Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2010

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For	or calendar plan year 2010 or fiscal plan year beginning 01/01/2010 and ending 12/31/2010							
Α	This return/report is for: single-employer plan	multiple-e	mployer plan (not multiemployer)	one-participant plan				
В	This return/report is for:	final retur	n/report					
	an amended return/report	short plar	year return/report (less than 12 m	onths)				
С	Check box if filing under:	automatic	extension		DFVC program			
	special extension (enter description)							
Pa	Int II Basic Plan Information—enter all requested information	rtion						
	Name of plan			1b	Three-digit			
	STIC SURGERY NORTHWEST GROUP, PLLC 401K PLAN				plan number 001			
				_	(PN) ▶			
				1c	Effective date of plan 04/01/2010			
2a	Plan sponsor's name and address (employer, if for single-employer)	nlan)		2h	Employer Identification Number			
	STIC SURGERY NORTHWEST GROUP, PLLC	piarij		(EIN) 27-2906628				
500.0	0.000/// 57/07			2c	Plan sponsor's telephone number			
	S COWLEY ST. KANE, WA 99202			24	509-321-6033			
				2 a	Business code (see instructions) 621111			
3a	Plan administrator's name and address (if same as Plan sponsor, er	nter "Same	e")	3b	Administrator's EIN			
PLAS	CTIC SURGERY NORTHWEST GROUP, PLLC 530 S COWLI SPOKANE, W				27-2906628			
				3c	Administrator's telephone number 509-321-6033			
4 1	f the name and/or EIN of the plan sponsor has changed since the las	t return/re	port filed for this plan, enter the	4b	FIN			
	name, EIN, and the plan number from the last return/report. Sponsor		' '					
					PN			
	Total number of participants at the beginning of the plan year				5			
b	2 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -			5b	5			
С	C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)			5c	5			
6a	Were all of the plan's assets during the plan year invested in eligible				X Yes No			
b	Are you claiming a waiver of the annual examination and report of a		,					
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a		·	Yes L N				
Do	If you answered "No" to either 6a or 6b, the plan cannot use Fort III Financial Information	orm 5500-	SF and must instead use Form 5	500.				
			(15)					
7	Plan Assets and Liabilities		(a) Beginning of Year	0	(b) End of Year 72922			
	Total plan assets Total plan liabilities	7a						
C	Net plan assets (subtract line 7b from line 7a)	7b		0	72922			
8	Income, Expenses, and Transfers for this Plan Year	7c	(a) Amount					
а	Contributions received or receivable from:		(a) Amount		(b) Total			
_	(1) Employers	8a(1)	2536	366				
	(2) Participants	8a(2)	3419	2				
	(3) Others (including rollovers)	8a(3)	1305	7				
b	Other income (loss)	8b	43	2				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			73047			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d						
е	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)	8f	12	25				
g	Other expenses	8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			125			
i	Net income (loss) (subtract line 8h from line 8c)	8i			72922			
i	Transfers to (from) the plan (see instructions)	Ωi						

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ar	t IV Plan Characteristics						
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara 2E 2J 2F 2G 3D 3H 2A 2T 3B	acteris	tic Co	des in t	the instruct	ions:	
	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	cterist	ic Cod	les in tl	he instructi	ons:	
art	V Compliance Questions						
)	During the plan year:		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X			
С	Was the plan covered by a fidelity bond?	10c	X				64000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		Х			
f	Has the plan failed to provide any benefit when due under the plan?	10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i					
art	VI Pension Funding Compliance						
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))					Yes	No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?						
а	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver.						-
If '	granting the waiverMon you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	uı		Day _		Teal	
_ `	Enter the minimum required contribution for this plan year		[12b			
	Enter the amount contributed by the employer to the plan for this plan year			12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)			12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
4	VIII Plan Terminations and Transfers of Assets				· <u></u>		

Part VII Plan Terminations and Transfers of Assets

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

 13c(1) Name of plan(s):
 13c(2) EIN(s)
 13c(3) PN(s)

Yes X

Yes X No

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/13/2011	EMILY A. WILLIAMS
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

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Pa	rt IV Plan Characteristics			··········				
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Char 2E 2J 2F 2G 3D 3H 2A 2T 3B	acteri	stic Co	des in	the instr	ructions:		
b	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara-	cteris	tic Co	des in	the instr	uctions:		
Par	t V Compliance Questions		·····		***************************************	***************************************		
10	During the plan year:		Yes	No		Amou	ınt	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		Х		-Anticketicketineurses cons		
D	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		х				
С	Was the plan covered by a fidelity bond?	10c	Х				640	
d	or dishonesty?	10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		Х				
f	Has the plan failed to provide any benefit when due under the plan?			Х				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10f			ļ			
	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10g 10h		X X		***************************************		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10ii				***************************************		
Part			1					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500)).	olete S	Schedu	ıle SB	(Form	Пу	′es ∏ N	
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or sec	tion 3	02 of F	RISA?	ΠY	es X N	
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)						لسا	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling							
lf y	granting the waiver			Day _	***************************************	Year _		
	Enter the minimum required contribution for this plan year		Г	2b				
	Enter the amount contributed by the employer to the plan for this plan year			2c	***************************************			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left o negative amount)	fa		2d	***************************************			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	П №	□ N/A	
Part '		*********	************	··· <u> </u>	1	11 110		
13a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		···········	······································	***************************************		es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year			3a	***************************************		es X No	
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought up	dor th	VA 000	trol				
С	of the PBGC?					[] Ye	es 🛭 No	
	which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):			13c(2) EIN(s)			13c	(3) PN(s)	
alticated minimal plays, and, s			U-Newson	***************************************	delinidare de de la comunicación d			
Cautic	nr. A negality for the late or incomplete filling of this	····		_				
Under	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable penalties of perjury and other penalties set forth in the instructions. I declare that I have examined this return	cause	e is es	tablis	hed.			

Sign
HERE

Signature of employer/plan sponsor

Signature of employer/plan sponsor

Signature of employer/plan sponsor

Date

Enter name of individual signing as employer or plan sponsor