Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2011

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public

Inspection ▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Pa	rt I Annual Report Identification Information						
For o	calendar plan year 2011 or fiscal plan year beginning 01/01/2011	1	and ending	12/31/2	2011		
A T	s return/report is for:						
Вт	This return/report is: the first return/report the first return/report						
	an amended return/report a short plan year return/report (less than 12 months)						
C			extension		DFVC program		
	special extension (enter description						
Pa	rt II Basic Plan Information—enter all requested informa	•					
	Name of plan	20011		1b	Three-digit		
	DBERT NEULANDER, MD, PC				plan number		
					(PN) ▶ 003		
				1c	Effective date of plan		
22	Plan sponsor's name and address; include room or suite number (er	mployer if	for a single employer plan)	2h	10/27/1994 Employer Identification Number		
M. RO	DBERT NEULANDER, MD, PC	ripioyer, ii	ioi a single-employer plani	20	(EIN) 16-1248667		
				2c	Sponsor's telephone number		
5700	WEST GENESEE STREET				315-488-2118		
	LLUS, NY 13031			2d	Business code (see instructions)		
					621111		
	Plan administrator's name and address (if same as plan sponsor, en BERT NEULANDER, MD, PC 5700 WEST G			3b	Administrator's EIN 16-1248667		
	CAMILLUS, N			3c	Administrator's telephone number		
					315-488-2118		
4	If the name and/or EIN of the plan sponsor has changed since the laname, EIN, and the plan number from the last return/report.	ast return/i	report filed for this plan, enter the	4b	EIN		
а	Sponsor's name			4c	PN		
	Total number of participants at the beginning of the plan year			5a	20		
b	Total number of participants at the end of the plan year			5b	C		
С	Number of participants with account balances as of the end of the p	lan year (d	defined benefit plans do not				
	complete this item)			5c			
	Were all of the plan's assets during the plan year invested in eligible				X Yes No		
D	Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a				X Yes ☐ No		
	If you answered "No" to either 6a or 6b, the plan cannot use Fo						
Pa	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End of Year		
а	Total plan assets	7a	1935892		0		
b	Total plan liabilities	7b	0		0		
С	Net plan assets (subtract line 7b from line 7a)	7с	1935892		0		
	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) Total		
а	Contributions received or receivable from: (1) Employers	8a(1)	0				
	(2) Participants	8a(2)	0				
	(3) Others (including rollovers)	8a(3)	0				
	Other income (loss)	8b	39290				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			39290		
_	Benefits paid (including direct rollovers and insurance premiums		4075400				
	to provide benefits)	8d	1975182				
	Certain deemed and/or corrective distributions (see instructions)	8e	0				
f	Administrative service providers (salaries, fees, commissions)	8f	0				
g	Other expenses	8g	0		1075100		
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			1975182		
!	Net income (loss) (subtract line 8h from line 8c)	8i			-1935892		
J	Transfers to (from) the plan (see instructions)	8j	0				

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Part IV	I Plan	Charac	cteristics

- 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

 2E 2J 2K 2H
 - **b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

Part	art V Compliance Questions							
0	<u> </u>		Yes	No		Δm	ount	
-	 Was there a failure to transmit to the plan any participant contributions within the 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction) 			X		<u> </u>	ount	
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include on line 10a.)	e transactions reported		X				
С	C Was the plan covered by a fidelity bond?	10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, th or dishonesty?			Х				
е	e Were any fees or commissions paid to any brokers, agents, or other persons by a insurance service or other organization that provides some or all of the benefits u instructions.)	nder the plan? (See		X				
f	f Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.).	10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instruction 2520.101-3.)			X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice exceptions to providing the notice applied under 29 CFR 2520.101-3							
art	art VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," 5500))						Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in granting the waiver. If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 55)	Month					etter rul ar	
b	b Enter the minimum required contribution for this plan year			12b				
	c Enter the amount contributed by the employer to the plan for this plan year			12c				
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter negative amount)			12d				
е	e Will the minimum funding amount reported on line 12d be met by the funding dea	lline?			Yes		No	N/A
art	art VII Plan Terminations and Transfers of Assets							
3a	3a Has a resolution to terminate the plan been adopted in any plan year?			X	'es	No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this ye	ar	I3a					C
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to of the PBGC?	another plan, or brought under	the co	ontrol		×	Yes	No
С	C If during this plan year, any assets or liabilities were transferred from this plan to which assets or liabilities were transferred. (See instructions.)	nother plan(s), identify the pla	ın(s) to)				
1	13c(1) Name of plan(s):		13	c(2) El	N(s)		13c(3)	PN(s)
Caut	aution: A penalty for the late or incomplete filing of this return/report will be ass	essed unless reasonable ca	use is	establ	ished.			
Jnde	nder penalties of perjury and other penalties set forth in the instructions, I declare that	I have examined this return/re	port, ir	cludin	g, if appli	cable,	a Sch	edule

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	02/13/2012	M.ROBERT NEULANDER
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	02/13/2012	M.ROBERT NEULANDER
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor