Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2010

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
DUFF & PHELPS SAVINGS PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 10/01/1996

2a Plan sponsor's name and address (employer, if for a single-employer plan) (Address should include room or suite no.)
DUFF & PHELPS, LLC

55 EAST 52ND STREET, 31ST FLOOR
NEW YORK, NY 10055

2b Employer Identification Number (EIN) 36-4090666

2c Sponsor's telephone number 212-871-2549

2d Business code (see instructions) 523900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/07/2011	MARVIN ADLER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address (if same as plan sponsor, enter "Same")

DUFF & PHELPS, LLC

55 EAST 52ND STREET, 31ST FLOOR
NEW YORK, NY 10055

3b Administrator's EIN: 36-4090666

3c Administrator's telephone number: 212-871-2549

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	1371
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	1008
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	333
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	1341
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	0
f	Total. Add lines **6d** and **6e**	**6f**	1341
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	1159
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	2
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

2E 2F 2G 2J 2K 2T 3D 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]	**H** (Financial Information)
(2)	[ ]	**I** (Financial Information – Small Plan)
(3)	[ ]	**A** (Insurance Information)
(4)	[X]	**C** (Service Provider Information)
(5)	[X]	**D** (DFE/Participating Plan Information)
(6)	[ ]	**G** (Financial Transaction Schedules)

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2010** **This Form is Open to Public Inspection.**

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan	**B** Three-digit plan number (PN) ▶	
DUFF & PHELPS SAVINGS PLAN		001

C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)
DUFF & PHELPS, LLC	36-4090666

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

NEWPORT RETIREMENT SERVICES, INC

62-1868111

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
12 15 37 50 60 64	NONE	15283	Yes [X] No [ ]	Yes [ ] No [X]	7339	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

UBS FINANCIAL SERVICES, INC

13-2638166

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 72 33 63	NONE	208094	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

WILMINGTON TRUST FIDUCIARY SERVICES

26-3523944

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 72	NONE	244808	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

RELIANCE TRUST COMPANY

58-1428634

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
12 19 65 99	NONE	0	Yes [X] No [ ]	Yes [ ] No [X]	23048	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
NEWPORT RETIREMENT SERVICES, INC	15 60	7339

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RELIANCE TRUST COMPANY 58-1428634	REVENUE SHARING USED TO OFFSET PLAN EXPENSE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC.	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRED ALGER MANAGEMENT INC 13-2665689	25 BASIS POINTS OF FUND VALUE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC.	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DIAMOND HILL CAPITAL MANAGEMENT LLC 31-6547095	25 BASIS POINTS OF FUND VALUE

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC.	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO FUNDS 76-0528004	25 BASIS POINTS OF FUND VALUE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC.	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
IVY INVESTMENT MANAGEMENT COMPANY 04-6006759	25 BASIS POINTS OF FUND VALUE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC.	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COHEN & STEERS CAPITAL MGT, INC 14-1904657	25 BASIS POINTS OF FUND VALUE

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTIO GLOBAL MANAGEMENT LLC 13-6174048	25 BASIS POINTS OF FUND VALUE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK INC 51-0318674	25 BASIS POINTS OF FUND VALUE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
UBS FINANCIAL SERVICES, INC	33 63	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VAN ECK ASSOCIATES CORPORATION 13-3210061	25 BASIS POINTS OF FUND VALUE

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
RELIANCE TRUST COMPANY	12 19 65 99	23048

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NEPORT RETIREMENT SERVICES, INC 62-1868111	TRUSTEE/CUSTODIAN FEES FOR PLAN YEAR

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

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Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN;
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN;
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection.

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
DUFF & PHELPS SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
DUFF & PHELPS, LLC

D Employer Identification Number (EIN)
36-4090666

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- FIXED INCOME INDEX PORT

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-3783732-927	C	4957952

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC-WTRISC CIT II METLIFE GR ANN

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-3783330-902	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- S&P 500 INDEX PORTFOLIO

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-3783703-921	C	9116611

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- STRATEGIC BALANCED PORT

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-3783639-913	C	486268

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- LARGE COMPANY VALUE PORT

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-3783371-907	C	6152509

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- INTERNATIONAL VALUE PORT

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-3783871-938	C	6655356

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- INTERNATIONAL GROWTH PORT

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-3783561-911	C	3437046

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- INTER FIXED INCOME PORT

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN 26-3783383-908	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5689530

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- STRATEGIC GROWTH FUND

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN 26-3783632-912	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5374090

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- MID CAP FUNDAMENTAL VALUE

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN 26-3783919-945	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3600715

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- MID CAP GROWTH PORTFOLIO

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN 26-3783748-929	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1777007

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC-SMALL COMPANY GROWTH

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN 26-3783319-901	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 4246846

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC- SMALL COMPANY VALUE PORT

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN 26-3783724-926	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 4894093

a Name of MTIA, CCT, PSA, or 103-12 IE: WT-FSC TOTAL RETURN REIT PORTFOLIO

b Name of sponsor of entity listed in (a): WILMINGTON TRUST FIDUCIARY SERVICES COMPANY

c EIN-PN 26-3783868-937	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2859906

a Name of MTIA, CCT, PSA, or 103-12 IE: METLIFE GUARANTEED ANTY CONT 25554

b Name of sponsor of entity listed in (a): WILMINGTON TRUST RET & INST SCVS CO

c EIN-PN 26-0142858-094	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5902355

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
DUFF & PHELPS SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DUFF & PHELPS, LLC

D Employer Identification Number (EIN)
36-4090666

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	354789	303667
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	400083	421429
(2) Participant contributions	1b(2)	9095	0
(3) Other	1b(3)	6174	5892
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	1149745	1184379
(9) Value of interest in common/collective trusts	1c(9)	51097602	65150284
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	6864636	9075233
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	59882124	76140884
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j	360958	309559
k	Total liabilities (add all amounts in lines 1g through1j)	1k	360958	309559
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	59521166	75831325

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	4610115	
	(B) Participants	2a(1)(B)	8074821	
	(C) Others (including rollovers)	2a(1)(C)	1030128	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		13715064
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)	57709	
	(F) Other	2b(1)(F)		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		57709
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	379712	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		379712
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0

		(a) Amount	(b) Total
2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0
(6) Net investment gain (loss) from common/collective trusts	2b(6)		8575848
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		604346
c Other income	2c		130
d Total income. Add all **income** amounts in column (b) and enter total	2d		23332809
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	6555564	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		6555564
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	467086	
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		467086
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		7022650
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d	2k		16310159
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified (2) [ ] Qualified (3) [X] Disclaimer (4) [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: KPMG (2) EIN: 13-5565207

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. (2) [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

		Yes	No	Amount
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		2500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If yes, enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection.

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
DUFF & PHELPS SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DUFF & PHELPS, LLC

D Employer Identification Number (EIN)
36-4090666

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 58-1428634

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete items (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

KPMG LLP
345 Park Avenue
New York, NY 10154

Independent Auditors' Report

Plan Administrator
Duff & Phelps Savings Plan:

We were engaged to audit the accompanying statements of net assets available for benefits of the Duff & Phelps Savings Plan (the Plan) as of December 31, 2010 and 2009, and the related statements of changes in net assets available for benefits for the years then ended, and the supplemental schedule H, line 4i – schedule of assets held (held at end of year) as of December 31, 2010. These financial statements and supplemental schedule are the responsibility of Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in note 3, which was certified by Reliance Trust Company, the custodian of the Plan, except for comparing such information with the related information included in the Plan's financial statements and supplemental schedule. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the custodian as of December 31, 2010 and 2009 and for the years then ended, that the information provided to the plan administrator by the custodian is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

KPMG LLP

August 17, 2011

KPMG LLP is a Delaware limited liability partnership, the U.S. member firm of KPMG International Cooperative ("KPMG International"), a Swiss entity.

DUFF & PHELPS SAVINGS PLAN

(Formerly, Duff & Phelps, LLC Savings Plan)

Financial Statements and Supplemental Schedule

December 31, 2010 and 2009

(With Independent Auditors' Report Thereon)

DUFF & PHELPS SAVINGS PLAN
(Formerly, Duff & Phelps, LLC Savings Plan)

Financial Statements and Supplemental Schedule

December 31, 2010 and 2009

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Note: All other schedules are omitted since they are not applicable or are not required based upon the disclosure requirements of the Employee Retirement Income Security Act of 1974 and applicable regulations issued by the Department of Labor.

KPMG LLP
345 Park Avenue
New York, NY 10154

Independent Auditors' Report

Plan Administrator
Duff & Phelps Savings Plan:

We were engaged to audit the accompanying statements of net assets available for benefits of the Duff & Phelps Savings Plan (the Plan) as of December 31, 2010 and 2009, and the related statements of changes in net assets available for benefits for the years then ended, and the supplemental schedule H, line 4i – schedule of assets held (held at end of year) as of December 31, 2010. These financial statements and supplemental schedule are the responsibility of Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in note 3, which was certified by Reliance Trust Company, the custodian of the Plan, except for comparing such information with the related information included in the Plan's financial statements and supplemental schedule. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the custodian as of December 31, 2010 and 2009 and for the years then ended, that the information provided to the plan administrator by the custodian is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

KPMG LLP

August 17, 2011

KPMG LLP is a Delaware limited liability partnership, the U.S. member firm of KPMG International Cooperative ("KPMG International"), a Swiss entity.

DUFF & PHELPS SAVINGS PLAN
(Formerly, Duff & Phelps, LLC Savings Plan)

Statements of Net Assets Available for Benefits

December 31, 2010 and 2009

	2010	2009
Assets:		
Cash	$ 303,667	354,789
Investments:		
Investments, at fair value, as certified by the custodian (note 3):		
Common and collective trust funds	65,150,284	51,097,602
Mutual funds	9,075,233	6,864,636
Total investments at fair value	74,225,517	57,962,238
Receivables:		
Employer contributions	421,429	400,083
Participant contributions	—	9,095
Participant loans	1,184,379	1,149,745
Due from broker for securities sold	5,892	6,174
Total receivables	1,611,700	1,565,097
Total assets	76,140,884	59,882,124
Liabilities:		
Due to broker for securities purchased	309,559	360,958
Total liabilities	309,559	360,958
Net assets available for benefits before adjustment to contract value	75,831,325	59,521,166
Adjustment from fair value to contract value for interests in collective trust relating to fully benefit-responsive investment contracts (Note 5)	(133,322)	751,648
Net assets available for benefits	$ 75,698,003	60,272,814

See accompanying notes to financial statements.

DUFF & PHELPS SAVINGS PLAN
(Formerly, Duff & Phelps, LLC Savings Plan)

Statements of Changes in Net Assets Available for Benefits

Years ended December 31, 2010 and 2009

	2010	2009
Additions:		
Additions to net assets attributed to:		
Investment income, as certified by the custodian (note 3):		
Net appreciation in fair value of investments	$ 8,295,224	10,848,991
Dividends	379,712	170,667
	8,674,936	11,019,658
Other	130	6,482
Total investment income	8,675,066	11,026,140
Interest on participant loans	57,709	58,017
Contributions:		
Employer	4,610,115	5,029,911
Participants	8,074,821	8,911,572
Rollover contributions	1,030,128	2,656,935
Other	—	43,463
Total contributions	13,715,064	16,641,881
Total additions	22,447,839	27,726,038
Deductions:		
Deductions from net assets attributed to:		
Benefits paid to participants	6,555,564	2,898,365
Administrative expenses	467,086	321,662
Total deductions	7,022,650	3,220,027
Net increase	15,425,189	24,506,011
Net assets available for benefits:		
Beginning of year	60,272,814	35,766,803
End of year	$ 75,698,003	60,272,814

See accompanying notes to financial statements.

(1) Description of Plan

The following brief description of the Duff & Phelps Savings Plan (the Plan), formerly the Duff & Phelps, LLC Savings Plan, provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

(a) General

The Plan is a defined contribution plan, which became effective October 1, 1996, for the employees of Duff & Phelps, LLC and subsidiaries (collectively, the Company or the Plan Sponsor), and is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Wilmington Trust Fiduciary Services Company (Wilmington or WTFSC), Reliance Trust Company (Reliance), and the Newport Group (Newport) serve as the trustee, custodian and recordkeeper, respectively.

(b) Eligibility

Employees will automatically become an eligible participant in the Plan on their date of hire. Union employees, certain nonresident aliens and leased employees are not eligible to participate.

(c) Contributions

Eligible employees may contribute a percentage of their pretax annual compensation as determined by the participant, as defined by the Plan, and subject to the Internal Revenue Code (IRC) limitations on maximum deferral contributions, which was $16,500 ($22,000 for eligible employees 50 or older) for 2010 and 2009. The annual compensation of each employee taken into account under the Plan may not exceed $245,000 for 2010 and 2009.

(d) Matching Contributions

At the election of the Plan Sponsor, the Company contributes an amount equal to 100% of salary deferrals up to 3% of each employee's compensation and 50% of salary deferrals up to the next 3% of each employee's compensation.

(e) Vesting

Participants are immediately vested in both their voluntary and matching contributions plus actual earnings thereon.

(f) Participant Accounts

Each participant's account is credited with the participant's deferral contributions and allocations of the Company's matching contributions and Plan earnings. The benefit to which a participant is entitled is the benefit which can be provided from the participant's account.

(g) Rollover Contributions

Participants may transfer to their Plan accounts part or all of an eligible rollover distribution received from other qualified plans. Rollover contribution accounts will be subject to the terms of this Plan and will always be fully vested and nonforfeitable.

(h) Distributions from Plan

A participant's vested interest may be distributed only after the participant's disability, termination from employment, attainment of early retirement age of 55, attainment of normal retirement age of 65 or death. If one of the aforementioned conditions is met, participants may withdraw all or any part of their vested account at any time. Participants are required to start receiving benefits on April 1 following the later of the calendar year in which the participant reaches age 70½ or stops working for the Company.

For eligible rollover distributions to participants that are not transferred directly to the trustee of another qualified plan or to an IRA, the Plan administrator will typically withhold 20% of the distribution for taxes.

(i) Forfeitures

Forfeitures due to excess employer match paid out to participants are used to reduce future contributions from the Plan Sponsor. Forfeited nonvested accounts amounted to $8,473 and $1,028 for the years ended December 31, 2010 and 2009, respectively. In 2010 and 2009, the Plan utilized $0 and $69,120 of forfeited amounts to reduce contributions made by the Plan Sponsor, respectively.

(j) Administrative Expenses

Certain administrative expenses are paid by the Plan. All other administrative expenses are paid by the Company, including trustee and recordkeeping fees.

(k) Termination of Plan

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. The Company will instruct the trustee either (a) to continue to administer the Plan until all funds are depleted, or (b) to distribute the assets remaining in the funds. If the funds are to be distributed, the trustee will distribute to each participant the amount credited to his/her account on the distribution date.

(l) Loan Provisions

The Plan allows participants to borrow money from employee accounts only if such loans: (a) are available to participants on a reasonably equivalent basis; (b) are not available to highly compensated employees in an amount greater than the amount that is available to other participants; (c) bear a reasonable rate of interest of prime plus 1%; (d) provide for periodic repayment within a specified period of time not less frequently than quarterly; (e) be payable within a period not exceeding five years from the date the participant receives the loan from the Plan, unless the loan is

for the purchase of the participant's primary residence, in which case the loan must be payable within a reasonable time commensurate with the repayment period permitted by commercial lenders for similar loans but not exceed the participant's normal retirement date as outlined in the Plan; (f) do not exceed, for any participant, the lesser of 50% of the participant's total vested interest in the Plan or $50,000; and (g) become due and payable in full immediately upon the participant's termination of employment. The loans are secured by the vested balance in the participant's account. As of December 31, 2010, there were 131 loans to 99 participants with interest rates ranging from 4.00% to 8.75% at various maturities through October 31, 2025. As of December 31, 2009, there were 116 loans to 85 participants with interest ranging from 4.00% to 8.75% at various maturities through August 15, 2024. Participant loans are carried at amortized cost.

(m) Hardship Withdrawals

Participants may withdraw their employee pretax contributions (but not the earnings on the pretax contributions) and rollover contributions, if applicable, to satisfy immediate and heavy financial needs. In accordance with IRS regulations, participants must first exhaust all other assets available before obtaining a hardship withdrawal. All hardship withdrawals must be approved by the Plan administrator. After a hardship withdrawal, a participant is not allowed to make a contribution to the Plan for six months.

(2) Summary of Significant Accounting Policies

(a) Basis of Accounting

The accompanying financial statements of the Plan were prepared on the accrual basis of accounting in conformity with U.S. generally accepted accounting principles (GAAP).

(b) Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of additions and deductions during the reporting period. These estimates and assumptions are based on the Plan administrator's best estimate and judgment. The Plan administrator evaluates its estimates and assumptions on an ongoing basis using historical experience and other factors, including consideration of the current economic environment. The Plan administrator adjusts these estimates when facts and circumstances dictate. As future events cannot be determined with precision, actual results could differ from those estimates and assumptions.

(c) Investment Valuation and Income Recognition

Investments in mutual funds are valued at the net asset value of shares held by the Plan at year end, which are generally based on quoted market values of the underlying investments held by the mutual funds. Investments in common and collective trust funds are stated at fair value as determined by the fund manager based on the unit value of the accounts or trusts. Unit value of the accounts or trusts is determined based on the fair value of the underlying assets of the funds divided by units outstanding at the valuation date.

The Plan reports investment contracts at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The accompanying statements of net assets available for benefits presents the fair value of the investment contracts, as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The statement of changes in net assets available for benefits reflects fair value which is then adjusted to contract value basis and is included in net appreciation in fair value of investments.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date.

(d) Payments of Benefits

Benefits are recorded when paid.

(e) Reclassifications

Certain prior year amounts have been reclassified to conform to the 2010 presentation.

(f) Impact of New Accounting Standards and Interpretations

In September 2010, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2010-25, *Plan Accounting – Defined Contribution Pension Plans (Topic 962), Reporting Loans to Participants by Defined Contribution Pension Plans, a consensus of the FASB Emerging Issues Task Force* (the Update). This Update requires that participant loans be classified as notes receivable from participants, which are segregated from plan investments and measured at their unpaid principal balance plus any accrued but unpaid interest. The amendments in the Update are to be applied retrospectively to all prior periods presented, effective for fiscal years ending after December 15, 2010. The Plan has reclassified participant loans from investments to notes receivable from participants for financial statement presentation. The Form 5500 will continue to present notes receivable from participants as an investment. The adoption of the Update in 2010 did not have a material effect on the Plan's financial statements.

In January 2010, the FASB issued ASU No. 2010-06, *Fair Value Measurements and Disclosures (Topic 820): Improving Disclosures about Fair Value Measurements*. ASU No. 2010-06 outlines certain new disclosures and clarifies some existing disclosure requirements about fair value measurement as set forth in ASC Topic 820-10. ASU No. 2010-06 amends ASC Topic 820-10 to now require that (a) a reporting entity disclose separately the amounts of significant transfers in and out of Level 1 and Level 2 fair value measurements and describe the reasons for the transfers; and (b) in the reconciliation for fair value measurements using significant unobservable inputs, a reporting entity should present separately information about purchases, sales, issuances, and settlements. In addition, ASU No. 2010-06 clarifies existing disclosures on (a) how a reporting entity should provide fair value measurement disclosures for each class of asset and liability, and (b) how a reporting entity should provide disclosures about the valuation techniques and inputs used to

measure fair value for both recurring and nonrecurring fair value measurements. Topic 820 is effective for the Plan in 2010, except for the disclosures about purchases, sales, issuances, and settlements in the rollforward of activity in Level 3 fair value measurements. These disclosures will be effective for the Plan in 2011. Management has determined that the adoption of the guidance did not, and will not, have a material impact on the Plan's financial statements.

(3) Information Certified by the Plan's Custodian (Unaudited)

The Plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, as permitted under such election, the Plan administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the information certified by Reliance Trust Company (the Custodian), except for comparing such information certified by the Custodian to information included in the Plan's financial statements and supplemental schedule.

(Continued)

The following is a summary of investments as of December 31, 2010 and 2009, which has been certified as accurate and complete by the Custodian:

		2010	2009
Common and collective trust funds:			
WTFSC Small Company Growth Portfolio	$	4,246,846*	2,849,454
UBS-FTC GIC Portfolio		—	4,714,881*
WTFSC Large Company Value Portfolio		6,152,509*	5,452,127*
WTFSC International Growth Portfolio		3,437,046	2,445,314
WTFSC Strategic Balanced Portfolio		486,268	352,119
WTFSC Strategic Growth Fund		5,374,090*	3,986,623*
WTFSC S&P 500 Index Portfolio		9,116,611*	7,065,493*
WTFSC Small Company Value Portfolio		4,894,093*	3,632,251*
WTFSC Fixed Income Index Portfolio		4,957,952*	3,708,698*
WTFSC Inter Fixed Income Portfolio		5,689,530*	4,678,986*
WTFSC Mid Cap Growth Portfolio		1,777,007	1,026,581
WTFSC Total Return REIT Portfolio		2,859,906	2,034,912
WTFSC International Value Portfolio		6,655,356*	6,006,863*
Wilmington Trust Retirement and Institutional Services Company Collective Investment Trust III for MetLife Guaranteed Annuity Contract No. 25554		5,902,355*	—*
WTFSC Mid Cap Fundamental Value		3,600,715*	3,143,300*
Total common and collective trust funds		65,150,284	51,097,602
Mutual funds:			
AIM Developing Markets Fund A		—	1,172,368
Alger Health Sciences Fund A		402,280	314,271
Artio Global High Income Fund A		2,414,975	2,237,908
BlackRock Inflation Protected Bond Fund A		815,197	—
Cohen & Steers International Realty Fund A		481,261	388,251
Diamond Hill Financial Long-Short Fund		370,617	456,402
Invesco Developing Markets Fund A		1,725,102	—
Ivy Global Natural Resources Fund A		1,828,280	1,782,332
Ivy Science and Technology Fund A		584,334	513,104
Van Eck International Investors Gold Fund A		453,187	—
Total mutual funds		9,075,233	6,864,636
Total investments at fair value	$	74,225,517	57,962,238

* Individual investments that represent 5% or more of the Plan's net assets available for benefits.

The contract value and fair market value of the Plan's investment in the Wilmington Trust Retirement and Institutional Services Company Collective Investment Trust III for MetLife Guaranteed Annuity Contract No. 25554 and the GIC Portfolio of the UBS Fiduciary Trust Company Collective Investment Trust for

(Continued)

Employee Benefit Plans (UBS-FTC GIC Portfolio) have been certified as accurate and complete by the custodian (see note 5).

During 2010 and 2009, the Plan's investments (including gains and losses on investments bought and sold, as well as held, during the year) appreciated in value as follows:

		2010	2009
Common and collective trusts	$	7,690,878	9,001,919
Mutual funds		604,346	1,847,072
Total	$	8,295,224	10,848,991

As stated on the statements of changes in net assets available for benefits for the years ended December 31, 2010 and 2009, dividends amounted to $379,712 and $170,667, respectively.

(4) Fair Value of Financial Instruments

FASB ASC 820, *Fair Value Measurements and Disclosures*, establishes a framework for measuring fair value which provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value at December 31, 2010 and 2009. There were no changes in methodologies used at December 31, 2009 and 2010.

Common collective funds: The fair value of the funds is calculated by the issuer utilizing quoted market prices, most recent bid prices in the principal market in which the securities are normally traded, pricing services and dealer quotes. The fair value of the underlying wrapper contracts held by the fund are valued using a discounted cash flow model, which considers (i) recent fee bids as determined by recognized dealers, (ii) discount rate, and (iii) the duration of the underlying portfolio securities.

Mutual funds: Valued at the quoted net asset value (NAV) of shares held by the Plan at year-end.

The following table presents investments in common and collective trust funds and investments in mutual funds which are measured at fair value on a recurring basis as of December 31, 2010:

Description	Quoted prices in active markets for identical assets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Investments in common and collective trust funds	$ —	65,150,284	—	65,150,284
Investments in mutual funds	—	9,075,233	—	9,075,233
Total assets	$ —	74,225,517	—	74,225,517

The Plan does not have any material financial assets in a market that is not active.

The following table presents investments in common and collective trust funds and investments in mutual funds which are measured at fair value on a recurring basis as of December 31, 2009:

Description	Quoted prices in active markets for identical assets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Investments in common and collective trust funds	$ —	51,097,602	—	51,097,602
Investments in mutual funds	—	6,864,636	—	6,864,636
Total assets	$ —	57,962,238	—	57,962,238

(Continued)

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date. There were no changes in methodologies used at December 31, 2010 and 2009.

(5) Investments in Fully Benefit-Responsive Investment Contracts

Wilmington Trust Retirement and Institutional Services Company Collective Investment Trust III for MetLife Guaranteed Annuity Contract No. 25554 and the UBS-FTC GIC Portfolio (the Funds) are collective trust funds. The Funds' primary investment objective is to preserve invested principal while providing a competitive current rate of return. To accomplish the primary objective, the Funds invest primarily in investment contracts such as traditional guaranteed investment contracts (GICs) and enter into wrapper contracts (synthetic GICs). The Funds also invest in separate account GICs. In a traditional GIC, the issuer of guaranteed investment contracts takes a deposit from the Funds and purchases investments that are held in the issuer's general account. The issuer is contractually obligated to repay the principal and a specified rate of interest guaranteed to the Funds.

In a synthetic GIC structure, the underlying investments are owned by the Funds and held in trust for plan participants. The Funds enter into wrapper contracts from high-quality insurance companies or banks that serve to substantially offset the price fluctuations in the underlying investments caused by movements in interest rates. Each wrapper contract obligates the wrapper provider to maintain the "contract value" of the underlying investments. The contract value is generally equal to the principal amounts invested in the underlying investments, plus interest accrued at a crediting rate established under the contract, less any adjustments for withdrawals (as specified in the wrapper agreement). Under the terms of the wrapper contract, the realized and unrealized gains and losses on the underlying investments are, in effect, amortized over the duration of the underlying investments, through adjustments to the future contract interest crediting rate (which is the rate earned by participants in the Funds for the underlying investments). The wrapper contract provides that the adjustments to the interest crediting rate will not result in a future interest crediting rate that is less than zero. This ensures that participants' principal and accrued interest will be protected.

In general, if the contract value of the wrapper agreement exceeds the market value of the underlying investments (including accrued interest), the wrapper provider becomes obligated to pay that difference to the Funds in the event that shareholder redemptions result in total contract liquidation. In the event that there are partial shareholder redemptions that would otherwise cause the contract's crediting rate to fall below zero percent, the wrapper provider is obligated to contribute to the Funds an amount necessary to maintain the contract's crediting rate at least zero percent. The circumstances under which payments are made and the timing of payments between the Funds and the wrapper provider may vary based on the terms of the wrapper contract.

Wrapper contracts' crediting interest rates are typically reset on a monthly or quarterly basis according to each contract. While there may be slight variations from one contract to another, most wrapper contracts

use a formula that is based on the characteristics of the underlying fixed income portfolio. The crediting interest rate for a wrapper contract is determined by the issuer considering factors, including:

- the level of market interest rates
- the amount and timing of participant contributions, transfers, and withdrawals into/out of the wrapper contract
- the investment returns generated by the fixed income investments that back the wrapper contract
- the duration of the underlying fixed income investments backing the wrapper contract

Because changes in market interest rates affect the yield to maturity and the market value of the underlying investments, they can have a material impact on the wrapper contract's interest crediting rate. In addition, participant withdrawals and transfers from the Funds are paid at contract value but funded through the market value liquidation of the underlying investments, which also impacts the interest crediting rate. The resulting difference between the market value of the underlying investments relative to the wrapper contract value is presented as the "adjustment from fair value to contract value." If the adjustment from fair value to contract value is positive for a given contract, this indicates that the wrapper contract value is greater than the market value of the underlying investments. The embedded market value losses will be amortized in the future through a lower interest crediting rate than would otherwise be the case. If the adjustment from fair value to contract value is negative, this indicates that the wrapper contract value is less than the market value of the underlying investments. The amortization of the embedded market value gains will cause the future interest crediting rate to be higher than it otherwise would have been.

The average yield earned by the Plan from the Wilmington Trust Retirement and Institutional Services Company Collective Investment Trust III for MetLife Guaranteed Annuity Contract No. 25554 was 5.42% for the year ended December 31, 2010. The average yield earned by the Plan with an adjustment to reflect the actual interest rate credited to participants in the Plan was 3.13% for the year ended December 31, 2010. The average yield earned by the Plan from the UBS-FTC GIC Portfolio was 0.62% at August 31, 2009. The average yield earned by the UBS-FTC GIC Portfolio with an adjustment to reflect the actual interest rate credited to participants in the Plan was 0.57% at August 31, 2009.

(6) Income Tax Status

The Plan Sponsor previously adopted a prototype nonstandardized profit sharing plan with a cash or deferral arrangement, which received a favorable opinion letter from the Internal Revenue Service (IRS) on September 16, 2003, which stated that the Plan is acceptable under section 401(a) of the IRC. Effective October 1, 2007, the Plan adopted a new volume submitter cross-tested profit sharing 401(k) plan, which received a favorable opinion from the IRS on October 15, 2004, which stated that the Plan is acceptable under section 401(a) of the IRC. Although the Plan has been amended since receiving the opinion letter, the Plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal

Revenue Service. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2010, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or de-recognition of an asset) or disclosure in the financial statements.

The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

(7) Party-In-Interest Transactions

Certain Plan investments as of December 31, 2010 and 2009 are shares of common and collective trust funds managed by Wilmington. Wilmington is the trustee as defined by the Plan at December 31, 2010 and 2009, and, therefore, these transactions qualify as party-in-interest. The Plan Sponsor paid administrative expenses of $467,086 and $321,662 for 2010 and 2009, respectively, which included trustee and investment management costs for the collective trust payable to Wilmington and a UBS Financial Services portion that included institutional consulting services, participant education and communication services. These expenses are paid by the Plan Sponsor and reimbursed by the Plan.

(8) Concentrations of Risks and Uncertainties

The Plan may invest in various types of investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

The Plan's exposure to a concentration of credit risk is limited by diversification of investments across all participant-directed fund elections. Additionally, the investments within each participant-directed fund election are further diversified into various financial instruments.

The Plan's investment in the Wilmington Trust Retirement and Institutional Services Company Collective Investment Trust III for MetLife Guaranteed Annuity Contract No. 25554 fund, by its nature, is subject to credit risk. If the contract issuers are unable to perform according to the provisions of the contracts, the value of the accounts could be substantially impaired. The Plan does not expect nonperformance by the contract issuers.

A portion of the underlying assets of the common and collective trust funds in which the Plan has invested may include derivative financial instruments. The Plan does not own any of these instruments directly. These instruments are used primarily to hedge exposures associated with currency exchange rates, interest rates, and other factors which may affect the value of securities.

The Plan may indirectly invest in securities with contractual cash flows, such as asset backed securities, collateralized mortgage obligations and commercial mortgage backed securities, including securities backed by subprime mortgage loans. The value, liquidity and related income of these securities are sensitive to changes in economic conditions, including real estate value, delinquencies or defaults, or both, and may be adversely affected by shifts in the market's perception of the issuers and changes in interest rates.

(9) Reconciliation to Form 5500

Certain financial information in the accompanying financial statements differs from the financial information on Form 5500 as of and for the years ended December 31, 2010 and 2009. The differences arise from the fact that the fully benefit-responsive investment contracts are reported at contract value on the Plan's financial statements, while the Form 5500 reports such contracts at fair value. The following is a reconciliation of net assets available for benefits per the financial statements to Form 5500 as of December 31, 2010 and 2009:

		2010	2009
Net assets available for benefits per the financial statements	$	75,698,003	60,272,814
Adjustment from fair value to contract value for fully benefit-responsive investment contracts		133,322	(751,648)
Net assets available for benefits per Form 5500	$	75,831,325	59,521,166

The following is a reconciliation of investment income per the financial statements to the Form 5500 for the years ended December 31, 2010 and 2009:

		2010	2009
Total investment income per the financial statements	$	8,675,066	11,026,140
Participant loan interest		57,709	58,017
Adjustment from fair value to contract value for fully benefit-responsive investment contracts – current year		133,322	(751,648)
Adjustment from fair value to contract value for fully benefit-responsive investment contract – prior year		751,648	696,999
Total investment income per Form 5500	$	9,617,745	11,029,508

(10) Subsequent Events

Subsequent to December 31, 2010 and through August 17, 2011, the date through which management evaluated subsequent events and on which the financial statements were available for issuance, management has concluded that there were no subsequent events to be disclosed.

DUFF & PHELPS SAVINGS PLAN
(Formerly, Duff & Phelps, LLC Savings Plan)

Schedule H, line 4i – Schedule of Assets (Held at End of Year)

December 31, 2010

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment		(e) Current value
	Common and collective trust funds:			
*	WTFSC Small Company Growth Portfolio	Collective trust fund	33,256.948 units	$ 4,246,846
*	WTFSC Large Company Value Portfolio	Collective trust fund	77,588.172 units	6,152,509
*	WTFSC International Growth Portfolio	Collective trust fund	173,737.345 units	3,437,046
*	WTFSC Strategic Balanced Portfolio	Collective trust fund	10,132.064 units	486,268
*	WTFSC Strategic Growth Fund	Collective trust fund	283,324.006 units	5,374,090
*	WTFSC S&P 500 Index Portfolio	Collective trust fund	517,636.347 units	9,116,611
*	WTFSC Small Company Value Portfolio	Collective trust fund	115,516.632 units	4,894,093
*	WTFSC Fixed Income Index Portfolio	Collective trust fund	249,921.941 units	4,957,952
*	WTFSC Inter Fixed Income Portfolio	Collective trust fund	138,914.717 units	5,689,530
*	WTFSC Mid Cap Growth Portfolio	Collective trust fund	127,842.187 units	1,777,007
*	WTFSC Total Return REIT Portfolio	Collective trust fund	105,997.047 units	2,859,906
*	WTFSC International Value Portfolio	Collective trust fund	306,359.614 units	6,655,356
*	Wilmington Trust Retirement and Institutional Services Company Collective Investment Trust III for MetLife Guaranteed Annuity Contract No. 25554	Collective trust fund	367,805.736 units	5,861,113
	Metlife GAC Wrapper			41,242
*	WTFSC Mid Cap Fundamental Value	Collective trust fund	286,955.319 units	3,600,715
	Total common and collective trust funds			65,150,284
	Mutual funds:			
	Alger Health Sciences Fund A	Mutual fund	22,448.646 units	402,280
	Artio Global High Income Fund A	Mutual fund	226,971.346 units	2,414,975
	BlackRock Inflation Protected Bond Fund A	Mutual fund	75,551.117 units	815,197
	Cohen & Steers International Realty Fund A	Mutual fund	43,435.062 units	481,261
	Diamond Hill Financial Long-Short Fund	Mutual fund	22,793.177 units	370,617
	Invesco Developing Markets Fund A	Mutual fund	52,117.899 units	1,725,102
	Ivy Global Natural Resources Fund A	Mutual fund	84,603.420 units	1,828,280
	Ivy Science and Technology Fund A	Mutual fund	18,538.521 units	584,334
	Van Eck International Investors Gold Fund A	Mutual fund	18,347.664 units	453,187
	Total mutual funds			9,075,233
*	Participant loans	131 loans to 99 participants at various maturities with interest rates ranging from 4.00% to 8.75%		1,184,379
	Total			$ 75,409,896

* A party-in-interest as defined by ERISA. WTFSC is the Wilmington Trust Fiduciary Services Company.

See accompanying independent auditors' report

Attachment to 2010 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name DUFF & PHELPS SAVINGS PLAN **EIN:** 36-4090666
Plan Sponsor's Name DUFF & PHELPS, LLC **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	WT-FSC- SMALLCOMPANY GROWTH PORTFOL	COLLECTIVE TRUST FUND		4,246,846
	WT-FSC- LARGE COMPANY VALUE PORTFOL	COLLECTIVE TRUST FUND		6,152,509
	WT-FSC- INTERNATIONAL GROWTH PTF	COLLECTIVE TRUST FUND		3,437,046
	WT-FSC-STRATEGIC BALANCED PORTFOLIO	COLLECTIVE TRUST FUND		486,268
	WT-FSC-STRATEGIC GROWTH FUND	COLLECTIVE TRUST FUND		5,374,090
	WT-FSC-S&P 500 INDEX PORTFOLIO	COLLECTIVE TRUST FUND		9,116,611
	WT-FSC-SMALL COMPANY VALUE PTF	COLLECTIVE TRUST FUND		4,894,093

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Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name DUFF & PHELPS SAVINGS PLAN **EIN:** 36-4090666
Plan Sponsor's Name DUFF & PHELPS, LLC **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	WT-FSC-FIXED INCOME INDEX PORTFOLIO	COLLECTIVE TRUST FUND		4,957,952
	WT-FSC-INTER FIXED INCOME PORTFOLIO	COLLECTIVE TRUST FUND		5,689,530
	WT-FSC-MID CAP GROWTH PORTFOLIO	COLLECTIVE TRUST FUND		1,777,007
	WT-FSC-TOTAL RETURN REIT PORTFOLIO	COLLECTIVE TRUST FUND		2,859,906
	WT-FSC-INTERNATIONAL VALUE PTF	COLLECTIVE TRUST FUND		6,655,356
	WT-FSC-WTRISC CIT III METLIFE GR AN	COLLECTIVE TRUST FUND		5,902,355
	WT-FSC-MID CAP FUNDAMENTAL VALUE	COLLECTIVE TRUST FUND		3,600,715

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Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name DUFF & PHELPS SAVINGS PLAN **EIN:** 36-4090666
Plan Sponsor's Name DUFF & PHELPS, LLC **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	INVESCO DEVELOPING MARKETS FUND A	MUTUAL FUND		1,725,102
	IVY GLOBAL NATURAL RESOURCES FUND	MUTUAL FUND A		1,828,280
	IVY SCIENCE & TECHNOLOGY FUND A	MUTUAL FUND		584,334
	ALGER HEALTH SCIENCES FUND A	MUTUAL FUND		402,280
	COHEN & STREET INTL REALTY FUND A	MUTUAL FUND		481,261
	ARTIO GLOBAL HIGH INCOME	MUTUAL FUND		2,414,975
	LOAN FUND	PARTICPANT LOANS VARIOUS INTERST RATES & MATURITY RATES		1,184,379

Attachment to 2010 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name DUFF & PHELPS SAVINGS PLAN **EIN:** 36-4090666
Plan Sponsor's Name DUFF & PHELPS, LLC **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	DIAMOND HILL LONG SHORT	MUTUAL FUND		370,617
	VAN ECK INT INVESTORS GOLD FUND A	MUTUAL FUND		453,187
	BLACKROCK INFLATION PROT BOND FUND	MUTUAL FUND		815,197