Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

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OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

Р	art I	Annual Report I	dentification Informa	ation							
For	calend	ar plan year 2010 or fis	cal plan year beginning	01/01/201	0	and ending	12/31/2	2010			
Α	This ref	turn/report is for:	single-employer plan		multiple-e	employer plan (not multiemployer)	r plan (not multiemployer)				
		turn/report is for:	first return/report		final return/report						
		,	an amended return/rep	ort –	short plar	n year return/report (less than 12 m	onths)				
_	C Check box if filing under: Form 5558 automatic extension special extension (enter description)						,	DFVC program			
C						Octorision		_ bi vo program			
D	£ 11	Dania Dian Info	<u> </u>	•	,						
	art II		rmation—enter all reques	sted inform	nation		1h	Three-digit			
		of plan	P.C. PROFIT SHARING P	η ΔΝΙ			ID	nlan number			
IVAL	1 11 0. D	NAONOONWEIG, DIVIE	71.0.11KOFIT OFFAKINOT	LAIN				(PN) • 002			
							1c	Effective date of plan			
								01/01/2000			
		ponsor's name and add RAUNSCHWEIG, DMD	dress (employer, if for single	e-employer	r plan)		2b	Employer Identification Number			
KAL	РП Ј. Б	RAUNSCHWEIG, DIVIL) P.C.				20	(EIN) 11-2548012 Plan sponsor's telephone number			
		TH STREET					20	718-268-8989			
SUIT FOR		ILLS, NY 11375					2d	Business code (see instructions)			
2-	D:				. "0	""	26	621210			
RAL	Pian a PH J. B	idministrator's name and RAUNSCHWEIG, DMD	d address (if same as Plan P.C. 70		enter "Same TH STREE"		30	Administrator's EIN 11-2548012			
				JITE 9 DREST HII	LLS, NY 11	375	3c	Administrator's telephone number			
								718-268-8989			
						port filed for this plan, enter the	4b	EIN			
	name, i	EIN, and the plan numb	per from the last return/repo	rt. Sponso	or's name		4c	PN			
5a	Total	number of participants a	at the beginning of the plan	year			. 5a	3			
b								3			
С						rear (defined benefit plans do not	0.5				
							5c	3			
6a	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)										
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)										
						ons.) SF and must instead use Form 5		Tes No			
Pa	art III	Financial Inform		not use i	01111 3300	or and must mistead use i orm c					
7		Assets and Liabilities				(a) Beginning of Year		(b) End of Year			
а					. 7a	482	98	51012			
		plan liabilities			. 7b						
С	Net pl	Ian assets (subtract line	7b from line 7a)			482	98	51012			
8		ne, Expenses, and Trans				(a) Amount		(b) Total			
а		ibutions received or rec				28	60				
	(1) E	mployers			. 8a(1)	20					
	` '	•			· · ·		0				
	(3) O	thers (including rollover	rs)		· · ·		_				
b		` ,					4	0004			
C		, , ,	, 8a(2), 8a(3), and 8b)		. 8c			2864			
d			t rollovers and insurance pr		. 8d						
е			ctive distributions (see instr								
f			ers (salaries, fees, commiss	,		1	50				
g g		·		,							
9 h		·	, 8e, 8f, and 8g)					150			
i			ne 8h from line 8c)					2714			
i		` , `	see instructions)								
		, , , , ,	,		. 01	1					

Form 5500-SF 2010	Page 2- ¹
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9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

art	V Compliance Questions							
0	During the plan year:				Amount			
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			X				
С	Was the plan covered by a fidelity bond?	10c	Χ					10000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?							
е	insurance service or other organization that provides some or all of the benefits under the plan? (See	10e		Х				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			Х				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3							
art	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))					. [Yes	X No
2								
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct granting the waiver. Montiou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
	Enter the minimum required contribution for this plan year		Г	12b				
	Enter the amount contributed by the employer to the plan for this plan year			12c				
	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
art	VII Plan Terminations and Transfers of Assets							
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought u of the PBGC?			ntrol 			Yes	× No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	e plar	n(s) to					
1	3c(1) Name of plan(s):		130	(2) EIN	N(s)		13c(3) PN(s)
Caut	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable	e cau	se is	establi	shed.			
SB o	r penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/r, it is true, correct, and complete.							
	Filed with outhorized/valid electronic cignoture							

SIGN	Filed with authorized/valid electronic signature.	10/11/2011	RALPH J. BRAUNSCHWEIG D.M.D., P.C.				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	10/11/2011	RALPH J. BRAUNSCHWEIG D.M.D., P.C.				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				