Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	Complete all entries in accor	dance witl	h the instructions to the Form 550	0-SF.	1			
		ntification Information							
For	calendar plan year 2010 or fiscal p	plan year beginning 10/01/201	0	and ending 0	9/30/2	2011			
Α.	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
В	This return/report is for:	first return/report	final retur	n/report		_			
		an amended return/report	short plan	year return/report (less than 12 mor	nths)				
C	Check box if filing under:	Form 5558	automatio	extension		DFVC program			
Pa	art II Basic Plan Informa	tion—enter all requested inform	nation						
	Name of plan				1b	Three-digit			
	SON & MCLAUGHLIN 401K PROF	FIT SHARING PLAN				plan number 003			
					_	(PN) ▶			
					1C	Effective date of plan 10/01/1990			
2a	Plan sponsor's name and address	(employer, if for single-employer	r plan)		2b	Employer Identification Number			
	SON & MCLAUGHLIN, P.S.	(empleyer, in let emgle empleyer	μ.α,			(EIN) 91-0886288			
2201	6TH AVENUE, SUITE 1400				2c	Plan sponsor's telephone number 206-441-3500			
	TTLE, WA 98121-1847				2d	Business code (see instructions)			
					Zu	541211			
3a	Plan administrator's name and add SON & MCLAUGHLIN, P.S.	dress (if same as Plan sponsor, e	enter "Same	e")	3b	Administrator's EIN 91-0886288			
DEIN	SEATTLE, WA 98121-1847 3c Administrator's telephon								
		30	206-441-3500						
	f the name and/or EIN of the plan s			port filed for this plan, enter the	4b EIN				
- 1	name, EIN, and the plan number fr	4c PN							
5a	Total number of participants at the		5a	38					
b			5b	37					
C	Total number of participants with		30						
	• •			(5c	37			
	· •	0 , ,		(See instructions.)		Yes No			
b				ndent qualified public accountant (IQI ions.)		X Yes ☐ No			
	•	• •		SF and must instead use Form 55					
Pa	rt III Financial Information								
7	Plan Assets and Liabilities	-		(a) Beginning of Year		(b) End of Year			
а	Total plan assets		. 7a	4775276	6	5002906			
b	Total plan liabilities			473	3				
С	Net plan assets (subtract line 7b f			4774803	3	5002906			
8	Income, Expenses, and Transfers			(a) Amount		(b) Total			
а	Contributions received or receivable	ole from:		79001		•			
	• • • •		1	285196					
	(2) Participants		` '	203190	<u>'</u>				
h	(3) Others (including rollovers)			-132324	_				
b	Other income (loss)			-102027		231873			
۲ C	Total income (add lines 8a(1), 8a(. 8c			231073			
d	Benefits paid (including direct rolle to provide benefits)		. <u>8d</u>	3770)				
е	Certain deemed and/or corrective		8e						
f	Administrative service providers (salaries, fees, commissions)	. 8f						
g	Other expenses		. 8g						
h	Total expenses (add lines 8d, 8e,	8f, and 8g)	. 8h			3770			
i	Net income (loss) (subtract line 8h	n from line 8c)	. 8i			228103			
j	Transfers to (from) the plan (see i	nstructions)	. 8i						

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ar	t IV Plan Characteristics							
a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Chara	acteris	tic Co	des in	the instruc	ction	s:	
•	2E 2F 2G 2J 2K 3D If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	ctorict	ic Coc	lac in t	he inetruc	tions		
,	in the plan provides werrare benefits, effect the applicable werrare reature codes from the List of Fran Chara	iciciisi	10 000	163 III t	ile ilistiac	uone).	
art	V Compliance Questions							
)	During the plan year:		Yes	No		Am	ount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
С	Was the plan covered by a fidelity bond?	10c	X					500000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		X				
е	ere any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, surance service or other organization that provides some or all of the benefits under the plan? (See structions.)			X				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
ırt	VI Pension Funding Compliance							
ī	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 5500))						Yes	No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code						Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)							
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf '	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			Day _		100	ـــــــــــــــــــــــــــــــــــــ	
b	Enter the minimum required contribution for this plan year		12b					
	Enter the amount contributed by the employer to the plan for this plan year							
_	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes		No	N/A	

Part VII **Plan Terminations and Transfers of Assets**

13a Has a resolution to terminate the plan been adopted during the plan year or any prior year? Yes No If "Yes," enter the amount of any plan assets that reverted to the employer this year..... Yes X No

Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?.....

If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s): 13c(2) EIN(s) **13c(3)** PN(s)

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	12/02/2011	BRIAN HEIMBUCHER
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor