## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

2010

2010

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

|       | Complete all entries   | s in accord  | dance witl   | h the instructions to the Form 550   | 0-SF.   | 1  |  |  |  |
|-------|--|--------------|--------------|--------------------------------------|---------|--|--|--|--|
|       | art I Annual Report Identification Information   |              |              |                                      |         |  |  |  |  |
| For   | calendar plan year 2010 or fiscal plan year beginning  | 01/01/201    | 0            | and ending 1                         | 2/31/2  | 2010   |  |  |  |
| Α.    | This return/report is for: Single-employer plan  |              | multiple-e   | employer plan (not multiemployer)    |         | one-participant plan                         |  |  |  |
| В     | This return/report is for: first return/report   |              | final retur  | n/report                             |         |  |  |  |  |
|       | an amended return/rep  | nths)        |              |                                      |         |  |  |  |  |
| C     | Check box if filing under:   |              | DFVC program |                                      |         |  |  |  |  |
|       | special extension (ente  | _            |              |                                      |         |  |  |  |  |
| Pa    | rt II Basic Plan Information—enter all reques  | sted inform  | ation        |                                      |         |  |  |  |  |
| 1a    | Name of plan   |              |              |                                      | 1b      | Three-digit                                  |  |  |  |
| HBK   | ENGINEERING, LLC RETIREMENT PLAN   |              |              |                                      |         | plan number 001                              |  |  |  |
|       |  | 4 -          | (PN) •       |                                      |         |  |  |  |  |
|       |  |              | 1C           | Effective date of plan<br>01/01/2000 |         |  |  |  |  |
| 2a    | Plan sponsor's name and address (employer, if for single   |              | 2b           | Employer Identification Number       |         |  |  |  |  |
|       | ENGINEERING, LLC   | , ,          | . ,          |                                      |         | (EIN) 36-4304016                             |  |  |  |
| 921 \ | WEST VAN BUREN STREET, SUITE 10  |              |              |                                      | 2c      | Plan sponsor's telephone number 312-432-0076 |  |  |  |
|       | AGO, IL 60607-3542   |              |              |                                      | 2d      | Business code (see instructions)             |  |  |  |
|       |  |              |              |                                      |         | 541330                                       |  |  |  |
| 3a    | Plan administrator's name and address (if same as Plan ENGINEERING, LLC 92                                   | sponsor, e   | nter "Same   | e")<br>N STREET, SUITE 10            | 3b      | Administrator's EIN 36-4304016               |  |  |  |
| TIDIC |  | HICAGO, II   |              |                                      | 30      | Administrator's telephone number             |  |  |  |
|       |  |              |              |                                      |         | 312-432-0076                                 |  |  |  |
|       | f the name and/or EIN of the plan sponsor has changed s  |              |              | port filed for this plan, enter the  | 4b EIN  |  |  |  |  |
| ı     | name, EIN, and the plan number from the last return/repo   | rt. Sponso   | or's name    |                                      | 4c PN   |  |  |  |  |
| 5a    | Total number of participants at the beginning of the plan  | year         |              |                                      | 5a      | 45   |  |  |  |
