Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), and 6058(a) of the Internal Revenue Code (the Code).

> ▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2010

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Part I	Annual Report Iden	tification Information			•		
For cale	ndar plan year 2010 or fiscal p			and ending 06/30/2	2011		
A This	return/report is for:	a multiemployer plan;	a multiple	e-employer plan; or			
		a single-employer plan;	a DFE (s	pecify)			
		_	_				
B This	return/report is:	the first return/report;	the final i	eturn/report;			
		an amended return/report;	a short p	an year return/report (less t	han 12 months).		
C If the	plan is a collectively-bargaine	ed plan, check here					
	k box if filing under:	Form 5558;		extension;	the DFVC program;		
D Office	in box ii iiiiiig dilder.	special extension (enter des					
Part	II Rasio Blan Inform	nation—enter all requested informa					
_	ne of plan	ration—enter all requested information	IIIOH		1b Three-digit plan	001	
	C. PENSION PLAN				number (PN) ▶	001	
,					1c Effective date of pla	an	
					07/01/1997		
	n sponsor's name and address Iress should include room or s	s (employer, if for a single-employer p	olan)		2b Employer Identification Number (EIN)		
ULC, IN		uite 110.)			61-1193494		
OLO, IIV	0.				2c Sponsor's telephor	ne	
					number		
	OOK STREET	2001 BRO	OK STREET		502-852-6996		
LOUISV	ILLE, KY 40208	LOUISVIL	LOUISVILLE, KY 40208		2d Business code (see instructions)		
					813000		
Caution	· A nenalty for the late or in	complete filing of this return/repor	t will be assessed	inless reasonable cause i	s established		
		enalties set forth in the instructions, I				dules	
		as the electronic version of this return					
SIGN	Filed with authorized/valid ele	ectronic signature.	03/30/2012	SANDRA OPPEL			
HERE Signature of plan administrato		trator	Date	Enter name of individual s	signing as plan administrator	rator	
					<u> </u>		
SIGN							
HERE	Signature of employer/pla	n sponsor	Date	Enter name of individual s	signing as employer or plan sp	onsor	
	, , , , , ,	•					
SIGN							
HFKF			i and the second				

Signature of DFE Date Enter name
For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2010) v.092307.1

Enter name of individual signing as DFE

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	Plan administrator's name and address (if same as plan sponsor, enter "San C, INC.	me")		dministrator's EIN -1193494
	01 BROOK STREET UISVILLE, KY 40208		nι	dministrator's telephone umber 2-852-6996
4	If the name and/or EIN of the plan sponsor has changed since the last return the plan number from the last return/report:	n/report filed for this plan, enter the name, l	EIN and	4b EIN
а	Sponsor's name			4c PN
5	Total number of participants at the beginning of the plan year		5	28
6	Number of participants as of the end of the plan year (welfare plans complet	te only lines 6a, 6b, 6c, and 6d).		
а	Active participants		6a	20
b	Retired or separated participants receiving benefits		<u>6b</u>	0
С	Other retired or separated participants entitled to future benefits		6с	6
d	Subtotal. Add lines 6a, 6b, and 6c		6d	26
е	Deceased participants whose beneficiaries are receiving or are entitled to re	eceive henefits	6e	0
f	Total. Add lines 6d and 6e			26
-				
g	Number of participants with account balances as of the end of the plan year complete this item)	` '	6g	25
h	Number of participants that terminated employment during the plan year with less than 100% vested		6h	1
7	Enter the total number of employers obligated to contribute to the plan (only		-	
	If the plan provides pension benefits, enter the applicable pension feature con the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable pension feature contents to the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits, enter the applicable welfare feature code of the plan provides welfare benefits.			
9a	Plan funding arrangement (check all that apply) (1) Insurance (2) Code section 412(e)(3) insurance contracts (3) Trust (4) General assets of the sponsor	9b Plan benefit arrangement (check all (1) Insurance (2) Code section 412(e) (3) Trust (4) General assets of the	(3) insurand	
10 a	Check all applicable boxes in 10a and 10b to indicate which schedules are a Pension Schedules (1) R (Retirement Plan Information) (2) MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) H (Financial Inf (2) X I (Financial Inf (3) A (Insurance Inf (4) C (Service Pro	ormation) ormation – oformation)	Small Plan)

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2010

This Form is Open to Public Inspection

r choich Benefit Guaranty Corporation	mapection
For calendar plan year 2010 or fiscal plan year beginning 07/01/2010	and ending 06/30/2011
A Name of plan ULC, INC. PENSION PLAN	B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500	D Employer Identification Number (EIN)
ULC, INC.	61-1193494

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I | Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	924185	1261490
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	924185	1261490
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)	45783	
	(2) Participants	. 2a(2)	70225	
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	2b		
С	Other income	. 2c	240850	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	2d		356858
е	Benefits paid (including direct rollovers)	. 2e	7773	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g	10468	
h	Administrative service providers (salaries, fees, and commissions).	. 2h	1312	
i	Other expenses	. 2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		19553
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		337305
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		X	
	Participant loans	3e	X		61391

	Schedule I (Form 5500) 2010 Page 2-			_	
•			Yes	No X	Amount
3f	Loans (other than to participants)	3f		, ,	
g	Tangible personal property	3g		Χ	
P	art II Compliance Questions				
4	During the plan year:		Yes	No	Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X	
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X	
е	Was the plan covered by a fidelity bond?	4e	X		100000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X	
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X	
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X		
ı	Has the plan failed to provide any benefit when due under the plan?	41		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	

50	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), iden transferred. (See instructions.)	tify the plan(s) to which assets or liabilit	ies were
	5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(

Χ

Amount:

4n

n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of

5a

the exceptions to providing the notice applied under 29 CFR 2520.101-3.....

Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?

SCHEDULE R (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

Retirement Plan Information

File as an attachment to Form 5500.

OMB No. 1210-0110

2010

This Form is Open to Public Inspection.

For	r calendar plan year 2010 or fiscal plan year beginning 07/01/2010 and e	endin	g	06/30/2	011					
	Name of plan , INC. PENSION PLAN	В		e-digit n numbe l)	er •		00	1		
	Plan sponsor's name as shown on line 2a of Form 5500	D	Emp	loyer Id	entifica	ition Nu	mber	(EIN)		
ULU,	, inc.		61	-119349	94					
Da	art I Distributions									
-	references to distributions relate only to payments of benefits during the plan year.									
1	Total value of distributions paid in property other than in cash or the forms of property specified in the instructions			1						0
2	Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries duri payors who paid the greatest dollar amounts of benefits):	ing th	e yea		e than	two, en	ter El	Ns of	the tv	WO
	EIN(s): 04-6568107									
	Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.									
3	Number of participants (living or deceased) whose benefits were distributed in a single sum, during the year.	•		3						
P	Part II Funding Information (If the plan is not subject to the minimum funding requirements of ERISA section 302, skip this Part)	of sec	tion o		the Int	ernal R	evenu	ie Co	de or	
4	Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?				Yes		No			N/A
	If the plan is a defined benefit plan, go to line 8.			_		_	_			
5	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Mon	th		Da	ıy		Yea	ar		_
	If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the ren	main	der of	this sc	hedul	э.				
6	a Enter the minimum required contribution for this plan year			6a						
	b Enter the amount contributed by the employer to the plan for this plan year			6b						
	C Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)			6c						
	If you completed line 6c, skip lines 8 and 9.		•		•					
7	Will the minimum funding amount reported on line 6c be met by the funding deadline?				Yes		No		<u> </u>	N/A
8	If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure provautomatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator with the change?	agre			Yes		No			N/A
Pa	art III Amendments									
9	If this is a defined benefit pension plan, were any amendments adopted during this plan									
	year that increased or decreased the value of benefits? If yes, check the appropriate box(es). If no, check the "No" box	ase		Decre	ase		oth		N	0
Pa	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(skip this Part.	e)(7)	of the	Interna	l Reve	nue Co	de,			
10	Were unallocated employer securities or proceeds from the sale of unallocated securities used to repa	ay an	exer	npt loan	?		\	'es		No
11	a Does the ESOP hold any preferred stock?						۱	'es	Ī	No
	b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "lose instructions for definition of "back-to-back" loan.)						_ 	'es		No
12	Does the ESOP hold any stock that is not readily tradable on an established securities market?						1	'es		No

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Schedule R (Form 5500) 2010

Par	t V	Additional Information for Multiemployer Defined Benefit Pension Plans								
13	Ente	er the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in								
		ollars). See instructions. Complete as many entries as needed to report all applicable employers.								
	a	Name of contributing employer								
	b	EIN C Dollar amount contributed by employer								
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year								
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).)								
		(1) Contribution rate (in dollars and cents)								
	а	Name of contributing employer								
	b	EIN C Dollar amount contributed by employer								
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year								
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):								
	а	Name of contributing employer								
	b	EIN C Dollar amount contributed by employer								
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year								
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):								
	a	Name of contributing employer								
	b	EIN C Dollar amount contributed by employer								
,	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year								
,	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):								
	a	Name of contributing employer								
	<u>a</u> b	EIN C Dollar amount contributed by employer								
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year								
	е									
	a	Name of contributing employer								
	a b	EIN C Dollar amount contributed by employer								
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year								
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete items 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):								

Page .

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of participant for:	the	
	a The current year	14a	
	b The plan year immediately preceding the current plan year	14b	
	C The second preceding plan year	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to ma employer contribution during the current plan year to:	ke an	
	a The corresponding number for the plan year immediately preceding the current plan year	15a	
	b The corresponding number for the second preceding plan year	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If item 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, cl supplemental information to be included as an attachment.		· •
P	art VI Additional Information for Single-Employer and Multiemployer Defined Benefi	t Pensi	on Plans
18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see in information to be included as an attachment		
19	If the total number of participants is 1,000 or more, complete items (a) through (c)		
	a Enter the percentage of plan assets held as:		
	Stock:% Investment-Grade Debt:% High-Yield Debt:% Real Estate:	_% Othe	er:%
	b Provide the average duration of the combined investment-grade and high-yield debt: 0-3 years 3-6 years 6-9 years 9-12 years 12-15 years 15-18 years 18-2	21 vears	21 years or more
	What duration measure was used to calculate item 19(b)?	i yours	L 21 yours or more
	Effective duration Macaulay duration Modified duration Other (specify):		