#### Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2010

	,				Inis Form is Open to Pt Inspection	JDIIC		
Part I	Annual Report Iden	ntification Information		•	•			
For caler	ndar plan year 2010 or fiscal p		_	and ending 12/31/2	010			
A This return/report is for:			a multipl	e-employer plan; or				
		X a single-employer plan;	a DFE (s	specify)				
		_	_					
<b>B</b> This r	return/report is:	the first return/report;	the final	return/report;				
		an amended return/report;	a short p	olan year return/report (less th	an 12 months).			
C If the	plan is a collectively-bargaine	ed plan, check here						
<b>D</b> Chec	k box if filing under:	X Form 5558;	automat	matic extension; the DFVC program;				
	gg	special extension (enter desc						
Part I	I Basic Plan Inform	nation—enter all requested informa	· /					
	ne of plan	rice all requested informa	uion		<b>1b</b> Three-digit plan	001		
	& CARROTS VETERINARY	HOSPITAL 401(K) PLAN			number (PN) ▶			
					1c Effective date of plan			
2a Dlon	ananaar'a nama and addras	s (employer, if for a single-employer p	olon)		12/01/2007 <b>2b.</b> Employer Identifies	tion		
	ress should include room or s	,	лап)		<b>2b</b> Employer Identification Number (EIN)			
CATNIP	& CARROTS VETERINARY	HOSPITAL, PC			11-3572287			
					<b>2c</b> Sponsor's telephone			
					number 516-877-7080			
	LSIDE AVENUE DE PARK, NY 11040		SIDE AVENUE E PARK, NY 11040	1	2d Business code (see	<u> </u>		
		NEWTHE	217444,141 11046		instructions)			
					541940			
Caution	A penalty for the late or in	complete filing of this return/repor	t will be assessed	unless reasonable cause is	s established.			
	. , , ,	penalties set forth in the instructions, I as the electronic version of this return			0 , , 0	,		
SIGN	Filed with authorized/valid electronic signature.		10/17/2011	ANN LEAVER				
HERE	Signature of plan adminis	strator	Date	Enter name of individual si	gning as plan administrator			
SIGN								
HERE	Signature of employer/pla	an sponsor	Date	Enter name of individual si	gning as employer or plan sp	onsor		
SIGN								
HERE	Signature of DFE		Date	Enter name of individual si	gning as DFE			

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

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CA	Plan administrator's name and address (if same as plan sponsor, enter "Sam TNIP & CARROTS VETERINARY HOSPITAL, PC	ne")	<b>3b</b> Administrator's EIN 11-3572287		
222	N LEAVER 11 HILLSIDE AVENUE W HYDE PARK, NY 11040		num	nistrator's telephone ber 877-7080	
4	If the name and/or EIN of the plan sponsor has changed since the last return the plan number from the last return/report:	1 4b EIN 4c PN			
а	Sponsor's name				
5	Total number of participants at the beginning of the plan year		5	8	
6	Number of participants as of the end of the plan year (welfare plans complete	e only lines <b>6a, 6b, 6c,</b> and <b>6d</b> ).			
_	Automorphism		Co	17	
а	Active participants		6a	17	
b	Retired or separated participants receiving benefits		6b	0	
С	Other retired or separated participants entitled to future benefits		6c	0	
				47	
d	Subtotal. Add lines 6a, 6b, and 6c		. 6d	17	
е	Deceased participants whose beneficiaries are receiving or are entitled to re-	ceive benefits	6e	0	
f	Total. Add lines <b>6d</b> and <b>6e</b>	6f	17		
g	Number of participants with account balances as of the end of the plan year	(only defined contribution plans			
3	complete this item)		. 6g	2	
h	Number of participants that terminated employment during the plan year with less than 100% vested	6h	0		
7	Enter the total number of employers obligated to contribute to the plan (only	7			
	If the plan provides pension benefits, enter the applicable pension feature con 2F 2G 2J 2T 3D  f the plan provides welfare benefits, enter the applicable welfare feature codes				
9a	Plan funding arrangement (check all that apply)	9b Plan benefit arrangement (check all that	at apply)		
	(1) Insurance	(1) Insurance			
	(2) Code section 412(e)(3) insurance contracts (3) Trust	(2) Code section 412(e)(3)  (3) Trust	insurance	contracts	
	(3) Trust (4) General assets of the sponsor	(3) X Trust (4) General assets of the sp	onsor		
10	Check all applicable boxes in 10a and 10b to indicate which schedules are a			ed. (See instructions)	
а	Pension Schedules	b General Schedules			
_	R (Retirement Plan Information) (1) H (Financial Inform				
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2) I (Financial Inform	nation – Sr	nall Plan)	
	Purchase Plan Actuarial Information) - signed by the plan actuary	(3) A (Insurance Infor			
	· 	(4) C (Service Provide		,	
	(3) SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	(5) D (DFE/Participati	•	,	
	, Light Ly die plan deldary	(7) Li Transiai Hank			

## SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

### Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2010

This Form is Open to Public Inspection

B Three-digit
B Three-digit 001
D Employer Identification Number (EIN)
11-3572287

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

#### Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	15232	25688
b	Total plan liabilities	. 1b	0	0
С	Net plan assets (subtract line 1b from line 1a)	1c	15232	25688
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	<b>(b)</b> Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)	3946	
	(2) Participants	. 2a(2)	3946	
	(3) Others (including rollovers)	2a(3)	0	
b	Noncash contributions	. 2b	0	
С	Other income	. 2c	2624	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		10516
е	Benefits paid (including direct rollovers)	. 2e	0	
f	Corrective distributions (see instructions)	. 2f	0	
g	Certain deemed distributions of participant loans (see instructions)	. 2g	0	
h	Administrative service providers (salaries, fees, and commissions)	. 2h	60	
i	Other expenses	. 2i	0	
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		60
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		10456
	Transfers to (from) the plan (see instructions)	. 2I		0

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		X	
	Participant loans	3e		X	

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			Yes	No	Am	ount
3f	Loans (other than to participants)	3f		Χ		
g	Tangible personal property	3g		X		
	•			<u> </u>		
Pa	art II Compliance Questions					
ļ	During the plan year:		Yes	No	Am	ount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully	40		X		
b	corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a				
	year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X		
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)			X		
_		4d		X		
e •	Was the plan covered by a fidelity bond?	4e				
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		Х		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X		
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4:		X		
k	Are you claiming a waiver of the annual examination and report of an independent qualified public	4j				
	accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X			
I	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X		
Ба	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?	•	•	•		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	Y	es 🗌 l	No A	Amount:	
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	entify t	the plan	(s) to w	hich assets or lia	bilities were
	Eh(1) Name of plan(a)			EL/O	CIN(a)	5h(3) DN(

5b(1) Name of plan(s) 5b(2) EIN(s) 5b(3) PN(s)