	402,389
	Lehman Brothers	LB Core Bond NB Inv Fund	**	1,276
	Alger	Alger Cap Apprec I Fund	**	450,371
	Alger	Alger Midcap Growth I Fund	**	599,732
	Alger	Alger Small Cap I Fund	**	158,188
	Franklin Templeton Investments	Fkln SMMIDCAP Growth A Fund	**	64,053
	Morgan Stanley Investment Mgmt	MSIF Capital Growth P	**	43,705
	Morgan Stanley Investment Mgmt	MSIF Balanced P Fund	**	2,859
	Morgan Stanley Investment Mgmt	MSI Active Intl P Fund	**	15,280
	Morgan Stanley Investment Mgmt	MSIF CP FX Inc P Fund	**	49,476
	Morgan Stanley Investment Mgmt	MSIF Midcap Growth I Fund	**	1,551,427
	Morgan Stanley Investment Mgmt	MSIF Value P Fund	**	31,039
	AIM Investments	AIM Large Cap Rel Val P	**	2,270
	Domini Social Investors	Domini Social Equity Fund	**	52,166
	T. Rowe Price	TRP Growth Stock Fund	**	1,395,321
	DWS Scudder	DWS International S Fund	**	30,006

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
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		Mutual Funds (Continued)		
	DWS Scudder	DWS Global Small Cap Growth S Fund	**	$ 83,711
	DWS Scudder	DWS Grth and Income S Fund	**	986
	DWS Scudder	DWS Small Cap Growth S Fund	**	25,576
	TCW Funds	TCW Sm Cap Growth N Fund	**	61,178
	Neuberger Berman	NB Partners Trust Fund	**	395,293
	Neuberger Berman	NB Socially Resp Tr Fund	**	10,718
	Oakmark Funds	Oakmark Select I Fund	**	532,133
	Oakmark Funds	Oakmark Fund I Fund	**	205,562
	Oakmark Funds	Oakmark Eq & Inc I Fund	**	2,305,137
	Managers Investment Group	Managers C Capital Appreciation Fund	**	82,190
	Managers Investment Group	Managers Mid Cap Value Fund	**	201,559
	Allianz Global Investors	Allnz NFJ SMCPVAL AD Fund	**	1,584,245
	Cohen & Steers Capital Mgmt.	Cohen & Steers Rlty Fund Shs	**	2,424,633
	Wells Fargo Advantage Funds	WFA Discovery Inv Fund	**	63,949
	Wells Fargo Advantage Funds	WFA Growth Inv Fund	**	255,612
	Wells Fargo Advantage Funds	WFA Common Stock Z Fund	**	121,930
	Wells Fargo Advantage Funds	WFA Opportunity Inv Fund	**	125,998
	Wells Fargo Advantage Funds	WFA Lg Cap Growth Inv Fund	**	15,453
	Wells Fargo Advantage Funds	WFA Govt Security Inv Fund	**	658,789
	Wells Fargo Advantage Funds	WFA Small Cap Val Inv	**	1,954,369
	USAA Investments	USAA Cornerstone Fund	**	4,332
	USAA Investments	USAA GNMA Trust Fund	**	138,357
	USAA Investments	USAA Income Fund	**	307,194
	USAA Investments	USAA Income Stock Fund	**	28,656
	USAA Investments	USAA International Fund	**	95,520
	USAA Investments	USAA Growth Fund	**	5,263
	USAA Investments	USAA Emerging Mkts Fund	**	296,558
	Credit Suisse Funds	CS Large Cap Blend II Fund	**	115,532
	American Funds	AF Washington Mutl A Fund	**	1,613,132
	Artisan Funds	Artisan Intl Fund	**	276,398
	Artisan Funds	Artisan Mid Cap Inv Fund	**	429,360
	Managers Investment Group	Managers Special Eq Fund	**	147,847
	Managers Investment Group	Managers Bond Fund	**	470,266
	RS Funds	RS Smaller Co Growth A Fund	**	44,340
	Lord Abbett	LD Abbett MIDCPVAL A Fund	**	221,383
	Legg Mason	LM Value Trust FI CL Fund	**	336,321
	Aberdeen Asset Management	ABDN Global Fx Inc IS	**	57,048
	Royce Funds	Royce Low Pr Stk Inv Fund	**	694,845
	Old Mutual Capital	Oldmut TSW MidCap Value Z	**	17,862
	Old Mutual Capital	Oldmut Strat Small Co Z	**	4,955
	Old Mutual Capital	Oldmut Focused Z	**	94,189
	Van Kampen Investments	VK Growth & Income A Fund	**	396,574

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
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		Mutual Funds (Continued)		
	Loomis Sayles funds	Loomis Sm Cap Value I Fund	**	$ 59,582
	West Asset	Core Bond FI Fund	**	10,517
*	Fidelity Investments	Fidelity New Millenium	**	13,389
*	Fidelity Investments	Fidelity Large Cap Core Enhanced Index	**	6,074
*	Fidelity Investments	Fidelity Large Cap Value Enhanced Index	**	49,737
*	Fidelity Investments	Fidelity Asset Manager 30%	**	37,228
*	Fidelity Investments	Fidelity Asset Manager 60%	**	2,025
*	Fidelity Investments	Fidelity Dynamic Strategies	**	9,640
*	Fidelity Investments	Fidelity Intl Growth	**	20,779
*	Fidelity Investments	Fidelity Small Cap Enhanced Index	**	14,218
*	Fidelity Investments	Fidelity Mid Cap Enhanced Index	**	9,370
	Janus Funds	Perkins Mid Cap Value	**	2,106,433
	Archer Funds	ABF Small Cap Value	**	395,459
	The Vanguard Group	Vanguard Inst Index	**	52,395,768
	Neuberger Berman	NB Guardian Trust	**	356
	John Hancock	JH Small Company I	**	229,350
	TCW Funds	TCW Select Equity N	**	46,215
	DWS Scudder	DWS Strategic Value IS	**	24,833
*	Fidelity Investments	FID 130/30 Large Cap	**	50,554
*	Fidelity Investments	FID Cap Appreciation	**	569,246
*	Fidelity Investments	FID Export & Multi K	**	384,749
*	Fidelity Investments	FID Fund K	**	477,618
*	Fidelity Investments	FID Low Priced Stock K	**	2,579,167
				328,314,074
		Brokerage Account		
*	Fidelity Mgmt. Trust Co.	Brokerage Account	**	15,916,565
		Stable Value Fund		
	Wrapper Contracts			
	Monumental Life Insurance Co.	Wrapper contract #MDA00379TR, Rate of 4.725%	**	64,737
	AIG Financial Products Corp.	Wrapper contract #944750, Rate of 4.725%	**	137,232
	JP Morgan Chase Bank	Wrapper contract #ASARGENT-2-07 Rate of 4.725%	**	200,381
	State Street Bank & Trust Company of Boston	Wrapper contract #107038, Rate of 4.725%	**	-
	Collective Trusts			
*	Fidelity Mgmt. Trust Co.	CT - Fidelity Intermediate Duration Pool	**	162,420,592

(Continued)

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		Stable Value Fund (Continued)		
	Interest Bearing Cash			
*	Fidelity Mgmt. Trust Co.	Interest-bearing cash	**	$ 4,144,579
				166,967,521
		Participant Loans		
*	Participant Loans	Interest rates ranging from 3.25% to 9.50%	**	5,989,577
				$ 517,187,737

* Denotes party-in-interest.
** Cost information is not required for participant-directed investments and, therefore, is not included.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2010 and 2009

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2010 and 2009

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Crowe Horwath

Crowe Horwath LLP
Independent Member Crowe Horwath International

REPORT OF INDEPENDENT AUDITORS

To the Plan Administrator of the
Sargent & Lundy, L.L.C.
Savings Investment Plan
Chicago, Illinois

We were engaged to audit the financial statements and supplemental schedule of Sargent & Lundy, L.L.C. Savings Investment Plan as of December 31, 2010 and 2009 and for the year ended December 31, 2010, as listed in the accompanying contents page. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan sponsor instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certification from the trustee as of December 31, 2010 and 2009 and for the year ended December 31, 2010 that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Crowe Horwath LLP
Crowe Horwath LLP

Oak Brook, Illinois
October 5, 2011

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2010 and 2009

	2010	2009
ASSETS		
Investments, at fair value (Note 3)	$ 511,198,160	$ 450,300,598
Receivables		
Notes receivable from participants	5,989,577	5,303,570
Total assets	517,187,737	455,604,168
Net assets reflecting all investments at fair value	517,187,737	455,604,168
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	(3,106,882)	124,751
NET ASSETS AVAILABLE FOR BENEFITS	$ 514,080,855	$ 455,728,919

See accompanying notes to financial statements.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
Year ended December 31, 2010

Additions to net assets attributed to:	
Investment income (Note 3)	
Net appreciation in fair value of investments	$ 35,634,454
Interest and dividends	11,331,201
Total investment income	46,965,655
Contributions	
Employer	11,792,876
Employee	24,801,228
Rollovers	3,451,532
Total contributions	40,045,636
Total additions	87,011,291
Deductions from net assets attributed to:	
Benefits paid to participants	28,420,383
Administrative expenses	238,972
Total deductions	28,659,355
Net increase in net assets available for benefits	58,351,936
Net assets available for benefits	
Beginning of year	455,728,919
End of year	$ 514,080,855

See accompanying notes to financial statements.

NOTE 1 - PLAN DESCRIPTION

The Sargent & Lundy, L.L.C. Savings Investment Plan ("the Plan") is a defined contribution plan covering all eligible employees, as defined, of Sargent & Lundy, L.L.C.; S&L Engineers, Ltd.; Sargent & Lundy Illinois, Incorporated; Sargent & Lundy Enterprises, L.L.C.; Sargent & Lundy Texas, L.L.C.; Sargent & Lundy International, Incorporated; Sargent & Lundy Canada Company; S&L Powers Projects, L.L.C.; and Sargent & Lundy Pacific, Limited (collectively, "the Company"). The Plan is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended. Effective January 1, 2009, the Plan was amended and restated. A further description of the Plan can be obtained from the Plan document.

Contributions: Participants may make pretax and after-tax contributions subject to a combined maximum of the limits as defined in the Plan document. Pretax contributions are subject to the contribution limitations of the Internal Revenue Code ("IRC"). Absent an election, full-time employees who are hired on or after January 1, 2008 will be automatically enrolled in the Plan at a contribution rate of 6% of eligible compensation. The Plan has also adopted a contribution escalation clause beginning April 1, 2009 for full-time participants hired on or after January 1, 2008. This provision assumes that, unless declined, each impacted participant who is contributing less than 6% of compensation to the Plan shall be deemed to have elected to increase his or her deferral percentage by 1% on each April 1, until the rate reaches 6% of compensation.

The Company contributes 50% of the first 6% of base compensation that an eligible participant contributes to the Plan. Additionally, the Company may make a discretionary contribution (non-matching contributions) to active eligible employees participating in the Plan. During 2010, the Company made a discretionary contribution of 3% of base compensation.

Participant Accounts: Each participant's account is credited with the participant's contribution and allocation of (a) the Company's contribution (if eligible), and (b) investment earnings, and charged with his or her withdrawals and any applicable transaction fees. The benefit to which a participant is entitled is the participant's vested account balances.

Notes Receivable from Participants: Participants may obtain loans from plan assets based on individual account balances not to exceed the lesser of $50,000 or one half of their vested balance. Interest is charged at the prime rate quoted by the *Reuters News Service* as of the first day of the month in which the note is approved and is fixed at that rate for the term of the note. Principal and interest payments over a period from 12 to 60 months are due semi-monthly for active full-time employees and monthly for terminated, temporary and part-time employees.

Vesting: Participants are immediately fully vested in participant contributions and fully vested in all employer contributions after one year of service.

Forfeited Accounts: The non-vested portion of terminated participant accounts are forfeited and may be used to reduce future company contributions, reduce plan expenses, or pay for new services. At December 31, 2010 and 2009, forfeited accounts totaled $14,198 and $10,625, respectively. The forfeited accounts were used to reduce plan expenses and to pay for implementing an investment advisory service available to participants by $37,600 during 2010.

(Continued)

NOTE 1 - PLAN DESCRIPTION (Continued)

Investment Options: Employees can choose any investment fund option offered or individual security investments (through a brokerage account option) to allocate their account balances. The Stable Value Fund, arranged through Fidelity Management Trust Company ("Fidelity") specifically for the Company, is composed of fixed rate-type investments, such as individual and commingled guaranteed investment contracts, which are structured to yield a stable positive rate of return. The contributions made by each participant, as well as Company contributions, are allocated to investments based on elections made by the participant or to a default fund if no election is made.

Payment of Benefits: On termination of service, a participant may elect to remain in the Plan or choose from a number of distribution or loan options (some restrictions may apply). These options also apply to the beneficiary of a deceased participant.

Administration of the Plan and Trust: The Plan is administered by the Sargent & Lundy, L.L.C. Savings Investment Plan Committee. The trust created by the Plan is administered by the trustee, Fidelity. Trustee fees, legal expenses, and various other costs incurred in connection with the administration of the Plan may be paid by the Company or from the trust fund.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation: The accompanying financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures, and actual results could differ from those estimates.

Investment Valuation: The Plan's investments are stated at fair value. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Fair value is the price that would be received by the Plan for an asset or paid by the Plan to transfer a liability (an exit price) in an orderly transaction between market participants on the measurement date in the Plan's principal or most advantageous market for the asset or liability. Fair value measurements are determined by maximizing the use of observable inputs and minimizing the use of unobservable inputs. The hierarchy places the highest priority on unadjusted quoted market prices in active markets for identical assets or liabilities (Level 1 measurements) and gives the lowest priority to unobservable inputs (Level 3 measurements). The three levels of inputs within the fair value hierarchy are defined as follows:

> Level 1: Quoted prices (unadjusted) for identical assets or liabilities in active markets that the Plan has the ability to access as of the measurement date.
>
> Level 2: Significant other observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data.
>
> Level 3: Significant unobservable inputs that reflect the Plan's own assumptions about the assumptions that market participants would use in pricing an asset or liability.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

In some cases, a valuation technique used to measure fair value may include inputs from multiple levels of the fair value hierarchy. The lowest level of significant input determines the placement of the entire fair value measurement in the hierarchy.

The following descriptions of the valuation methods and assumptions used by the Plan to estimate the fair values of investments apply to investments held directly by the Plan.

Mutual funds and common stocks: The fair values of mutual fund and common stock investments are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs).

Money market accounts and savings deposit accounts: Fair values are estimated to approximate deposit account balances, payable on demand, as no discounts for credit quality or liquidity were determined to be applicable (Level 2 inputs).

Certificate of deposit accounts: Fair values of the certificates of deposit are tied to underlying market indices and marked-to-market on a quarterly basis (Level 2 inputs).

Wrapper contracts: Fair values of wrapper contracts associated with synthetic investment contracts are based upon a comparison of the cost of the wrappers and current re-bid prices for similar wrapper contracts as of the financial statement date (Level 2 inputs). The values of the wrapper contracts were determined to be $402,350 and $196,537 at December 31, 2010 and 2009, respectively.

Collective trusts: The fair values of participation units held in collective trusts are based on their net asset values, after adjustments to reflect all fund investments at fair value, including direct interests in fully benefit-responsive contracts, as reported by the managers of the collective trusts and as supported by the unit prices of actual purchase and sale transactions occurring as of or close to the financial statement date (Level 2 inputs). The investment objectives and underlying investments of the collective trusts vary, with some holding securities of U.S. government and government agency bonds, non-convertible bonds, mortgage securities, asset-backed securities, commercial mortgage securities, foreign government securities, preferred securities, short-term obligations, collateralized mortgage obligations, fixed income securities, and certificates of deposit. Each collective trust provides for daily redemptions by the Plan at reported net asset values per share, with no advance notice requirement.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2010 Using	
Investments	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds		
Large Cap Growth	$ 40,913,851	$ -
Large Cap Blend	63,495,626	-
Large Cap Value	4,397,786	-
Mid-Cap Growth	12,973,914	-
Mid-Cap Blend	17,969,690	-
Mid-Cap Value	4,972,548	-
Small Cap Growth	3,110,245	-
Small Cap Blend	8,678,693	-
Small Cap Value	1,979,704	-
World	1,849,294	-
Foreign	41,704,768	-
Diversified Emerging Markets	5,901,437	-
Real Estate	4,430,838	-
Various Sector Funds	16,499,513	-
Diversified Pacific/Asia	404,725	-
Europe	612,907	-
Japan	266,580	-
Latin America	5,286,998	-
Pacific/Asia ex-Japan	4,126,404	-
Balanced	7,325,844	-
Long Government Bonds	1,124,609	-
Intermediate Government Bonds	6,171,901	-
Intermediate-Term Bonds	25,024,069	-
High-Yield Bonds	3,975,717	-
International Bonds	5,061,439	-
Long-Term Bonds	470,266	-
Multi-sector Bonds	2,282,066	-
Convertibles	387,740	-
Target dates 2000-2020	13,925,592	-
Target dates 2025-2035	9,537,509	-
Target dates 2040-2050	13,451,801	-
Stable value fund		
Wrapper contracts	-	402,350
Collective trusts	-	162,420,592
Interest-bearing cash	-	4,144,579
Brokerage account		
Mutual funds	2,562,558	-
Common stock	9,560,462	-
Other	129,306	-
Certificate of deposit	-	108,724
Interest-bearing cash	-	3,555,515
	$ 340,566,400	$ 170,631,760

There were no significant transfers between Level 1 and Level 2 during 2010.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2009 Using	
Investments	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds		
Large Cap Growth	$ 38,279,430	$ -
Large Cap Blend	55,920,079	-
Large Cap Value	3,747,711	-
Mid-Cap Growth	10,306,423	-
Mid-Cap Blend	14,155,223	-
Mid-Cap Value	4,163,321	-
Small Cap Growth	1,311,096	-
Small Cap Blend	7,041,389	-
Small Cap Value	1,405,456	-
World	2,273,371	-
Foreign	35,946,043	-
Diversified Emerging Markets	3,548,675	-
Real Estate	3,187,722	-
Various Sector Funds	14,153,317	-
Diversified Pacific/Asia	355,914	-
Europe	682,943	-
Japan	137,545	-
Latin America	5,035,000	-
Pacific/Asia ex-Japan	3,747,335	-
Balanced	7,445,479	-
Long Government Bonds	374,490	-
Intermediate Government Bonds	5,488,961	-
Intermediate-Term Bonds	19,537,489	-
High-Yield Bonds	3,257,592	-
International Bonds	2,653,239	-
Long-Term Bonds	463,868	-
Multi-sector Bonds	1,860,792	-
Convertibles	353,597	-
Target dates 2000-2020	10,955,199	-
Target dates 2025-2035	6,773,675	-
Target dates 2040-2050	8,576,226	-
Stable value fund		
Wrapper contracts	-	196,537
Collective trusts	-	159,096,244
Interest-bearing cash	-	3,644,417
Brokerage account		
Mutual funds	2,481,146	-
Common stock	7,406,125	-
Other	80,073	-
Certificate of deposit	-	797,697
Interest-bearing cash	-	3,459,759
	$ 283,105,944	$ 167,194,654

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Fully Benefit-Responsive Investment Contracts: While Plan investments are presented at fair value in the statement of net assets available for benefits, any material difference between the fair value of the Plan's direct and indirect interests in fully benefit-responsive investment contracts and their contract value is presented as an adjustment line in the statement of net assets available for benefits, because contract value is the relevant measurement attribute for that portion of the Plan's net assets available for benefits. Contract value represents contributions made to a contract, plus earnings, less participant withdraws and administrative expenses. Participants in fully benefit-responsive contracts may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. The Plan holds a direct interest in fully benefit-responsive contracts.

Notes Receivable from Participants: Notes receivable from participants are reported at their unpaid principal balance plus any accrued but unpaid interest, with no allowance for credit losses, as repayments of principal and interest are received through payroll deductions and the notes are collateralized by the participants' account balances.

Payment of Benefits: Benefits are recorded when paid.

Risks and Uncertainties: The Plan provides for various investments that, in general, are exposed to various risks, such as interest rate, credit, liquidity and overall market volatility risks. Due to the level of risk and uncertainty associated with certain investments and the sensitivity of certain fair value estimates to changes in valuation assumptions, it is at least reasonably possible that changes in the fair values of investments will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits and the participants' individual account balances.

Adoption of New Accounting Standard: In September 2010, the FASB amended existing guidance with respect to the reporting of participant loans for defined contribution pension plans. The guidance requires that loans issued to participants be reported as notes receivable, segregated from plan investments, and be measured at their unpaid principal balances plus accrued but unpaid interest. This guidance is effective for reporting periods ending after December 15, 2010, and is to be applied retrospectively to all periods presented comparatively. Early application is permitted. The adoption of this guidance by the Plan resulted in a reclassification from investments to notes receivable from participants of $5,303,570 on the statement of net assets available for benefits as of December 31, 2009. Adoption had no effect on the Plan's net assets available for benefits.

NOTE 3 - UNAUDITED INFORMATION – CERTIFIED INVESTMENTS AND NOTES RECEIVABLE FROM PARTICIPANTS

Substantially all information pertaining to the Plan's investments and notes receivable from participants included in the financial statements, including the associated investment income (loss) and interest earned on notes receivable from participants, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan. This information has not been audited by independent accountants.

(Continued)

NOTE 4 - INVESTMENTS

The following presents the fair value of investments that represent 5% or more of the Plan's net assets available for benefits as of December 31:

	2010	2009
Mutual funds		
Fidelity Magellan Fund	$ 24,596,551*	$ 23,711,767
Vanguard Inst Index	52,395,768	44,760,785
Stable Value Fund ($163,860,639 and $163,091,857, respectively, after contract value adjustment for fully benefit-responsive contracts)		
Wrapper Contracts		
JP Morgan Chase Bank #ASARGENT-2-07	$ 200,381*	$ -
Monumental Life Insurance Company #MDA-00787TR	64,737*	26,711*
State Street Bank & Trust Company of Boston #107038	-	106,655*
AIG Financial Products Corp. #944750	137,232*	63,171*
Wrapped Common/Collective Trusts		
Pyramis Intermediate Duration Pool	-	31,972,832
Pyramis Short Duration Pool	-	54,640,208
Fidelity Intermediate Duration Pool	162,420,592	72,483,204
Interest-bearing cash		
Interest-bearing cash	4,144,579*	3,644,417*
Total Stable Value Fund	$ 166,967,521	$ 162,937,198

* Did not meet the 5% threshold on an individual basis and is presented for comparative and informational purposes only.

During 2010, the Plan's investments (including gains and losses on investments bought and sold, as well as held, during the year) appreciated in value by $35,634,454 as follows:

Mutual funds	$ 34,830,565
Common stocks	803,889
Total	$ 35,634,454

(Continued)

NOTE 5 - FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS WITH INSURANCE COMPANIES

The Stable Value Fund ("Fund") is offered exclusively to the Plan and is comprised of fully benefit-responsive investment contracts offered by insurance companies ("Issuers"). The Fund is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investments at contract value. Contract value represents contributions made under the contracts, plus earnings, less participant withdrawals and administrative expenses. There are no reserves against contract values for credit risk of the contract issuers or otherwise.

The contracts specify certain conditions under which distributions from the contracts would be payable at amounts below contract value. Such circumstances include, but are not limited to, plan termination, plan merger, premature contract termination initiated by the employer, and certain other employer-initiated events, such as a sale of a division, plant closure, or lay-off that results in distributions exceeding a set amount. The contracts limit the circumstances under which the Issuers may terminate the contracts.

Examples of circumstances which would allow the Issuer to terminate the contracts include: the Plan's loss of its qualified status, uncured material breaches of responsibilities or material and adverse changes to the provisions of the Plan. If one of these events were to occur, the Issuers could terminate the contracts at amounts less than contract value. Currently, management believes that the occurrence of an event that would cause the Plan to transact contract distributions at less than contract value is not probable.

The crediting interest rates of the contracts are based on agreed-upon formulas with the issuer, as defined in the contract agreements, but cannot be less than 0%. Such interest rates are reviewed on a quarterly basis for resetting. The key factors that influence future interest crediting rates could include the following: the level of market interest rates; the amount and timing of participant contributions, transfers and withdrawals into/out of the contracts; and the duration of the underlying investments backing the contracts. The resulting gains and losses in the fair value of the investment contracts relative to the contract value, if any, are reflected in the Statements of Net Assets Available for Benefits as Adjustment from fair value to contract value for fully benefit-responsive investment contracts.

	2010	2009
Average yields, in the aggregate for the contracts:		
Based on annualized earnings (1)	2.10%	3.05%
Based on interest rate credited to participants (2)	1.87%	2.24%

(1) Computed by dividing the annualized one-day actual earnings of the contracts on the last day of the plan year by the fair value of the contract investments on the same date.

(2) Computed by dividing the annualized one-day earnings credited to participants on the last day of the plan year by the fair value of the contract investments on the same date.

(Continued)

NOTE 6 - TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated September 11, 2002, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan is designed and currently being operated in compliance with requirement of the IRC. Additionally, the Company has requested a new ruling on the Plan's tax status from the Internal Revenue Service, but has not received a new determination letter.

NOTE 7 - PARTY-IN-INTEREST TRANSACTIONS

Parties-in-interest are defined under the Department of Labor Regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer and certain others.

Certain plan investments are managed by Fidelity Management Trust Company, the Plan's trustee, and are shares of mutual funds offered by Fidelity Investments, an affiliate of the Plan's trustee. Accordingly, these investments qualify as party-in-interest investments.

Participants in the Plan are permitted to borrow funds from their vested balance as described in Note 1. These assets qualify as party-in-interest transactions. Notes receivable from participants were $5,989,577 and $5,303,570 at December 31, 2010 and 2009, respectively.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants continue to be 100% vested in their accounts.

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following are reconciliation of net assets available for benefits and changes to net assets available for benefits per the financial statements to the Form 5500:

	December 31,	
	2010	2009
Net assets available for benefits per financial statements	$ 514,080,855	$ 455,728,919
Adjustment from contract value to fair value for fully benefit-responsive investment contracts (Note 2)	3,106,882	(124,751)
Rounding	-	(1)
	-	(124,752)
Net assets per the Form 5500	$ 517,187,737	$ 455,604,167

(Continued)

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500 (Continued)

	Year Ended December 31, 2010
Increase in net assets available for benefits per the the financial statements	$ 58,351,936
Prior year change in the difference between contract value and estimated fair value of investments in the Stable Value Fund	3,231,633
Rounding	1
Net income per the Form 5500	$ 61,583,570

NOTE 10 - SUBSEQUENT EVENTS

Plan management has evaluated subsequent events for recognition and disclosure through October 5, 2011, which is the date the financial statements were available to be issued.

SUPPLEMENTAL SCHEDULE

SARGENT & LUNDY, L.L.C. SAVINGS INVESTMENT PLAN
SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2010

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds		
*	Fidelity Investments	FID INTM Government Income Fund	**	$ 773,654
*	Fidelity Investments	FID Emerging Europe, Middle East, and Africa	**	70,916
*	Fidelity Investments	FID Trend Fund	**	67,875
*	Fidelity Investments	FID SEL Computers Fund	**	145,697
*	Fidelity Investments	FID SEL Electronics Fund	**	71,200
*	Fidelity Investments	FID SEL Cons Staples Fund	**	497,881
*	Fidelity Investments	FID Ginnie Mae Fund	**	1,327,965
*	Fidelity Investments	Fidelity Magellan Fund	**	24,596,551
*	Fidelity Investments	FID Contrafund Fund	**	5,475,188
*	Fidelity Investments	FID Equity Income Fund	**	203,442
*	Fidelity Investments	FID Growth Company Fund	**	2,146,465
*	Fidelity Investments	Fidelity INVST GR BD Fund	**	473,732
*	Fidelity Investments	FID Growth and Income Fund	**	195,028
*	Fidelity Investments	FID SEL Software Fund	**	273,940
*	Fidelity Investments	FID Intermed Bond Fund	**	341,798
*	Fidelity Investments	FID SEL Air Transprt Fund	**	265,690
*	Fidelity Investments	FID Capital and Income Fund	**	2,327,151
*	Fidelity Investments	FID Value Fund	**	1,546,286
*	Fidelity Investments	FID SEL Gold Fund	**	3,924,522
*	Fidelity Investments	FID SEL Biotech Fund	**	294,273
*	Fidelity Investments	FID SEL Energy Svcs Fund	**	1,776,811
*	Fidelity Investments	FID SEL Insurance Fund	**	34,112
*	Fidelity Investments	FID SEL Retailing Fund	**	66,932
*	Fidelity Investments	FID Govt. Income Fund	**	1,616,610
*	Fidelity Investments	FID SEL Energy Fund	**	2,350,225
*	Fidelity Investments	FID SEL Leisure Fund	**	264,212
*	Fidelity Investments	FID SEL Healthcare Fund	**	559,611
*	Fidelity Investments	FID SEL Technology Fund	**	684,745
*	Fidelity Investments	FID SEL Utilities GR Fund	**	433,907
*	Fidelity Investments	FID SEL Financial Fund	**	304,884
*	Fidelity Investments	FID SEL Defense Fund	**	782,303
*	Fidelity Investments	FID SEL Brokerage Fund	**	212,223
*	Fidelity Investments	FID SEL Chemical Fund	**	665,551
*	Fidelity Investments	FID Independence Fund	**	511,303
*	Fidelity Investments	FID OTC Portfolio Fund	**	292,155
*	Fidelity Investments	FID Overseas Fund	**	113,772
*	Fidelity Investments	FID SEL Telecomm Fund	**	60,199
*	Fidelity Investments	FID SEL Home Finance Fund	**	16,636
*	Fidelity Investments	FID Leveraged Co. Stk Fund	**	4,214,850
*	Fidelity Investments	FID Europe Fund	**	214,788
*	Fidelity Investments	FID Pacific Basin Fund	**	404,725
*	Fidelity Investments	FID Real Estate Invs Fund	**	1,419,102
*	Fidelity Investments	FID Balanced Fund	**	2,346,436
*	Fidelity Investments	FID Intl Discovery Fund	**	712,771
*	Fidelity Investments	FID Telecom & Utilities Fund	**	434,660

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Convertible Sec Fund	**	$ 387,740
*	Fidelity Investments	FID Canada Fund	**	4,172,527
*	Fidelity Investments	FID Puritan Fund K	**	399,587
*	Fidelity Investments	FID Blue Chip Growth Fund	**	1,134,585
*	Fidelity Investments	FID Asset Manager 50% Fund	**	134,701
*	Fidelity Investments	FID Disciplined Eqty Fund	**	6,449
*	Fidelity Investments.	FID Value Strategy Fund	**	64,671
*	Fidelity Investments.	FID Worldwide Fund	**	178,023
*	Fidelity Investments	FID Equity Income II Fund	**	131,111
*	Fidelity Investments	FID Stock Selector Fund	**	41,971
*	Fidelity Investments	FID Asset Mgr 70% Fund	**	116,875
*	Fidelity Investments	Fidelity Emerg Mkts Fund	**	2,124,648
*	Fidelity Investments	FID Diversified Intl Fund	**	15,834,410
*	Fidelity Investments	FID Asset Mgr 20% Fund	**	188,035
*	Fidelity Investments	FID Dividend Growth Fund	**	727,829
*	Fidelity Investments	FID New Markets Inc. Fund	**	1,907,357
*	Fidelity Investments	FID Focused Stock Fund	**	112,204
*	Fidelity Investments	FID Global Balanced Fund	**	102,444
*	Fidelity Investments	FID Intl Cap Appreciation Fund	**	371,454
*	Fidelity Investments	FID Sm Cap Independ Fund	**	159,017
*	Fidelity Investments	FID Mid Cap Stock Fund	**	1,232,598
*	Fidelity Investments	FID Large Cap Stock Fund	**	312,342
*	Fidelity Investments	FID Growth Discovery Fund	**	205,009
*	Fidelity Investments	FID Small Cap Stock Fund	**	1,068,724
*	Fidelity Investments	FID Europe Cap App Fund	**	143,573
*	Fidelity Investments	Fidelity Nordic Fund	**	254,546
*	Fidelity Investments	FID Asset Mgr 85% Fund	**	79,282
*	Fidelity Investments	FID Latin America Fund	**	5,286,998
*	Fidelity Investments	FID Japan Fund	**	67,474
*	Fidelity Investments	FID Southeast Asia Fund	**	1,354,017
*	Fidelity Investments	FID China Region Fund	**	2,772,387
*	Fidelity Investments	FID SEL IT Services Fund	**	15,759
*	Fidelity Investments	FID SEL Med Eq and Sys Fund	**	856,524
*	Fidelity Investments	FID Freedom 2035 Fund	**	8,485
*	Fidelity Investments	FID Japan Smaller Co Fund	**	199,106
*	Fidelity Investments	FID Mega Cap Stock Fund	**	9,863
*	Fidelity Investments	FID Strategic Income Fund	**	1,106,522
*	Fidelity Investments	FID Small Cap Discovery	**	266,515
*	Fidelity Investments	FID Freedom Income Fund	**	12,499
*	Fidelity Investments	FID Freedom 2000 Fund	**	22,392
*	Fidelity Investments	FID Freedom 2010 Fund	**	243,773
*	Fidelity Investments	FID Freedom 2015 Fund	**	122,255
*	Fidelity Investments.	FID Freedom 2020 Fund	**	422,652
*	Fidelity Investments	FID Freedom 2025 Fund	**	41,565
*	Fidelity Investments	FID Freedom 2030 Fund	**	299,869
*	Fidelity Investments	FID Freedom 2040 Fund	**	43,333

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Freedom 2050 Fund	**	$ 55,916
*	Fidelity Investments	FID High Income Fund	**	461,822
*	Fidelity Investments	FID Fifty Fund	**	513,310
*	Fidelity Investments	FID SEL Automotive Fund	**	348,191
*	Fidelity Investments	FID SEL Multimedia Fund	**	97,525
*	Fidelity Investments	FID SEL Medical Del Fund	**	309,316
*	Fidelity Investments	FID SEL Banking Fund	**	329,839
*	Fidelity Investments	FID Intl Value	**	4,430
*	Fidelity Investments	FID SEL Constr/House Fund	**	40,770
*	Fidelity Investments	FID SEL Transport Fund	**	629,959
*	Fidelity Investments	FID SEL Natural Gas Fund	**	636,401
*	Fidelity Investments	FID SEL Natural Res Fund	**	1,319,817
*	Fidelity Investments.	FID SEL Intl Sm Cap Opp	**	63,154
*	Fidelity Investments	FID SEL Environment Fund	**	48,752
*	Fidelity Investments	FID SEL Consumer Discr Fund	**	129,881
*	Fidelity Investments	FID SEL Comm Equip Fund	**	190,403
*	Fidelity Investments.	FID SEL Pharmaceutical Fund	**	161,505
*	Fidelity Investments	Fidelity Retire Mkt Fund	**	59,493
*	Fidelity Investments	Fidelity U.S. BD Index Fund	**	2,020,580
*	Fidelity Investments	FID Inflat Prot Bond Fund	**	1,565,405
*	Fidelity Investments	FID SEL Wireless Fund	**	183,471
*	Fidelity Investments	FID Freedom 2005 Fund	**	5,579
	The Vanguard Group	Vanguard Target Ret 2030 Fund	**	2,972,479
	The Vanguard Group	Vanguard Target Ret 2040 Fund	**	3,261,908
	The Vanguard Group	Vanguard Target Ret 2050 Fund	**	5,507,162
	Janus Funds	Janus Balanced Fund	**	412,480
	Janus Funds	Janus Enterprise Fund	**	345,514
*	Fidelity Investments	FID Freedom 2045 Fund	**	20,430
*	Fidelity Investments	FID Focused High Inc Fund	**	65,633
*	Fidelity Investments	FID Real Estate Inc Fund	**	170,695
*	Fidelity Investments	FID Value Discovery	**	203,790
*	Fidelity Investments	FID Blue Chip Value	**	221,222
*	Fidelity Investments	FID NASDAQ Comp Indx	**	108,053
*	Fidelity Investments	FID Strat Div & Inc	**	107,897
*	Fidelity Investments	FID Intl Real Estate	**	416,408
*	Fidelity Investments	FID Small Cap Growth	**	386,996
*	Fidelity Investments	FID Small Cap Value	**	250,470
*	Fidelity Investments	FID Large Cap Value	**	246,486
*	Fidelity Investments	FID Mid Cap Value	**	50,206
*	Fidelity Investments	FID Large Cap Growth	**	283,169
*	Fidelity Investments	FID Mid Cap Growth	**	50,519
*	Fidelity Investments	FID Float Rt Hi Inc	**	141,315
*	Fidelity Investments	FID Total Bond	**	137,285
*	Fidelity Investments	FID Growth Strategies	**	5,417,263
*	Fidelity Investments	FID Mortgage Sec	**	31,628
*	Fidelity Investments	FID Four in One Idx	**	77,849

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID SEL Materials	**	$ 804,951
*	Fidelity Investments	FID SEL Industrial Equip	**	85,043
*	Fidelity Investments	FID SEL Industrials	**	246,563
	The Vanguard Group	Vanguard LS Growth Fund	**	621,010
	The Vanguard Group	Vanguard LS Income Fund	**	410,893
	The Vanguard Group	Vanguard LS Conservative Growth Fund	**	676,904
	The Vanguard Group	Vanguard LS Moderate Growth Fund	**	1,302,879
	The Vanguard Group	Vanguard Explorer Adm Fund	**	1,111,725
	The Vanguard Group	Vanguard Target Ret Inc Fund	**	470,386
	The Vanguard Group	Vanguard Target Ret 2005 Fund	**	642,716
	The Vanguard Group	Vanguard Target Ret 2015 Fund	**	5,078,940
	The Vanguard Group	Vanguard Target Ret 2025 Fund	**	3,697,728
	The Vanguard Group	Vanguard Target Ret 2035 Fund	**	2,517,383
	The Vanguard Group	Vanguard Target Ret 2045 Fund	**	4,563,052
	The Vanguard Group	Vanguard Target Ret 2010 Fund	**	2,852,847
	The Vanguard Group	Vanguard Target Ret 2020 Fund	**	4,521,939
	The Vanguard Group	Vanguard Infl Prot Adm Fund	**	452,937
	Franklin Templeton Investments	Mutual Global Discovery Z Fund	**	635,422
	Franklin Templeton Investments	Mutual Shares CL Z Fund	**	306,204
	PIMCO Global Advisors	PIM Tot Return Inst Fund	**	21,683,487
	PIMCO Global Advisors	PIM Glbbnd Ad Unhdgd Fund	**	1,415,120
	PIMCO Global Advisors	PIMCO High Yield Adm Fund	**	979,796
	PIMCO Global Advisors	PIMCO Lt U.S. Govt Adm Fund	**	1,124,609
	Janus Funds	Janus Flexible Bond Fund	**	722,607
	Janus Funds	Janus Fund	**	275,876
	Janus Funds	Janus Twenty Fund	**	855,209
	Janus Funds	Janus Worldwide Fund	**	269,525
	Janus Funds	Janus Overseas I Fund	**	3,072,363
	Janus Funds	Janus Adv Forty S Fund	**	482,539
	Janus Funds	Janus Research Fund	**	229,263
	Janus Funds	Perkins Small Cap Vl Is	**	3,536,291
	AIM Investments	AIM Dynamics Inv Fund	**	65,761
	AIM Investments	AIM Sm Cap Grth Inv Fund	**	22,059
	AIM Investments	AIM Constellation A Fund	**	24,498
	AIM Investments	AIM Charter Inst Fund	**	42,039
	AIM Investments	AIM Basic Value A Fund	**	35,263
	AIM Investments	AIM Midcap Core Eq I Fund	**	279,441
	AIM Investments	AIM Divsf Divd Inv Fund	**	150,750
	AIM Investments	AIM Basic Balanced A	**	5,483
	AIM Investments	AIM Large Cap Grth A	**	19,399
	AIM Investments	AIM Gbl Sm&Mdcp Gr A	**	72,955
	Dreyfus	Drey Fndrs Eq Growth Fund	**	34,308
	Dreyfus	Drey Mid-Cap Growth I	**	131,126
	Dreyfus	Drey Lt Growth & Income Inv	**	17,739
	Franklin Templeton Investments	Templeton Foreign Sm Co A Fund	**	301,567
	Franklin Templeton Investments	Templeton Foreign A Fund	**	128,793

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
	Franklin Templeton Investments	Templeton Dev Mkts A Fund	**	$ 1,056,002
	Franklin Templeton Investments	Templeton Growth A Fund	**	436,037
	Franklin Templeton Investments	Templeton Global Bond A Fund	**	3,589,271
	Franklin Templeton Investments	Templeton World A Fund	**	173,621
	Morgan Stanley Investment Mgmt	MSI Sm Co Growth P Fund	**	47,501
	Morgan Stanley Investment Mgmt	MSIF Emerging Mkts P Fund	**	445,956
	Morgan Stanley Investment Mgmt	MSI Intl Equity P Fund	**	19,264
	Neuberger Berman	NB Focus Trust Fund	**	32,491
	Neuberger Berman	NB Genesis – INST CL	**	1,228,170
	Neuberger Berman	NB MDCP Grth Trust	**	90
	Spartan	Spartan Total Mkt Index Fund	**	2,316,189
	Spartan	Spartan Extnd Mkt Index Fund	**	7,705,106
	Spartan	Spartan Intl Index Fund	**	16,348,703
	American Century Investments	Am Cent Ultra Inv Fund	**	50,948
	American Century Investments	Am Cen Lg Co Val Inv Fund	**	127,524
	American Century Investments	Am Cent Sm Comp Inv Fund	**	189,672
	Archer Funds	ABF Balanced PA Fund	**	170,813
	Archer Funds	ABF Large Cap Val INST	**	870,504
	Archer Funds	ABF Intl Equity PA Fund	**	123,193
	Baron Funds	Baron Growth Fund	**	2,059,505
	Baron Funds	Baron Asset Fund	**	339,947
	Calvert	Calvert SIF Balanced A Fund	**	500
	Calvert	Calvert SIF Equity A	**	2,464
	Calvert	Calvert Cap Acc A	**	6,221
	Calvert	Calvert Intl Equity A	**	384
	First Pacific Advisors	FPA Crescent Fund	**	1,585,588
	Rice Hall James	RHJ Micro Cap Fund	**	127,350
	Ariel Mutual Funds	Ariel Fund	**	718,001
	Ariel Mutual Funds	Ariel Appreciation Fund	**	402,389
	Lehman Brothers	LB Core Bond NB Inv Fund	**	1,276
	Alger	Alger Cap Apprec I Fund	**	450,371
	Alger	Alger Midcap Growth I Fund	**	599,732
	Alger	Alger Small Cap I Fund	**	158,188
	Franklin Templeton Investments	Fkln SMMIDCAP Growth A Fund	**	64,053
	Morgan Stanley Investment Mgmt	MSIF Capital Growth P	**	43,705
	Morgan Stanley Investment Mgmt	MSIF Balanced P Fund	**	2,859
	Morgan Stanley Investment Mgmt	MSI Active Intl P Fund	**	15,280
	Morgan Stanley Investment Mgmt	MSIF CP FX Inc P Fund	**	49,476
	Morgan Stanley Investment Mgmt	MSIF Midcap Growth I Fund	**	1,551,427
	Morgan Stanley Investment Mgmt	MSIF Value P Fund	**	31,039
	AIM Investments	AIM Large Cap Rel Val P	**	2,270
	Domini Social Investors	Domini Social Equity Fund	**	52,166
	T. Rowe Price	TRP Growth Stock Fund	**	1,395,321
	DWS Scudder	DWS International S Fund	**	30,006

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
	DWS Scudder	DWS Global Small Cap Growth S Fund	**	$ 83,711
	DWS Scudder	DWS Grth and Income S Fund	**	986
	DWS Scudder	DWS Small Cap Growth S Fund	**	25,576
	TCW Funds	TCW Sm Cap Growth N Fund	**	61,178
	Neuberger Berman	NB Partners Trust Fund	**	395,293
	Neuberger Berman	NB Socially Resp Tr Fund	**	10,718
	Oakmark Funds	Oakmark Select I Fund	**	532,133
	Oakmark Funds	Oakmark Fund I Fund	**	205,562
	Oakmark Funds	Oakmark Eq & Inc I Fund	**	2,305,137
	Managers Investment Group	Managers C Capital Appreciation Fund	**	82,190
	Managers Investment Group	Managers Mid Cap Value Fund	**	201,559
	Allianz Global Investors	Allnz NFJ SMCPVAL AD Fund	**	1,584,245
	Cohen & Steers Capital Mgmt.	Cohen & Steers Rlty Fund Shs	**	2,424,633
	Wells Fargo Advantage Funds	WFA Discovery Inv Fund	**	63,949
	Wells Fargo Advantage Funds	WFA Growth Inv Fund	**	255,612
	Wells Fargo Advantage Funds	WFA Common Stock Z Fund	**	121,930
	Wells Fargo Advantage Funds	WFA Opportunity Inv Fund	**	125,998
	Wells Fargo Advantage Funds	WFA Lg Cap Growth Inv Fund	**	15,453
	Wells Fargo Advantage Funds	WFA Govt Security Inv Fund	**	658,789
	Wells Fargo Advantage Funds	WFA Small Cap Val Inv	**	1,954,369
	USAA Investments	USAA Cornerstone Fund	**	4,332
	USAA Investments	USAA GNMA Trust Fund	**	138,357
	USAA Investments	USAA Income Fund	**	307,194
	USAA Investments	USAA Income Stock Fund	**	28,656
	USAA Investments	USAA International Fund	**	95,520
	USAA Investments	USAA Growth Fund	**	5,263
	USAA Investments	USAA Emerging Mkts Fund	**	296,558
	Credit Suisse Funds	CS Large Cap Blend II Fund	**	115,532
	American Funds	AF Washington Mutl A Fund	**	1,613,132
	Artisan Funds	Artisan Intl Fund	**	276,398
	Artisan Funds	Artisan Mid Cap Inv Fund	**	429,360
	Managers Investment Group	Managers Special Eq Fund	**	147,847
	Managers Investment Group	Managers Bond Fund	**	470,266
	RS Funds	RS Smaller Co Growth A Fund	**	44,340
	Lord Abbett	LD Abbett MIDCPVAL A Fund	**	221,383
	Legg Mason	LM Value Trust FI CL Fund	**	336,321
	Aberdeen Asset Management	ABDN Global Fx Inc IS	**	57,048
	Royce Funds	Royce Low Pr Stk Inv Fund	**	694,845
	Old Mutual Capital	Oldmut TSW MidCap Value Z	**	17,862
	Old Mutual Capital	Oldmut Strat Small Co Z	**	4,955
	Old Mutual Capital	Oldmut Focused Z	**	94,189
	Van Kampen Investments	VK Growth & Income A Fund	**	396,574

(Continued)

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		Mutual Funds (Continued)		
	Loomis Sayles funds	Loomis Sm Cap Value I Fund	**	$ 59,582
	West Asset	Core Bond FI Fund	**	10,517
*	Fidelity Investments	Fidelity New Millenium	**	13,389
*	Fidelity Investments	Fidelity Large Cap Core Enhanced Index	**	6,074
*	Fidelity Investments	Fidelity Large Cap Value Enhanced Index	**	49,737
*	Fidelity Investments	Fidelity Asset Manager 30%	**	37,228
*	Fidelity Investments	Fidelity Asset Manager 60%	**	2,025
*	Fidelity Investments	Fidelity Dynamic Strategies	**	9,640
*	Fidelity Investments	Fidelity Intl Growth	**	20,779
*	Fidelity Investments	Fidelity Small Cap Enhanced Index	**	14,218
*	Fidelity Investments	Fidelity Mid Cap Enhanced Index	**	9,370
	Janus Funds	Perkins Mid Cap Value	**	2,106,433
	Archer Funds	ABF Small Cap Value	**	395,459
	The Vanguard Group	Vanguard Inst Index	**	52,395,768
	Neuberger Berman	NB Guardian Trust	**	356
	John Hancock	JH Small Company I	**	229,350
	TCW Funds	TCW Select Equity N	**	46,215
	DWS Scudder	DWS Strategic Value IS	**	24,833
*	Fidelity Investments	FID 130/30 Large Cap	**	50,554
*	Fidelity Investments	FID Cap Appreciation	**	569,246
*	Fidelity Investments	FID Export & Multi K	**	384,749
*	Fidelity Investments	FID Fund K	**	477,618
*	Fidelity Investments	FID Low Priced Stock K	**	2,579,167
				328,314,074
		Brokerage Account		
*	Fidelity Mgmt. Trust Co.	Brokerage Account	**	15,916,565
		Stable Value Fund		
	Wrapper Contracts			
	Monumental Life Insurance Co.	Wrapper contract #MDA00379TR, Rate of 4.725%	**	64,737
	AIG Financial Products Corp.	Wrapper contract #944750, Rate of 4.725%	**	137,232
	JP Morgan Chase Bank	Wrapper contract #ASARGENT-2-07 Rate of 4.725%	**	200,381
	State Street Bank & Trust Company of Boston	Wrapper contract #107038, Rate of 4.725%	**	-
	Collective Trusts			
*	Fidelity Mgmt. Trust Co.	CT - Fidelity Intermediate Duration Pool	**	162,420,592

(Continued)

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		Stable Value Fund (Continued)		
	Interest Bearing Cash			
*	Fidelity Mgmt. Trust Co.	Interest-bearing cash	**	$ 4,144,579
				166,967,521
		Participant Loans		
*	Participant Loans	Interest rates ranging from 3.25% to 9.50%	**	5,989,577
				$ 517,187,737

* Denotes party-in-interest.
** Cost information is not required for participant-directed investments and, therefore, is not included.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2010 and 2009

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
Chicago, Illinois

FINANCIAL STATEMENTS
December 31, 2010 and 2009

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Crowe Horwath

Crowe Horwath LLP
Independent Member Crowe Horwath International

REPORT OF INDEPENDENT AUDITORS

To the Plan Administrator of the
Sargent & Lundy, L.L.C.
Savings Investment Plan
Chicago, Illinois

We were engaged to audit the financial statements and supplemental schedule of Sargent & Lundy, L.L.C. Savings Investment Plan as of December 31, 2010 and 2009 and for the year ended December 31, 2010, as listed in the accompanying contents page. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan sponsor instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certification from the trustee as of December 31, 2010 and 2009 and for the year ended December 31, 2010 that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Crowe Horwath LLP
Crowe Horwath LLP

Oak Brook, Illinois
October 5, 2011

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2010 and 2009

	2010	2009
ASSETS		
Investments, at fair value (Note 3)	$ 511,198,160	$ 450,300,598
Receivables		
Notes receivable from participants	5,989,577	5,303,570
Total assets	517,187,737	455,604,168
Net assets reflecting all investments at fair value	517,187,737	455,604,168
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	(3,106,882)	124,751
NET ASSETS AVAILABLE FOR BENEFITS	$ 514,080,855	$ 455,728,919

See accompanying notes to financial statements.

SARGENT & LUNDY, L.L.C.
SAVINGS INVESTMENT PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
Year ended December 31, 2010

Additions to net assets attributed to:	
Investment income (Note 3)	
Net appreciation in fair value of investments	$ 35,634,454
Interest and dividends	11,331,201
Total investment income	46,965,655
Contributions	
Employer	11,792,876
Employee	24,801,228
Rollovers	3,451,532
Total contributions	40,045,636
Total additions	87,011,291
Deductions from net assets attributed to:	
Benefits paid to participants	28,420,383
Administrative expenses	238,972
Total deductions	28,659,355
Net increase in net assets available for benefits	58,351,936
Net assets available for benefits	
Beginning of year	455,728,919
End of year	$ 514,080,855

See accompanying notes to financial statements.

NOTE 1 - PLAN DESCRIPTION

The Sargent & Lundy, L.L.C. Savings Investment Plan ("the Plan") is a defined contribution plan covering all eligible employees, as defined, of Sargent & Lundy, L.L.C.; S&L Engineers, Ltd.; Sargent & Lundy Illinois, Incorporated; Sargent & Lundy Enterprises, L.L.C.; Sargent & Lundy Texas, L.L.C.; Sargent & Lundy International, Incorporated; Sargent & Lundy Canada Company; S&L Powers Projects, L.L.C.; and Sargent & Lundy Pacific, Limited (collectively, "the Company"). The Plan is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended. Effective January 1, 2009, the Plan was amended and restated. A further description of the Plan can be obtained from the Plan document.

Contributions: Participants may make pretax and after-tax contributions subject to a combined maximum of the limits as defined in the Plan document. Pretax contributions are subject to the contribution limitations of the Internal Revenue Code ("IRC"). Absent an election, full-time employees who are hired on or after January 1, 2008 will be automatically enrolled in the Plan at a contribution rate of 6% of eligible compensation. The Plan has also adopted a contribution escalation clause beginning April 1, 2009 for full-time participants hired on or after January 1, 2008. This provision assumes that, unless declined, each impacted participant who is contributing less than 6% of compensation to the Plan shall be deemed to have elected to increase his or her deferral percentage by 1% on each April 1, until the rate reaches 6% of compensation.

The Company contributes 50% of the first 6% of base compensation that an eligible participant contributes to the Plan. Additionally, the Company may make a discretionary contribution (non-matching contributions) to active eligible employees participating in the Plan. During 2010, the Company made a discretionary contribution of 3% of base compensation.

Participant Accounts: Each participant's account is credited with the participant's contribution and allocation of (a) the Company's contribution (if eligible), and (b) investment earnings, and charged with his or her withdrawals and any applicable transaction fees. The benefit to which a participant is entitled is the participant's vested account balances.

Notes Receivable from Participants: Participants may obtain loans from plan assets based on individual account balances not to exceed the lesser of $50,000 or one half of their vested balance. Interest is charged at the prime rate quoted by the *Reuters News Service* as of the first day of the month in which the note is approved and is fixed at that rate for the term of the note. Principal and interest payments over a period from 12 to 60 months are due semi-monthly for active full-time employees and monthly for terminated, temporary and part-time employees.

Vesting: Participants are immediately fully vested in participant contributions and fully vested in all employer contributions after one year of service.

Forfeited Accounts: The non-vested portion of terminated participant accounts are forfeited and may be used to reduce future company contributions, reduce plan expenses, or pay for new services. At December 31, 2010 and 2009, forfeited accounts totaled $14,198 and $10,625, respectively. The forfeited accounts were used to reduce plan expenses and to pay for implementing an investment advisory service available to participants by $37,600 during 2010.

(Continued)

NOTE 1 - PLAN DESCRIPTION (Continued)

Investment Options: Employees can choose any investment fund option offered or individual security investments (through a brokerage account option) to allocate their account balances. The Stable Value Fund, arranged through Fidelity Management Trust Company ("Fidelity") specifically for the Company, is composed of fixed rate-type investments, such as individual and commingled guaranteed investment contracts, which are structured to yield a stable positive rate of return. The contributions made by each participant, as well as Company contributions, are allocated to investments based on elections made by the participant or to a default fund if no election is made.

Payment of Benefits: On termination of service, a participant may elect to remain in the Plan or choose from a number of distribution or loan options (some restrictions may apply). These options also apply to the beneficiary of a deceased participant.

Administration of the Plan and Trust: The Plan is administered by the Sargent & Lundy, L.L.C. Savings Investment Plan Committee. The trust created by the Plan is administered by the trustee, Fidelity. Trustee fees, legal expenses, and various other costs incurred in connection with the administration of the Plan may be paid by the Company or from the trust fund.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation: The accompanying financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures, and actual results could differ from those estimates.

Investment Valuation: The Plan's investments are stated at fair value. Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Fair value is the price that would be received by the Plan for an asset or paid by the Plan to transfer a liability (an exit price) in an orderly transaction between market participants on the measurement date in the Plan's principal or most advantageous market for the asset or liability. Fair value measurements are determined by maximizing the use of observable inputs and minimizing the use of unobservable inputs. The hierarchy places the highest priority on unadjusted quoted market prices in active markets for identical assets or liabilities (Level 1 measurements) and gives the lowest priority to unobservable inputs (Level 3 measurements). The three levels of inputs within the fair value hierarchy are defined as follows:

> Level 1: Quoted prices (unadjusted) for identical assets or liabilities in active markets that the Plan has the ability to access as of the measurement date.
>
> Level 2: Significant other observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data.
>
> Level 3: Significant unobservable inputs that reflect the Plan's own assumptions about the assumptions that market participants would use in pricing an asset or liability.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

In some cases, a valuation technique used to measure fair value may include inputs from multiple levels of the fair value hierarchy. The lowest level of significant input determines the placement of the entire fair value measurement in the hierarchy.

The following descriptions of the valuation methods and assumptions used by the Plan to estimate the fair values of investments apply to investments held directly by the Plan.

Mutual funds and common stocks: The fair values of mutual fund and common stock investments are determined by obtaining quoted prices on nationally recognized securities exchanges (Level 1 inputs).

Money market accounts and savings deposit accounts: Fair values are estimated to approximate deposit account balances, payable on demand, as no discounts for credit quality or liquidity were determined to be applicable (Level 2 inputs).

Certificate of deposit accounts: Fair values of the certificates of deposit are tied to underlying market indices and marked-to-market on a quarterly basis (Level 2 inputs).

Wrapper contracts: Fair values of wrapper contracts associated with synthetic investment contracts are based upon a comparison of the cost of the wrappers and current re-bid prices for similar wrapper contracts as of the financial statement date (Level 2 inputs). The values of the wrapper contracts were determined to be $402,350 and $196,537 at December 31, 2010 and 2009, respectively.

Collective trusts: The fair values of participation units held in collective trusts are based on their net asset values, after adjustments to reflect all fund investments at fair value, including direct interests in fully benefit-responsive contracts, as reported by the managers of the collective trusts and as supported by the unit prices of actual purchase and sale transactions occurring as of or close to the financial statement date (Level 2 inputs). The investment objectives and underlying investments of the collective trusts vary, with some holding securities of U.S. government and government agency bonds, non-convertible bonds, mortgage securities, asset-backed securities, commercial mortgage securities, foreign government securities, preferred securities, short-term obligations, collateralized mortgage obligations, fixed income securities, and certificates of deposit. Each collective trust provides for daily redemptions by the Plan at reported net asset values per share, with no advance notice requirement.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2010 Using	
Investments	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds		
Large Cap Growth	$ 40,913,851	$ -
Large Cap Blend	63,495,626	-
Large Cap Value	4,397,786	-
Mid-Cap Growth	12,973,914	-
Mid-Cap Blend	17,969,690	-
Mid-Cap Value	4,972,548	-
Small Cap Growth	3,110,245	-
Small Cap Blend	8,678,693	-
Small Cap Value	1,979,704	-
World	1,849,294	-
Foreign	41,704,768	-
Diversified Emerging Markets	5,901,437	-
Real Estate	4,430,838	-
Various Sector Funds	16,499,513	-
Diversified Pacific/Asia	404,725	-
Europe	612,907	-
Japan	266,580	-
Latin America	5,286,998	-
Pacific/Asia ex-Japan	4,126,404	-
Balanced	7,325,844	-
Long Government Bonds	1,124,609	-
Intermediate Government Bonds	6,171,901	-
Intermediate-Term Bonds	25,024,069	-
High-Yield Bonds	3,975,717	-
International Bonds	5,061,439	-
Long-Term Bonds	470,266	-
Multi-sector Bonds	2,282,066	-
Convertibles	387,740	-
Target dates 2000-2020	13,925,592	-
Target dates 2025-2035	9,537,509	-
Target dates 2040-2050	13,451,801	-
Stable value fund		
Wrapper contracts	-	402,350
Collective trusts	-	162,420,592
Interest-bearing cash	-	4,144,579
Brokerage account		
Mutual funds	2,562,558	-
Common stock	9,560,462	-
Other	129,306	-
Certificate of deposit	-	108,724
Interest-bearing cash	-	3,555,515
	$ 340,566,400	$ 170,631,760

There were no significant transfers between Level 1 and Level 2 during 2010.

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Investments measured at fair value on a recurring basis are summarized below:

	Fair Value Measurements at December 31, 2009 Using	
Investments	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)
Mutual funds		
Large Cap Growth	$ 38,279,430	$ -
Large Cap Blend	55,920,079	-
Large Cap Value	3,747,711	-
Mid-Cap Growth	10,306,423	-
Mid-Cap Blend	14,155,223	-
Mid-Cap Value	4,163,321	-
Small Cap Growth	1,311,096	-
Small Cap Blend	7,041,389	-
Small Cap Value	1,405,456	-
World	2,273,371	-
Foreign	35,946,043	-
Diversified Emerging Markets	3,548,675	-
Real Estate	3,187,722	-
Various Sector Funds	14,153,317	-
Diversified Pacific/Asia	355,914	-
Europe	682,943	-
Japan	137,545	-
Latin America	5,035,000	-
Pacific/Asia ex-Japan	3,747,335	-
Balanced	7,445,479	-
Long Government Bonds	374,490	-
Intermediate Government Bonds	5,488,961	-
Intermediate-Term Bonds	19,537,489	-
High-Yield Bonds	3,257,592	-
International Bonds	2,653,239	-
Long-Term Bonds	463,868	-
Multi-sector Bonds	1,860,792	-
Convertibles	353,597	-
Target dates 2000-2020	10,955,199	-
Target dates 2025-2035	6,773,675	-
Target dates 2040-2050	8,576,226	-
Stable value fund		
Wrapper contracts	-	196,537
Collective trusts	-	159,096,244
Interest-bearing cash	-	3,644,417
Brokerage account		
Mutual funds	2,481,146	-
Common stock	7,406,125	-
Other	80,073	-
Certificate of deposit	-	797,697
Interest-bearing cash	-	3,459,759
	$ 283,105,944	$ 167,194,654

(Continued)

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Fully Benefit-Responsive Investment Contracts: While Plan investments are presented at fair value in the statement of net assets available for benefits, any material difference between the fair value of the Plan's direct and indirect interests in fully benefit-responsive investment contracts and their contract value is presented as an adjustment line in the statement of net assets available for benefits, because contract value is the relevant measurement attribute for that portion of the Plan's net assets available for benefits. Contract value represents contributions made to a contract, plus earnings, less participant withdraws and administrative expenses. Participants in fully benefit-responsive contracts may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. The Plan holds a direct interest in fully benefit-responsive contracts.

Notes Receivable from Participants: Notes receivable from participants are reported at their unpaid principal balance plus any accrued but unpaid interest, with no allowance for credit losses, as repayments of principal and interest are received through payroll deductions and the notes are collateralized by the participants' account balances.

Payment of Benefits: Benefits are recorded when paid.

Risks and Uncertainties: The Plan provides for various investments that, in general, are exposed to various risks, such as interest rate, credit, liquidity and overall market volatility risks. Due to the level of risk and uncertainty associated with certain investments and the sensitivity of certain fair value estimates to changes in valuation assumptions, it is at least reasonably possible that changes in the fair values of investments will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits and the participants' individual account balances.

Adoption of New Accounting Standard: In September 2010, the FASB amended existing guidance with respect to the reporting of participant loans for defined contribution pension plans. The guidance requires that loans issued to participants be reported as notes receivable, segregated from plan investments, and be measured at their unpaid principal balances plus accrued but unpaid interest. This guidance is effective for reporting periods ending after December 15, 2010, and is to be applied retrospectively to all periods presented comparatively. Early application is permitted. The adoption of this guidance by the Plan resulted in a reclassification from investments to notes receivable from participants of $5,303,570 on the statement of net assets available for benefits as of December 31, 2009. Adoption had no effect on the Plan's net assets available for benefits.

NOTE 3 - UNAUDITED INFORMATION – CERTIFIED INVESTMENTS AND NOTES RECEIVABLE FROM PARTICIPANTS

Substantially all information pertaining to the Plan's investments and notes receivable from participants included in the financial statements, including the associated investment income (loss) and interest earned on notes receivable from participants, was obtained or derived from information supplied to the plan administrator and certified as complete and accurate by Fidelity Management Trust Company, the trustee of the Plan. This information has not been audited by independent accountants.

(Continued)

NOTE 4 - INVESTMENTS

The following presents the fair value of investments that represent 5% or more of the Plan's net assets available for benefits as of December 31:

	2010	2009
Mutual funds		
Fidelity Magellan Fund	$ 24,596,551*	$ 23,711,767
Vanguard Inst Index	52,395,768	44,760,785
Stable Value Fund ($163,860,639 and $163,091,857, respectively, after contract value adjustment for fully benefit-responsive contracts)		
Wrapper Contracts		
JP Morgan Chase Bank #ASARGENT-2-07	$ 200,381*	$ -
Monumental Life Insurance Company #MDA-00787TR	64,737*	26,711*
State Street Bank & Trust Company of Boston #107038	-	106,655*
AIG Financial Products Corp. #944750	137,232*	63,171*
Wrapped Common/Collective Trusts		
Pyramis Intermediate Duration Pool	-	31,972,832
Pyramis Short Duration Pool	-	54,640,208
Fidelity Intermediate Duration Pool	162,420,592	72,483,204
Interest-bearing cash		
Interest-bearing cash	4,144,579*	3,644,417*
Total Stable Value Fund	$ 166,967,521	$ 162,937,198

* Did not meet the 5% threshold on an individual basis and is presented for comparative and informational purposes only.

During 2010, the Plan's investments (including gains and losses on investments bought and sold, as well as held, during the year) appreciated in value by $35,634,454 as follows:

Mutual funds	$ 34,830,565
Common stocks	803,889
Total	$ 35,634,454

(Continued)

NOTE 5 - FULLY BENEFIT-RESPONSIVE INVESTMENT CONTRACTS WITH INSURANCE COMPANIES

The Stable Value Fund ("Fund") is offered exclusively to the Plan and is comprised of fully benefit-responsive investment contracts offered by insurance companies ("Issuers"). The Fund is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investments at contract value. Contract value represents contributions made under the contracts, plus earnings, less participant withdrawals and administrative expenses. There are no reserves against contract values for credit risk of the contract issuers or otherwise.

The contracts specify certain conditions under which distributions from the contracts would be payable at amounts below contract value. Such circumstances include, but are not limited to, plan termination, plan merger, premature contract termination initiated by the employer, and certain other employer-initiated events, such as a sale of a division, plant closure, or lay-off that results in distributions exceeding a set amount. The contracts limit the circumstances under which the Issuers may terminate the contracts.

Examples of circumstances which would allow the Issuer to terminate the contracts include: the Plan's loss of its qualified status, uncured material breaches of responsibilities or material and adverse changes to the provisions of the Plan. If one of these events were to occur, the Issuers could terminate the contracts at amounts less than contract value. Currently, management believes that the occurrence of an event that would cause the Plan to transact contract distributions at less than contract value is not probable.

The crediting interest rates of the contracts are based on agreed-upon formulas with the issuer, as defined in the contract agreements, but cannot be less than 0%. Such interest rates are reviewed on a quarterly basis for resetting. The key factors that influence future interest crediting rates could include the following: the level of market interest rates; the amount and timing of participant contributions, transfers and withdrawals into/out of the contracts; and the duration of the underlying investments backing the contracts. The resulting gains and losses in the fair value of the investment contracts relative to the contract value, if any, are reflected in the Statements of Net Assets Available for Benefits as Adjustment from fair value to contract value for fully benefit-responsive investment contracts.

	2010	2009
Average yields, in the aggregate for the contracts:		
Based on annualized earnings (1)	2.10%	3.05%
Based on interest rate credited to participants (2)	1.87%	2.24%

(1) Computed by dividing the annualized one-day actual earnings of the contracts on the last day of the plan year by the fair value of the contract investments on the same date.

(2) Computed by dividing the annualized one-day earnings credited to participants on the last day of the plan year by the fair value of the contract investments on the same date.

(Continued)

NOTE 6 - TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated September 11, 2002, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the plan administrator believes that the Plan is designed and currently being operated in compliance with requirement of the IRC. Additionally, the Company has requested a new ruling on the Plan's tax status from the Internal Revenue Service, but has not received a new determination letter.

NOTE 7 - PARTY-IN-INTEREST TRANSACTIONS

Parties-in-interest are defined under the Department of Labor Regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer and certain others.

Certain plan investments are managed by Fidelity Management Trust Company, the Plan's trustee, and are shares of mutual funds offered by Fidelity Investments, an affiliate of the Plan's trustee. Accordingly, these investments qualify as party-in-interest investments.

Participants in the Plan are permitted to borrow funds from their vested balance as described in Note 1. These assets qualify as party-in-interest transactions. Notes receivable from participants were $5,989,577 and $5,303,570 at December 31, 2010 and 2009, respectively.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants continue to be 100% vested in their accounts.

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following are reconciliation of net assets available for benefits and changes to net assets available for benefits per the financial statements to the Form 5500:

	December 31,	
	2010	2009
Net assets available for benefits per financial statements	$ 514,080,855	$ 455,728,919
Adjustment from contract value to fair value for fully benefit-responsive investment contracts (Note 2)	3,106,882	(124,751)
Rounding	-	(1)
	-	(124,752)
Net assets per the Form 5500	$ 517,187,737	$ 455,604,167

(Continued)

NOTE 9 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500 (Continued)

	Year Ended December 31, 2010
Increase in net assets available for benefits per the the financial statements	$ 58,351,936
Prior year change in the difference between contract value and estimated fair value of investments in the Stable Value Fund	3,231,633
Rounding	1
Net income per the Form 5500	$ 61,583,570

NOTE 10 - SUBSEQUENT EVENTS

Plan management has evaluated subsequent events for recognition and disclosure through October 5, 2011, which is the date the financial statements were available to be issued.

SUPPLEMENTAL SCHEDULE

SARGENT & LUNDY, L.L.C. SAVINGS INVESTMENT PLAN
SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2010

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds		
*	Fidelity Investments	FID INTM Government Income Fund	**	$ 773,654
*	Fidelity Investments	FID Emerging Europe, Middle East, and Africa	**	70,916
*	Fidelity Investments	FID Trend Fund	**	67,875
*	Fidelity Investments	FID SEL Computers Fund	**	145,697
*	Fidelity Investments	FID SEL Electronics Fund	**	71,200
*	Fidelity Investments	FID SEL Cons Staples Fund	**	497,881
*	Fidelity Investments	FID Ginnie Mae Fund	**	1,327,965
*	Fidelity Investments	Fidelity Magellan Fund	**	24,596,551
*	Fidelity Investments	FID Contrafund Fund	**	5,475,188
*	Fidelity Investments	FID Equity Income Fund	**	203,442
*	Fidelity Investments	FID Growth Company Fund	**	2,146,465
*	Fidelity Investments	Fidelity INVST GR BD Fund	**	473,732
*	Fidelity Investments	FID Growth and Income Fund	**	195,028
*	Fidelity Investments	FID SEL Software Fund	**	273,940
*	Fidelity Investments	FID Intermed Bond Fund	**	341,798
*	Fidelity Investments	FID SEL Air Transprt Fund	**	265,690
*	Fidelity Investments	FID Capital and Income Fund	**	2,327,151
*	Fidelity Investments	FID Value Fund	**	1,546,286
*	Fidelity Investments	FID SEL Gold Fund	**	3,924,522
*	Fidelity Investments	FID SEL Biotech Fund	**	294,273
*	Fidelity Investments	FID SEL Energy Svcs Fund	**	1,776,811
*	Fidelity Investments	FID SEL Insurance Fund	**	34,112
*	Fidelity Investments	FID SEL Retailing Fund	**	66,932
*	Fidelity Investments	FID Govt. Income Fund	**	1,616,610
*	Fidelity Investments	FID SEL Energy Fund	**	2,350,225
*	Fidelity Investments	FID SEL Leisure Fund	**	264,212
*	Fidelity Investments	FID SEL Healthcare Fund	**	559,611
*	Fidelity Investments	FID SEL Technology Fund	**	684,745
*	Fidelity Investments	FID SEL Utilities GR Fund	**	433,907
*	Fidelity Investments	FID SEL Financial Fund	**	304,884
*	Fidelity Investments	FID SEL Defense Fund	**	782,303
*	Fidelity Investments	FID SEL Brokerage Fund	**	212,223
*	Fidelity Investments	FID SEL Chemical Fund	**	665,551
*	Fidelity Investments	FID Independence Fund	**	511,303
*	Fidelity Investments	FID OTC Portfolio Fund	**	292,155
*	Fidelity Investments	FID Overseas Fund	**	113,772
*	Fidelity Investments	FID SEL Telecomm Fund	**	60,199
*	Fidelity Investments	FID SEL Home Finance Fund	**	16,636
*	Fidelity Investments	FID Leveraged Co. Stk Fund	**	4,214,850
*	Fidelity Investments	FID Europe Fund	**	214,788
*	Fidelity Investments	FID Pacific Basin Fund	**	404,725
*	Fidelity Investments	FID Real Estate Invs Fund	**	1,419,102
*	Fidelity Investments	FID Balanced Fund	**	2,346,436
*	Fidelity Investments	FID Intl Discovery Fund	**	712,771
*	Fidelity Investments	FID Telecom & Utilities Fund	**	434,660

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Convertible Sec Fund	**	$ 387,740
*	Fidelity Investments	FID Canada Fund	**	4,172,527
*	Fidelity Investments	FID Puritan Fund K	**	399,587
*	Fidelity Investments	FID Blue Chip Growth Fund	**	1,134,585
*	Fidelity Investments	FID Asset Manager 50% Fund	**	134,701
*	Fidelity Investments	FID Disciplined Eqty Fund	**	6,449
*	Fidelity Investments.	FID Value Strategy Fund	**	64,671
*	Fidelity Investments.	FID Worldwide Fund	**	178,023
*	Fidelity Investments	FID Equity Income II Fund	**	131,111
*	Fidelity Investments	FID Stock Selector Fund	**	41,971
*	Fidelity Investments	FID Asset Mgr 70% Fund	**	116,875
*	Fidelity Investments	Fidelity Emerg Mkts Fund	**	2,124,648
*	Fidelity Investments	FID Diversified Intl Fund	**	15,834,410
*	Fidelity Investments	FID Asset Mgr 20% Fund	**	188,035
*	Fidelity Investments	FID Dividend Growth Fund	**	727,829
*	Fidelity Investments	FID New Markets Inc. Fund	**	1,907,357
*	Fidelity Investments	FID Focused Stock Fund	**	112,204
*	Fidelity Investments	FID Global Balanced Fund	**	102,444
*	Fidelity Investments	FID Intl Cap Appreciation Fund	**	371,454
*	Fidelity Investments	FID Sm Cap Independ Fund	**	159,017
*	Fidelity Investments	FID Mid Cap Stock Fund	**	1,232,598
*	Fidelity Investments	FID Large Cap Stock Fund	**	312,342
*	Fidelity Investments	FID Growth Discovery Fund	**	205,009
*	Fidelity Investments	FID Small Cap Stock Fund	**	1,068,724
*	Fidelity Investments	FID Europe Cap App Fund	**	143,573
*	Fidelity Investments	Fidelity Nordic Fund	**	254,546
*	Fidelity Investments	FID Asset Mgr 85% Fund	**	79,282
*	Fidelity Investments	FID Latin America Fund	**	5,286,998
*	Fidelity Investments	FID Japan Fund	**	67,474
*	Fidelity Investments	FID Southeast Asia Fund	**	1,354,017
*	Fidelity Investments	FID China Region Fund	**	2,772,387
*	Fidelity Investments	FID SEL IT Services Fund	**	15,759
*	Fidelity Investments	FID SEL Med Eq and Sys Fund	**	856,524
*	Fidelity Investments	FID Freedom 2035 Fund	**	8,485
*	Fidelity Investments	FID Japan Smaller Co Fund	**	199,106
*	Fidelity Investments	FID Mega Cap Stock Fund	**	9,863
*	Fidelity Investments	FID Strategic Income Fund	**	1,106,522
*	Fidelity Investments	FID Small Cap Discovery	**	266,515
*	Fidelity Investments	FID Freedom Income Fund	**	12,499
*	Fidelity Investments	FID Freedom 2000 Fund	**	22,392
*	Fidelity Investments	FID Freedom 2010 Fund	**	243,773
*	Fidelity Investments	FID Freedom 2015 Fund	**	122,255
*	Fidelity Investments.	FID Freedom 2020 Fund	**	422,652
*	Fidelity Investments	FID Freedom 2025 Fund	**	41,565
*	Fidelity Investments	FID Freedom 2030 Fund	**	299,869
*	Fidelity Investments	FID Freedom 2040 Fund	**	43,333

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID Freedom 2050 Fund	**	$ 55,916
*	Fidelity Investments	FID High Income Fund	**	461,822
*	Fidelity Investments	FID Fifty Fund	**	513,310
*	Fidelity Investments	FID SEL Automotive Fund	**	348,191
*	Fidelity Investments	FID SEL Multimedia Fund	**	97,525
*	Fidelity Investments	FID SEL Medical Del Fund	**	309,316
*	Fidelity Investments	FID SEL Banking Fund	**	329,839
*	Fidelity Investments	FID Intl Value	**	4,430
*	Fidelity Investments	FID SEL Constr/House Fund	**	40,770
*	Fidelity Investments	FID SEL Transport Fund	**	629,959
*	Fidelity Investments	FID SEL Natural Gas Fund	**	636,401
*	Fidelity Investments	FID SEL Natural Res Fund	**	1,319,817
*	Fidelity Investments.	FID SEL Intl Sm Cap Opp	**	63,154
*	Fidelity Investments	FID SEL Environment Fund	**	48,752
*	Fidelity Investments	FID SEL Consumer Discr Fund	**	129,881
*	Fidelity Investments	FID SEL Comm Equip Fund	**	190,403
*	Fidelity Investments.	FID SEL Pharmaceutical Fund	**	161,505
*	Fidelity Investments	Fidelity Retire Mkt Fund	**	59,493
*	Fidelity Investments	Fidelity U.S. BD Index Fund	**	2,020,580
*	Fidelity Investments	FID Inflat Prot Bond Fund	**	1,565,405
*	Fidelity Investments	FID SEL Wireless Fund	**	183,471
*	Fidelity Investments	FID Freedom 2005 Fund	**	5,579
	The Vanguard Group	Vanguard Target Ret 2030 Fund	**	2,972,479
	The Vanguard Group	Vanguard Target Ret 2040 Fund	**	3,261,908
	The Vanguard Group	Vanguard Target Ret 2050 Fund	**	5,507,162
	Janus Funds	Janus Balanced Fund	**	412,480
	Janus Funds	Janus Enterprise Fund	**	345,514
*	Fidelity Investments	FID Freedom 2045 Fund	**	20,430
*	Fidelity Investments	FID Focused High Inc Fund	**	65,633
*	Fidelity Investments	FID Real Estate Inc Fund	**	170,695
*	Fidelity Investments	FID Value Discovery	**	203,790
*	Fidelity Investments	FID Blue Chip Value	**	221,222
*	Fidelity Investments	FID NASDAQ Comp Indx	**	108,053
*	Fidelity Investments	FID Strat Div & Inc	**	107,897
*	Fidelity Investments	FID Intl Real Estate	**	416,408
*	Fidelity Investments	FID Small Cap Growth	**	386,996
*	Fidelity Investments	FID Small Cap Value	**	250,470
*	Fidelity Investments	FID Large Cap Value	**	246,486
*	Fidelity Investments	FID Mid Cap Value	**	50,206
*	Fidelity Investments	FID Large Cap Growth	**	283,169
*	Fidelity Investments	FID Mid Cap Growth	**	50,519
*	Fidelity Investments	FID Float Rt Hi Inc	**	141,315
*	Fidelity Investments	FID Total Bond	**	137,285
*	Fidelity Investments	FID Growth Strategies	**	5,417,263
*	Fidelity Investments	FID Mortgage Sec	**	31,628
*	Fidelity Investments	FID Four in One Idx	**	77,849

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
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Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
*	Fidelity Investments	FID SEL Materials	**	$ 804,951
*	Fidelity Investments	FID SEL Industrial Equip	**	85,043
*	Fidelity Investments	FID SEL Industrials	**	246,563
	The Vanguard Group	Vanguard LS Growth Fund	**	621,010
	The Vanguard Group	Vanguard LS Income Fund	**	410,893
	The Vanguard Group	Vanguard LS Conservative Growth Fund	**	676,904
	The Vanguard Group	Vanguard LS Moderate Growth Fund	**	1,302,879
	The Vanguard Group	Vanguard Explorer Adm Fund	**	1,111,725
	The Vanguard Group	Vanguard Target Ret Inc Fund	**	470,386
	The Vanguard Group	Vanguard Target Ret 2005 Fund	**	642,716
	The Vanguard Group	Vanguard Target Ret 2015 Fund	**	5,078,940
	The Vanguard Group	Vanguard Target Ret 2025 Fund	**	3,697,728
	The Vanguard Group	Vanguard Target Ret 2035 Fund	**	2,517,383
	The Vanguard Group	Vanguard Target Ret 2045 Fund	**	4,563,052
	The Vanguard Group	Vanguard Target Ret 2010 Fund	**	2,852,847
	The Vanguard Group	Vanguard Target Ret 2020 Fund	**	4,521,939
	The Vanguard Group	Vanguard Infl Prot Adm Fund	**	452,937
	Franklin Templeton Investments	Mutual Global Discovery Z Fund	**	635,422
	Franklin Templeton Investments	Mutual Shares CL Z Fund	**	306,204
	PIMCO Global Advisors	PIM Tot Return Inst Fund	**	21,683,487
	PIMCO Global Advisors	PIM Glbbnd Ad Unhdgd Fund	**	1,415,120
	PIMCO Global Advisors	PIMCO High Yield Adm Fund	**	979,796
	PIMCO Global Advisors	PIMCO Lt U.S. Govt Adm Fund	**	1,124,609
	Janus Funds	Janus Flexible Bond Fund	**	722,607
	Janus Funds	Janus Fund	**	275,876
	Janus Funds	Janus Twenty Fund	**	855,209
	Janus Funds	Janus Worldwide Fund	**	269,525
	Janus Funds	Janus Overseas I Fund	**	3,072,363
	Janus Funds	Janus Adv Forty S Fund	**	482,539
	Janus Funds	Janus Research Fund	**	229,263
	Janus Funds	Perkins Small Cap Vl Is	**	3,536,291
	AIM Investments	AIM Dynamics Inv Fund	**	65,761
	AIM Investments	AIM Sm Cap Grth Inv Fund	**	22,059
	AIM Investments	AIM Constellation A Fund	**	24,498
	AIM Investments	AIM Charter Inst Fund	**	42,039
	AIM Investments	AIM Basic Value A Fund	**	35,263
	AIM Investments	AIM Midcap Core Eq I Fund	**	279,441
	AIM Investments	AIM Divsf Divd Inv Fund	**	150,750
	AIM Investments	AIM Basic Balanced A	**	5,483
	AIM Investments	AIM Large Cap Grth A	**	19,399
	AIM Investments	AIM Gbl Sm&Mdcp Gr A	**	72,955
	Dreyfus	Drey Fndrs Eq Growth Fund	**	34,308
	Dreyfus	Drey Mid-Cap Growth I	**	131,126
	Dreyfus	Drey Lt Growth & Income Inv	**	17,739
	Franklin Templeton Investments	Templeton Foreign Sm Co A Fund	**	301,567
	Franklin Templeton Investments	Templeton Foreign A Fund	**	128,793

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
	Franklin Templeton Investments	Templeton Dev Mkts A Fund	**	$ 1,056,002
	Franklin Templeton Investments	Templeton Growth A Fund	**	436,037
	Franklin Templeton Investments	Templeton Global Bond A Fund	**	3,589,271
	Franklin Templeton Investments	Templeton World A Fund	**	173,621
	Morgan Stanley Investment Mgmt	MSI Sm Co Growth P Fund	**	47,501
	Morgan Stanley Investment Mgmt	MSIF Emerging Mkts P Fund	**	445,956
	Morgan Stanley Investment Mgmt	MSI Intl Equity P Fund	**	19,264
	Neuberger Berman	NB Focus Trust Fund	**	32,491
	Neuberger Berman	NB Genesis – INST CL	**	1,228,170
	Neuberger Berman	NB MDCP Grth Trust	**	90
	Spartan	Spartan Total Mkt Index Fund	**	2,316,189
	Spartan	Spartan Extnd Mkt Index Fund	**	7,705,106
	Spartan	Spartan Intl Index Fund	**	16,348,703
	American Century Investments	Am Cent Ultra Inv Fund	**	50,948
	American Century Investments	Am Cen Lg Co Val Inv Fund	**	127,524
	American Century Investments	Am Cent Sm Comp Inv Fund	**	189,672
	Archer Funds	ABF Balanced PA Fund	**	170,813
	Archer Funds	ABF Large Cap Val INST	**	870,504
	Archer Funds	ABF Intl Equity PA Fund	**	123,193
	Baron Funds	Baron Growth Fund	**	2,059,505
	Baron Funds	Baron Asset Fund	**	339,947
	Calvert	Calvert SIF Balanced A Fund	**	500
	Calvert	Calvert SIF Equity A	**	2,464
	Calvert	Calvert Cap Acc A	**	6,221
	Calvert	Calvert Intl Equity A	**	384
	First Pacific Advisors	FPA Crescent Fund	**	1,585,588
	Rice Hall James	RHJ Micro Cap Fund	**	127,350
	Ariel Mutual Funds	Ariel Fund	**	718,001
	Ariel Mutual Funds	Ariel Appreciation Fund	**	402,389
	Lehman Brothers	LB Core Bond NB Inv Fund	**	1,276
	Alger	Alger Cap Apprec I Fund	**	450,371
	Alger	Alger Midcap Growth I Fund	**	599,732
	Alger	Alger Small Cap I Fund	**	158,188
	Franklin Templeton Investments	Fkln SMMIDCAP Growth A Fund	**	64,053
	Morgan Stanley Investment Mgmt	MSIF Capital Growth P	**	43,705
	Morgan Stanley Investment Mgmt	MSIF Balanced P Fund	**	2,859
	Morgan Stanley Investment Mgmt	MSI Active Intl P Fund	**	15,280
	Morgan Stanley Investment Mgmt	MSIF CP FX Inc P Fund	**	49,476
	Morgan Stanley Investment Mgmt	MSIF Midcap Growth I Fund	**	1,551,427
	Morgan Stanley Investment Mgmt	MSIF Value P Fund	**	31,039
	AIM Investments	AIM Large Cap Rel Val P	**	2,270
	Domini Social Investors	Domini Social Equity Fund	**	52,166
	T. Rowe Price	TRP Growth Stock Fund	**	1,395,321
	DWS Scudder	DWS International S Fund	**	30,006

(Continued)

Name of Plan Sponsor: Sargent & Lundy, L.L.C.
Employer Identification Number: 36-1729848
Three-Digit Plan Number: 003

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par or Maturity Value	(d) Cost	(e) Current Value
		Mutual Funds (Continued)		
	DWS Scudder	DWS Global Small Cap Growth S Fund	**	$ 83,711
	DWS Scudder	DWS Grth and Income S Fund	**	986
	DWS Scudder	DWS Small Cap Growth S Fund	**	25,576
	TCW Funds	TCW Sm Cap Growth N Fund	**	61,178
	Neuberger Berman	NB Partners Trust Fund	**	395,293
	Neuberger Berman	NB Socially Resp Tr Fund	**	10,718
	Oakmark Funds	Oakmark Select I Fund	**	532,133
	Oakmark Funds	Oakmark Fund I Fund	**	205,562
	Oakmark Funds	Oakmark Eq & Inc I Fund	**	2,305,137
	Managers Investment Group	Managers C Capital Appreciation Fund	**	82,190
	Managers Investment Group	Managers Mid Cap Value Fund	**	201,559
	Allianz Global Investors	Allnz NFJ SMCPVAL AD Fund	**	1,584,245
	Cohen & Steers Capital Mgmt.	Cohen & Steers Rlty Fund Shs	**	2,424,633
	Wells Fargo Advantage Funds	WFA Discovery Inv Fund	**	63,949
	Wells Fargo Advantage Funds	WFA Growth Inv Fund	**	255,612
	Wells Fargo Advantage Funds	WFA Common Stock Z Fund	**	121,930
	Wells Fargo Advantage Funds	WFA Opportunity Inv Fund	**	125,998
	Wells Fargo Advantage Funds	WFA Lg Cap Growth Inv Fund	**	15,453
	Wells Fargo Advantage Funds	WFA Govt Security Inv Fund	**	658,789
	Wells Fargo Advantage Funds	WFA Small Cap Val Inv	**	1,954,369
	USAA Investments	USAA Cornerstone Fund	**	4,332
	USAA Investments	USAA GNMA Trust Fund	**	138,357
	USAA Investments	USAA Income Fund	**	307,194
	USAA Investments	USAA Income Stock Fund	**	28,656
	USAA Investments	USAA International Fund	**	95,520
	USAA Investments	USAA Growth Fund	**	5,263
	USAA Investments	USAA Emerging Mkts Fund	**	296,558
	Credit Suisse Funds	CS Large Cap Blend II Fund	**	115,532
	American Funds	AF Washington Mutl A Fund	**	1,613,132
	Artisan Funds	Artisan Intl Fund	**	276,398
	Artisan Funds	Artisan Mid Cap Inv Fund	**	429,360
	Managers Investment Group	Managers Special Eq Fund	**	147,847
	Managers Investment Group	Managers Bond Fund	**	470,266
	RS Funds	RS Smaller Co Growth A Fund	**	44,340
	Lord Abbett	LD Abbett MIDCPVAL A Fund	**	221,383
	Legg Mason	LM Value Trust FI CL Fund	**	336,321
	Aberdeen Asset Management	ABDN Global Fx Inc IS	**	57,048
	Royce Funds	Royce Low Pr Stk Inv Fund	**	694,845
	Old Mutual Capital	Oldmut TSW MidCap Value Z	**	17,862
	Old Mutual Capital	Oldmut Strat Small Co Z	**	4,955
	Old Mutual Capital	Oldmut Focused Z	**	94,189
	Van Kampen Investments	VK Growth & Income A Fund	**	396,574

(Continued)

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		Mutual Funds (Continued)		
	Loomis Sayles funds	Loomis Sm Cap Value I Fund	**	$ 59,582
	West Asset	Core Bond FI Fund	**	10,517
*	Fidelity Investments	Fidelity New Millenium	**	13,389
*	Fidelity Investments	Fidelity Large Cap Core Enhanced Index	**	6,074
*	Fidelity Investments	Fidelity Large Cap Value Enhanced Index	**	49,737
*	Fidelity Investments	Fidelity Asset Manager 30%	**	37,228
*	Fidelity Investments	Fidelity Asset Manager 60%	**	2,025
*	Fidelity Investments	Fidelity Dynamic Strategies	**	9,640
*	Fidelity Investments	Fidelity Intl Growth	**	20,779
*	Fidelity Investments	Fidelity Small Cap Enhanced Index	**	14,218
*	Fidelity Investments	Fidelity Mid Cap Enhanced Index	**	9,370
	Janus Funds	Perkins Mid Cap Value	**	2,106,433
	Archer Funds	ABF Small Cap Value	**	395,459
	The Vanguard Group	Vanguard Inst Index	**	52,395,768
	Neuberger Berman	NB Guardian Trust	**	356
	John Hancock	JH Small Company I	**	229,350
	TCW Funds	TCW Select Equity N	**	46,215
	DWS Scudder	DWS Strategic Value IS	**	24,833
*	Fidelity Investments	FID 130/30 Large Cap	**	50,554
*	Fidelity Investments	FID Cap Appreciation	**	569,246
*	Fidelity Investments	FID Export & Multi K	**	384,749
*	Fidelity Investments	FID Fund K	**	477,618
*	Fidelity Investments	FID Low Priced Stock K	**	2,579,167
				328,314,074
		Brokerage Account		
*	Fidelity Mgmt. Trust Co.	Brokerage Account	**	15,916,565
		Stable Value Fund		
	Wrapper Contracts			
	Monumental Life Insurance Co.	Wrapper contract #MDA00379TR, Rate of 4.725%	**	64,737
	AIG Financial Products Corp.	Wrapper contract #944750, Rate of 4.725%	**	137,232
	JP Morgan Chase Bank	Wrapper contract #ASARGENT-2-07 Rate of 4.725%	**	200,381
	State Street Bank & Trust Company of Boston	Wrapper contract #107038, Rate of 4.725%	**	-
	Collective Trusts			
*	Fidelity Mgmt. Trust Co.	CT - Fidelity Intermediate Duration Pool	**	162,420,592

(Continued)

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		Stable Value Fund (Continued)		
	Interest Bearing Cash			
*	Fidelity Mgmt. Trust Co.	Interest-bearing cash	**	$ 4,144,579
				166,967,521
		Participant Loans		
*	Participant Loans	Interest rates ranging from 3.25% to 9.50%	**	5,989,577
				$ 517,187,737

* Denotes party-in-interest.
** Cost information is not required for participant-directed investments and, therefore, is not included.