Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2010

This Form is Open to Public Inspection

P	ension Benefit Guaranty Corporation	Complete all entries in accor	rdance wit	h the instructions to the Form 550	0-SF.				
		tification Information							
For	calendar plan year 2010 or fiscal pl	lan year beginning 09/01/201	10	and ending 0	8/31/2	2011			
Α .	This return/report is for:	single-employer plan	multiple-e	employer plan (not multiemployer)		one-participant plan			
	· —	irst return/report	final retur	n/report					
	a	an amended return/report	short plar	n year return/report (less than 12 mor	nths)				
C	Check box if filing under: \overline{X} F	Form 5558	automatio	extension		DFVC program			
	special extension (enter description)					_			
Pa	rt II Basic Plan Informat	tion—enter all requested inform	nation						
	Name of plan				1b	Three-digit			
	PEN USA CORPORATION 401(K)	PLAN				plan number 001			
						(PN) ▶			
					1c	Effective date of plan 05/01/2000			
2a	Plan sponsor's name and address	(employer if for single-employe	r plan)		2h	Employer Identification Number			
	PEN USA CORPORATION	(employer, ii for emgle employe	· plan			(EIN) 91-1946296			
0024	WILLOWS RD NE				2c Plan sponsor's telephone nu 425-895-4406				
	MOND, WA 98052				24	Business code (see instructions)			
					Zu	611000			
	Plan administrator's name and add				3b	Administrator's EIN			
DIGII	PEN USA CORPORATION	9931 WILLO REDMOND,			20	91-1946296			
					30	Administrator's telephone number 425-895-4406			
	the name and/or EIN of the plan s			port filed for this plan, enter the	4b	EIN			
I	name, EIN, and the plan number fro	om the last return/report. Spons	or's name		4c	DNI			
5a	Total number of participants at the	heginning of the plan year			5a	84			
b					5a 5b	84			
C									
	·				5c	23			
6a	Were all of the plan's assets durin	ng the plan year invested in eligil	ble assets?	(See instructions.)		Yes No			
b				ndent qualified public accountant (IQI		X Yes ☐ No			
	,	• ,		ions.)SF and must instead use Form 55					
Pa	rt III Financial Information		01111 3300-	or and must misteau use i orm 55					
7	Plan Assets and Liabilities	···		(a) Beginning of Year		(b) End of Year			
-	Total plan assets		7a	(a) Beginning of Teal 807417	7	1081637			
b	Total plan liabilities			()	0			
C	Net plan assets (subtract line 7b fr			807417	7	1081637			
8	Income, Expenses, and Transfers			(a) Amount		(b) Total			
а	Contributions received or receivab					(a) real			
	(1) Employers		8a(1)	(_				
	(2) Participants		8a(2)	179913	_				
	(3) Others (including rollovers)		8a(3)	(4				
b	her income (loss)			3					
С	Total income (add lines 8a(1), 8a(2)		8c			291081			
d	Benefits paid (including direct rollo to provide benefits)	•	8d	C					
е	Certain deemed and/or corrective			16832	2				
f	Administrative service providers (s	salaries, fees, commissions)	8f	29)				
g	Other expenses		8g						
h	Total expenses (add lines 8d, 8e,	8f, and 8g)				16861			
i	Net income (loss) (subtract line 8h	from line 8c)	8i			274220			
j	Transfers to (from) the plan (see in								

Form 5500-SF 2010		Page 2-	
Part IV	Plan Characteristics		

9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 3D 2E 2J 2F 2G

b	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan (Characteris	tic Co	des in t	the instru	ctions	S:	
art	V Compliance Questions							
0	During the plan year:		Yes	No		Am	ount	
а	Vas there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)							
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions repo on line 10a.)			X				
С	Was the plan covered by a fidelity bond?	. 10c	X				1	000000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fr or dishonesty?			X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.))		X				
f	Has the plan failed to provide any benefit when due under the plan?	. 10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	· 10q	X					9065
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)							
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			X				
art '	VI Pension Funding Compliance							
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and 5500))					. [Yes	X No
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the						Yes	X No
	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see is granting the waiver. ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	. Month						
-	Enter the minimum required contribution for this plan year.		Г	12b				
	Enter the amount contributed by the employer to the plan for this plan year							
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
art '	VII Plan Terminations and Transfers of Assets							
3a	Has a resolution to terminate the plan been adopted during the plan year or any prior year?						Yes	X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year						L J	
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? Yes No							
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ider which assets or liabilities were transferred. (See instructions.)	ntify the pla	n(s) to	•				_
1:	3c(1) Name of plan(s):		13	c(2) El	N(s)		13c(3)	PN(s)
auti	on: A penalty for the late or incomplete filing of this return/report will be assessed unless reas	onable car	ıse is	establ	ished.			
Inde	rependities of perjury and other penalties set forth in the instructions, I declare that I have examined the Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this relative it is true, correct, and complete.	is return/re	port, ir	ncludin	g, if appli			
onel,	Filed with authorized/valid electronic signature. 03/05/2012 JASON CHI	U						

SIGN	Filed with authorized/valid electronic signature.	03/05/2012	JASON CHU					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN								
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					