Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	▶ Complete all entries in accord	rdance wit	h the instructions to the Form 550	0-SF.	1				
Pa	rt I Annual Report Id	dentification Information								
For	For calendar plan year 2010 or fiscal plan year beginning 06/01/2010 and ending 05/31/2011									
Α -	his return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan				
	his return/report is for:	first return/report	final retur	n/report						
		an amended return/report	short plar	n year return/report (less than 12 mo	nths)					
C	Check box if filing under:	Form 5558	automatic	extension		DFVC program				
	mook box ii ming anaon									
De	Part II Basic Plan Information—enter all requested information									
		mation—enter all requested inform	nation		1 h	There and all aids				
	Name of plan	10	Three-digit plan number							
DAVID L. LUKENS, D.O., P.S. 401(K) PROFIT SHARING PLAN AND TRUST						(PN) ▶ 001				
					1c	Effective date of plan				
						06/01/1976				
		ress (employer, if for single-employe	r plan)		2b	Employer Identification Number				
DAVI	D L. LUKENS, D.O., P.S.					(EIN) 91-0962933				
1802	SOUTH YAKIMA, SUITE 304				2C	Plan sponsor's telephone number 253-572-7101				
	DMA, WA 98405				2d	Business code (see instructions)				
						621111				
3a	Plan administrator's name and	address (if same as Plan sponsor, e	enter "Same	e")	3b	Administrator's EIN				
DAVI	D L. LUKENS, D.O., P.S.	1802 SOUT TACOMA, V		SUITE 304	_	91-0962933				
					3C	Administrator's telephone number 253-572-7101				
4 1	the name and/or FIN of the pl	an sponsor has changed since the la	ast return/re	eport filed for this plan, enter the	4b EIN					
		er from the last return/report. Spons		,						
					4c	PN				
5a	Total number of participants a	t the beginning of the plan year			5a	4				
b	Total number of participants a	t the end of the plan year			5b	5				
С	Total number of participants w	vith account balances as of the end o	of the plan y	vear (defined benefit plans do not	_	5				
	complete this item)									
	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)									
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
				•						
Pa	If you answered "No" to either 6a or 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. Part III Financial Information									
7	Plan Assets and Liabilities			(a) Beginning of Year		(b) End of Year				
а	Total plan assets		7a	649777	7	799565				
b	12									
С		7b from line 7a)		649777	7	799565				
8	Income, Expenses, and Trans		,,	(a) Amount		(b) Total				
а	Contributions received or rece					(b) Total				
_			8a(1)	6000)					
	(2) Participants		8a(2)	3600)					
	(3) Others (including rollovers)								
b	Other income (loss)	6								
С	Total income (add lines 8a(1),	8a(2), 8a(3), and 8b)	8c			155966				
d	Benefits paid (including direct	rollovers and insurance premiums		52						
		provide benefits)								
е	, ,									
f	Administrative service provide	ers (salaries, fees, commissions)	8f	5899	_					
g	Other expenses		8g	227	7					
h	Total expenses (add lines 8d,	8e, 8f, and 8g)	8h			6178				
i	Net income (loss) (subtract lin	e 8h from line 8c)	8i			149788				
i	Transfers to (from) the plan (s	ee instructions)	8i							

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Part IV	Plan	(`hara	cteristics
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If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 3D 2J 2K 2G 2F

If the plan provides welfare ber

D	ii the	e plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Chara	cteris	tic Coc	ies in t	ne instru	ctior	15:	
art	٧	Compliance Questions							
0	Dur	During the plan year:			No		Αı	mount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
С	Was the plan covered by a fidelity bond?				X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)				Χ				
f	Has the plan failed to provide any benefit when due under the plan?				X				
g	Did	id the plan have any participant loans? (If "Yes," enter amount as of year end.)			X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X				
i	2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3				X				
art	VI	Pension Funding Compliance							
1		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com						Yes	No
2									
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
lf y	ou c	completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		1					
b	b Enter the minimum required contribution for this plan year								
С	C Enter the amount contributed by the employer to the plan for this plan year								
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?					Yes		No	N/A
art	VII	Plan Terminations and Transfers of Assets							
3a	Has	a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year								
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							X No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the assets or liabilities were transferred. (See instructions.)	ne pla	n(s) to					_
1	3c(1)	Name of plan(s):		130	(2) EI	N(s)		13c(3) PN(s)
						, ,		·	
.		A manaley for the late as incomplete filling of this water from the will be accorded.	le ca:	!-	004-61	ا معاماً			
		A penalty for the late or incomplete filing of this return/report will be assessed unless reasonab					anh!	0 0 804	odule
SB o	· Śch	nalties of perjury and other penalties set forth in the instructions, I declare that I have examined this retued the MB completed and signed by an enrolled actuary, as well as the electronic version of this returnative, correct, and complete.							

SIGN	Filed with authorized/valid electronic signature.	02/07/2012	DAVID LUKENS				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	02/07/2012	DAVID LUKENS				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				