Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

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2010

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

P	Complete all entries in accordance with the instructions to the Form 5500-SF.								
	Part I Annual Report Identification Information								
For	calendar plan year 2010 or fisc	al plan year beginning 01/01/201	10	and ending 1	2/31/2	2010			
Α.	his return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
В	nis return/report is for: first return/report final return/report								
		an amended return/report	short plar	n year return/report (less than 12 mo	nths)				
С	Check box if filing under:	Form 5558	automatic	extension		DFVC program			
	9 · · ·	special extension (enter descripti	ion)						
Da	rt II Basic Plan Infor	mation—enter all requested inform	,						
	Name of plan	mation—enter all requested inform	lation		1h	Three-digit			
		ATES, PC 401K PROFIT SHARING	PLAN		15	plan number 004			
					10	(PN) •			
					10	Effective date of plan 01/01/2002			
		ress (employer, if for single-employe	r plan)		2b	Employer Identification Number			
EAS	SIDE CARDIOLOGY ASSOCI	ATES, PC			20	(LIIV)			
	N.E. 130TH LANE				20	Plan sponsor's telephone number 425-899-1353			
	E 320 LAND, WA 98034				2d	Business code (see instructions)			
	•					621111			
3a EAS	Plan administrator's name and SIDE CARDIOLOGY ASSOCI	l address (if same as Plan sponsor, e ATES, PC 12333 N.E.	enter "Same 130TH LAN	∍") √E	3b	Administrator's EIN 91-1292810			
		SUITE 320 KIRKLAND,			3с	Administrator's telephone number 425-899-1353			
4 1	the name and/or FIN of the ni	an sponsor has changed since the la	et return/re	aport filed for this plan, enter the	4b EIN				
		er from the last return/report. Spons		port med for this plan, enter the	40	EIIN			
		4c	PN						
5a	Total number of participants a	t the beginning of the plan year			5a	88			
b	Total number of participants a	t the end of the plan year			5b	87			
С	C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					86			
6a	Were all of the plan's assets	during the plan year invested in eligit	ble assets?	(See instructions.)		Yes No			
b	Are you claiming a waiver of t	he annual examination and report of	an indeper	ndent qualified public accountant (IQ	PA)				
				ions.)		Yes No			
			orm 5500-	SF and must instead use Form 55	00.				
Pa	rt III Financial Inform	ation		T	1				
7	Plan Assets and Liabilities (a			(a) Beginning of Year	(b) End of Year				
а	Total plan assets		7a	6171678	3	5810846			
b	Total plan liabilities		7b						
C	Net plan assets (subtract line	7b from line 7a)	7с	6171678	3	5810846			
8	Income, Expenses, and Trans	fers for this Plan Year		(a) Amount		(b) Total			
а	Contributions received or received		0-(4)	446519	9				
			- ' '	312420	7				
				012120	_				
L	` ` ` ` ` •	5)	` '	815538	2				
b	` ,			013330	,	1574477			
C	, , ,	8a(2), 8a(3), and 8b)	8c			1374477			
d		rollovers and insurance premiums	8d	1935309	9				
е	Certain deemed and/or correct	etive distributions (see instructions)	8e		_				
f	Administrative service provide	ers (salaries, fees, commissions)	8f						
g	Other expenses		8g						
h	Total expenses (add lines 8d,	8e, 8f, and 8g)				1935309			
i		e 8h from line 8c)				-360832			
i		ee instructions)							

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) _ w	IV Blow Characteristics						
-	EIV Plan Characteristics If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara	acteris	tic Co	des in	the instruct	ions:	
	2E 2F 2G 2J 2K 2T 3D						
b	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Charac	cterist	ic Coc	les in t	he instructi	ons:	
art	V Compliance Questions						
0	During the plan year:		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c	X				500000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
art	VI Pension Funding Compliance						
1	s this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500))						
2	ls this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?						
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						0
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			- ., .			
b	Enter the minimum required contribution for this plan year		12b				
С	ter the amount contributed by the employer to the plan for this plan year						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of negative amount)		[12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A

Part VII Plan Terminations and Transfers of Assets

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

 13c(1) Name of plan(s):
 13c(2) EIN(s)
 13c(3) PN(s)

Yes X

Yes X No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/12/2011	MARK VOSSLER			
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator			
SIGN	Filed with authorized/valid electronic signature.	10/12/2011	MARK VOSSLER			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor			