Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

Р	ension Benefit Guaranty Corporation	▶ Complete all entries in accor	dance wit	h the instructions to the Form 550	0-SF.					
		lentification Information								
For	calendar plan year 2010 or fisca	al plan year beginning 01/01/201	0	and ending 1	2/31/2	2010				
A	Γhis return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participan	t plan			
В	This return/report is for:	first return/report	final retur	n/report		_				
		an amended return/report	short plar	n year return/report (less than 12 mo	nths)					
C	Check box if filing under:	☐ Form 5558 ☐	automatic	extension		DFVC program	n			
		special extension (enter description				☐ b 3				
Do	rt II Pacia Plan Inform	nation—enter all requested inform								
	Irt II Basic Plan Inform Name of plan	ilation—enter all requested inform	ation		1h	Three-digit				
	N SPECIALTY, LLC				10	plan number	004			
,						(PN) •	001			
					1c	Effective date of				
						10/01/20				
	Plan sponsor's name and addre N SPECIALTY, LLC	ess (employer, if for single-employer	plan)		2b	2b Employer Identification Numbe				
ALLE	N SPECIALIT, LLC				20	(EIN) 61-1515 Plan sponsor's te				
	BOX 7601				20	228-861	-2020	ibei		
GULI	FPORT, MS 39503				2d	Business code (s	ee instructio	ns)		
					0.	444190				
3a ALLE	Plan administrator's name and N SPECIALTY, LLC	address (if same as Plan sponsor, e		∍")	3b	Administrator's E				
	, -	GULFPORT,	, MS 39503	3	3c	Administrator's te	lephone nur	nher		
						228-861	·2020	11001		
	•	an sponsor has changed since the la		port filed for this plan, enter the	4b EIN					
I	name, EIN, and the plan numbe	r from the last return/report. Sponso	or's name		40	PN				
5a	Total number of participants at	the heginning of the plan year			5a					
	Total number of participants at the beginning of the plan year						b			
b Total number of participants at the end of the plan year								0		
C	C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)							0		
6a	•	luring the plan year invested in eligib					X Yes	No		
		ne annual examination and report of		,				_ _		
	,	See instructions on waiver eligibility		,			^ Yes	No		
D-		er 6a or 6b, the plan cannot use F	orm 5500-	SF and must instead use Form 55	00.					
	rt III Financial Informa	ation			1					
7	Plan Assets and Liabilities			(a) Beginning of Year)	(b) End o	of Year	0		
	Total plan assets		. 7a		_					
b	•			(1			0		
<u>C</u>		7b from line 7a)	. 7с		,			- 0		
8	Income, Expenses, and Transf			(a) Amount		(b) To	otal			
а	Contributions received or received (1) Employers	vable from:	. 8a(1))					
					5					
	• • • • • • • • • • • • • • • • • • • •)			0					
b	, ,	,			5					
C	` '	8a(2), 8a(3), and 8b)						0		
d		rollovers and insurance premiums								
-			. 8d	()					
е	Certain deemed and/or correct	tive distributions (see instructions)	. 8e	()					
f	Administrative service provider	rs (salaries, fees, commissions)	. 8f							
g	Other expenses		. 8g	(
h	Total expenses (add lines 8d, 8	8e, 8f, and 8g)						0		
i	Net income (loss) (subtract line	e 8h from line 8c)	. 8i					0		
i		ee instructions)		(

Form 5500-SF 2010 Page 2-									
ar	t IV Plan Characteristics								
	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara 2E 2F 2G 2K 2R 3D	acteris	tic Co	des in	the instructions:				
	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara-	cterist	ic Cod	des in t	he instructions:				
	and plant provided included borrows, office and approache from the board from the bot of Flath official office of the individual office.								
art	Compliance Questions								
)	During the plan year:		Yes	No	Amount				
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X					
С	Was the plan covered by a fidelity bond?	10c		X	_				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		X					
f	Has the plan failed to provide any benefit when due under the plan?	10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i		X					
art	VI Pension Funding Compliance								
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))	•			`				
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code	or se	ction 3	302 of E	ERISA? Yes 🛚 No				
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	uı		Day _	Fai				
_	Enter the minimum required contribution for this plan year	[12b						
	Enter the amount contributed by the employer to the plan for this plan year			12c					
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of		12d						

Part VII Plan Terminations and Transfers of Assets

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

e Will the minimum funding amount reported on line 12d be met by the funding deadline?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

 13c(1) Name of plan(s):
 13c(2) EIN(s)
 13c(3) PN(s)

N/A

No

Yes X No

No

Yes

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	11/02/2011	MARSHALL S. ALLEN					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					

Form 5500-SF

Department of the Treasury Internal Revenue Service

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee

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2010

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	Department of Labor Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).						This Form is Open to Public Inspection			
,	Pension Benefit Guaranty Corporation	▶ Complete all entries in accordance	rdance wi	th the instructions to the Form	5500-SF.	}				
		entification Information								
_For	calendar plan year 2010 or fisca		01/01/2	2010 and ending		12/31/201	_0			
	This return report is for.									
R	This return/report is for:	first return/report	final retu	rn/report						
		an amended return/report	short pla	n year return/report (less than 12	months)					
C	Check box if filing under:	Form 5558	automati	c extension		DFVC progra	ım			
		special extension (enter descripti	ian)			_				
P	art II Basic Plan Inform	nation—enter all requested inform	nation							
1a	Name of plan				1b	Three-digit				
	Allen Specialty, LL	ıC				plan number				
						(PN) >	001			
						Effective date of 10/01/2009				
2a	Plan sponsor's name and addre	ess (employer, if for single-employer	r plan)			Employer Identi:				
	Affelt Specialty, Li	·C				<u>(EIN)</u> 61-151				
					2c	2c Plan sponsor's telephone number (228) 861–2020				
	P.O. Box 7601				2d		see instructions)			
	Gulfport			MS 39503		444190	doe morradions)			
3a	Plan administrator's name and a SAME	address (if same as Plan sponsor, e	enter "Sam	e")	3b	3b Administrator's EIN				
					3с	Administrator's t	elephone number			
						(228)861-2020				
4 if the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. Sponsor's name						EiN				
	name, Env. and the plan number	non the last returnineport. Sponst	or a manue			C PN				
5a	5a Total number of participants at the beginning of the plan year									
b			- Ou	·						
b Total number of participants at the end of the plan year C Total number of participants with account balances as of the end of the plan year (defined benefit plans do not										
	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						(
		uring the plan year invested in eligible annual examination and report of					🗓 Yes 🗌 No			
		See instructions on waiver eligibility					🗓 Yes 🗍 No			
	If you answered "No" to eithe	er 6a or 6b, the plan cannot use F	orm 5500	SF and must instead use Form	5500.					
Pa	rt III Financial Informa	tion								
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End	of Year			
а	Total plan assets		. 7a		0		(
b	Total plan liabilities		7b							
Ç	Net plan assets (subtract line 7)	b from line 7a)	. 7c		0		(
8	Income, Expenses, and Transfe	ers for this Plan Year		(a) Amount		(b) T	otal			
а	Contributions received or receive				0					
	• • • •	······································	 							
	• • •		· · · · · · · · · · · · · · · · · · ·							
	• • • • • • • • • • • • • • • • • • • •	***************************************	—		0					
b	` '				0					
C		3a(2), 8a(3), and 8b)	. 8c	<u> </u>						
d		ollovers and insurance premiums	8d		0					
е	Certain deemed and/or corrective	ve distributions (see instructions)	8e		0					
f	Administrative service providers	s (salaries, fees, commissions)	. 8f							
g	Other expenses		. 8g		0					
h	Total expenses (add lines 8d, 8	e, 8f, and 8g)					(
i		8h from line 8c)					C			
i		e instructions)			0					

ALLEN SPECIALTY 228-206-0455

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	rt IV Plan Characteristics									
9a			ature codes from the	e List of Plan Char	acterist	ic Cod	es in t	he instructi	ons:	
b	2E 2F 2G 2K 2R If the plan provides welfare benefits, enter the a		iture codes from the	List of Plan Chara	acteristi	c Code	s in th	e instructio	ns:	
Part	t V Compliance Questions									
10	During the plan year:					Yes	No	Δ.	mount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						х			
b	Were there any nonexempt transactions with an on line 10a.)	ny party-in-interest? (Do not include trans	sactions reported	10a		Х			
С	Was the plan covered by a fidelity bond?				10c		Х			
d	_	rsed by the plan's fic	lelity bond, that was	caused by fraud	10d		х			
е	Were any fees or commissions paid to any brol insurance service or other organization that pro instructions.)	ovides some or all of t	he benefits under th	ne plan? (See	10e		х			
f	Has the plan failed to provide any benefit when	due under the plan?	••••••	,.,.	10f		Х			
g	Did the plan have any participant loans? (If "Ye	s," enter amount as o	of year end.)		10g		Х			
h	If this is an individual account plan, was there a 2520.101-3.)	• • • • • • • • • • • • • • • • • • • •			10h		Х			
i	If 10h was answered "Yes," check the box if yo exceptions to providing the notice applied unde				10i		Х			
Part					***					
11	Is this a defined benefit plan subject to minimur 5500))								Yes	X No
	Enter the minimum required contribution for this					. 1	2b			
C	Enter the amount contributed by the employer to	o the plan for this pla	n year			. 1	2c			
d	Subtract the amount in line 12c from the amoun negative amount)					1	2d			
<u>e</u>	Will the minimum funding amount reported on ii		funding deadline?					Yes	No	N/A
Part	t VII Plan Terminations and Transfe	ers of Assets								
13a	. Has a resolution to terminate the plan been add	pted during the plan	year or any prior ye	ar?	·····				Yes	X No
	If "Yes," enter the amount of any plan assets the						3a			
D C	Were all the plan assets distributed to participar of the PBGC?						(FOI		Yes	X No
	which assets or liabilities were transferred. (See				1	,			ĭ	
13c(1) Name of plan(s):					<u> </u>	13c(2) EIN(s) 13c(3)) PN(s)	
	Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.									
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
0.0	m Marell State	12_	18/11/11	Marshall S	S. Allen					
SIG HER			Date	Enter name of in			ng as	plan admin	istrator	
SIG										
HER			Date	Enter name of it	ndividua	al signi	ng as	employer o	r plan sp	onsor