Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2010

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1989

2a Plan sponsor's name and address (employer, if for a single-employer plan)
(Address should include room or suite no.)
AMERICAN STOCK TRANSFER & TRUST COMPANY

6201 15TH AVENUE
BROOKLYN, NY 11219

2b Employer Identification Number (EIN)
13-3439945

2c Sponsor's telephone number
718-921-8251

2d Business code (see instructions)
522190

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2011	MELISSA HALVERSON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2011	MELISSA HALVERSON
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address (if same as plan sponsor, enter "Same")
AMERICAN STOCK TRANSFER & TRUST COMPANY

6201 15TH AVENUE
BROOKLYN, NY 11219

3b Administrator's EIN
13-3439945

3c Administrator's telephone number
718-921-8251

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	506
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	397
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	175
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	572
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	0
f	Total. Add lines **6d** and **6e**	**6f**	572
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	398
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	19
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
2E 2F 2G 2J 2K 2S 3D 2T

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)		Insurance
(2)		Code section 412(e)(3) insurance contracts
(3)	X	Trust
(4)		General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)		Insurance
(2)		Code section 412(e)(3) insurance contracts
(3)	X	Trust
(4)		General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	X	**R** (Retirement Plan Information)
(2)		**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)		**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	X		**H** (Financial Information)
(2)			**I** (Financial Information – Small Plan)
(3)		___	**A** (Insurance Information)
(4)	X		**C** (Service Provider Information)
(5)	X		**D** (DFE/Participating Plan Information)
(6)			**G** (Financial Transaction Schedules)

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2010** **This Form is Open to Public Inspection.**

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan	**B** Three-digit plan number (PN) ▶	
AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN		001
C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)	
AMERICAN STOCK TRANSFER & TRUST COMPANY	13-3439945	

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS

06-1194217

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

JP MORGAN

13-2624428

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARBOR

04-2679462

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

OPPENHEIMER FUNDS

13-2527171

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PIMCO FUNDS

95-2632339

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AMERICAN BEACON

75-2401150

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

COLUMBIA

93-0577450

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DREYFUS

13-5673135

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

THORNBURG

85-0301299

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

INVESCO VAN KAMPEN

58-2287224

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

T ROWE PRICE

52-2264646

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ALGER

13-2665689

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GOLDMAN SACHS

13-5108880

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

LAZARD

20-4571006

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

JANUS

43-1804048

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

AMERICAN FUNDS

95-1411037

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLS FARGO, N.A.

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 21 37 49 50 52 60 61 62 63 64	SERVICE PROVIDER	10491	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN;
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN;
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection.

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
AMERICAN STOCK TRANSFER & TRUST COMPANY

D Employer Identification Number (EIN)
13-3439945

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: STABLE RETURN FUND G 4

b Name of sponsor of entity listed in (a): WELLS FARGO BANK, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
41-6202499-001	C	586

a Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL RETURN BOND FUND G 5

b Name of sponsor of entity listed in (a): WELLS FARGO BANK, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
94-3222878-001	C	20102

a Name of MTIA, CCT, PSA, or 103-12 IE: ENHANCED STOCK MARKET G 2

b Name of sponsor of entity listed in (a): WELLS FARGO BANK, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
56-6288528-001	C	161108

a Name of MTIA, CCT, PSA, or 103-12 IE: DIVERSIFIED BOND GROUP TRUST

b Name of sponsor of entity listed in (a): WACHOVIA BANK, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
54-6113592-001	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

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a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
AMERICAN STOCK TRANSFER & TRUST COMPANY

D Employer Identification Number (EIN)
13-3439945

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	
(2) Participant contributions	1b(2)	0	
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	503759	588957
(9) Value of interest in common/collective trusts	1c(9)	144576	181796
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	9765533	11148165
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	10413868	11918918
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	10413868	11918918

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	538600	
(B) Participants	2a(1)(B)	1092246	
(C) Others (including rollovers)	2a(1)(C)	146751	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		1777597
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	30290	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		30290
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	79485	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		79485
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0

		(a) Amount	(b) Total
2b (5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0
(6) Net investment gain (loss) from common/collective trusts	2b(6)		19755
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		924646
c Other income	2c		27966
d Total income. Add all **income** amounts in column (b) and enter total	2d		2859739
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	1302449	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		1302449
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	52240	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		52240
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		1354689
Net Income and Reconciliation			
k Net income (loss). Subtract line 2j from line 2d	2k		1505050
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified (2) [ ] Qualified (3) [X] Disclaimer (4) [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BASS & LEMER LLP (2) EIN: 13-1938264

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. (2) [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete 4j and 4l. MTIAs also do not complete 4l.

During the plan year:

		Yes	No	Amount
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		15000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If yes, enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2010

This Form is Open to Public Inspection.

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010

A Name of plan
AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
AMERICAN STOCK TRANSFER & TRUST COMPANY

D Employer Identification Number (EIN)
13-3439945

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 56-1354495

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete items (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate item 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

AMERICAN STOCK TRANSFER & TRUST COMPANY

RETIREMENT SAVINGS PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

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BASS & LEMER LLP
CERTIFIED PUBLIC ACCOUNTANTS

836 HEMPSTEAD AVE.
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FAX: (516) 485-9603

1501 BROADWAY
NEW YORK, N.Y. 10036
(212) 944-1500

SHELBY S. GOLDGRAB, C.P.A., C.M.A.
GARY S. ADLER, C.P.A.

To the Board of Trustees of
American Stock Transfer & Trust Company
Retirement Savings Plan

Independent Auditors' Report

We were engaged to audit the accompanying statements of net assets available for benefits of the American Stock Transfer & Trust Company Retirement Savings Plan as of December 31, 2010 and 2009, and the related statement of changes in net assets available for benefits for the year ended December 31, 2010 and the supplemental Schedule H, line 4i - Schedule of Assets (Held at End of Year) referred to as "supplemental schedule", as of December 31, 2010 as listed in the accompanying table of contents. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedule. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2010 and 2009, that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Bass & Lemer LLP

Certified Public Accountants

West Hempstead, New York
October 5, 2011

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2010 AND 2009

	2010	2009
ASSETS		
Investments (at fair value)	$11,329,971	$ 9,910,109
RECEIVABLES		
Employer's Contribution	568,022	538,600
Notes Receivable from Participants	588,957	503,759*
TOTAL RECEIVABLES	1,156,979	1,042,359
NET ASSETS AVAILABLE FOR BENEFITS AT FAIR VALUE	12,486,950	10,952,468
Adjustment from Fair Value to Contract Value for Fully Benefit - Responsive Investment Contract	(10)	-
NET ASSETS AVAILABLE FOR BENEFITS	$12,486,940	$10,952,468

*Reclassification to conform to current year's presentation.

See the accompanying notes to the financial statements.

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

FOR THE YEAR ENDED DECEMBER 31, 2010

ADDITIONS	
Additions to Net Assets Attributed to:	
Investment Income:	
Net Appreciation in Fair Value of Investments	$ 944,401
Dividends	79,485
Interest	30,290
Other	27,966
	1,082,142
Contributions:	
Participants	1,092,246
Employer	568,022
Rollovers from Other Plans	146,751
	1,807,019
TOTAL ADDITIONS	2,889,161
DEDUCTIONS	
Deductions from Net Assets Attributed to:	
Benefits Paid to Participants	1,302,449
Administrative Expenses	52,240
TOTAL DEDUCTIONS	1,354,689
NET INCREASE	1,534,472
NET ASSETS AVAILABLE FOR BENEFITS	
Beginning of Year	10,952,468
End of Year	$12,486,940

See the accompanying notes to the financial statements.

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

NOTE 1 - DESCRIPTION OF PLAN

The following description of the American Stock Transfer & Trust Company Retirement Savings Plan (the "Plan"), whose sponsor is American Stock Transfer & Trust Company (the "Company"), provides only general information. Participants of the Plan should refer to the Plan document for a more detailed and complete description of the Plan's provisions.

A. GENERAL

The Plan is a defined contribution plan. The Plan covers all eligible full-time employees of the Company who have completed three months of service and are at least 18 years of age. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

B. CONTRIBUTIONS

Each year participants may elect to contribute up to 100% of their eligible compensation, as defined by the Plan not to exceed amounts prescribed by the Internal Revenue Service (IRS). Participants direct the investment of their contributions into various investment options offered by the Plan. All participants who are eligible to make elective deferrals under this Plan and who have attained age 50 before the close of the Plan year are eligible to make an additional catch-up contribution as prescribed by the IRS. The Plan adopted automatic deferral provisions for those employees who become participants unless the Plan is notified by the participant not to withhold contributions. The automatic deferral percentage is equal to 3% of compensation for each payroll period. Participants may also contribute amounts representing distributions from other qualified plans as defined in the Plan document.

The Company may make a discretionary matching contribution equal to a discretionary percentage of a participant's elective deferrals. In addition, the Company, at its discretion, may elect to make a profit sharing contribution to the Plan, subject to limitations as defined in the Plan document. Any employee over the age of 18 who is employed at the close of the Plan year may begin to participate in these contributions on the first day of the month following completion of 1,000 hours of service during the Plan year, even if they are not participating in the salary deferral portion of the Plan.

For 2010 and 2009 the Company made a discretionary profit sharing contribution of $568,022 and $538,600, respectively.

NOTE 1 - DESCRIPTION OF PLAN (CONTINUED)

C. PARTICIPANT ACCOUNTS

Each participant's account is credited with the participant's contribution, the Company's discretionary matching contribution and an allocation of (a) the Company's discretionary profit sharing contribution, (b) Plan earnings (losses) and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined in the Plan document. Allocation of the Company's discretionary matching contribution is based on a discretionary percentage of a participant's compensation, or a discretionary dollar amount, the percentage or dollar amount to be determined by the Company on a uniform basis for all participants. Allocation of the Company's discretionary profit sharing contribution is based on participant's compensation and classification, as defined in the Plan document. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

D. VESTING

Participants are immediately vested in their contributions and earnings thereon. The vesting in the Company's discretionary matching contribution and the Company's discretionary profit sharing contribution portion of participants' accounts is based on years of continuous service.

The Plan's vesting schedule for the Company discretionary matching contribution and the Company discretionary profit sharing contribution portion of participants' accounts and the earnings and losses thereon is as follows:

Years of Service for Vesting	Percentage
Less than 1 year	0%
1 to 2 years	20%
2 to 3 years	40%
3 to 4 years	60%
4 to 5 years	80%
5 years or more	100%

NOTE 1 - DESCRIPTION OF PLAN (CONTINUED)

E. NOTES RECEIVABLE FROM PARTICIPANTS

Participants may borrow from their fund accounts a minimum of $1,000 up to a maximum equal to the lessor of 50% of their vested account balance or $50,000 as defined in the Plan document. The loans are secured by the balance in the participant's account and bear interest at rates ranging from 4.25% to 9.25%. The interest rates are the current prime lending rate of Wells Fargo Bank, N.A., plus 1%, or such rate as is prescribed by the Company trustees based on periodic re-evaluations of the adequacy of such rate. Repayment of loans must be made ratably through payroll deductions within a five year period. However, if a loan is for the purchase of a primary residence, a longer repayment term is permitted. A participant may not have more than one loan outstanding at any time.

F. PAYMENT OF BENEFITS

On termination of service due to death, retirement, and total and permanent disability a participant may receive an amount equal to the value of the participant's interest in their account in a lump sum distribution, as described in the Plan document. On termination of service due to other reasons a participant may receive an amount equal to the value of the participant's vested interest in their account in a lump sum distribution, as described in the Plan document.

G. FORFEITED ACCOUNTS

At December 31, 2010 and 2009, forfeited non-vested accounts totaled $17,210 and $11,834, respectively. These accounts can be used to reduce plan administrative expenses. In addition, in accordance with the Plan document, forfeitures of the Company's discretionary matching contribution are to be used to offset the Company's discretionary matching contribution and forfeitures attributable to amounts other than the Company's discretionary matching contribution are to be used to reduce employer contributions. In 2010, $11,819 of forfeitures was used to reduce employer contributions.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. DATE OF MANAGEMENT'S REVIEW

Subsequent events were evaluated through October 5, 2011, which is the date the financial statements were available to be issued.

B. ESTIMATES

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

C. BASIS OF ACCOUNTING

The financial statements of the Plan are prepared on the accrual basis of accounting.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The Statement of Net Assets Available for Benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The Statement of Changes in Net Assets Available for Benefits is prepared on a contract value basis.

D. NOTES RECEIVABLE FROM PARTICIPANTS

Loans to participants are reported at their unpaid principal balance plus any accrued but unpaid interest.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

E. INVESTMENT VALUATION AND INCOME RECOGNITION

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's investments in mutual funds are stated at fair value using quoted market prices. The Plan's investments include proprietary products of fund companies that are not publicly traded and are valued at fair value as determined at year end by Wells Fargo Bank, N.A., the Plan's trustee. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Dividends are recorded when received rather than on an ex-dividend basis and interest income is recorded when received rather than accrued. The effect is not material to a fair presentation. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

F. PAYMENT OF BENEFITS

Benefits are recorded when paid.

G. OPERATING EXPENSES

The Company, at its discretion, may pay all administrative expenses of the Plan, including legal and audit fees, except for the administrative costs of payroll processing fees. For 2010 and 2009, the Plan sponsor engaged the services of Wells Fargo Bank, N.A. to act as recordkeeper and trustee of the Plan's assets. In addition, costs relating to the 2010 and 2009 annual audits of the Plan are being assumed by the Company. The Company may elect in the future not to pay these expenses, which would affect the future financial return to participants.

The Company employs the Plan trustees. Certain administrative functions are performed by officers and employees of the Company. No trustee, officer or employee receives compensation from the Plan.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

H. NEW ACCOUNTING STANDARDS

ASU No. 2010-06, Fair Value Measurements and Disclosures - In January 2010, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2010-06, Fair Value Measurements and Disclosures which amends Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, adding new disclosure requirements for Levels 1 and 2, separate disclosures of purchases, sales, issuances, and settlements relating to Level 3 measurements and clarification of existing fair value disclosures. (See note 3 for a description of fair value measurement levels.) ASU No. 2010-06 is effective for periods beginning after December 15, 2009, except for the requirement to provide Level 3 activity of purchases, sales, issuances, and settlements on a gross basis, which will be effective for fiscal years beginning after December 15, 2010. The Plan prospectively adopted the new guidance in 2010, except for the Level 3 reconciliation disclosures, which are required in 2011. The adoption in 2010 did not materially affect, and the future adoption is not expected to materially affect, the Plan's financial statements.

In September 2010, the FASB issued ASU No. 2010-25, Reporting Loans to Participants by Defined Contribution Pension Plans. The ASU requires that participant loans be classified as notes receivable rather than a plan investment and measured at unpaid principal balance plus accrued but unpaid interest rather than fair value. The Plan retroactively adopted the new accounting standard in 2010. The adoption did not have a material effect on the Plan's financial statements.

In May 2011, the FASB issued new accounting guidance to provide a consistent definition of fair value and ensure that the fair value measurement and disclosure requirements are similar between U.S. GAAP and International Financial Reporting Standards. The new guidance changes certain fair value measurement principles and enhances the disclosure requirements particularly for Level 3 fair value measurements. The guidance is effective for the Plan prospectively for the year ending December 31, 2012. The Plan is currently evaluating the impact of pending adoption of the new guidance on its financial statements and notes thereto.

NOTE 3 - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurement and Disclosures,* provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2010 and 2009.

Mutual Funds: Valued at the net asset value (NAV) of shares held by the Plan at year end, based on published market quotations on active markets.

Collective Trust Funds: Valued at their net asset values (NAV) based on the fair value of the underlying investments held by the collective trust funds at year end. Unlike shares of mutual fund companies, the NAV of collective trust funds, are not publicly available.

Notes Receivable from Participants: Valued at their unpaid principal balance plus any accrued but unpaid interest.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2010 and 2009:

	Assets at Fair Value as December 31, 2010			
	Total	Level 1	Level 2	Level 3
Mutual Funds:				
Advantage Fund	$ 362,533	$ 362,533	$ -	$ -
Allocation Fund	592,650	592,650	-	-
Blend Funds	945,225	945,225	-	-
Bond Fund	532,642	532,642	-	-
Diversified Emerging Markets Fund	513	513	-	-
Growth Funds	2,560,738	2,560,738	-	-
Money Market Fund	3,362,028	3,362,028	-	-
Real Estate Fund	8,359	8,359	-	-
Retirement Income Fund	99,672	99,672	-	-
Target Date Funds	933,075	933,075	-	-
Technology Fund	598,414	598,414	-	-
Value Fund	1,152,315	1,152,315	-	-
Total Mutual Funds	11,148,164	11,148,164	-	-
Collective Trust Funds:				
Bond Funds	20,103	-	19,648	455
Stable Return Fund	596	596	-	-
Stock Market Funds	161,108	-	159,459	1,649
Total Collective Trust Funds	181,807	596	179,107	2,104
Notes Receivable from Participants	588,957	-	-	588,957
Total Assets as Fair Value	$11,918,928	$11,148,760	$ 179,107	$ 591,061

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

	Assets at Fair Value as December 31, 2009			
	Total	Level 1	Level 2	Level 3
Mutual Funds:				
Allocation Fund	$ 506,860	$ 506,860	$ -	$ -
Blend Funds	906,086	906,086	-	-
Bond Fund	642,960	642,960	-	-
Diversified Emerging Markets Fund	430	430	-	-
Growth Funds	1,683,844	1,683,844	-	-
International Equity Fund	1,479	1,479	-	-
Money Market Fund	3,557,519	3,557,519	-	-
Real Estate Fund	442	442	-	-
Retirement Income Fund	62,702	62,702	-	-
Target Date Funds	745,243	745,243	-	-
Technology Fund	430,461	430,461	-	-
Value Fund	1,227,506	1,227,506	-	-
Total Mutual Funds	9,765,532	9,765,532	-	-
Collective Trust Funds:				
Bond Funds	649	-	649	-
Stock Market Funds	143,928	141,387	2,541	-
Total Collective Trust Funds	144,577	141,387	3,190	-
Notes Receivable from Participants	503,759	-	-	503,759
Total Assets as Fair Value	$10,413,868	$ 9,906,919	$ 3,190	$ 503,759

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

Level 3 Gains and Losses:

The following table sets forth a summary of changes in the fair value of the Plan's level 3 assets for the year ended December 31, 2010:

	Levels 3Assets Year Ended December 31, 2010		
	Notes Receivables from Participants	Collective Trust Funds	Total
Balance, beginning of year	$ 503,759	$ -	$ 503,759
Purchases, sales, issuances and settlements (net)	85,198	2,104	87,302
Balance, end of year	$ 588,957	$ 2,104	$ 591,061

NOTE 4 - INVESTMENTS

The following presents investments that represent 5% or more of the Plan's net assets:

	December 31,	
	2010	2009
Fidelity - Advisor New Insights Class T	$1,434,748	$1,148,230
American Funds - EuroPacific Growth Fund Class R-3	757,889	765,862
American Beacon - Large Cap Value Advisor	671,540	578,318
Fidelity - Institutional Money Market III	3,362,028	3,557,519

During 2010, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by $944,401 exclusive of dividends earned of $79,485 interest earned of $30,290, other income of $27,966, as follows:

Mutual Funds	$ 924,646
Collective Trust Funds	19,755
Net Appreciation on Investments	$ 944,401

NOTE 5 - INVESTMENT CONTRACTS FROM A STABLE VALUE FUND

The Plan held an investment at December 31, 2010 in the Wells Fargo Stable Return B Fund (the "Fund") that is a benefit-responsive collective trust fund investment from Wells Fargo Bank, N.A. ("Wells Fargo") the trustee and custodian of the Fund. At December 31, 2009, the Plan did not hold this investment. Wells Fargo maintains the contributions in a general account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The Fund is included in the financial statements at contract value as reported to the Plan by Wells Fargo.

The Fund primarily invests in investment contracts, including traditional guaranteed investment contracts and security-backed contracts issued by insurance companies and other financial institutions. The Fund invests in the Wells Fargo Synthetic Stable Value Fund which has the same investment objective as the Fund and the Wells Fargo Short Term Investment Fund G, which invests in highly liquid assets.

Because the Fund is fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the Fund. The Fund is presented on the face of the Statement of Net Assets Available for Benefits at fair value with an adjustment to contract value in arriving at net assets available for benefits. Contract value, as reported to the Plan by Wells Fargo represents contributions made to the Fund that is invested in the Fund's underlying investment contracts, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

There are no reserves against contract value for credit risk of the underlying investment issuers or otherwise. The fair value of the Wells Fargo Stable Return B Fund at December 31, 2010 was $596. The crediting interest rate is based on a formula agreed upon with the issuers of the Fund's underlying investments and cannot be less than 0% for security-backed contracts. The security-backed contracts are designed to reset their respective crediting rates on a quarterly basis. Guaranteed investment contracts crediting rates are not reset.

NOTE 5 - INVESTMENT CONTRACTS FROM A STABLE VALUE FUND (CONTINUED)

Certain events limit the Plan's ability to transact at contract value with the Fund. Such events may include all or a portion of the following: (a) material amendments to the Fund's structure or administration, (b) changes to the Plans' competing investment options including the elimination of equity wash provisions, (c) complete or partial termination of the Fund, including a merger with another fund, (d) the failure of the Fund to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, (e) the redemption of all or a portion of the interests in the Fund held by a the Plan at the direction of the plan sponsor, including withdrawals due to the removal of a specifically identifiable group of employees from coverage under the Plan (such as a group layoff or early retirement incentive program), the closing or sale of a subsidiary, employing unit, or affiliate, the bankruptcy or insolvency of a plan sponsor, the merger of the Plan with another plan, or the plan sponsor's establishment of another tax qualified defined contribution plan, (f) any change in law, regulation, ruling, administrative or judicial position, or accounting requirement, applicable to the Fund or the Plan, (g) the delivery of any communication to plan participants designed to influence a participant not to invest in the Fund. The plan administrator does not believe that any events that would limit the Plan's ability to transact at contract value with plan participants are probable of occurring.

	2010
Average Yields:	
Based on Actual Earnings	2.38%
Based on Interest Rate Credited to Participants	2.90%

NOTE 6 - INFORMATION PREPARED AND CERTIFIED BY TRUSTEE

The following information included in the accompanying financial statements and supplemental schedule was obtained from data that has been prepared and certified to as complete and accurate by the trustee.

	Trustee	
	2010	2009
Investments, at fair value:		
Mutual Funds	$ 11,148,164	$ 9,765,532
Collective Trust Fund*	181,797	144,577
Participant Loans	588,957	503,759
Investment Income	1,082,142	1,471,469

* At contract value as certified by the trustee.

NOTE 7 - RELATED PARTY TRANSACTIONS

Certain Plan investments are mutual funds and other investments managed by Wells Fargo Bank, N.A. Wells Fargo Bank N.A. is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. Fees totaling $52,240 were paid directly to Wells Fargo Bank, N.A., from the Plan during the plan year and other fees and expenses such as investment fees and plan administration fees may be deducted directly from investment returns in accordance with the applicable investment option selected by the participant.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the sponsor has the right under the Plan to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in the employer contributions.

NOTE 9 - TAX STATUS

On March 26, 2010, the Internal Revenue Service determined that Wells Fargo Bank, N.A.'s Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement), that was adopted by the Plan, was designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the Plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by taxing authorities. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2010 there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The previously filed tax years that remain subject to Treasury Department examination are 2007, 2008, and 2009.

NOTE 10 - RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is reasonably possible that changes in risks in the near term would materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits and the statement of changes in net assets available for benefits.

NOTE 11 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The financial statements are prepared on an accrual basis of accounting and the Form 5500 is prepared on a cash basis of accounting. The following is a reconciliation of the net assets available for benefits per the financial statements as of December 31, 2010 and 2009 to the Schedule H of Form 5500:

	December 31,	
	2010	2009
Net assets available for benefits per the financial statements	$ 12,486,940	$ 10,952,468
Less: Employer's contribution receivable per the financial statements	(568,022)	(538,600)
Net Assets Available for Benefits per Schedule H of Form 5500	$ 11,918,918	$ 10,413,868

The following is a reconciliation of the Employer Contribution per the financial statements for the year ended December 31, 2010 to Schedule H of Form 5500:

Employer's contributions per the financial statements	$ 568,022
Less: 2010 employer's contributions receivable per the financial statements	(568,022)
Add: 2009 employer's contribution receivable per the financial statements that was received in 2010	538,600
Employer's Contributions per Schedule H of Form 5500	$ 538,600

NOTE 12 - CHANGE IN TRUSTEES

During 2010, Herbert Lemmer was replaced as a Plan trustee by the Company's Executive Vice President of Asset Management, Christopher Terenzi. During 2010, Robert Carney, Executive Vice President and Chief Operating Officer was appointed as a Plan trustee.

NOTE 13 - SUBSEQUENT EVENTS

During 2011, James Keane, former Chief Financial Officer and Bruce Chalnick, former Vice President of Human Resources resigned as plan trustees.

During 2011, Thomas Meehan, Interim Chief Financial Officer and Melissa Halverson, Executive Vice President of Human Resources, North America were appointed as Plan trustees.

Effective September 1, 2011, Wells Fargo Bank, N.A. was replaced as the Plan's recordkeeper and trustee by Fidelity Management Trust Company ("Fidelity") to act as the Plan's new recordkeeper and trustee.

Effective September 1, 2011, the Plan adopted Fidelity's Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement) ("Fidelity's Plan"). In a letter dated March 31, 2008 the IRS determined that Fidelity's Plan was designed in accordance with applicable sections of the Internal Revenue Code (IRC). Effective with the adoption of Fidelity's Plan there is no longer a minimum service requirement for eligibility to participate in the Plan, participants may elect now to contribute up to 90% of their eligible compensation as defined in Fidelity's Plan, the Company's discretionary profit sharing contribution will be allocated based on eligible compensation only, and participants are 100% vested immediately for both the Company discretionary matching contribution and the Company discretionary profit sharing contribution.

In 2011, the Company acquired The Altman Group Inc.. Employees of The Altman Group Inc. were given credit for prior service toward participation in the Plan.

SUPPLEMENTAL SCHEDULE

AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN
EIN: 13-3439945
PLAN #001
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
DECEMBER 31, 2010

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Property	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
	Participant-Directed			
	Alger	Small Cap Growth; 851 Units*	**	$ 10,782
	American Beacon	Large Cap Value; 36,517 Units*	**	671,540
	American Funds	Europacific Growth Fund Class R-3; 18,653 Units*	**	757,889
	Columbia	Mid Cap Value Fund Class A; 32,902 Units*	**	442,538
	Columbia	Seligman Global Technology A; 54,650 Units*	**	598,414
	Dreyfus	Premier Small Cap Equity Fund Class I; 1,045 Units*	**	11,195
	Dreyfus	Small Cap Stock Index Fund; 7,818 Units*	**	159,647
	Fidelity	Advisor Freedom Income Fund Class T; 9,341 Units*	**	99,672
	Fidelity	Advisor Freedom 2010 Class T; 4,215 Units*	**	48,512
	Fidelity	Advisor Freedom 2015 Class T; 17,205 Units*	**	197,516
	Fidelity	Advisor Freedom 2020 Class T; 9,788 Units*	**	117,453
	Fidelity	Advisor Freedom 2025 Class T; 17,814 Units*	**	207,171
	Fidelity	Advisor Freedom 2030 Class T; 5,723 Units*	**	69,594
	Fidelity	Advisor Freedom 2040 Class T; 2,859 Units*	**	35,276
	Fidelity	Advisor New Insights Class T; 72,682 Units*	**	1,434,748
	Fidelity	Advisor Freedom 2050 Fund Class T: 781 Units*	**	7,366
	Fidelity	Advisor Freedom 2045 Fund Class T: 9,039 Units*	**	86,597
	Fidelity	Advisor Freedom 2035 Class T: 14,200 Units*	**	163,590
	Fidelity	Instl Money Market III; 334,807 Units*	**	3,362,028
	Goldman Sachs	Structured International Equity; 157 Units*	**	1,637
	Goldman Sachs	Large Value Fund; 2,454 Units*	**	20,646
	Harbor	International Institutional; 1,517 Units*	**	16,494
	Invesco Van Kampen	Equity and Income A; 68,993 Units*	**	592,650
	Invesco Van Kampen	Equity Small Cap Growth Fund A; 26,238 Units*	**	290,977
	Janus	Overseas Fund Class I; 1,222 Units*	**	13,135
	JP Morgan	High Yield Fund; 901 Units*	**	11,502
	JP Morgan	Core Bond Fund Select; 21,595 Units*	**	247,480
	Lazard	Emerging Markets Inst; 28 Units*	**	513
	Oppenheimer	Small Mid Cap Growth A; 29,497 Units*	**	401,403
	Pimco	Real Return Fund Inst; 839 Units*	**	10,795
	Pimco	High Yield Fund; 70 Units*	**	1,157
	Pimco	Pimco Total Return Instl; 1,937 Units*	**	24,737
		Subtotal		$ 10,114,654

AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN
EIN: 13-3439945
PLAN #001
Schedule H, line 4i - Schedule of Assets (Held at End of Year) (Concluded)
DECEMBER 31, 2010

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Property	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
		Subtotal from previous page		$ 10,114,654
	Participant-Directed			
	T Rowe Price	Equity Income; 1,084 Units*	**	15,954
	T Rowe Price	Growth Fund; 1,709 Units*	**	15,870
	T Rowe Price	Real Estate; 904 Units*	**	8,359
	Thornburg	International Value R5; 1,556 Units*	**	16,105
	Thornburg	Core Growth Fund-R4; 23,179 Units*	**	361,823
*****	Wells Fargo	Enhanced Stock Market Fund B; 776 Units***	**	11,094
*****	Wells Fargo	Stable Return Fund B; 49 Units****	**	586
*****	Wells Fargo	Advantage International Bond I; 1,072 Units*	**	17,435
*****	Wells Fargo	Advantage Strategic Large Cap Growth Fund; 1,020 Units*	**	15,895
*****	Wells Fargo	Total Return Bond-I; 16,026 Units*	**	219,536
*****	Wells Fargo	Enhanced Stock Market N2; 14,576 Units***	**	150,014
*****	Wells Fargo	Advantage Small Cap Value Fund A; 16,622 Units*	**	362,533
*****	Wells Fargo	Total Return Bond Fund B; 1,759 Units***	**	20,103
		Subtotal		11,329,961
	Loans			
	Participant Loans	Interest Rates Range From 4.25% to 9.25%		588,957
		Total		$ 11,918,918

*Mutual Fund
**Not applicable as this is a participant-directed investment
***Collective Trust Fund
****Collective Trust Fund - At contract value
*****Represents a party-in-interest to the Plan

See Independent Auditors' Report

AMERICAN STOCK TRANSFER & TRUST COMPANY

RETIREMENT SAVINGS PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

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SHELBY S. GOLDGRAB, C.P.A., C.M.A.
GARY S. ADLER, C.P.A.

To the Board of Trustees of
American Stock Transfer & Trust Company
Retirement Savings Plan

Independent Auditors' Report

We were engaged to audit the accompanying statements of net assets available for benefits of the American Stock Transfer & Trust Company Retirement Savings Plan as of December 31, 2010 and 2009, and the related statement of changes in net assets available for benefits for the year ended December 31, 2010 and the supplemental Schedule H, line 4i - Schedule of Assets (Held at End of Year) referred to as "supplemental schedule", as of December 31, 2010 as listed in the accompanying table of contents. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedule. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2010 and 2009, that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Bass & Lemer LLP

Certified Public Accountants

West Hempstead, New York
October 5, 2011

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2010 AND 2009

	2010	2009
ASSETS		
Investments (at fair value)	$11,329,971	$ 9,910,109
RECEIVABLES		
Employer's Contribution	568,022	538,600
Notes Receivable from Participants	588,957	503,759*
TOTAL RECEIVABLES	1,156,979	1,042,359
NET ASSETS AVAILABLE FOR BENEFITS AT FAIR VALUE	12,486,950	10,952,468
Adjustment from Fair Value to Contract Value for Fully Benefit - Responsive Investment Contract	(10)	-
NET ASSETS AVAILABLE FOR BENEFITS	$12,486,940	$10,952,468

*Reclassification to conform to current year's presentation.

See the accompanying notes to the financial statements.

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

FOR THE YEAR ENDED DECEMBER 31, 2010

ADDITIONS	
Additions to Net Assets Attributed to:	
Investment Income:	
Net Appreciation in Fair Value of Investments	$ 944,401
Dividends	79,485
Interest	30,290
Other	27,966
	1,082,142
Contributions:	
Participants	1,092,246
Employer	568,022
Rollovers from Other Plans	146,751
	1,807,019
TOTAL ADDITIONS	2,889,161
DEDUCTIONS	
Deductions from Net Assets Attributed to:	
Benefits Paid to Participants	1,302,449
Administrative Expenses	52,240
TOTAL DEDUCTIONS	1,354,689
NET INCREASE	1,534,472
NET ASSETS AVAILABLE FOR BENEFITS	
Beginning of Year	10,952,468
End of Year	$12,486,940

See the accompanying notes to the financial statements.

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

NOTE 1 - DESCRIPTION OF PLAN

The following description of the American Stock Transfer & Trust Company Retirement Savings Plan (the "Plan"), whose sponsor is American Stock Transfer & Trust Company (the "Company"), provides only general information. Participants of the Plan should refer to the Plan document for a more detailed and complete description of the Plan's provisions.

A. GENERAL

The Plan is a defined contribution plan. The Plan covers all eligible full-time employees of the Company who have completed three months of service and are at least 18 years of age. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

B. CONTRIBUTIONS

Each year participants may elect to contribute up to 100% of their eligible compensation, as defined by the Plan not to exceed amounts prescribed by the Internal Revenue Service (IRS). Participants direct the investment of their contributions into various investment options offered by the Plan. All participants who are eligible to make elective deferrals under this Plan and who have attained age 50 before the close of the Plan year are eligible to make an additional catch-up contribution as prescribed by the IRS. The Plan adopted automatic deferral provisions for those employees who become participants unless the Plan is notified by the participant not to withhold contributions. The automatic deferral percentage is equal to 3% of compensation for each payroll period. Participants may also contribute amounts representing distributions from other qualified plans as defined in the Plan document.

The Company may make a discretionary matching contribution equal to a discretionary percentage of a participant's elective deferrals. In addition, the Company, at its discretion, may elect to make a profit sharing contribution to the Plan, subject to limitations as defined in the Plan document. Any employee over the age of 18 who is employed at the close of the Plan year may begin to participate in these contributions on the first day of the month following completion of 1,000 hours of service during the Plan year, even if they are not participating in the salary deferral portion of the Plan.

For 2010 and 2009 the Company made a discretionary profit sharing contribution of $568,022 and $538,600, respectively.

NOTE 1 - DESCRIPTION OF PLAN (CONTINUED)

C. PARTICIPANT ACCOUNTS

Each participant's account is credited with the participant's contribution, the Company's discretionary matching contribution and an allocation of (a) the Company's discretionary profit sharing contribution, (b) Plan earnings (losses) and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined in the Plan document. Allocation of the Company's discretionary matching contribution is based on a discretionary percentage of a participant's compensation, or a discretionary dollar amount, the percentage or dollar amount to be determined by the Company on a uniform basis for all participants. Allocation of the Company's discretionary profit sharing contribution is based on participant's compensation and classification, as defined in the Plan document. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

D. VESTING

Participants are immediately vested in their contributions and earnings thereon. The vesting in the Company's discretionary matching contribution and the Company's discretionary profit sharing contribution portion of participants' accounts is based on years of continuous service.

The Plan's vesting schedule for the Company discretionary matching contribution and the Company discretionary profit sharing contribution portion of participants' accounts and the earnings and losses thereon is as follows:

Years of Service for Vesting	Percentage
Less than 1 year	0%
1 to 2 years	20%
2 to 3 years	40%
3 to 4 years	60%
4 to 5 years	80%
5 years or more	100%

NOTE 1 - DESCRIPTION OF PLAN (CONTINUED)

E. NOTES RECEIVABLE FROM PARTICIPANTS

Participants may borrow from their fund accounts a minimum of $1,000 up to a maximum equal to the lessor of 50% of their vested account balance or $50,000 as defined in the Plan document. The loans are secured by the balance in the participant's account and bear interest at rates ranging from 4.25% to 9.25%. The interest rates are the current prime lending rate of Wells Fargo Bank, N.A., plus 1%, or such rate as is prescribed by the Company trustees based on periodic re-evaluations of the adequacy of such rate. Repayment of loans must be made ratably through payroll deductions within a five year period. However, if a loan is for the purchase of a primary residence, a longer repayment term is permitted. A participant may not have more than one loan outstanding at any time.

F. PAYMENT OF BENEFITS

On termination of service due to death, retirement, and total and permanent disability a participant may receive an amount equal to the value of the participant's interest in their account in a lump sum distribution, as described in the Plan document. On termination of service due to other reasons a participant may receive an amount equal to the value of the participant's vested interest in their account in a lump sum distribution, as described in the Plan document.

G. FORFEITED ACCOUNTS

At December 31, 2010 and 2009, forfeited non-vested accounts totaled $17,210 and $11,834, respectively. These accounts can be used to reduce plan administrative expenses. In addition, in accordance with the Plan document, forfeitures of the Company's discretionary matching contribution are to be used to offset the Company's discretionary matching contribution and forfeitures attributable to amounts other than the Company's discretionary matching contribution are to be used to reduce employer contributions. In 2010, $11,819 of forfeitures was used to reduce employer contributions.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. DATE OF MANAGEMENT'S REVIEW

Subsequent events were evaluated through October 5, 2011, which is the date the financial statements were available to be issued.

B. ESTIMATES

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

C. BASIS OF ACCOUNTING

The financial statements of the Plan are prepared on the accrual basis of accounting.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The Statement of Net Assets Available for Benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The Statement of Changes in Net Assets Available for Benefits is prepared on a contract value basis.

D. NOTES RECEIVABLE FROM PARTICIPANTS

Loans to participants are reported at their unpaid principal balance plus any accrued but unpaid interest.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

E. INVESTMENT VALUATION AND INCOME RECOGNITION

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's investments in mutual funds are stated at fair value using quoted market prices. The Plan's investments include proprietary products of fund companies that are not publicly traded and are valued at fair value as determined at year end by Wells Fargo Bank, N.A., the Plan's trustee. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Dividends are recorded when received rather than on an ex-dividend basis and interest income is recorded when received rather than accrued. The effect is not material to a fair presentation. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

F. PAYMENT OF BENEFITS

Benefits are recorded when paid.

G. OPERATING EXPENSES

The Company, at its discretion, may pay all administrative expenses of the Plan, including legal and audit fees, except for the administrative costs of payroll processing fees. For 2010 and 2009, the Plan sponsor engaged the services of Wells Fargo Bank, N.A. to act as recordkeeper and trustee of the Plan's assets. In addition, costs relating to the 2010 and 2009 annual audits of the Plan are being assumed by the Company. The Company may elect in the future not to pay these expenses, which would affect the future financial return to participants.

The Company employs the Plan trustees. Certain administrative functions are performed by officers and employees of the Company. No trustee, officer or employee receives compensation from the Plan.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

H. NEW ACCOUNTING STANDARDS

ASU No. 2010-06, Fair Value Measurements and Disclosures - In January 2010, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2010-06, Fair Value Measurements and Disclosures which amends Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, adding new disclosure requirements for Levels 1 and 2, separate disclosures of purchases, sales, issuances, and settlements relating to Level 3 measurements and clarification of existing fair value disclosures. (See note 3 for a description of fair value measurement levels.) ASU No. 2010-06 is effective for periods beginning after December 15, 2009, except for the requirement to provide Level 3 activity of purchases, sales, issuances, and settlements on a gross basis, which will be effective for fiscal years beginning after December 15, 2010. The Plan prospectively adopted the new guidance in 2010, except for the Level 3 reconciliation disclosures, which are required in 2011. The adoption in 2010 did not materially affect, and the future adoption is not expected to materially affect, the Plan's financial statements.

In September 2010, the FASB issued ASU No. 2010-25, Reporting Loans to Participants by Defined Contribution Pension Plans. The ASU requires that participant loans be classified as notes receivable rather than a plan investment and measured at unpaid principal balance plus accrued but unpaid interest rather than fair value. The Plan retroactively adopted the new accounting standard in 2010. The adoption did not have a material effect on the Plan's financial statements.

In May 2011, the FASB issued new accounting guidance to provide a consistent definition of fair value and ensure that the fair value measurement and disclosure requirements are similar between U.S. GAAP and International Financial Reporting Standards. The new guidance changes certain fair value measurement principles and enhances the disclosure requirements particularly for Level 3 fair value measurements. The guidance is effective for the Plan prospectively for the year ending December 31, 2012. The Plan is currently evaluating the impact of pending adoption of the new guidance on its financial statements and notes thereto.

NOTE 3 - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurement and Disclosures,* provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2010 and 2009.

Mutual Funds: Valued at the net asset value (NAV) of shares held by the Plan at year end, based on published market quotations on active markets.

Collective Trust Funds: Valued at their net asset values (NAV) based on the fair value of the underlying investments held by the collective trust funds at year end. Unlike shares of mutual fund companies, the NAV of collective trust funds, are not publicly available.

Notes Receivable from Participants: Valued at their unpaid principal balance plus any accrued but unpaid interest.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2010 and 2009:

	Assets at Fair Value as December 31, 2010			
	Total	Level 1	Level 2	Level 3
Mutual Funds:				
Advantage Fund	$ 362,533	$ 362,533	$ -	$ -
Allocation Fund	592,650	592,650	-	-
Blend Funds	945,225	945,225	-	-
Bond Fund	532,642	532,642	-	-
Diversified Emerging Markets Fund	513	513	-	-
Growth Funds	2,560,738	2,560,738	-	-
Money Market Fund	3,362,028	3,362,028	-	-
Real Estate Fund	8,359	8,359	-	-
Retirement Income Fund	99,672	99,672	-	-
Target Date Funds	933,075	933,075	-	-
Technology Fund	598,414	598,414	-	-
Value Fund	1,152,315	1,152,315	-	-
Total Mutual Funds	11,148,164	11,148,164	-	-
Collective Trust Funds:				
Bond Funds	20,103	-	19,648	455
Stable Return Fund	596	596	-	-
Stock Market Funds	161,108	-	159,459	1,649
Total Collective Trust Funds	181,807	596	179,107	2,104
Notes Receivable from Participants	588,957	-	-	588,957
Total Assets as Fair Value	$11,918,928	$11,148,760	$ 179,107	$ 591,061

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

	Assets at Fair Value as December 31, 2009			
	Total	Level 1	Level 2	Level 3
Mutual Funds:				
Allocation Fund	$ 506,860	$ 506,860	$ -	$ -
Blend Funds	906,086	906,086	-	-
Bond Fund	642,960	642,960	-	-
Diversified Emerging Markets Fund	430	430	-	-
Growth Funds	1,683,844	1,683,844	-	-
International Equity Fund	1,479	1,479	-	-
Money Market Fund	3,557,519	3,557,519	-	-
Real Estate Fund	442	442	-	-
Retirement Income Fund	62,702	62,702	-	-
Target Date Funds	745,243	745,243	-	-
Technology Fund	430,461	430,461	-	-
Value Fund	1,227,506	1,227,506	-	-
Total Mutual Funds	9,765,532	9,765,532	-	-
Collective Trust Funds:				
Bond Funds	649	-	649	-
Stock Market Funds	143,928	141,387	2,541	-
Total Collective Trust Funds	144,577	141,387	3,190	-
Notes Receivable from Participants	503,759	-	-	503,759
Total Assets as Fair Value	$10,413,868	$ 9,906,919	$ 3,190	$ 503,759

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

Level 3 Gains and Losses:

The following table sets forth a summary of changes in the fair value of the Plan's level 3 assets for the year ended December 31, 2010:

	Levels 3Assets Year Ended December 31, 2010		
	Notes Receivables from Participants	Collective Trust Funds	Total
Balance, beginning of year	$ 503,759	$ -	$ 503,759
Purchases, sales, issuances and settlements (net)	85,198	2,104	87,302
Balance, end of year	$ 588,957	$ 2,104	$ 591,061

NOTE 4 - INVESTMENTS

The following presents investments that represent 5% or more of the Plan's net assets:

	December 31,	
	2010	2009
Fidelity - Advisor New Insights Class T	$1,434,748	$1,148,230
American Funds - EuroPacific Growth Fund Class R-3	757,889	765,862
American Beacon - Large Cap Value Advisor	671,540	578,318
Fidelity - Institutional Money Market III	3,362,028	3,557,519

During 2010, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by $944,401 exclusive of dividends earned of $79,485 interest earned of $30,290, other income of $27,966, as follows:

Mutual Funds	$ 924,646
Collective Trust Funds	19,755
Net Appreciation on Investments	$ 944,401

NOTE 5 - INVESTMENT CONTRACTS FROM A STABLE VALUE FUND

The Plan held an investment at December 31, 2010 in the Wells Fargo Stable Return B Fund (the "Fund") that is a benefit-responsive collective trust fund investment from Wells Fargo Bank, N.A. ("Wells Fargo") the trustee and custodian of the Fund. At December 31, 2009, the Plan did not hold this investment. Wells Fargo maintains the contributions in a general account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The Fund is included in the financial statements at contract value as reported to the Plan by Wells Fargo.

The Fund primarily invests in investment contracts, including traditional guaranteed investment contracts and security-backed contracts issued by insurance companies and other financial institutions. The Fund invests in the Wells Fargo Synthetic Stable Value Fund which has the same investment objective as the Fund and the Wells Fargo Short Term Investment Fund G, which invests in highly liquid assets.

Because the Fund is fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the Fund. The Fund is presented on the face of the Statement of Net Assets Available for Benefits at fair value with an adjustment to contract value in arriving at net assets available for benefits. Contract value, as reported to the Plan by Wells Fargo represents contributions made to the Fund that is invested in the Fund's underlying investment contracts, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

There are no reserves against contract value for credit risk of the underlying investment issuers or otherwise. The fair value of the Wells Fargo Stable Return B Fund at December 31, 2010 was $596. The crediting interest rate is based on a formula agreed upon with the issuers of the Fund's underlying investments and cannot be less than 0% for security-backed contracts. The security-backed contracts are designed to reset their respective crediting rates on a quarterly basis. Guaranteed investment contracts crediting rates are not reset.

NOTE 5 - INVESTMENT CONTRACTS FROM A STABLE VALUE FUND (CONTINUED)

Certain events limit the Plan's ability to transact at contract value with the Fund. Such events may include all or a portion of the following: (a) material amendments to the Fund's structure or administration, (b) changes to the Plans' competing investment options including the elimination of equity wash provisions, (c) complete or partial termination of the Fund, including a merger with another fund, (d) the failure of the Fund to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, (e) the redemption of all or a portion of the interests in the Fund held by a the Plan at the direction of the plan sponsor, including withdrawals due to the removal of a specifically identifiable group of employees from coverage under the Plan (such as a group layoff or early retirement incentive program), the closing or sale of a subsidiary, employing unit, or affiliate, the bankruptcy or insolvency of a plan sponsor, the merger of the Plan with another plan, or the plan sponsor's establishment of another tax qualified defined contribution plan, (f) any change in law, regulation, ruling, administrative or judicial position, or accounting requirement, applicable to the Fund or the Plan, (g) the delivery of any communication to plan participants designed to influence a participant not to invest in the Fund. The plan administrator does not believe that any events that would limit the Plan's ability to transact at contract value with plan participants are probable of occurring.

	2010
Average Yields:	
Based on Actual Earnings	2.38%
Based on Interest Rate Credited to Participants	2.90%

NOTE 6 - INFORMATION PREPARED AND CERTIFIED BY TRUSTEE

The following information included in the accompanying financial statements and supplemental schedule was obtained from data that has been prepared and certified to as complete and accurate by the trustee.

	Trustee	
	2010	2009
Investments, at fair value:		
Mutual Funds	$ 11,148,164	$ 9,765,532
Collective Trust Fund*	181,797	144,577
Participant Loans	588,957	503,759
Investment Income	1,082,142	1,471,469

* At contract value as certified by the trustee.

NOTE 7 - RELATED PARTY TRANSACTIONS

Certain Plan investments are mutual funds and other investments managed by Wells Fargo Bank, N.A. Wells Fargo Bank N.A. is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. Fees totaling $52,240 were paid directly to Wells Fargo Bank, N.A., from the Plan during the plan year and other fees and expenses such as investment fees and plan administration fees may be deducted directly from investment returns in accordance with the applicable investment option selected by the participant.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the sponsor has the right under the Plan to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in the employer contributions.

NOTE 9 - TAX STATUS

On March 26, 2010, the Internal Revenue Service determined that Wells Fargo Bank, N.A.'s Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement), that was adopted by the Plan, was designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the Plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by taxing authorities. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2010 there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The previously filed tax years that remain subject to Treasury Department examination are 2007, 2008, and 2009.

NOTE 10 - RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is reasonably possible that changes in risks in the near term would materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits and the statement of changes in net assets available for benefits.

NOTE 11 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The financial statements are prepared on an accrual basis of accounting and the Form 5500 is prepared on a cash basis of accounting. The following is a reconciliation of the net assets available for benefits per the financial statements as of December 31, 2010 and 2009 to the Schedule H of Form 5500:

	December 31,	
	2010	2009
Net assets available for benefits per the financial statements	$ 12,486,940	$ 10,952,468
Less: Employer's contribution receivable per the financial statements	(568,022)	(538,600)
Net Assets Available for Benefits per Schedule H of Form 5500	$ 11,918,918	$ 10,413,868

The following is a reconciliation of the Employer Contribution per the financial statements for the year ended December 31, 2010 to Schedule H of Form 5500:

Employer's contributions per the financial statements	$ 568,022
Less: 2010 employer's contributions receivable per the financial statements	(568,022)
Add: 2009 employer's contribution receivable per the financial statements that was received in 2010	538,600
Employer's Contributions per Schedule H of Form 5500	$ 538,600

NOTE 12 - CHANGE IN TRUSTEES

During 2010, Herbert Lemmer was replaced as a Plan trustee by the Company's Executive Vice President of Asset Management, Christopher Terenzi. During 2010, Robert Carney, Executive Vice President and Chief Operating Officer was appointed as a Plan trustee.

NOTE 13 - SUBSEQUENT EVENTS

During 2011, James Keane, former Chief Financial Officer and Bruce Chalnick, former Vice President of Human Resources resigned as plan trustees.

During 2011, Thomas Meehan, Interim Chief Financial Officer and Melissa Halverson, Executive Vice President of Human Resources, North America were appointed as Plan trustees.

Effective September 1, 2011, Wells Fargo Bank, N.A. was replaced as the Plan's recordkeeper and trustee by Fidelity Management Trust Company ("Fidelity") to act as the Plan's new recordkeeper and trustee.

Effective September 1, 2011, the Plan adopted Fidelity's Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement) ("Fidelity's Plan"). In a letter dated March 31, 2008 the IRS determined that Fidelity's Plan was designed in accordance with applicable sections of the Internal Revenue Code (IRC). Effective with the adoption of Fidelity's Plan there is no longer a minimum service requirement for eligibility to participate in the Plan, participants may elect now to contribute up to 90% of their eligible compensation as defined in Fidelity's Plan, the Company's discretionary profit sharing contribution will be allocated based on eligible compensation only, and participants are 100% vested immediately for both the Company discretionary matching contribution and the Company discretionary profit sharing contribution.

In 2011, the Company acquired The Altman Group Inc.. Employees of The Altman Group Inc. were given credit for prior service toward participation in the Plan.

SUPPLEMENTAL SCHEDULE

AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN
EIN: 13-3439945
PLAN #001
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
DECEMBER 31, 2010

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Property	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
	Participant-Directed			
	Alger	Small Cap Growth; 851 Units*	**	$ 10,782
	American Beacon	Large Cap Value; 36,517 Units*	**	671,540
	American Funds	Europacific Growth Fund Class R-3; 18,653 Units*	**	757,889
	Columbia	Mid Cap Value Fund Class A; 32,902 Units*	**	442,538
	Columbia	Seligman Global Technology A; 54,650 Units*	**	598,414
	Dreyfus	Premier Small Cap Equity Fund Class I; 1,045 Units*	**	11,195
	Dreyfus	Small Cap Stock Index Fund; 7,818 Units*	**	159,647
	Fidelity	Advisor Freedom Income Fund Class T; 9,341 Units*	**	99,672
	Fidelity	Advisor Freedom 2010 Class T; 4,215 Units*	**	48,512
	Fidelity	Advisor Freedom 2015 Class T; 17,205 Units*	**	197,516
	Fidelity	Advisor Freedom 2020 Class T; 9,788 Units*	**	117,453
	Fidelity	Advisor Freedom 2025 Class T; 17,814 Units*	**	207,171
	Fidelity	Advisor Freedom 2030 Class T; 5,723 Units*	**	69,594
	Fidelity	Advisor Freedom 2040 Class T; 2,859 Units*	**	35,276
	Fidelity	Advisor New Insights Class T; 72,682 Units*	**	1,434,748
	Fidelity	Advisor Freedom 2050 Fund Class T: 781 Units*	**	7,366
	Fidelity	Advisor Freedom 2045 Fund Class T: 9,039 Units*	**	86,597
	Fidelity	Advisor Freedom 2035 Class T: 14,200 Units*	**	163,590
	Fidelity	Instl Money Market III; 334,807 Units*	**	3,362,028
	Goldman Sachs	Structured International Equity; 157 Units*	**	1,637
	Goldman Sachs	Large Value Fund; 2,454 Units*	**	20,646
	Harbor	International Institutional; 1,517 Units*	**	16,494
	Invesco Van Kampen	Equity and Income A; 68,993 Units*	**	592,650
	Invesco Van Kampen	Equity Small Cap Growth Fund A; 26,238 Units*	**	290,977
	Janus	Overseas Fund Class I; 1,222 Units*	**	13,135
	JP Morgan	High Yield Fund; 901 Units*	**	11,502
	JP Morgan	Core Bond Fund Select; 21,595 Units*	**	247,480
	Lazard	Emerging Markets Inst; 28 Units*	**	513
	Oppenheimer	Small Mid Cap Growth A; 29,497 Units*	**	401,403
	Pimco	Real Return Fund Inst; 839 Units*	**	10,795
	Pimco	High Yield Fund; 70 Units*	**	1,157
	Pimco	Pimco Total Return Instl; 1,937 Units*	**	24,737
		Subtotal		$ 10,114,654

AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN
EIN: 13-3439945
PLAN #001
Schedule H, line 4i - Schedule of Assets (Held at End of Year) (Concluded)
DECEMBER 31, 2010

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Property	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
		Subtotal from previous page		$ 10,114,654
	Participant-Directed			
	T Rowe Price	Equity Income; 1,084 Units*	**	15,954
	T Rowe Price	Growth Fund; 1,709 Units*	**	15,870
	T Rowe Price	Real Estate; 904 Units*	**	8,359
	Thornburg	International Value R5; 1,556 Units*	**	16,105
	Thornburg	Core Growth Fund-R4; 23,179 Units*	**	361,823
*****	Wells Fargo	Enhanced Stock Market Fund B; 776 Units***	**	11,094
*****	Wells Fargo	Stable Return Fund B; 49 Units****	**	586
*****	Wells Fargo	Advantage International Bond I; 1,072 Units*	**	17,435
*****	Wells Fargo	Advantage Strategic Large Cap Growth Fund; 1,020 Units*	**	15,895
*****	Wells Fargo	Total Return Bond-I; 16,026 Units*	**	219,536
*****	Wells Fargo	Enhanced Stock Market N2; 14,576 Units***	**	150,014
*****	Wells Fargo	Advantage Small Cap Value Fund A; 16,622 Units*	**	362,533
*****	Wells Fargo	Total Return Bond Fund B; 1,759 Units***	**	20,103
		Subtotal		11,329,961
	Loans			
	Participant Loans	Interest Rates Range From 4.25% to 9.25%		588,957
		Total		$ 11,918,918

*Mutual Fund
**Not applicable as this is a participant-directed investment
***Collective Trust Fund
****Collective Trust Fund - At contract value
*****Represents a party-in-interest to the Plan

See Independent Auditors' Report

AMERICAN STOCK TRANSFER & TRUST COMPANY

RETIREMENT SAVINGS PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

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SHELBY S. GOLDGRAB, C.P.A., C.M.A.
GARY S. ADLER, C.P.A.

To the Board of Trustees of
American Stock Transfer & Trust Company
Retirement Savings Plan

Independent Auditors' Report

We were engaged to audit the accompanying statements of net assets available for benefits of the American Stock Transfer & Trust Company Retirement Savings Plan as of December 31, 2010 and 2009, and the related statement of changes in net assets available for benefits for the year ended December 31, 2010 and the supplemental Schedule H, line 4i - Schedule of Assets (Held at End of Year) referred to as "supplemental schedule", as of December 31, 2010 as listed in the accompanying table of contents. These financial statements and supplemental schedule are the responsibility of the Plan's management.

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedule. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2010 and 2009, that the information provided to the plan administrator by the trustee is complete and accurate.

Because of the significance of the information that we did not audit, we are unable to, and do not, express an opinion on the accompanying financial statements and supplemental schedule taken as a whole. The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Bass & Lemer LLP

Certified Public Accountants

West Hempstead, New York
October 5, 2011

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

AS OF DECEMBER 31, 2010 AND 2009

	2010	2009
ASSETS		
Investments (at fair value)	$11,329,971	$ 9,910,109
RECEIVABLES		
Employer's Contribution	568,022	538,600
Notes Receivable from Participants	588,957	503,759*
TOTAL RECEIVABLES	1,156,979	1,042,359
NET ASSETS AVAILABLE FOR BENEFITS AT FAIR VALUE	12,486,950	10,952,468
Adjustment from Fair Value to Contract Value for Fully Benefit - Responsive Investment Contract	(10)	-
NET ASSETS AVAILABLE FOR BENEFITS	$12,486,940	$10,952,468

*Reclassification to conform to current year's presentation.

See the accompanying notes to the financial statements.

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

FOR THE YEAR ENDED DECEMBER 31, 2010

ADDITIONS	
Additions to Net Assets Attributed to:	
Investment Income:	
Net Appreciation in Fair Value of Investments	$ 944,401
Dividends	79,485
Interest	30,290
Other	27,966
	1,082,142
Contributions:	
Participants	1,092,246
Employer	568,022
Rollovers from Other Plans	146,751
	1,807,019
TOTAL ADDITIONS	2,889,161
DEDUCTIONS	
Deductions from Net Assets Attributed to:	
Benefits Paid to Participants	1,302,449
Administrative Expenses	52,240
TOTAL DEDUCTIONS	1,354,689
NET INCREASE	1,534,472
NET ASSETS AVAILABLE FOR BENEFITS	
Beginning of Year	10,952,468
End of Year	$12,486,940

See the accompanying notes to the financial statements.

AMERICAN STOCK TRANSFER & TRUST COMPANY
RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2010 AND 2009

NOTE 1 - DESCRIPTION OF PLAN

The following description of the American Stock Transfer & Trust Company Retirement Savings Plan (the "Plan"), whose sponsor is American Stock Transfer & Trust Company (the "Company"), provides only general information. Participants of the Plan should refer to the Plan document for a more detailed and complete description of the Plan's provisions.

A. GENERAL

The Plan is a defined contribution plan. The Plan covers all eligible full-time employees of the Company who have completed three months of service and are at least 18 years of age. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

B. CONTRIBUTIONS

Each year participants may elect to contribute up to 100% of their eligible compensation, as defined by the Plan not to exceed amounts prescribed by the Internal Revenue Service (IRS). Participants direct the investment of their contributions into various investment options offered by the Plan. All participants who are eligible to make elective deferrals under this Plan and who have attained age 50 before the close of the Plan year are eligible to make an additional catch-up contribution as prescribed by the IRS. The Plan adopted automatic deferral provisions for those employees who become participants unless the Plan is notified by the participant not to withhold contributions. The automatic deferral percentage is equal to 3% of compensation for each payroll period. Participants may also contribute amounts representing distributions from other qualified plans as defined in the Plan document.

The Company may make a discretionary matching contribution equal to a discretionary percentage of a participant's elective deferrals. In addition, the Company, at its discretion, may elect to make a profit sharing contribution to the Plan, subject to limitations as defined in the Plan document. Any employee over the age of 18 who is employed at the close of the Plan year may begin to participate in these contributions on the first day of the month following completion of 1,000 hours of service during the Plan year, even if they are not participating in the salary deferral portion of the Plan.

For 2010 and 2009 the Company made a discretionary profit sharing contribution of $568,022 and $538,600, respectively.

NOTE 1 - DESCRIPTION OF PLAN (CONTINUED)

C. PARTICIPANT ACCOUNTS

Each participant's account is credited with the participant's contribution, the Company's discretionary matching contribution and an allocation of (a) the Company's discretionary profit sharing contribution, (b) Plan earnings (losses) and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined in the Plan document. Allocation of the Company's discretionary matching contribution is based on a discretionary percentage of a participant's compensation, or a discretionary dollar amount, the percentage or dollar amount to be determined by the Company on a uniform basis for all participants. Allocation of the Company's discretionary profit sharing contribution is based on participant's compensation and classification, as defined in the Plan document. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

D. VESTING

Participants are immediately vested in their contributions and earnings thereon. The vesting in the Company's discretionary matching contribution and the Company's discretionary profit sharing contribution portion of participants' accounts is based on years of continuous service.

The Plan's vesting schedule for the Company discretionary matching contribution and the Company discretionary profit sharing contribution portion of participants' accounts and the earnings and losses thereon is as follows:

Years of Service for Vesting	Percentage
Less than 1 year	0%
1 to 2 years	20%
2 to 3 years	40%
3 to 4 years	60%
4 to 5 years	80%
5 years or more	100%

NOTE 1 - DESCRIPTION OF PLAN (CONTINUED)

E. NOTES RECEIVABLE FROM PARTICIPANTS

Participants may borrow from their fund accounts a minimum of $1,000 up to a maximum equal to the lessor of 50% of their vested account balance or $50,000 as defined in the Plan document. The loans are secured by the balance in the participant's account and bear interest at rates ranging from 4.25% to 9.25%. The interest rates are the current prime lending rate of Wells Fargo Bank, N.A., plus 1%, or such rate as is prescribed by the Company trustees based on periodic re-evaluations of the adequacy of such rate. Repayment of loans must be made ratably through payroll deductions within a five year period. However, if a loan is for the purchase of a primary residence, a longer repayment term is permitted. A participant may not have more than one loan outstanding at any time.

F. PAYMENT OF BENEFITS

On termination of service due to death, retirement, and total and permanent disability a participant may receive an amount equal to the value of the participant's interest in their account in a lump sum distribution, as described in the Plan document. On termination of service due to other reasons a participant may receive an amount equal to the value of the participant's vested interest in their account in a lump sum distribution, as described in the Plan document.

G. FORFEITED ACCOUNTS

At December 31, 2010 and 2009, forfeited non-vested accounts totaled $17,210 and $11,834, respectively. These accounts can be used to reduce plan administrative expenses. In addition, in accordance with the Plan document, forfeitures of the Company's discretionary matching contribution are to be used to offset the Company's discretionary matching contribution and forfeitures attributable to amounts other than the Company's discretionary matching contribution are to be used to reduce employer contributions. In 2010, $11,819 of forfeitures was used to reduce employer contributions.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. DATE OF MANAGEMENT'S REVIEW

Subsequent events were evaluated through October 5, 2011, which is the date the financial statements were available to be issued.

B. ESTIMATES

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

C. BASIS OF ACCOUNTING

The financial statements of the Plan are prepared on the accrual basis of accounting.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The Statement of Net Assets Available for Benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The Statement of Changes in Net Assets Available for Benefits is prepared on a contract value basis.

D. NOTES RECEIVABLE FROM PARTICIPANTS

Loans to participants are reported at their unpaid principal balance plus any accrued but unpaid interest.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

E. INVESTMENT VALUATION AND INCOME RECOGNITION

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's investments in mutual funds are stated at fair value using quoted market prices. The Plan's investments include proprietary products of fund companies that are not publicly traded and are valued at fair value as determined at year end by Wells Fargo Bank, N.A., the Plan's trustee. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Dividends are recorded when received rather than on an ex-dividend basis and interest income is recorded when received rather than accrued. The effect is not material to a fair presentation. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

F. PAYMENT OF BENEFITS

Benefits are recorded when paid.

G. OPERATING EXPENSES

The Company, at its discretion, may pay all administrative expenses of the Plan, including legal and audit fees, except for the administrative costs of payroll processing fees. For 2010 and 2009, the Plan sponsor engaged the services of Wells Fargo Bank, N.A. to act as recordkeeper and trustee of the Plan's assets. In addition, costs relating to the 2010 and 2009 annual audits of the Plan are being assumed by the Company. The Company may elect in the future not to pay these expenses, which would affect the future financial return to participants.

The Company employs the Plan trustees. Certain administrative functions are performed by officers and employees of the Company. No trustee, officer or employee receives compensation from the Plan.

NOTE 2 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

H. NEW ACCOUNTING STANDARDS

ASU No. 2010-06, Fair Value Measurements and Disclosures - In January 2010, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2010-06, Fair Value Measurements and Disclosures which amends Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, adding new disclosure requirements for Levels 1 and 2, separate disclosures of purchases, sales, issuances, and settlements relating to Level 3 measurements and clarification of existing fair value disclosures. (See note 3 for a description of fair value measurement levels.) ASU No. 2010-06 is effective for periods beginning after December 15, 2009, except for the requirement to provide Level 3 activity of purchases, sales, issuances, and settlements on a gross basis, which will be effective for fiscal years beginning after December 15, 2010. The Plan prospectively adopted the new guidance in 2010, except for the Level 3 reconciliation disclosures, which are required in 2011. The adoption in 2010 did not materially affect, and the future adoption is not expected to materially affect, the Plan's financial statements.

In September 2010, the FASB issued ASU No. 2010-25, Reporting Loans to Participants by Defined Contribution Pension Plans. The ASU requires that participant loans be classified as notes receivable rather than a plan investment and measured at unpaid principal balance plus accrued but unpaid interest rather than fair value. The Plan retroactively adopted the new accounting standard in 2010. The adoption did not have a material effect on the Plan's financial statements.

In May 2011, the FASB issued new accounting guidance to provide a consistent definition of fair value and ensure that the fair value measurement and disclosure requirements are similar between U.S. GAAP and International Financial Reporting Standards. The new guidance changes certain fair value measurement principles and enhances the disclosure requirements particularly for Level 3 fair value measurements. The guidance is effective for the Plan prospectively for the year ending December 31, 2012. The Plan is currently evaluating the impact of pending adoption of the new guidance on its financial statements and notes thereto.

NOTE 3 - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurement and Disclosures,* provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 - Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2010 and 2009.

Mutual Funds: Valued at the net asset value (NAV) of shares held by the Plan at year end, based on published market quotations on active markets.

Collective Trust Funds: Valued at their net asset values (NAV) based on the fair value of the underlying investments held by the collective trust funds at year end. Unlike shares of mutual fund companies, the NAV of collective trust funds, are not publicly available.

Notes Receivable from Participants: Valued at their unpaid principal balance plus any accrued but unpaid interest.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2010 and 2009:

	Assets at Fair Value as December 31, 2010			
	Total	Level 1	Level 2	Level 3
Mutual Funds:				
Advantage Fund	$ 362,533	$ 362,533	$ -	$ -
Allocation Fund	592,650	592,650	-	-
Blend Funds	945,225	945,225	-	-
Bond Fund	532,642	532,642	-	-
Diversified Emerging Markets Fund	513	513	-	-
Growth Funds	2,560,738	2,560,738	-	-
Money Market Fund	3,362,028	3,362,028	-	-
Real Estate Fund	8,359	8,359	-	-
Retirement Income Fund	99,672	99,672	-	-
Target Date Funds	933,075	933,075	-	-
Technology Fund	598,414	598,414	-	-
Value Fund	1,152,315	1,152,315	-	-
Total Mutual Funds	11,148,164	11,148,164	-	-
Collective Trust Funds:				
Bond Funds	20,103	-	19,648	455
Stable Return Fund	596	596	-	-
Stock Market Funds	161,108	-	159,459	1,649
Total Collective Trust Funds	181,807	596	179,107	2,104
Notes Receivable from Participants	588,957	-	-	588,957
Total Assets as Fair Value	$11,918,928	$11,148,760	$ 179,107	$ 591,061

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

	Assets at Fair Value as December 31, 2009			
	Total	Level 1	Level 2	Level 3
Mutual Funds:				
Allocation Fund	$ 506,860	$ 506,860	$ -	$ -
Blend Funds	906,086	906,086	-	-
Bond Fund	642,960	642,960	-	-
Diversified Emerging Markets Fund	430	430	-	-
Growth Funds	1,683,844	1,683,844	-	-
International Equity Fund	1,479	1,479	-	-
Money Market Fund	3,557,519	3,557,519	-	-
Real Estate Fund	442	442	-	-
Retirement Income Fund	62,702	62,702	-	-
Target Date Funds	745,243	745,243	-	-
Technology Fund	430,461	430,461	-	-
Value Fund	1,227,506	1,227,506	-	-
Total Mutual Funds	9,765,532	9,765,532	-	-
Collective Trust Funds:				
Bond Funds	649	-	649	-
Stock Market Funds	143,928	141,387	2,541	-
Total Collective Trust Funds	144,577	141,387	3,190	-
Notes Receivable from Participants	503,759	-	-	503,759
Total Assets as Fair Value	$10,413,868	$ 9,906,919	$ 3,190	$ 503,759

NOTE 3 - FAIR VALUE MEASUREMENTS (CONTINUED)

Level 3 Gains and Losses:

The following table sets forth a summary of changes in the fair value of the Plan's level 3 assets for the year ended December 31, 2010:

	Levels 3Assets Year Ended December 31, 2010		
	Notes Receivables from Participants	Collective Trust Funds	Total
Balance, beginning of year	$ 503,759	$ -	$ 503,759
Purchases, sales, issuances and settlements (net)	85,198	2,104	87,302
Balance, end of year	$ 588,957	$ 2,104	$ 591,061

NOTE 4 - INVESTMENTS

The following presents investments that represent 5% or more of the Plan's net assets:

	December 31,	
	2010	2009
Fidelity - Advisor New Insights Class T	$1,434,748	$1,148,230
American Funds - EuroPacific Growth Fund Class R-3	757,889	765,862
American Beacon - Large Cap Value Advisor	671,540	578,318
Fidelity - Institutional Money Market III	3,362,028	3,557,519

During 2010, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by $944,401 exclusive of dividends earned of $79,485 interest earned of $30,290, other income of $27,966, as follows:

Mutual Funds	$ 924,646
Collective Trust Funds	19,755
Net Appreciation on Investments	$ 944,401

NOTE 5 - INVESTMENT CONTRACTS FROM A STABLE VALUE FUND

The Plan held an investment at December 31, 2010 in the Wells Fargo Stable Return B Fund (the "Fund") that is a benefit-responsive collective trust fund investment from Wells Fargo Bank, N.A. ("Wells Fargo") the trustee and custodian of the Fund. At December 31, 2009, the Plan did not hold this investment. Wells Fargo maintains the contributions in a general account. The account is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The Fund is included in the financial statements at contract value as reported to the Plan by Wells Fargo.

The Fund primarily invests in investment contracts, including traditional guaranteed investment contracts and security-backed contracts issued by insurance companies and other financial institutions. The Fund invests in the Wells Fargo Synthetic Stable Value Fund which has the same investment objective as the Fund and the Wells Fargo Short Term Investment Fund G, which invests in highly liquid assets.

Because the Fund is fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the Fund. The Fund is presented on the face of the Statement of Net Assets Available for Benefits at fair value with an adjustment to contract value in arriving at net assets available for benefits. Contract value, as reported to the Plan by Wells Fargo represents contributions made to the Fund that is invested in the Fund's underlying investment contracts, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

There are no reserves against contract value for credit risk of the underlying investment issuers or otherwise. The fair value of the Wells Fargo Stable Return B Fund at December 31, 2010 was $596. The crediting interest rate is based on a formula agreed upon with the issuers of the Fund's underlying investments and cannot be less than 0% for security-backed contracts. The security-backed contracts are designed to reset their respective crediting rates on a quarterly basis. Guaranteed investment contracts crediting rates are not reset.

NOTE 5 - INVESTMENT CONTRACTS FROM A STABLE VALUE FUND (CONTINUED)

Certain events limit the Plan's ability to transact at contract value with the Fund. Such events may include all or a portion of the following: (a) material amendments to the Fund's structure or administration, (b) changes to the Plans' competing investment options including the elimination of equity wash provisions, (c) complete or partial termination of the Fund, including a merger with another fund, (d) the failure of the Fund to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA, (e) the redemption of all or a portion of the interests in the Fund held by a the Plan at the direction of the plan sponsor, including withdrawals due to the removal of a specifically identifiable group of employees from coverage under the Plan (such as a group layoff or early retirement incentive program), the closing or sale of a subsidiary, employing unit, or affiliate, the bankruptcy or insolvency of a plan sponsor, the merger of the Plan with another plan, or the plan sponsor's establishment of another tax qualified defined contribution plan, (f) any change in law, regulation, ruling, administrative or judicial position, or accounting requirement, applicable to the Fund or the Plan, (g) the delivery of any communication to plan participants designed to influence a participant not to invest in the Fund. The plan administrator does not believe that any events that would limit the Plan's ability to transact at contract value with plan participants are probable of occurring.

	2010
Average Yields:	
Based on Actual Earnings	2.38%
Based on Interest Rate Credited to Participants	2.90%

NOTE 6 - INFORMATION PREPARED AND CERTIFIED BY TRUSTEE

The following information included in the accompanying financial statements and supplemental schedule was obtained from data that has been prepared and certified to as complete and accurate by the trustee.

	Trustee	
	2010	2009
Investments, at fair value:		
Mutual Funds	$ 11,148,164	$ 9,765,532
Collective Trust Fund*	181,797	144,577
Participant Loans	588,957	503,759
Investment Income	1,082,142	1,471,469

* At contract value as certified by the trustee.

NOTE 7 - RELATED PARTY TRANSACTIONS

Certain Plan investments are mutual funds and other investments managed by Wells Fargo Bank, N.A. Wells Fargo Bank N.A. is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. Fees totaling $52,240 were paid directly to Wells Fargo Bank, N.A., from the Plan during the plan year and other fees and expenses such as investment fees and plan administration fees may be deducted directly from investment returns in accordance with the applicable investment option selected by the participant.

NOTE 8 - PLAN TERMINATION

Although it has not expressed any intent to do so, the sponsor has the right under the Plan to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in the employer contributions.

NOTE 9 - TAX STATUS

On March 26, 2010, the Internal Revenue Service determined that Wells Fargo Bank, N.A.'s Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement), that was adopted by the Plan, was designed in accordance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since receiving the determination letter, the Plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by taxing authorities. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2010 there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The previously filed tax years that remain subject to Treasury Department examination are 2007, 2008, and 2009.

NOTE 10 - RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of investment securities, it is reasonably possible that changes in risks in the near term would materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits and the statement of changes in net assets available for benefits.

NOTE 11 - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The financial statements are prepared on an accrual basis of accounting and the Form 5500 is prepared on a cash basis of accounting. The following is a reconciliation of the net assets available for benefits per the financial statements as of December 31, 2010 and 2009 to the Schedule H of Form 5500:

	December 31,	
	2010	2009
Net assets available for benefits per the financial statements	$ 12,486,940	$ 10,952,468
Less: Employer's contribution receivable per the financial statements	(568,022)	(538,600)
Net Assets Available for Benefits per Schedule H of Form 5500	$ 11,918,918	$ 10,413,868

The following is a reconciliation of the Employer Contribution per the financial statements for the year ended December 31, 2010 to Schedule H of Form 5500:

Employer's contributions per the financial statements	$ 568,022
Less: 2010 employer's contributions receivable per the financial statements	(568,022)
Add: 2009 employer's contribution receivable per the financial statements that was received in 2010	538,600
Employer's Contributions per Schedule H of Form 5500	$ 538,600

NOTE 12 - CHANGE IN TRUSTEES

During 2010, Herbert Lemmer was replaced as a Plan trustee by the Company's Executive Vice President of Asset Management, Christopher Terenzi. During 2010, Robert Carney, Executive Vice President and Chief Operating Officer was appointed as a Plan trustee.

NOTE 13 - SUBSEQUENT EVENTS

During 2011, James Keane, former Chief Financial Officer and Bruce Chalnick, former Vice President of Human Resources resigned as plan trustees.

During 2011, Thomas Meehan, Interim Chief Financial Officer and Melissa Halverson, Executive Vice President of Human Resources, North America were appointed as Plan trustees.

Effective September 1, 2011, Wells Fargo Bank, N.A. was replaced as the Plan's recordkeeper and trustee by Fidelity Management Trust Company ("Fidelity") to act as the Plan's new recordkeeper and trustee.

Effective September 1, 2011, the Plan adopted Fidelity's Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement) ("Fidelity's Plan"). In a letter dated March 31, 2008 the IRS determined that Fidelity's Plan was designed in accordance with applicable sections of the Internal Revenue Code (IRC). Effective with the adoption of Fidelity's Plan there is no longer a minimum service requirement for eligibility to participate in the Plan, participants may elect now to contribute up to 90% of their eligible compensation as defined in Fidelity's Plan, the Company's discretionary profit sharing contribution will be allocated based on eligible compensation only, and participants are 100% vested immediately for both the Company discretionary matching contribution and the Company discretionary profit sharing contribution.

In 2011, the Company acquired The Altman Group Inc.. Employees of The Altman Group Inc. were given credit for prior service toward participation in the Plan.

SUPPLEMENTAL SCHEDULE

AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN
EIN: 13-3439945
PLAN #001
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
DECEMBER 31, 2010

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Property	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
	Participant-Directed			
	Alger	Small Cap Growth; 851 Units*	**	$ 10,782
	American Beacon	Large Cap Value; 36,517 Units*	**	671,540
	American Funds	Europacific Growth Fund Class R-3; 18,653 Units*	**	757,889
	Columbia	Mid Cap Value Fund Class A; 32,902 Units*	**	442,538
	Columbia	Seligman Global Technology A; 54,650 Units*	**	598,414
	Dreyfus	Premier Small Cap Equity Fund Class I; 1,045 Units*	**	11,195
	Dreyfus	Small Cap Stock Index Fund; 7,818 Units*	**	159,647
	Fidelity	Advisor Freedom Income Fund Class T; 9,341 Units*	**	99,672
	Fidelity	Advisor Freedom 2010 Class T; 4,215 Units*	**	48,512
	Fidelity	Advisor Freedom 2015 Class T; 17,205 Units*	**	197,516
	Fidelity	Advisor Freedom 2020 Class T; 9,788 Units*	**	117,453
	Fidelity	Advisor Freedom 2025 Class T; 17,814 Units*	**	207,171
	Fidelity	Advisor Freedom 2030 Class T; 5,723 Units*	**	69,594
	Fidelity	Advisor Freedom 2040 Class T; 2,859 Units*	**	35,276
	Fidelity	Advisor New Insights Class T; 72,682 Units*	**	1,434,748
	Fidelity	Advisor Freedom 2050 Fund Class T: 781 Units*	**	7,366
	Fidelity	Advisor Freedom 2045 Fund Class T: 9,039 Units*	**	86,597
	Fidelity	Advisor Freedom 2035 Class T: 14,200 Units*	**	163,590
	Fidelity	Instl Money Market III; 334,807 Units*	**	3,362,028
	Goldman Sachs	Structured International Equity; 157 Units*	**	1,637
	Goldman Sachs	Large Value Fund; 2,454 Units*	**	20,646
	Harbor	International Institutional; 1,517 Units*	**	16,494
	Invesco Van Kampen	Equity and Income A; 68,993 Units*	**	592,650
	Invesco Van Kampen	Equity Small Cap Growth Fund A; 26,238 Units*	**	290,977
	Janus	Overseas Fund Class I; 1,222 Units*	**	13,135
	JP Morgan	High Yield Fund; 901 Units*	**	11,502
	JP Morgan	Core Bond Fund Select; 21,595 Units*	**	247,480
	Lazard	Emerging Markets Inst; 28 Units*	**	513
	Oppenheimer	Small Mid Cap Growth A; 29,497 Units*	**	401,403
	Pimco	Real Return Fund Inst; 839 Units*	**	10,795
	Pimco	High Yield Fund; 70 Units*	**	1,157
	Pimco	Pimco Total Return Instl; 1,937 Units*	**	24,737
		Subtotal		$ 10,114,654

AMERICAN STOCK TRANSFER & TRUST COMPANY RETIREMENT SAVINGS PLAN
EIN: 13-3439945
PLAN #001
Schedule H, line 4i - Schedule of Assets (Held at End of Year) (Concluded)
DECEMBER 31, 2010

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Property	(c) Description of Investment Including Maturity Date, Rate of Interest, Collateral, Par, or Maturity Value	(d) Cost	(e) Current Value
		Subtotal from previous page		$ 10,114,654
	Participant-Directed			
	T Rowe Price	Equity Income; 1,084 Units*	**	15,954
	T Rowe Price	Growth Fund; 1,709 Units*	**	15,870
	T Rowe Price	Real Estate; 904 Units*	**	8,359
	Thornburg	International Value R5; 1,556 Units*	**	16,105
	Thornburg	Core Growth Fund-R4; 23,179 Units*	**	361,823
*****	Wells Fargo	Enhanced Stock Market Fund B; 776 Units***	**	11,094
*****	Wells Fargo	Stable Return Fund B; 49 Units****	**	586
*****	Wells Fargo	Advantage International Bond I; 1,072 Units*	**	17,435
*****	Wells Fargo	Advantage Strategic Large Cap Growth Fund; 1,020 Units*	**	15,895
*****	Wells Fargo	Total Return Bond-I; 16,026 Units*	**	219,536
*****	Wells Fargo	Enhanced Stock Market N2; 14,576 Units***	**	150,014
*****	Wells Fargo	Advantage Small Cap Value Fund A; 16,622 Units*	**	362,533
*****	Wells Fargo	Total Return Bond Fund B; 1,759 Units***	**	20,103
		Subtotal		11,329,961
	Loans			
	Participant Loans	Interest Rates Range From 4.25% to 9.25%		588,957
		Total		$ 11,918,918

*Mutual Fund
**Not applicable as this is a participant-directed investment
***Collective Trust Fund
****Collective Trust Fund - At contract value
*****Represents a party-in-interest to the Plan

See Independent Auditors' Report