| b     | Total number of participants at the end of the plan year   |              |              |                                      | 5b      | 48   |  |  |  |
| С     | Total number of participants with account balances as of   | f the end of | f the plan y | vear (defined benefit plans do not   |         | 40   |  |  |  |
|       | complete this item)  |              |              |                                      | 5c      | 48   |  |  |  |
|       | Were all of the plan's assets during the plan year investi   | Ū            |              | ,                                    |         | Yes No                                       |  |  |  |
| D     | Are you claiming a waiver of the annual examination and under 29 CFR 2520.104-46? (See instructions on waive |              |              |                                      |         | X Yes ☐ No                                   |  |  |  |
|       | If you answered "No" to either 6a or 6b, the plan can  | •            |              | •                                    |         |  |  |  |  |
| Pa    | rt III Financial Information   |              |              |                                      |         |  |  |  |  |
| 7     | Plan Assets and Liabilities  |              |              | (a) Beginning of Year                |         | (b) End of Year                              |  |  |  |
| а     | Total plan assets  |              | . 7a         | 2014478                              | 78 2729 |  |  |  |  |
| b     | Total plan liabilities   |              | . 7b         |                                      |         |  |  |  |  |
| С     | Net plan assets (subtract line 7b from line 7a)  |              | . 7c         | 2014478                              | 3       | 2729628                                      |  |  |  |
| 8     | Income, Expenses, and Transfers for this Plan Year   |              |              | (a) Amount                           |         | (b) Total                                    |  |  |  |
| а     | Contributions received or receivable from:   |              | . 8a(1)      | 215575                               | 5       |  |  |  |  |
|       | (1) Employers  | 1            |              |                                      |         |  |  |  |  |
|       | (3) Others (including rollovers)   |              |              |                                      |         |  |  |  |  |
| b     | Other income (loss)  | 2            |              |                                      |         |  |  |  |  |
| C     | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)   |              |              |                                      |         | 784938                                       |  |  |  |
| d     | Benefits paid (including direct rollovers and insurance pr   |              | . 60         |                                      |         |  |  |  |  |
| -     | to provide benefits)   |              | . 8d         | 69638                                | 3       |  |  |  |  |
| е     | Certain deemed and/or corrective distributions (see instr  | uctions)     | . 8e         |                                      | 4       |  |  |  |  |
| f     | Administrative service providers (salaries, fees, commiss  | sions)       | . 8f         | 150                                  | )       |  |  |  |  |
| g     | Other expenses   |              | . 8g         |                                      |         |  |  |  |  |
| h     | Total expenses (add lines 8d, 8e, 8f, and 8g)  |              | . 8h         |                                      |         | 69788  |  |  |  |
| į     | Net income (loss) (subtract line 8h from line 8c)  |              |              |                                      |         | 715150                                       |  |  |  |
| j     | Transfers to (from) the plan (see instructions)  |              | . 8i         |                                      |         |  |  |  |  |

|    |       | Form | 5500 | -SF 2 | 2010         |       |      | Page <b>2-</b>  |
|----|-------|------|------|-------|--------------|-------|------|---|
| Pa | rt IV | ' I  | Plan | Cha   | aract        | erist | tics |   |
| 9a |       |      |      |       | pensio<br>2K |       |      | nter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: |

If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| Part  | V Compliance Questions   |                      |   |        | 1       |                                    |        |          |                 |  |  |
|-------|--|----------------------|---|--------|---------|------------------------------------|--------|----------|-----------------|--|--|
| 10    | During the plan year:  |                      |   |        | Yes     | No                                 |        | Amount   |                 |  |  |
| а     | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) |                      |   |        |         |                                    |        |          |                 |  |  |
| b     | Were there any nonexempt transactions with any party-in-interest? (Don line 10a.)  | 10b                  |   | X      |         |                                    |        |          |                 |  |  |
| С     | Was the plan covered by a fidelity bond?   | X                    |   |        |         | 17500                              |        |          |                 |  |  |
| d     | Did the plan have a loss, whether or not reimbursed by the plan's fide or dishonesty?  | X                    |   |        |         |                                    |        |          |                 |  |  |
| е     | Were any fees or commissions paid to any brokers, agents, or other prinsurance service or other organization that provides some or all of the instructions.)   | Х                    |   |        |         | 612                                |        |          |                 |  |  |
| f     | Has the plan failed to provide any benefit when due under the plan? .  |                      |   | 10f    |         | X                                  |        |          |                 |  |  |
| g     | Did the plan have any participant loans? (If "Yes," enter amount as of   | year end.)           |   | 10q    |         | X                                  |        |          |                 |  |  |
| h     | If this is an individual account plan, was there a blackout period? (Sec 2520.101-3.)  |                      |   | 10h    |         | X                                  |        |          |                 |  |  |
| i     | If 10h was answered "Yes," check the box if you either provided the reexceptions to providing the notice applied under 29 CFR 2520.101-3.  |                      |   | 10i    |         |                                    |        |          |                 |  |  |
| Part  | VI Pension Funding Compliance  |                      |   |        |         |                                    |        |          |                 |  |  |
| 11    |  |                      |   |        |         |                                    |        |          |                 |  |  |
| 12    | Is this a defined contribution plan subject to the minimum funding req   | uirements of section | n 412 of the Code                       | or se  | ction   | 302 of I                           | ERISA? | Ye       | s 📉 N           |  |  |
|       | (If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable   | e.)                  |   |        |         |                                    |        | _        | _               |  |  |
|       | If a waiver of the minimum funding standard for a prior year is being a granting the waiver.   |                      | Mon                                     |        |         |                                    |        |          |                 |  |  |
| lf y  | ou completed line 12a, complete lines 3, 9, and 10 of Schedule M   | B (Form 5500), and   | d skip to line 13.                      |        | г       |                                    |        |          |                 |  |  |
| b     | Enter the minimum required contribution for this plan year   |                      |   |        |         | 12b                                |        |          |                 |  |  |
| С     | Enter the amount contributed by the employer to the plan for this plan   |                      |   |        |         | 12c                                |        |          |                 |  |  |
| d     | Subtract the amount in line 12c from the amount in line 12b. Enter the negative amount)  | ,                    | -                                       |        |         | 12d                                |        | _        |                 |  |  |
|       | Will the minimum funding amount reported on line 12d be met by the t   |                      |   | Yes    | No      | N/A                                |        |          |                 |  |  |
| Part  | VII Plan Terminations and Transfers of Assets  |                      |   |        |         |                                    |        |          |                 |  |  |
| 13a   | Has a resolution to terminate the plan been adopted during the plan y  | ear or any prior yea | r?                                      |        |         |                                    |        | Ye       | s X N           |  |  |
|       | If "Yes," enter the amount of any plan assets that reverted to the empl  | loyer this year      |   |        |         | 13a                                |        |          |                 |  |  |
|       | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?  |                      |   |        |         |                                    |        |          |                 |  |  |
| C     | If during this plan year, any assets or liabilities were transferred from which assets or liabilities were transferred. (See instructions.)  | this plan to another | plan(s), identify th                    | ne pla | n(s) to | )                                  |        |          |                 |  |  |
| 1     | 3c(1) Name of plan(s):   |                      |   |        | 13      | <b>13c(2)</b> EIN(s) <b>13c(3)</b> |        |          | <b>3)</b> PN(s) |  |  |
|       |  |                      |   |        |         |                                    |        |          |                 |  |  |
|       |  |                      |   |        |         |                                    |        |          |                 |  |  |
|       |  |                      |   |        |         |                                    |        |          |                 |  |  |
| Court | ion. A populty for the late or incomplete filing of this return/report   | will be seesed to    | unless ressente                         | lo ooi | ıco ic  | octobl                             | ichod  |          |                 |  |  |
|       | ion: A penalty for the late or incomplete filing of this return/report<br>r penalties of perjury and other penalties set forth in the instructions, I  |                      |   |        |         |                                    |        | nla a Sc | hadula          |  |  |
| SB o  | r Schedule MB completed and signed by an enrolled actuary, as well a f, it is true, correct, and complete.   |                      |   |        |         |                                    |        |          |                 |  |  |
| SIGI  | Filed with authorized/valid electronic signature.  | 10/17/2011           | RONALD G. KAN                           | IINSK  | (I      |                                    |        |          |                 |  |  |
| HER   |  | Enter name of in     | ndividual signing as plan administrator |        |         |                                    |        |          |                 |  |  |
|       |  |                      |   |        |         |                                    |        |          |                 |  |  |

| SIGN | Filed with authorized/valid electronic signature. | 10/17/2011 | RONALD G. KAMINSKI   |
|------|---|------------|--|
| HERE | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |
| SIGN | Filed with authorized/valid electronic signature. | 10/17/2011 | RONALD G. KAMINSKI   |
| HERE | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |