## Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2010

	, , , , , , , , , , , , , , , , , , , ,				Inis Form is Open to Pt	IDIIC		
Part I	Annual Report Iden	tification Information						
For cale	ndar plan year 2010 or fiscal p	olan year beginning 01/01/2010		and ending 12/31	/2010			
<b>A</b> This	return/report is for:	a multiemployer plan;	a multipl	e-employer plan; or				
		a single-employer plan;	a DFE (s	specify)				
<b>B</b> This	return/report is:	the first return/report;	X the final	return/report;				
		an amended return/report;	a short p	lan year return/report (less	than 12 months).			
C If the	plan is a collectively-bargaine	ed plan, check here	<del>-</del>					
	k box if filing under:	☐ Form 5558:	-	c extension;	the DFVC program;			
D Onco	ik box ii ming under.	special extension (enter des		,				
Dort	II Pacia Blan Inform	<u> </u>	· /					
Part	ne of plan	nation—enter all requested informa	ation		<b>1b</b> Three-digit plan	004		
	•	OF BROOKLYN PC RETIREMENT P	ROOKLYN PC RETIREMENT PLAN		number (PN) ▶	001		
					1c Effective date of pl	an		
					01/01/2002			
	•	s (employer, if for a single-employer	plan)		<b>2b</b> Employer Identification			
,	ress should include room or s  VASCULAR ASSOCIATES C	,			Number (EIN) 11-3543205			
CARDIC	WASCULAIN ASSOCIATES C	DROOKETIVE C			2c Sponsor's telephor	ne		
HAL CH	ADOW MD				number			
	ENSON PLACE	50 DICKE	NSON PLACE		516-647-0371			
GREAT	NECK, NY 11023		IECK, NY 11023		2d Business code (see instructions)	е		
					621111			
		complete filing of this return/report						
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.								
					<u> </u>	.p.o.o.		
SIGN	Filed with authorized/valid ele	ectronic signature.	10/11/2011	HAL CHADOW				
HERE			_					
	Signature of plan adminis	trator	Date	Enter name of individual	signing as plan administrator			
SIGN								
HERE								
	Signature of employer/pla	n sponsor	Date	Enter name of individual	signing as employer or plan sp	onsor		
OLC !								
SIGN								

Signature of DFE Date Enter name
For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2010) v.092307.1

Enter name of individual signing as DFE

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CAI	Plan administrator's name and address (if same as plan sponsor, enter "Sam RDIOVASCULAR ASSOCIATES OF BROOKLYN PC	e")			lministrator's EIN 3543205
50 I	L CHADOW MD DICKENSON PLACE EAT NECK, NY 11023			nu	ministrator's telephone mber 6-647-0371
4	If the name and/or EIN of the plan sponsor has changed since the last return/the plan number from the last return/report:	report filed for t	his plan, enter the name, EIN	and	4b EIN
а	Sponsor's name				4c PN
5	Total number of participants at the beginning of the plan year			5	6
6	Number of participants as of the end of the plan year (welfare plans complete	only lines 6a, 6	<b>b, 6c,</b> and <b>6d</b> ).		-
а	Active participants			6a	0
					0
b	Retired or separated participants receiving benefits			6b	0
С	Other retired or separated participants entitled to future benefits			6c	0
d	Subtotal. Add lines <b>6a</b> , <b>6b</b> , and <b>6c</b>			6d	0
е	Deceased participants whose beneficiaries are receiving or are entitled to rec	eive benefits		6e	0
f	Total. Add lines <b>6d</b> and <b>6e</b>			6f	0
				01	
g	Mumber of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				0
h	Number of participants that terminated employment during the plan year with	accrued benefit	s that word		
	less than 100% vested			6h	0
7	Enter the total number of employers obligated to contribute to the plan (only		, , ,	7	
	If the plan provides pension benefits, enter the applicable pension feature codes  2E 3D  the plan provides welfare benefits, enter the applicable welfare feature codes				
9a	Plan funding arrangement (check all that apply)		efit arrangement (check all tha	t apply)	
	(1) Insurance (2) Code section 412(e)(3) insurance contracts	(1) (2)	Insurance Code section 412(e)(3) i	nsurano	re contracts
	(3) Trust	(3)	X Trust	nouranc	oc contracts
	(4) General assets of the sponsor	(4)	General assets of the sp	onsor	
10	Check all applicable boxes in 10a and 10b to indicate which schedules are at	tached, and, wh	ere indicated, enter the numb	er attac	ched. (See instructions)
а	Pension Schedules  (4)	b General		otion)	
	(1) R (Retirement Plan Information) (2) MB (Multiemployer Defined Benefit Plan and Certain Money	(1) (2)	H (Financial Inform  X I (Financial Inform	,	Small Plan)
	Purchase Plan Actuarial Information) - signed by the plan	(3)	A (Insurance Inform		
	actuary	(4)	C (Service Provide		nation)
	(3) SB (Single-Employer Defined Benefit Plan Actuarial	(5)	<b>D</b> (DFE/Participatin	•	•
	Information) - signed by the plan actuary	(6)	<b>G</b> (Financial Trans	action S	Schedules)

## SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2010

This Form is Open to Public Inspection

For calendar plan year 2010 or fiscal plan year beginning 01/01/2010	and ending 12/31/2010					
A Name of plan CARDIOVASCULAR ASSOCIATES OF BROOKLYN PC RETIREMENT PLAN	B Three-digit plan number (PN)					
C Plan sponsor's name as shown on line 2a of Form 5500	D Employer Identification Number (EIN)					
CARDIOVASCULAR ASSOCIATES OF BROOKLYN PC	11-3543205					
Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plasmall plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting a						
Part I Small Plan Financial Information						
Report below the current value of assets and liabilities, income, expenses, transfers and change assets held in more than one trust. Do not enter the value of the portion of an insurance contral heapfit at a future date. Include all income and expenses of the plan including any trust(s) or so	ct that guarantees during this plan year to pay a specific dollar					

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

4	mance carriers. Round off amounts to the hearest dollar.			
1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	112927	0
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	112927	0
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	<b>(b)</b> Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)		
	(2) Participants	. 2a(2)		
	(3) Others (including rollovers)	. 2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	178	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		178
е	Benefits paid (including direct rollovers)	. 2e	112985	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans	0		
h	(see instructions)			
n	Administrative service providers (salaries, fees, and commissions)		100	
I	Other expenses	. 2i	120	
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		113105
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		-112927
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		Х	
	Participant loans			X	

	Schedule I (Form 5500) 2010 Page <b>2-</b>	$\neg$			
	Schedule I (Form 5500) 2010 Page <b>2-</b>			_	
	_		Yes	No	Amount
3f	Loans (other than to participants)	3f		X	
g	Tangible personal property	3g		X	
P	art II Compliance Questions				
4	During the plan year:		Yes	No	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance	4b		X	
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X	
е	Was the plan covered by a fidelity bond?	4e	X		50000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		Х	
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X	
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j	Х		
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X		
ı	Has the plan failed to provide any benefit when due under the plan?	41		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year	X	es 🔲	No A	mount: 0

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	<b>5b(2)</b> EIN(s)	<b>5b(3)</b> PN(s)