Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	dance witl	h the instructions to the Form 5500	0-SF.			
Pa	art I Annual Report Id	lentification Information						
For	calendar plan year 2010 or fisca	al plan year beginning 01/01/201	0	and ending 1	2/31/	2010		
Α .	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participa	ant plan	
В .	This return/report is for:	first return/report	final retur	n/report		_		
	Ī	an amended return/report	short plan	year return/report (less than 12 mor	nths)			
C	Check box if filing under:	N Form 5558	automatic	extension		DFVC progr	am	
		special extension (enter description						
Do	ert II Pacia Blan Inform	nation—enter all requested information						
	art II Basic Plan Inform	mation—enter all requested information	ation		1h	Three-digit		
	Name of plan AN L. GRANT, MD, PC PROFIT	SHARING PLAN			וט	plan number	000	
						(PN) •	002	
					1c	Effective date		
						01/01/	1988	
	Plan sponsor's name and address AN L. GRANT, MD, PC	ess (employer, if for single-employer	plan)		2b	Employer Ident		ber
303/	AN L. GRANT, MD, PC				20	(EIN) 13-338 Plan sponsor's		ımhar
	ST 83RD STREET				20	212-76	9-0755	iiibei
	RESS LINE 2 YORK, NY 10028-0418				2d	Business code		ons)
	•				01.	62111		
SUS	Plan administrator's name and AN L. GRANT, MD, PC	address (if same as Plan sponsor, e 8 EAST 83RI	nter "Same D STREET	<u>e</u> ")	30	Administrator's		
		ADDRESS L NEW YORK,		-0418	3с	Administrator's	telephone nu	ımber
		NEW TORK,	141 10020	0410			9-0755	
	•	an sponsor has changed since the last		port filed for this plan, enter the	4b	EIN		
-	name, EIN, and the plan numbe	er from the last return/report. Sponso	r's name		4 c	PN		
5a	Total number of participants at	the beginning of the plan year			5a			6
_	• •	the end of the plan year						5
	·	ith account balances as of the end of		:	5b			
С		itii account balances as of the end of		•	5c			5
6a	Were all of the plan's assets of	during the plan year invested in eligib	le assets?	(See instructions.)			X Yes	No
b	Are you claiming a waiver of the	ne annual examination and report of	an indeper	ndent qualified public accountant (IQI	PA)		<u> </u>	_
	,	See instructions on waiver eligibility		•			^ Yes	No
Do		er 6a or 6b, the plan cannot use Fo	orm 5500-	SF and must instead use Form 550	00.			
		ation						
7	Plan Assets and Liabilities			(a) Beginning of Year 903791		(b) End	l of Year	55395
	Total plan assets		. 7a	903791				0
b	'	7. (7b	903791			0	55395
<u> </u>		7b from line 7a)	7c					33333
8	Income, Expenses, and Transf			(a) Amount		(b)	Total	
а	Contributions received or received (1) Employers	Ivable from:	8a(1)	20000)			
	`, ',		8a(2)	C)			
	• •)		C)			
b	, ,	,		43209	9			
С	,	8a(2), 8a(3), and 8b)	8c					63209
d		rollovers and insurance premiums						
			. 8d	11605	_			
е	Certain deemed and/or correct	tive distributions (see instructions)	. 8e	C	_			
f	Administrative service provider	rs (salaries, fees, commissions)	. 8f	C				
g	Other expenses		. 8g	С)			
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	8h					11605
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i					51604
j	Transfers to (from) the plan (se	ee instructions)	8i	C)			

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Part IV	Plan	Characteristic	٠.
rall IV	- FIAII	CHALACIERISII	

SIGN HERE

Signature of employer/plan sponsor

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

		e pian provides weitare benefits, enter the applicable weitare featul								
Part	V	Compliance Questions								
10		ing the plan year:				Yes	No	A	mount	
а		s there a failure to transmit to the plan any participant contributions CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary			10a		X			0
b		re there any nonexempt transactions with any party-in-interest? (Doine 10a.)			10b		Χ			0
С	Wa	as the plan covered by a fidelity bond?			10c		X			0
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?								0	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)							0		
f	Has	s the plan failed to provide any benefit when due under the plan?			10f		X			0
g	Did	the plan have any participant loans? (If "Yes," enter amount as of y	year end.)		10g		X			0
h		is is an individual account plan, was there a blackout period? (See 20.101-3.)			10h		X			
i		Oh was answered "Yes," check the box if you either provided the re- eptions to providing the notice applied under 29 CFR 2520.101-3			10i					
Part '	VI	Pension Funding Compliance								
11		nis a defined benefit plan subject to minimum funding requirements							Yes	X No
12		his a defined contribution plan subject to the minimum funding requ							Yes	X No
	(If "	Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable	e.)							
	grai	waiver of the minimum funding standard for a prior year is being an nting the waiver.		Mont					e letter rul 'ear	-
		completed line 12a, complete lines 3, 9, and 10 of Schedule MB		-		Г	406			
		er the minimum required contribution for this plan year				··· ⊢	12b 12c			
		er the amount contributed by the employer to the plan for this plan y tract the amount in line 12c from the amount in line 12b. Enter the				-				
u		ative amount)					12d		1 -	7
е	Will	the minimum funding amount reported on line 12d be met by the fu	unding deadline?					Yes	No	N/A
Part '	VII	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted during the plan ye	ear or any prior yea	r?					Yes	X No
		es," enter the amount of any plan assets that reverted to the employee					13a			
b		re all the plan assets distributed to participants or beneficiaries, tranne PBGC?	nsferred to another	plan, or brought ι	under 	the co	ntrol		Yes	X No
С		uring this plan year, any assets or liabilities were transferred from the ch assets or liabilities were transferred. (See instructions.)	his plan to another	plan(s), identify th	ne plai	n(s) to			i	
1:	3c(1) Name of plan(s):				130	c(2) Ell	N(s)	13c(3)	PN(s)
Cauti	on:	A penalty for the late or incomplete filing of this return/report v	will be assessed u	ınless reasonabl	le cau	ise is	establ	ished.	1	
Under SB or	r per Sch	nalties of perjury and other penalties set forth in the instructions, I diedule MB completed and signed by an enrolled actuary, as well as true, correct, and complete.	leclare that I have e	examined this retu	ırn/rep	ort, in	cluding	g, if applicab		
SIGN	ı	iled with authorized/valid electronic signature.	0/17/2011	ANDY SIEGEL						
HERI	E	Signature of plan administrator	Date	Enter name of in	ndividu	ıal sig	ning as	plan admin	istrator	

Date

Enter name of individual signing as employer or plan sponsor

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Reposit Guarantu Corneration

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

This Form is Open to Public Inspection

	- I		lance with	the instructions to the Form 5500	0-SF.		
Part		Identification Information	0040			าการสากกสก	
For cale	endar plan year 2010 or fi		/2010	and ending		2/31/2010	
A This	s return/report is for:	対 single-employer plan	multiple-e	mployer plan (not multiemployer)		one-participan	t plan
B This	return/report is for:	first return/report	final return	/report			
		an amended return/report	short plan	year return/report (less than 12 mor	nths)		
C Cho	eck box if filing under:	▼ Form 5558	automatic	extension	•	☐ DFVC program	n
C Che	eck box ii tiling under:			CALCITATION		☐ Br vo brogram	•
		special extension (enter description					
Part		ormation—enter all requested informa	ition		46		
	me of plan				10	Three-digit plan number	000
S	USAN L. GRANT, M	ID, PC PROFIT SHARING PLAN	4			(PN)	002
					1c	Effective date of	olan
							1988
2a Pla	an sponsor's name and ad	ddress (employer, if for single-employer)	olan)		2b	Employer Identifi	cation Number
	USAN L. GRANT, MI		, ,				3386565
0,	DOMITE. OTTAITT, INI	5,10			2c	Plan sponsor's te	lephone number
							390755
•	- 1 0 T 00 D 0 T D F F	· -			2d	Business code (s	ee instructions)
_	EAST 83RD STREE	:1			L	02	1111
	ODRESS LINE 2						
	EW YORK						
N,	Υ						
	•						
10	00280418						
20 DI		and address (if some as Disp separate at	ntor "Como	m)	3h	Administrator's E	:IN
Ja Pi	an administrator's name a	and address (if same as Plan sponsor, er	ilei Saine	• •	35	13338	
SUS	SAN L. GRANT, MD, PO	c			3с	Administrator's to 212769	elephone number
000	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					212769	00755
0 =	AST 83RD STREET						
	DRESS LINE 2						
	W YORK						
NY	VV TORK						
141							
400	2200410						
100	280418						
4 If th	e name and/or EIN of the	plan sponsor has changed since the las	st return/re	port filed for this plan, enter the	4b	EIN	
nar	me, EIN, and the plan num	nber from the last return/report. Sponsor	r's name		40	DNI	
					40	PN	
Fo T		and the hardware of the plan year				Т	6
		s at the beginning of the plan year			5a	- 	6
		s at the end of the plan year			5b		5
		s with account balances as of the end of			5c		5
		its during the plan year invested in eligible					Yes No
b A	re you claiming a waiver o	of the annual examination and report of a	an indeper	dent qualified public accountant (IQ	PA)		
u	nder 29 CFR 2520.104-46	6? (See instructions on waiver eligibility a	and conditi	ons.)			Yes 📗 No
		either 6a or 6b, the plan cannot use Fe	orm 5500-	SF and must instead use Form 55	<u> </u>		
Part	III Financial Infor	mation					
	lan Assets and Liabilities		ļ	(a) Beginning of Year	+	(b) End	
				903791			955395
				C			0
C N	let plan assets (subtract li	ne 7b from line 7a)	7c	903791			955395

Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total
Contributions received or receivable from: (1) Employers	8a(1)	20000	
(2) Participants	8a(2)	0	
(3) Others (including rollovers)	8a(3)	0	
Other income (loss)	8b	43209	
Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		63209
Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	11605	
Certain deemed and/or corrective distributions (see instructions)	8e	0	
Administrative service providers (salaries, fees, commissions)	8f	0	
Other expenses	8g	0	
Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		11605
Net income (loss) (subtract line 8h from line 8c)	8i		51604
Transfers to (from) the plan (see instructions)	··· 8j	0	
art IV Plan Characteristics			
If the plan provides pension benefits, enter the applicable pensio	n feature codes f	om the List of Plan Characteristic Code	in the instructions:

2E 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	V Compliance Questions				
10	During the plan year:		Yes	No	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X	0
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		×	0
С	Was the plan covered by a fidelity bond?	10c	1	X	0
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X	0
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		×	0
f	Has the plan failed to provide any benefit when due under the plan?	10f		X	0
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10a		X	0
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		×	
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i			
Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))	plete	Sched	lule SB	Yes No
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code				
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)				
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver.	tions.	and e	nter th	e date of the letter ruling
	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		_		
b	Enter the minimum required contribution for this plan year	•••••		12b	
-	Enter the amount contributed by the employer to the plan for this plan year			12c	
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left onegative amount)		[12d	
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes No N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?		<u>.</u>		Yes 🔀 No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		Г		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?	under	the co	ontrol	Yes X No

Caution:	A penalty for the late or incomplete filling of this return/repo	rt will be assessed	unless reasonable cause is established.
SB or Sc	nalties of perjury and other penalties set forth in the instructions, hedule MB completed and signed by an enholled actuary, as well s true, correct, and complete.	I declare that I have as the electronic ver	examined this return/report, including, if applicable, a Schedule sion of this return/report, and to the best of my knowledge and
SIGN	Sm h Quilus	11/0/10/11	JUSAN L GRANT
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Sm h Centh	10/10/11	SUSANL CORANG
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

Form **5558**(Rev. January 2008) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File Certain Employee Plan Returns

► For Privacy Act and Paperwork Reduction Act Notice, see instructions on page 3.

OMB No. 1545-0212

File With IRS Only

Fa	Identification										
A	Name of filer, plan administrator, or plan sponsor (see instructions) SUSAN L. GRANT, MD, PC		B Filer's identifying number (see instructions). Employer identification number (EIN).								
	Number, street, and room or suite no. (If a P.O. box, see instructions) 8 EAST 83RD STREET		13 3386565								
	City or town, state, and ZIP code		Socia	al security	number (SSN)					
	NEW YORK NY 100280	418			į	;					
С	Plan name		Pla	n	Plar	year endi	ng—				
			numt	er	MM	DD	YYYY				
1	SUSAN L. GRANT, MD, PC PROFIT SHARING PLAN	О	0	2	12	31	2010				
2											
3			+								
	t II Extension of Time to File Form 5500 or Form 550	0-EZ (see ir	struc	tions)		L					
1	I request an extension of time until10	file Form 550	0 or F	orm 55(00-EZ.	- P					
	The application is automatically approved to the date shown o normal due date of Form 5500 or 5500-EZ for which this extension months after the normal due date.	n line 1 (abov on is requeste	re) if: (d, and	a) the l	Form 5558 date on lin	is filed on o e 1 is no m	or before the ore than 2½				
	You must attach a copy of this Form 5558 to each Form 5500 and 5	5500-EZ filed a	fter th	e due d	ate for the p	lans listed i	n C above.				
Note	. A signature is not required if you are requesting an extension to file For	m 5500 or For	n 5500	-EZ.							
Par	t III Extension of Time to File Form 5330 (see instruction	ons)									

2	I request an extension of time until/			due date	of Form 53	30.					
·a	Enter the Code section(s) imposing the tax	•	а	1		,,··· <u>·</u>					
b	Enter the payment amount attached				•	<u>b</u>					
с 3	For excise taxes under section 4980 or 4980F of the Code, enter the restate in detail why you need the extension				•	С					
					••••••						
				· 							
	•••••										
	***************************************					******					
					•						
							•				
					•••••						
	••••••				•••••	••••••					
					••••••	••••••	•••••••				
Unde	r penalties of perjury, I declare that to the best of my knowledge and belief, the rized to prepare this application.	statements made	on thi	s form ar	e true, correct	, and complet	e, and that I am				
Sign	ature ►		Date	>							