Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

P	art I	Annual Report I	Identification Informa	ation				
For	calend	lar plan year 2010 or fis	cal plan year beginning	01/01/201	0	and ending	12/31/2	2010
Α	This re	turn/report is for:	single-employer plan		multiple-e	employer plan (not multiemployer)		one-participant plan
В	This re	turn/report is for:	first return/report	Ī	final retur	n/report		_
			an amended return/rep	ort	short plar	year return/report (less than 12 m	nonths)	
C	Chack	box if filing under:	Form 5558	F		extension	,	DFVC program
J	CHECK	box ii iiiiiig dildei.	special extension (ente	L or description	1	Octoriori		
D	art II	Pacia Plan Info	Ш '	•				
	art II	of plan	rmation—enter all reques	stea intorm	nation		1h	Three-digit
		OLIPIAN OCTORS, PLLC RETIRI	MENT PLAN				10	nlan number
11101		7010110,1 220 112 1111	WILLIAM TENAN					(PN) ▶ 001
							1c	Effective date of plan
							-	01/01/2010
		sponsor's name and add OCTORS, PLLC	dress (employer, if for single	e-employei	r plan)		26	Employer Identification Number (EIN) 26-2354416
KIDI	VET DO	DOTORO, I LLO					2c	Plan sponsor's telephone number
		E 347 - BLDG #13, SU	ITE 60					631-406-6676
PUR	I JEFF	FERSON, NY 11776					2d	Business code (see instructions)
20	Disco	day's talanta da la	deddaes ('Common Disc			. 11\	26	621111
KIDN	Plan a SEY DC	OCTORS, PLLC		225 ROUT	E 347 - BLI	DG #13, SUITE 60	30	Administrator's EIN 26-2354416
			PC	ORT JEFF	ERSON, N	Y 11776	3с	Administrator's telephone number
								631-406-6676
			plan sponsor has changed so per from the last return/repo			port filed for this plan, enter the	4b	EIN
	name,	Lin, and the plan numb	er nom me last return/repo	п. Эропъ	JI S Hallie		4c	PN
5a	Total	number of participants	at the beginning of the plan	year			5a	7
b	Total	number of participants	at the end of the plan year				-	7
С	Total	number of participants	with account balances as of	f the end o	of the plan y	rear (defined benefit plans do not		_
	comp	lete this item)					5c	7
6a		•		•		(See instructions.)		Yes No
b						ndent qualified public accountant (lions.)		X Yes ☐ No
						SF and must instead use Form !		
Pa	rt III	Financial Inform						
7	Plan A	Assets and Liabilities				(a) Beginning of Year		(b) End of Year
а	Total	plan assets			. 7a			45000
b	Total	plan liabilities			7b			
С	Net pl	lan assets (subtract line	7b from line 7a)		. 7с		0	45000
8	Incom	ne, Expenses, and Tran	sfers for this Plan Year			(a) Amount		(b) Total
а		ibutions received or rec			2 (1)	450	000	
	1.1				8a(1)		0	
	. ,	•					0	
L	` ,	,	rs)		- ` '		0	
b		,					0	45000
۲ C		, , ,), 8a(2), 8a(3), and 8b) t rollovers and insurance pr		8c			43000
d		. \	t rollovers and insurance pr		8d		0	
е			ctive distributions (see instr				0	
f	Admir	nistrative service provide	ers (salaries, fees, commiss	sions)			0	
g		•		,			0	
h		·	, 8e, 8f, and 8g)					0
i			ne 8h from line 8c)					45000
i		, , ,	see instructions)				0	
•								

	F	orm	5500-S	F 20	10													Pa	ge 2	2-1					_							
ar	t IV	F	Plan C	har	acter	istics																										
			provid 2J		nsion	penefits	s, enter	the a	ipplica	able p	oens	sion	fea	ture	cod	es fr	om 1	the	List	of P	lan	Chai	acte	ristic	Co	des i	n the	e ins	struct	tions:		
)	If the	plan	provid	es we	lfare b	enefits	, enter t	:he ap	pplica	ıble w	/elfa	re i	feat	ure	code	es fro	om tl	he L	ist o	of PI	an (Char	acter	istic	Coc	les ir	า the	inst	tructi	ons:		
		_			_	_																										

Part	V Compliance Questions											
0	During the plan year:		Yes	No		Amour	ıt					
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X								
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)											
С	Was the plan covered by a fidelity bond?	10c		X								
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X								
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X								
f	Has the plan failed to provide any benefit when due under the plan?											
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X								
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X								
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i										
art	art VI Pension Funding Compliance											
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))	plete \$	Sched	ule SB	(Form	Y	es	No				
12												
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)											
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver											
-	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_	401								
b	Enter the minimum required contribution for this plan year		⊢	12b								
	Enter the amount contributed by the employer to the plan for this plan year			12c								
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)			12d	-							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No		N/A				
art	VII Plan Terminations and Transfers of Assets											
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?					Y	es >	No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			13a								
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?					Y	es	No				
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the which assets or liabilities were transferred. (See instructions.)	he plar	n(s) to									
1	3c(1) Name of plan(s):		130	(2) Ell	N(s)	130	(3) P	N(s)				
Cauti	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	se is (establi	ished.	1						

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/11/2011	DARREN KAUFMAN						
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator						
SIGN	Filed with authorized/valid electronic signature.	10/11/2011	DARREN KAUFMAN						
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor						